

Tagged spectator DIS off a polarized spin-1 target

Wim Cosyn

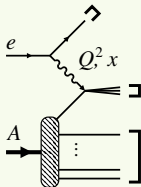
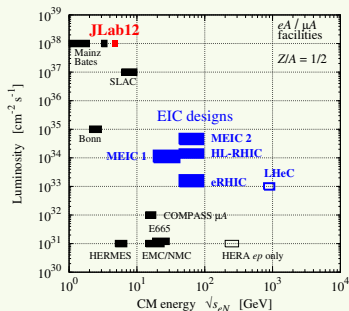
Ghent University, Belgium

QCD Evolution '16
Amsterdam

in collaboration with
Ch. Weiss (JLab) & M. Sargsian (FIU)



Light ions at an EIC



- Wide kinematic range: CM energy $\sqrt{s_{eN}} = 20 - 100 \text{ GeV}$

- ▶ $Q^2 \sim \text{few } 10 \text{ GeV}^2$ for DIS
- ▶ $x \sim 10^{-1} - 10^{-3}$ for sea quarks & gluons

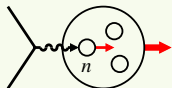
- High luminosity $\sim 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

- ▶ detection of exception nuclear configurations feasible
- ▶ multi-variable final states
- ▶ polarization observables

- Polarized light ions

- ▶ eRHIC: unpolarized D, polarized ^3He
- ▶ JLEIC: polarized **D** and ^3He
figure-8 ring layout

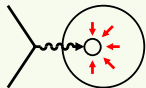
Light ions: physics objectives



■ Neutron structure

- ▶ flavor decomposition of quark PDFs/GPDs/TMDs
- ▶ flavor structure of the nucleon sea
- ▶ singlet vs non-singlet QCD evolution, leading/higher-twist effects

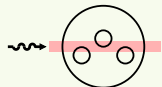
How to account for nuclear binding and non-nucl. dof?



■ Bound nucleons in QCD

- ▶ medium modification of quark/gluon structure
- ▶ QCD origin of short-range nuclear force

How to control nuclear environment?



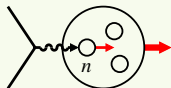
■ Coherence and saturation

- ▶ interaction of high-energy probe with coherent quark-gluon fields

How to verify onset of coherence?

Challenges to be addressed by theory and new experimental techniques

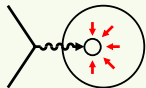
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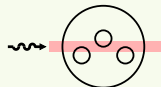
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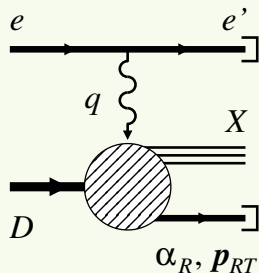
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Tagged spectator DIS process with deuteron



- DIS off a nuclear target with a slow (relative to nucleus c.m.) nucleon detected in the final state
- Control nuclear configuration
- Advantages for the deuteron
 - ▶ simple NN system, non-nucleonic ($\Delta\Delta$) dof suppressed
 - ▶ active nucleon identified
 - ▶ recoil momentum selects nuclear configuration (medium modifications)
 - ▶ limited possibilities for nuclear FSI, calculable

- Wealth of possibilities to study (nuclear) QCD dynamics
- Will be possible in a wide kinematic range @ EIC (**polarized** for JLEIC)
- suited for colliders: no target material, forward detection, transverse pol.

fixed target CLAS BONuS limited to recoil momenta ~ 70 MeV

What is needed?

- General expression of SIDIS for a polarized spin 1 target
 - ▶ Tagged spectator DIS is SIDIS in the target fragmentation region

$$\vec{e} + \vec{T} \rightarrow e' + X + h$$

- Dynamical model to express structure functions of the reaction
 - ▶ First step: impulse approximation (IA) model
- Light-front structure of the deuteron
 - ▶ Natural for high-energy reactions as **off-shellness of nucleons** in LF quantization remains **finite**

Polarized spin 1 particle

- Spin state described by a 3×3 density matrix in a basis of spin 1 states polarized along the collinear virtual photon-target axis

$$W_D^{\mu\nu} = \text{Tr}[\rho_{\lambda\lambda'} W^{\mu\nu}(\lambda'\lambda)]$$

- Characterized by **3 vector** and **5 tensor** parameters

$$\mathbf{S}^\mu = \langle \hat{W}^\mu \rangle, \quad \mathbf{T}^{\mu\nu} = \frac{1}{2} \sqrt{\frac{2}{3}} \langle \hat{W}^\mu \hat{W}^\nu + \hat{W}^\nu \hat{W}^\mu + \frac{4}{3} \left(g^{\mu\nu} - \frac{\hat{P}^\mu \hat{P}^\nu}{M^2} \right) \rangle$$

- Split in longitudinal and perp components

$$\rho_{\lambda\lambda'} = \frac{1}{3} \begin{bmatrix} 1 - \frac{3}{2} \mathbf{S}_L + \sqrt{\frac{3}{2}} \mathbf{T}_{LL} & \frac{3}{2\sqrt{2}} \mathbf{S}_\perp e^{+i(\phi_h - \phi_S)} + \sqrt{3} \mathbf{T}_{L\perp} e^{+i(\phi_h - \phi_{T_L})} & \sqrt{\frac{3}{2}} \mathbf{T}_{\perp\perp} e^{+i(2\phi_h - 2\phi_{T_\perp})} \\ \frac{3}{2\sqrt{2}} \mathbf{S}_\perp e^{-i(\phi_h - \phi_S)} + \sqrt{3} \mathbf{T}_{L\perp} e^{-i(\phi_h - \phi_{T_L})} & 1 - \sqrt{6} \mathbf{T}_{LL} & \frac{3}{2\sqrt{2}} \mathbf{S}_\perp e^{i(\phi_h - \phi_S)} - \sqrt{3} \mathbf{T}_{L\perp} e^{i(\phi_h - \phi_{T_L})} \\ \sqrt{\frac{3}{2}} \mathbf{T}_{\perp\perp} e^{-i(2\phi_h - 2\phi_{T_\perp})} & \frac{3}{2\sqrt{2}} \mathbf{S}_\perp e^{-i(\phi_h - \phi_S)} - \sqrt{3} \mathbf{T}_{L\perp} e^{-i(\phi_h - \phi_{T_L})} & 1 + \frac{3}{2} \mathbf{S}_L + \sqrt{\frac{3}{2}} \mathbf{T}_{LL} \end{bmatrix}$$

Spin 1 SIDIS: General structure of cross section

- To obtain structure functions, enumerate all possible tensor structures that obey hermiticity and QED Ward identity
- Cross section has 41 structure functions,

$$\frac{d\sigma}{dx dQ^2 d\phi'} = \frac{y^2 \alpha^2}{Q^4 (1 - \epsilon)} (F_U + F_S + F_T) d\Gamma_{P_h},$$

- U + S part identical to spin 1/2 case [Bacchetta et al. JHEP ('07)]

$$F_U = F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1+\epsilon)} \cos \phi_h F_{UU}^{\cos \phi_h} + \epsilon \cos 2\phi_h F_{UU}^{\cos 2\phi_h} + h \sqrt{2\epsilon(1-\epsilon)} \sin \phi_h F_{LU}^{\sin \phi_h}$$

$$\begin{aligned} F_S = & \mathbf{S}_L \left[\sqrt{2\epsilon(1+\epsilon)} \sin \phi_h F_{US_L}^{\sin \phi_h} + \epsilon \sin 2\phi_h F_{US_L}^{\sin 2\phi_h} \right] \\ & + \mathbf{S}_L h \left[\sqrt{1-\epsilon^2} F_{LS_L} + \sqrt{2\epsilon(1-\epsilon)} \cos \phi_h F_{LS_L}^{\cos \phi_h} \right] \\ & + \mathbf{S}_\perp \left[\sin(\phi_h - \phi_S) \left(F_{UST,T}^{\sin(\phi_h - \phi_S)} + \epsilon F_{UST,L}^{\sin(\phi_h - \phi_S)} \right) + \epsilon \sin(\phi_h + \phi_S) F_{UST}^{\sin(\phi_h + \phi_S)} \right. \\ & \left. + \epsilon \sin(3\phi_h - \phi_S) F_{UST}^{\sin(3\phi_h - \phi_S)} + \sqrt{2\epsilon(1+\epsilon)} \left(\sin \phi_S F_{UST}^{\sin \phi_S} + \sin(2\phi_h - \phi_S) F_{UST}^{\sin(2\phi_h - \phi_S)} \right) \right] \\ & + \mathbf{S}_\perp h \left[\sqrt{1-\epsilon^2} \cos(\phi_h - \phi_S) F_{LST}^{\cos(\phi_h - \phi_S)} + \right. \\ & \left. \sqrt{2\epsilon(1-\epsilon)} \left(\cos \phi_S F_{LST}^{\cos \phi_S} + \cos(2\phi_h - \phi_S) F_{LST}^{\cos(2\phi_h - \phi_S)} \right) \right], \end{aligned}$$

Spin 1 SIDIS: General structure of cross section

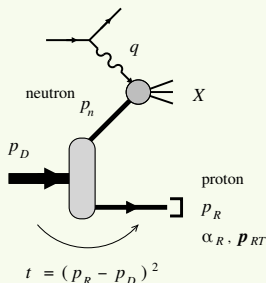
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- Cross section has 41 structure functions,

$$\frac{d\sigma}{dx dQ^2 d\phi_{l'}} = \frac{y^2 \alpha^2}{Q^4 (1 - \epsilon)} (F_U + F_S + F_T) d\Gamma_{P_h},$$

- **23 SF** unique to the spin 1 case (tensor pol.), 4 survive in inclusive (b_{1-4}) [Hoodbhoy, Jaffe, Manohar PLB'88]

$$\begin{aligned} F_T = & \mathbf{T}_{LL} \left[F_{UT_{LL},T} + \epsilon F_{UT_{LL},L} + \sqrt{2\epsilon(1+\epsilon)} \cos \phi_h F_{UT_{LL}}^{\cos \phi_h} + \epsilon \cos 2\phi_h F_{UT_{LL}}^{\cos 2\phi_h} \right] \\ & + \mathbf{T}_{LL} h \sqrt{2\epsilon(1-\epsilon)} \sin \phi_h F_{LT_{LL}}^{\sin \phi_h} \\ & + \mathbf{T}_{L\perp} [\dots] + \mathbf{T}_{L\perp} h [\dots] \\ & + \mathbf{T}_{\perp\perp} \left[\cos(2\phi_h - 2\phi_{T\perp}) \left(F_{UT_{TT},T}^{\cos(2\phi_h - 2\phi_{T\perp})} + \epsilon F_{UT_{TT},L}^{\cos(2\phi_h - 2\phi_{T\perp})} \right) \right. \\ & + \epsilon \cos 2\phi_{T\perp} F_{UT_{TT}}^{\cos 2\phi_{T\perp}} + \epsilon \cos(4\phi_h - 2\phi_{T\perp}) F_{UT_{TT}}^{\cos(4\phi_h - 2\phi_{T\perp})} \\ & \left. + \sqrt{2\epsilon(1+\epsilon)} \left(\cos(\phi_h - 2\phi_{T\perp}) F_{UT_{TT}}^{\cos(\phi_h - 2\phi_{T\perp})} + \cos(3\phi_h - 2\phi_{T\perp}) F_{UT_{TT}}^{\cos(3\phi_h - 2\phi_{T\perp})} \right) \right] \\ & + \mathbf{T}_{\perp\perp} h [\dots] \end{aligned}$$

Tagged DIS with deuteron: model for the IA



- Hadronic tensor can be written as a product of nucleon hadronic tensor with deuteron light-front densities

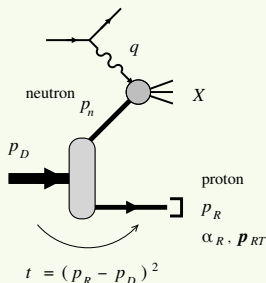
$$W_D^{\mu\nu}(\lambda', \lambda) = 4(2\pi)^3 \frac{\alpha_R}{2 - \alpha_R} \sum_{i=U,z,x,y} W_{N,i}^{\mu\nu} \rho_D^i(\lambda', \lambda),$$

- Nucleon hadronic tensor has standard (un)polarized contributions
 - ▶ Effective Bjorken $\tilde{x}$ depends on recoil momentum ($\alpha_R, \mathbf{p}_{R\perp}$)

$$W_{N,U}^{\mu\nu} = -F_{1N}(g^{\mu\nu} + e_q^\mu e_q^\nu) + F_{2N} \frac{L_n^\mu L_n^\nu}{(p_n q)} \quad W_{N,i}^{\mu\nu} = -i\epsilon^{\mu\nu\rho\sigma} \frac{m_N q_\rho}{(p_i q)} \left[s_{i,\sigma}(\mathbf{g}_{1N} + \mathbf{g}_{2N}) - \frac{(q s_i)}{(p_n q)} p_{n,\sigma} \mathbf{g}_{2N} \right]$$

- $\rho_D^U(\lambda', \lambda)$ related to distribution of **unpolarized** nucleons in the deuteron
- $\rho_D^Z(\lambda', \lambda)$ to **longitudinally** pol. nucleon distribution (deut. "helicity")
- $\rho_D^{x,y}(\lambda', \lambda)$ to **transversally** pol. nucleon distr. (deut. "transversity")

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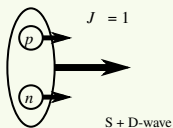
$$W_D^{\mu\nu}(\lambda', \lambda) = 4(2\pi)^3 \frac{\alpha_R}{2 - \alpha_R} \sum_{i=U,z,x,y} W_{N,i}^{\mu\nu} \rho_D^i(\lambda', \lambda),$$

Allows us to write all of the 41 conditional structure functions as a product of a factor dep. on nucleon SF and a D spectral function dep. on the polarization state. One example:

$$F_{UU}^{\cos 2\phi_h} = \frac{|\mathbf{p}_{R\perp}|}{(p_n q)} F_{2N}(x, \alpha_R, p_{R\perp}) \times [U(k)^2 + W(k)^2] \frac{(2\pi)^3 E_k}{\pi(2 - \alpha_R)^2}.$$

- In the IA the following structure functions are **zero** → sensitive to FSI
 - ▶ lepton polarized single-spin asymmetry [$F_{LU}^{\sin \phi_h}$]
 - ▶ target vector polarized single-spin asymmetry [8 SFs]
 - ▶ target tensor polarized double-spin asymmetry [7 SFs]

Deuteron light-front wave function

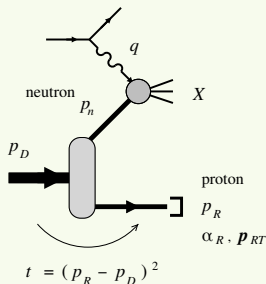


- Up to momenta of a few 100 MeV dominated by NN component
- Can be evaluated in LFQM [Coester,Keister,Polyzou et al.] or covariant Feynman diagrammatic way [Frankfurt,Sargsian,Strikman]
- One obtains a Schrödinger (non-rel) like eq. for the wave function components
- Light-front WF obeys baryon and momentum sum rule

$$\Psi_{\lambda}^D(\mathbf{k}_f, \lambda_1, \lambda_2) = \sqrt{E_{k_f}} \sum_{\lambda'_1 \lambda'_2} \mathcal{D}_{\lambda_1 \lambda'_1}^{\frac{1}{2}}[R_{fc}(k_{1f}^{\mu}/m_N)] \mathcal{D}_{\lambda_2 \lambda'_2}^{\frac{1}{2}}[R_{fc}(k_{2f}^{\mu}/m_N)] \Phi_{\lambda}^D(\mathbf{k}_f, \lambda'_1, \lambda'_2)$$

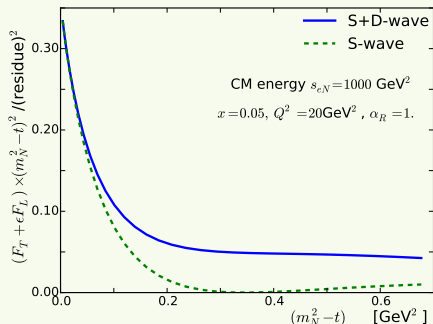
- Differences with non-rel wave function:
 - ▶ appearance of the **Melosh rotations** to account for light-front quantized nucleon states
 - ▶ 3-momentum $\mathbf{k}_f$ is the relative momentum of the nucleons in the light-front boosted rest-frame of the free 2-nucleon state (so not a “true” kinematical variable)

Pole extrapolation for on-shell nucleon structure



- Allows to extract free neutron structure in a **model independent** way
 - ▶ Recoil momentum p_R controls off-shellness of neutron $t - m_N^2$
 - ▶ Free neutron at pole $t - m_N^2 \rightarrow 0$: “on-shell extrapolation”
 - ▶ Small deuteron binding energy results in small extrapolation length
 - ▶ Eliminates nuclear binding and FSI effects [Sargsian, Strikman PLB '05]
- D-wave suppressed at on-shell point $\rightarrow$ neutron $\sim 100\%$ polarized
- Precise measurements of neutron structure at an EIC

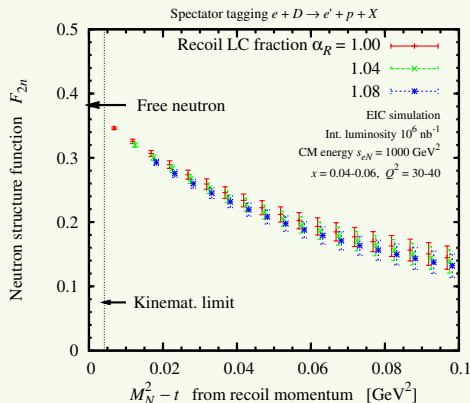
Unpolarized structure function



- Extrapolation for $(m_N^2 - t) \rightarrow 0$ corresponds to on-shell neutron $F_{2N}(x, Q^2)$
- Clear effect of deuteron D-wave, largest in the region dominated by the tensor part of the NN -interaction
- D-wave drops out at the on-shell point

Tagging: free neutron structure

Precise measurements of F_{2n}



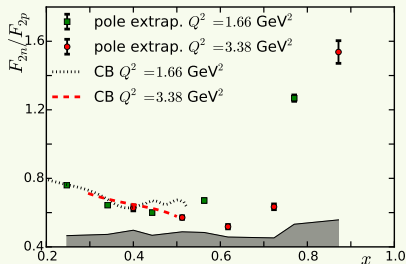
JLab LDRD arXiv:1407.3236, arXiv:1409.5768

- F_{2n} extracted with percent-level accuracy at $x < 0.1$
- Uncertainty mainly systematic (JLab LDRD project: detailed estimates)
- In combination with proton data non-singlet $F_{2p} - F_{2n}$, sea quark flavor asymmetry $\bar{d} - \bar{u}$

Tagging: extrapolation of BONuS data

- BONuS experiment @JLab (CLAS) measured tagged spectator unpolarized cross section down to momenta 70 MeV [Baillie et al., PRL108 '12; Tkachenko et al. PRC89 '14]
- **Normalization issue** with BONuS data: detector efficiency varied with p_s . Cross sections normalized to plane-wave model in backward spectator region
- Model which includes **nuclear FSI in the high x region** through effective eikonal rescattering amplitudes of produced X with spectator [WC, Sargsian PRC84 '11; WC, W. Melnitchouk, MS PRC89 '14]
- FSI parameters (Q^2, W dependent) constrained by data at higher spectator momenta [Deeps @JLab, Klimenko et al., PRC73 '06]
- Refitted BONuS normalization of the data to the FSI model for the highest Q^2, W bins ($\rightarrow x \leq 0.5$), where F_{2n} is well established.
- Introduces some model dependence in this particular analysis. **Not** an issue in collider kinematics: no target material, forward detection, extrapolation distance is smaller...

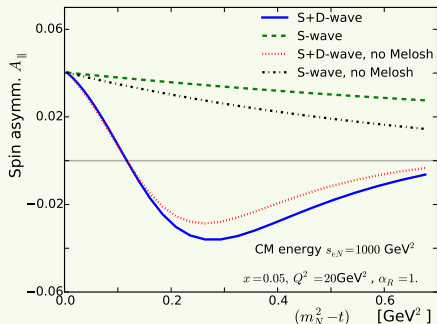
Use Bonus data: F_{2n}/F_{2p}



WC, M. Sargsian, PRC93 '16

- Robust results wrt deuteron wave function, fsi parameters, normalization of the data used in the extraction.
- Good agreement with Christy, Bosted parametrization at lower x values
- **Striking rise** of the ratio at high x , would mean large d/u ratio at high x but **sub-DIS Q^2**
- Ratio highest at largest Q^2 value ... Duality arguments??
- Sign of hard isosinglet quark-quark correlation, analogous to np pairing in nuclei? [imbalanced 2-component Fermi systems]

Polarized structure function

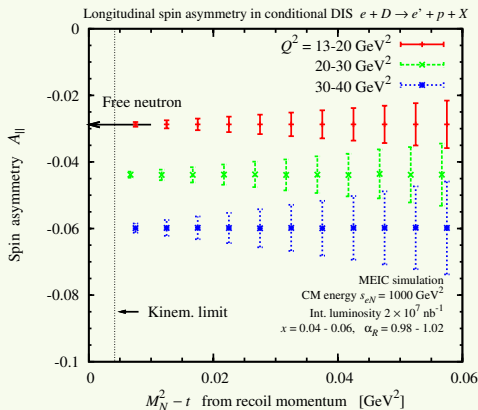


- Spin asymmetry $A_{\parallel} = \frac{\sigma(++) - \sigma(-+)}{\sigma(++) + \sigma(-+)} = \frac{F_{LS_L}}{F_T + \epsilon F_L} \propto \frac{g_{1n}}{F_{1n}}$
- Again clear contribution from D-wave at finite recoil momenta
- Relativistic nuclear effects through Melosh rotations, grow with recoil momenta
- Both effects drop out near the on-shell extrapolation point

Tagging: polarized neutron structure

On-shell extrapolation of double spin asym.

$$A_{||} = \frac{\sigma(++) - \sigma(--)}{\sigma(++) + \sigma(--)} = \frac{F_{LSL}}{F_T + \epsilon F_L} = D \frac{g_{1n}}{F_{1n}} + \dots$$



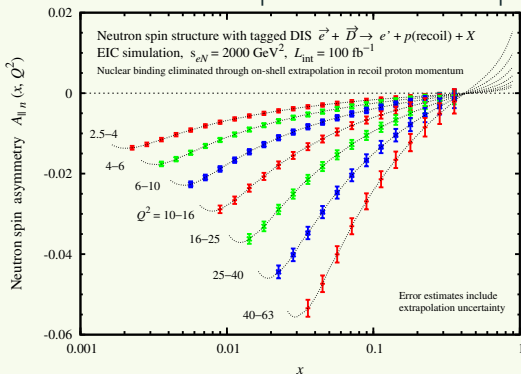
- Systematic uncertainties cancel in ratio (momentum smearing, resolution effects)

- Statistics requirements

- ▶ Physical asymmetries $\sim 0.05 - 0.1$
- ▶ Effective polarization $P_e P_D \sim 0.5$
- ▶ Luminosity required $\sim 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

Tagging: polarized neutron structure II

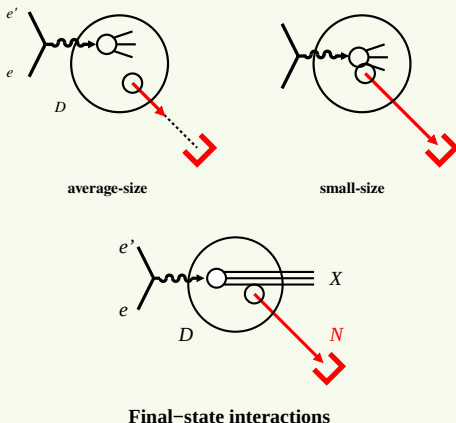
On-shell extrapolation of double spin asym. $A_{||} = D \frac{g_{1n}}{F_{1n}} + \dots$



- As depolarization factor $D = \frac{y(2-y)}{2-2y+y^2}$ and $y \approx \frac{Q^2}{xs_{eN}}$, wide range of s_{eN} required!

- Precise measurement of neutron spin structure
 - ▶ separate leading- /higher-twist
 - ▶ non-singlet/singlet QCD evolution
 - ▶ pdf flavor separation $\Delta u, \Delta d, \Delta G$ through singlet evolution
 - ▶ non-singlet $g_{1p} - g_{1n}$ and Bjorken sum rule

Tagging: EMC effect



■ Medium modification of nucleon structure embedded in nucleus (EMC effect)

- ▶ dynamical origin?
- ▶ caused by which momenta/distances in nuclear WF
- ▶ spin-isospin dependence?

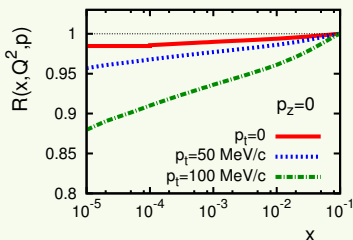
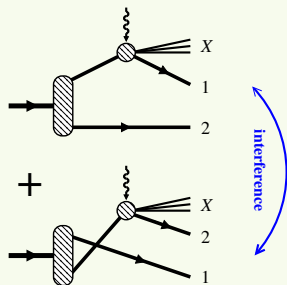
■ tagged EMC effect

- ▶ recoil momentum as extra handle on medium modification (off-shellness, size of nuclear configuration) away from the on-shell pole
- ▶ EIC: Q^2 evolution, gluons, spin dependence!

■ Interplay with final-state interactions!

- ▶ use $\tilde{x} = 0.2$ to constrain FSI
- ▶ constrain medium modification at higher $\tilde{x}$

Tagging: Coherence and shadowing at small x



■ Shadowing in inclusive DIS $x \ll 10^{-1}$

- ▶ Diffractive DIS on single nucleon (leading twist, HERA)
- ▶ Interference of DIS on nucleon 1 and 2
- ▶ Calculable in terms of nucleon diffractive structure functions [Gribov 70s, Frankfurt, Guzey, Strikman '02+]

■ Shadowing in tagged DIS

- ▶ Explore shadowing through recoil momentum dependence [Guzey, Strikman, Weiss; in progress]
- ▶ Reveal nuclear momentum components building up coherent fields at small x
- ▶ Study coherence in $A = 2$, complementary to $A \gg 1$
- ▶ Quantify approach to saturation at small x

■ Coherent scattering $e + D \rightarrow e + M + D$ Exclusive meson production, DVCS, nuclear GPDs

Tagging: developments and extensions

- Final-state interactions in tagged $e + D$
 - ▶ distorts recoil momentum dependence away from the on-shell pole $t \neq m_N^2$
 - ▶ broad momentum distribution, interactions of spectator with slow debris [Cosyn, Sargsian, Strikman, Weiss; in progress. Ciofi, Kopeliovich 02]
 - ▶ maximized/minimized by choice of kinematics. Constrain FSI models.
 - ▶ azimuthal and spin observables non-zero through FSI
- Tagging with complex nuclei $A > 2$
 - ▶ isospin dependence, universality of bound nucleon structure
 - ▶ $A - 1$ ground state recoil
- Resolved final states: SIDIS on neutron, hard exclusive channels

Conclusion

- General form of SIDIS with a spin 1 target, 23 tensor polarized structure functions unique to spin 1
- Results for the impulse approximation using deuteron light-front structure
- Important contributions from deuteron D -wave, Melosh rotations at larger spectator momenta. Become small if one does pole extrapolation of observables.
- Recoil momentum dependence permits separation of nuclear and nucleon structure
- Spectator tagging in eD scattering with EIC enables next-generation measurements with maximal control and unprecedented accuracy
 - Neutron structure functions, including spin
 - Nuclear modifications of quark/gluon structure
 - Coherence and shadowing
- Pole extrapolation of BONuS data shows striking rise of F_{2n}/F_{2p} at high x

- Develop simulation tools (physics models, event generators, analysis tools) for DIS on light ions with spectator tagging at MEIC and study physics impact.
- ran FY14-15
D. Higinbotham, W. Melnitchouk, P. Nadel-Turonski, K. Park, C. Weiss (JLab), Ch. Hyde (ODU), M. Sargsian (FIU), V. Guzey (PNPI), with collaborators W. Cosyn (Ghent), S. Kuhn (ODU), M. Strikman (PSU), Zh. Zhao (JLab)
- **Tools, documentation, results publicly available. Open for collaboration!**
- More info:
<https://www.jlab.org/theory/tag/>
arXiv:1407.3236, arXiv:1409.5768v1, arXiv:1601.066665