

The Deep Underground Neutrino Experiment - DUNE

Mark Thomson

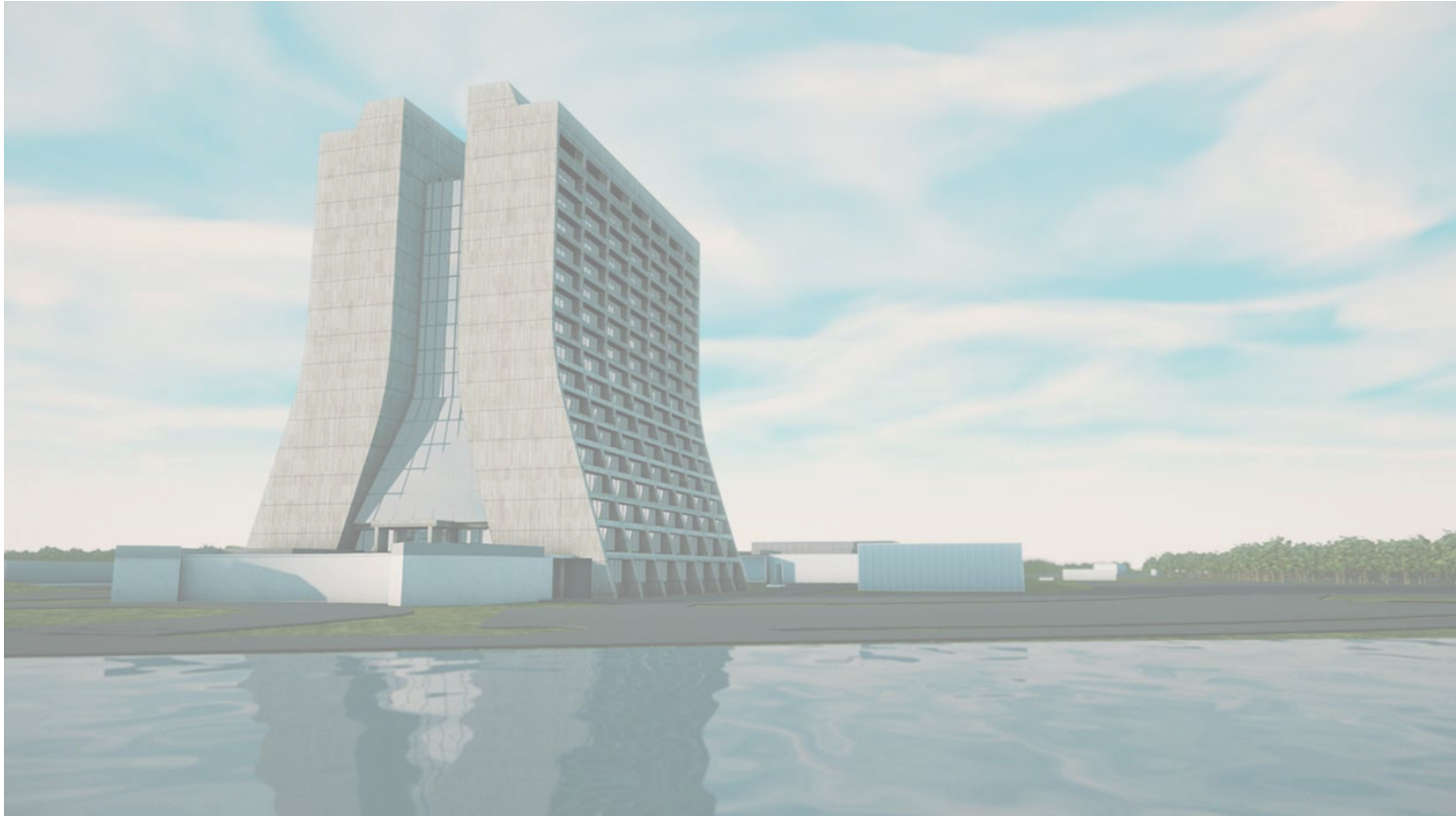
University of Cambridge & DUNE co-spokesperson

Nikhef Seminar, 10th November 2017

This talk

- **1. Introduction** (2 slides + animation)
- **2. CP Violation** (3 slides)
 - **The neutrino perspective**
- **3. Neutrinos are different** (6 slides)
 - **Why do many people find neutrinos so interesting?**
- **4. DUNE** (10 slides)
 - **the accelerator/infrastructure (LBNF) and the detectors (DUNE)**
- **5. DUNE Science** (8 slides)
 - **what we will measure and why it matters**
- **6. Realizing DUNE** (3 slides)
 - **Status and international context**
- **7. Summary** (1 slide)

1. Introduction: What is DUNE?



1. Introduction: What is DUNE?

- **The Deep Underground Neutrino Experiment (DUNE):**
 - is likely to be the next big global project in particle physics
 - aims to “**do for neutrinos what the LHC did for the Higgs**”
 - potential for major discoveries in: neutrinos and astro-particle physics

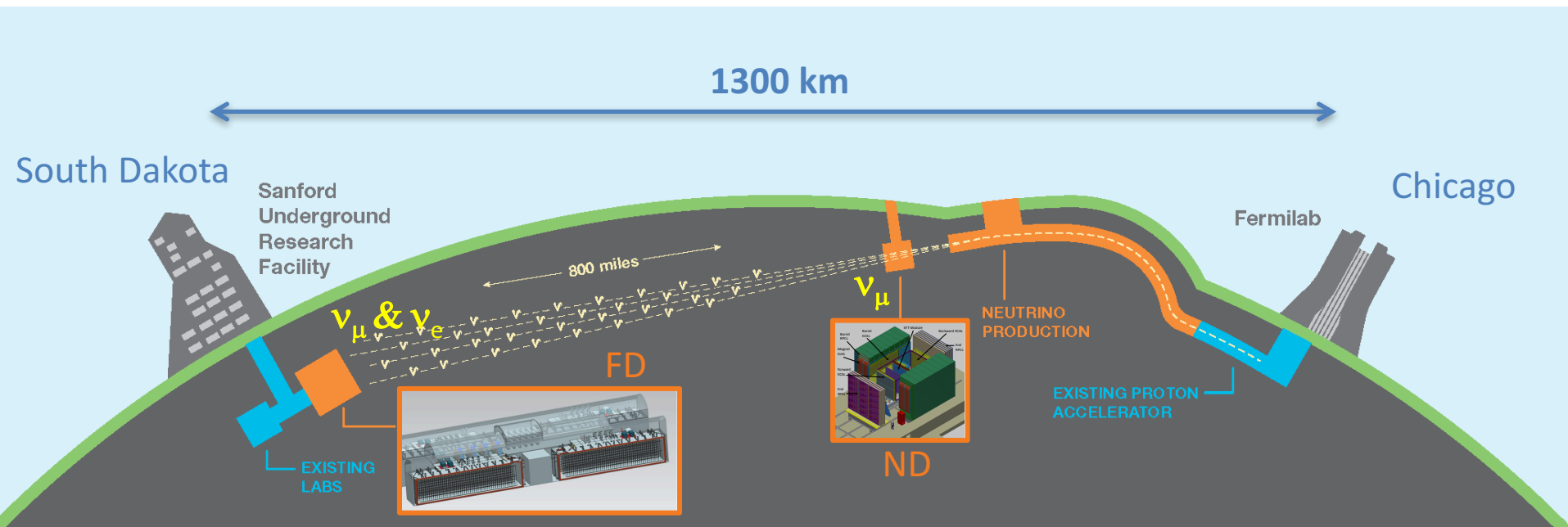
1. Introduction: What is DUNE?

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 - is likely to be the next big global project in particle physics
 - aims to “do for neutrinos what the LHC did for the Higgs”
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- **There are two connected projects: LBNF / DUNE**
 - The **long baseline neutrino facility (LBNF)** is the US-hosted neutrino beam and conventional facilities
 - “the LHC for neutrinos”
 - The **deep underground neutrino experiment (DUNE)** is the **international** scientific collaboration: builds the **detectors**
 - “the ATLAS/CMS for neutrinos”

1. Introduction: What is DUNE?

- **DUNE will consist of**
 - A powerful (**MW**) neutrino beam fired from Fermilab
 - A massive (**70,000 t**) underground detector in the Homestake mine, South Dakota
 - A near detector at Fermilab
 - A large **international** collaboration



LBNF/DUNE – Fermilab in 2026



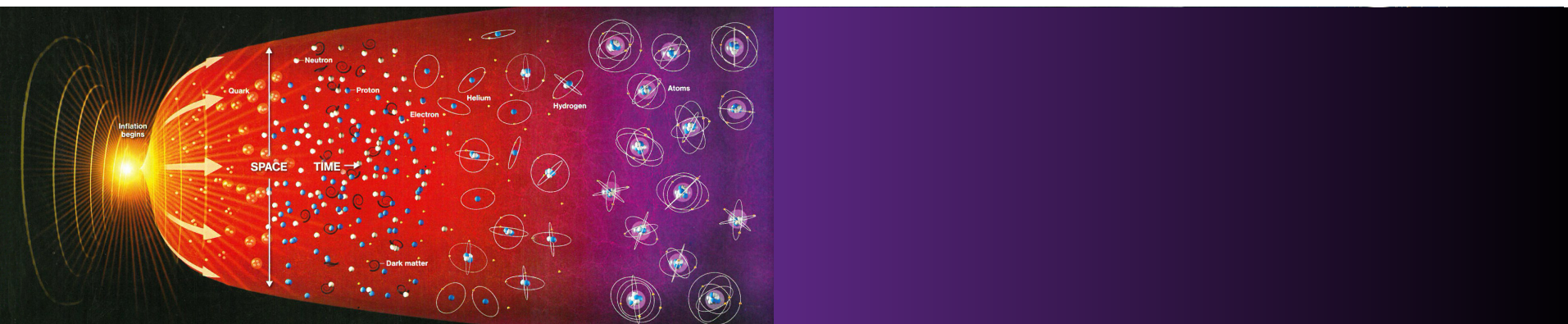
2. CP Violation and ν Oscillations



CP Violation

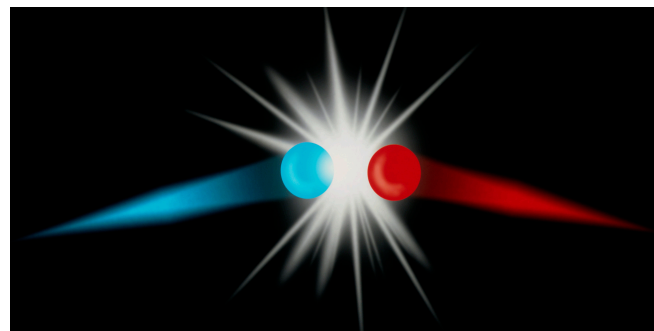
CPV remains an open question in science

- The matter-antimatter asymmetry in the Universe



- **Big Bang: matter & antimatter created in equal amounts**
 - As Universe cools down matter and antimatter then annihilate
 - All things being equal, no matter/antimatter remains, just light

e^-

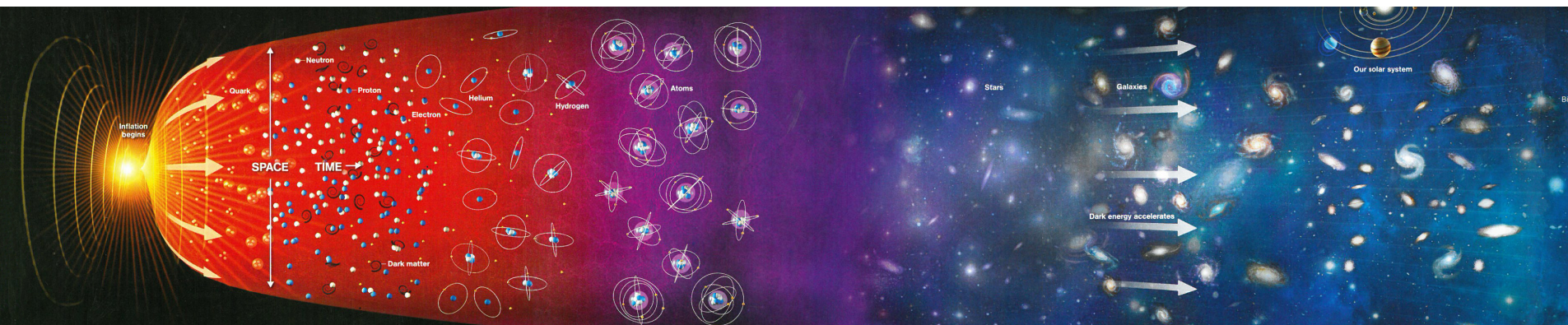


e^+

CP Violation

CPV remains an open question in science

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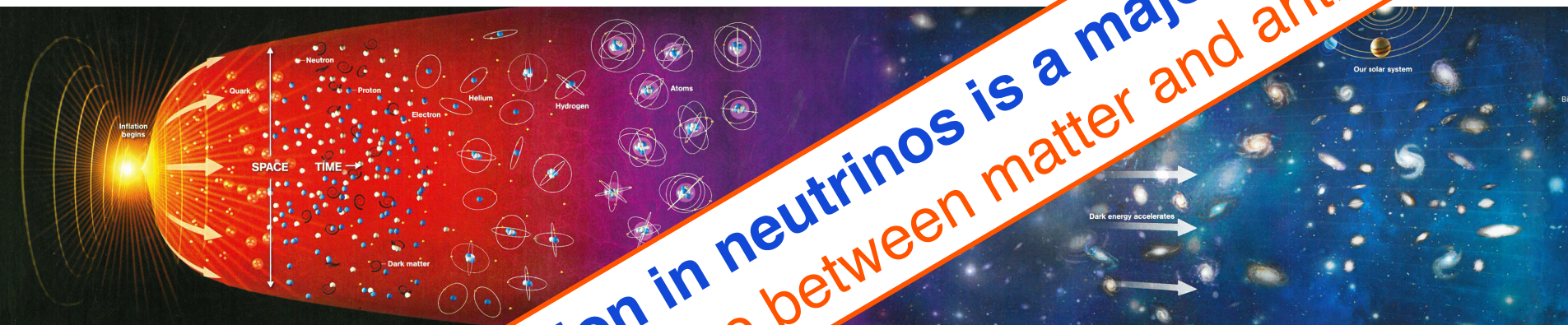


- **Big Bang: matter & antimatter created in equal amounts**
 - As Universe cools down matter and antimatter then annihilate
 - All things being equal, no matter/antimatter remains, just light
 - **This is not what happened – there is matter left in the Universe**
 - ➔ Fundamental difference between matter and antimatter
 - ➔ CP symmetry violation (**CPV**)
 - Neutrinos: key to a good bet* for how this happened “leptogenesis”

CP Violation

CPV remains an open question in science

- The matter-antimatter asymmetry in the Universe



**Observing CP violation in neutrinos is a major goal*:
a fundamental difference between matter and antimatter**

- **Big Bang: matter and antimatter created in equal amounts**
 - As Universe expands, matter and antimatter then annihilate
 - All the energy, no matter/antimatter remains, just light
 - **Something happened – there is matter left in the Universe**
- **CP symmetry violation (CPV)**
- **Neutrinos: key to a good bet* for how this happened “leptogenesis”**

*not proof, need to connect low-scale ν CPV physics to the high-scale **N** CPV physics

The 2012 Revolution

★ Two major discoveries in particle physics

- A SM-like Higgs boson (ATLAS, CMS)
 - The key to EWSB and a possible window to the BSM world
- $\theta_{13} \sim 10^\circ$ (T2K, MINOS, Daya Bay, RENO)
 - about as large as it could have been !
 - The door to CP Violation in the leptonic sector

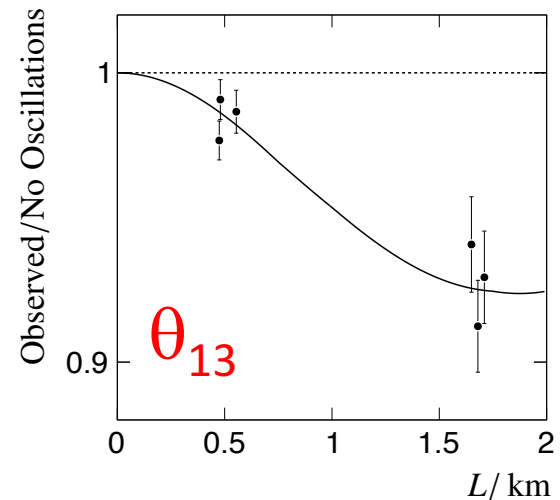
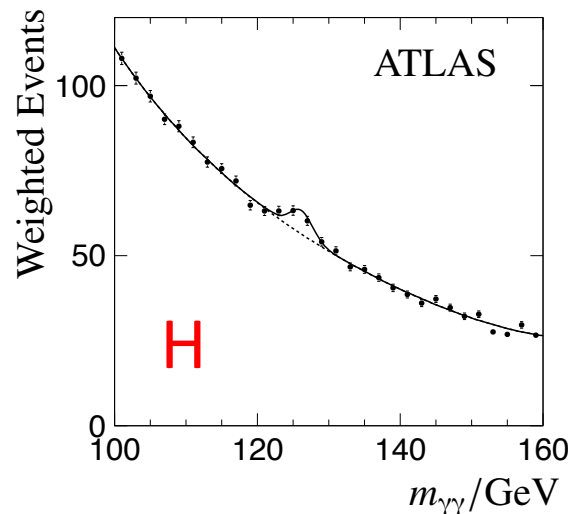
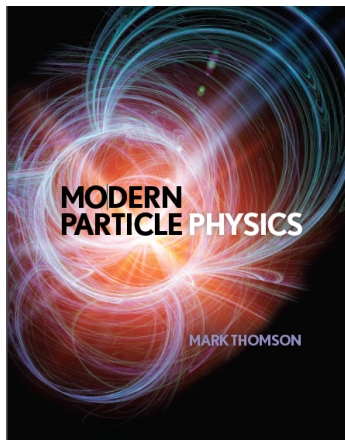
The 2012 Revolution

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- A SM-like Higgs boson (ATLAS, CMS)
 - The key to EWSB and a possible window to the BSM world
- $\theta_{13} \sim 10^\circ$: determines rate of $P(\nu_\mu \rightarrow \nu_e)$
 - about as large as it could have been !
 - The door to CP Violation in neutrino oscillations

★ Now standard textbook physics*

- also defines the next steps: \Rightarrow DUNE & HyperK



The 2012 Revolution

★ Two major discoveries in particle physics

- A SM-like Higgs boson (ATLAS, CMS)
 - The key to EWSB and a possible window to new physics
- $\theta_{13} \sim 10^\circ$: determines rate of $\nu_e \rightarrow \nu_\mu$ oscillations
 - about as large as it could be
 - The door to CP violation

★ Now standard textbooks

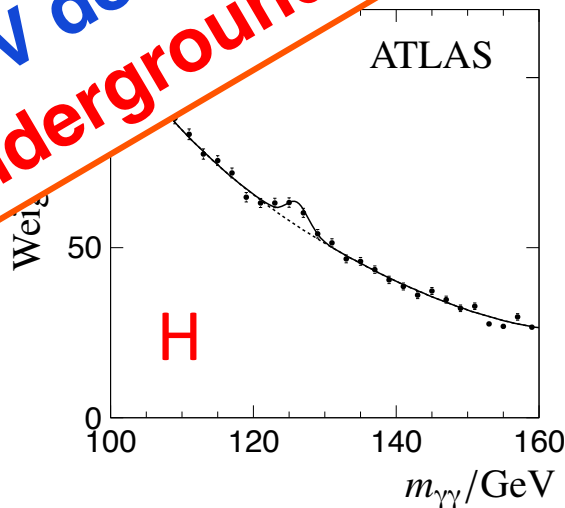
- also define

Large θ_{13} → CPV detectable with conventional ν beam

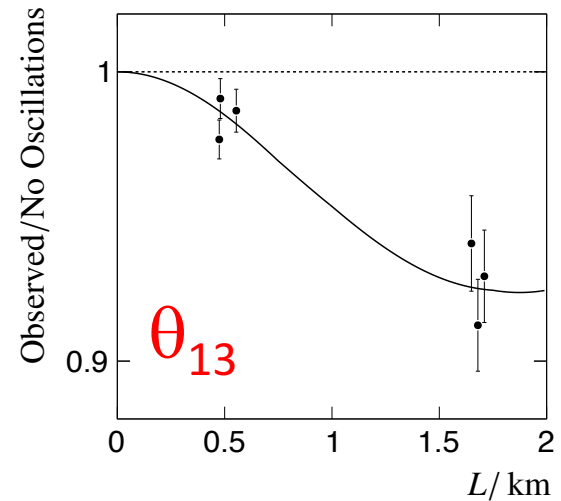
→ Deep Underground Neutrino Experiment



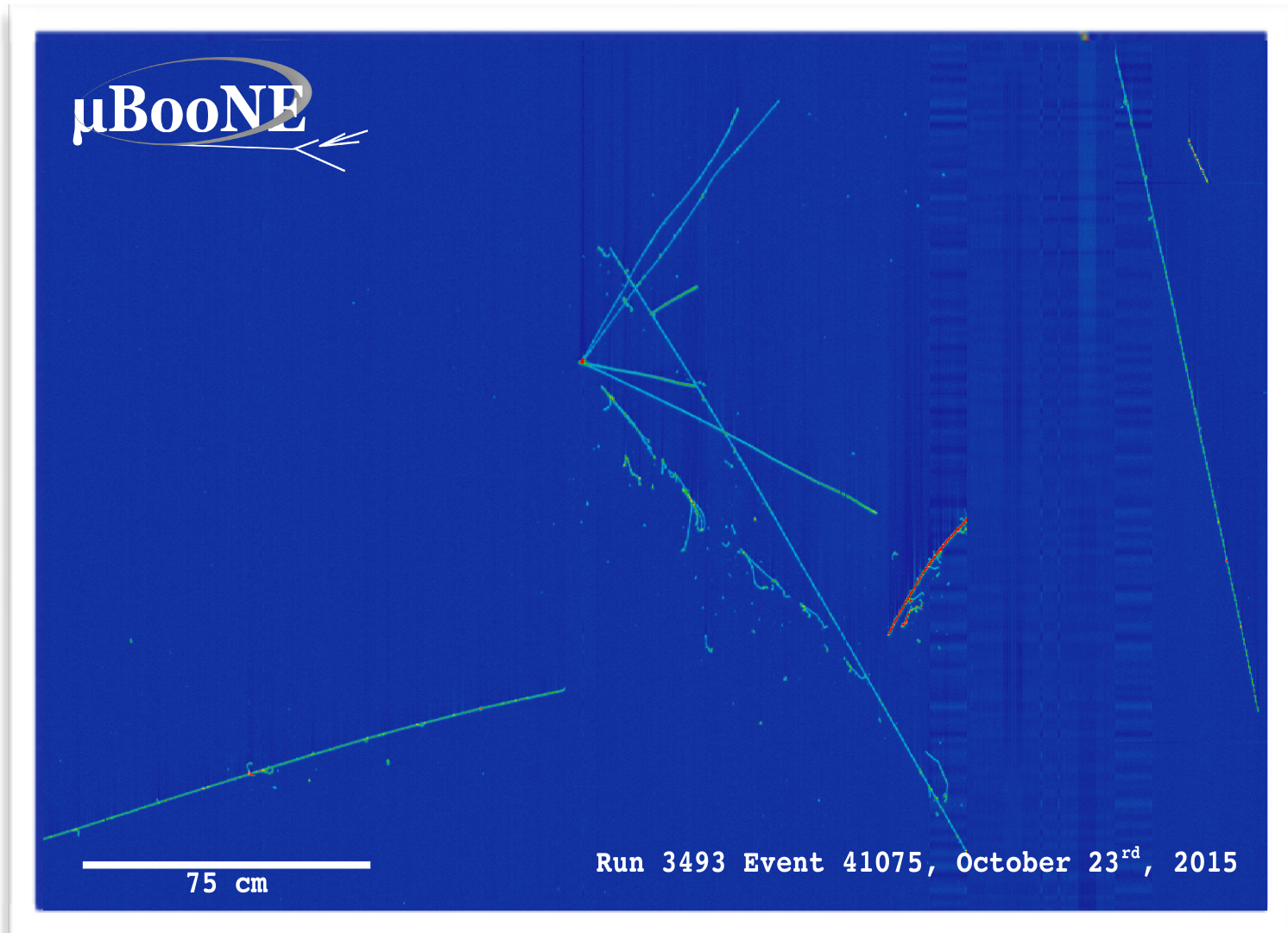
MARK THOMSON



DUNE

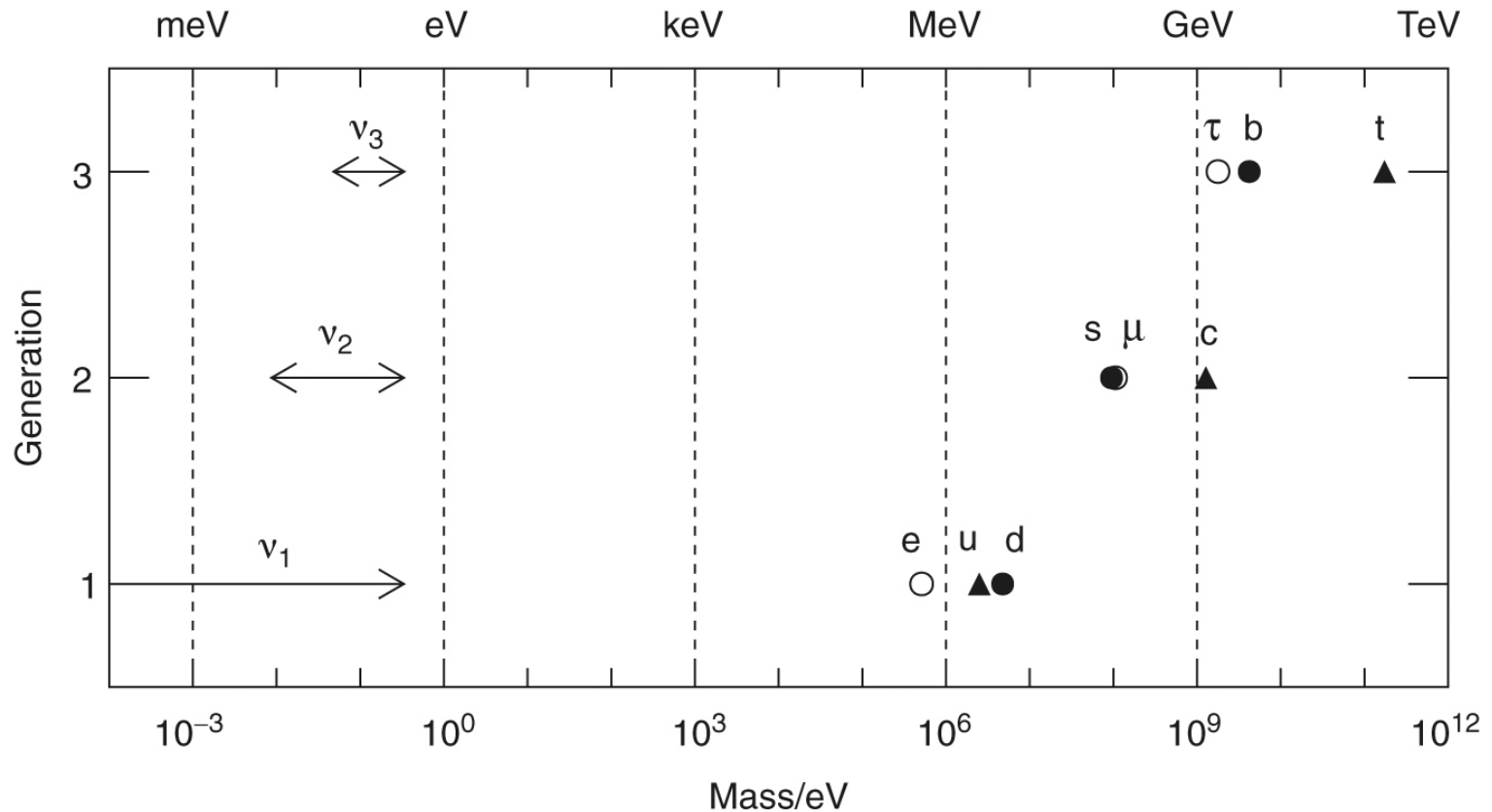


3. Neutrinos are different



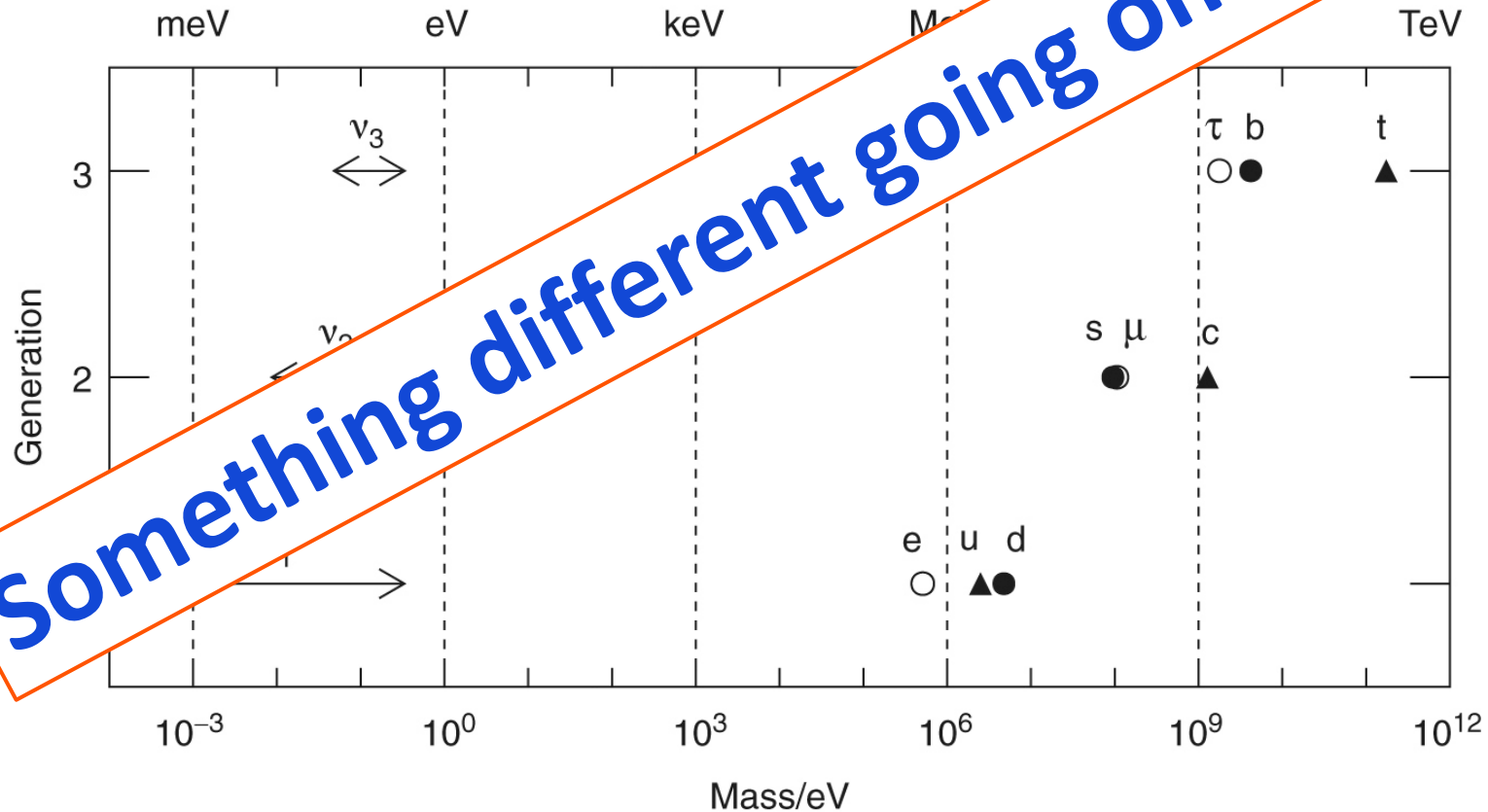
Neutrinos are different

- For example, they are almost (but not quite) massless
 - (at least) nine orders of magnitude lighter than those of the other matter particles



Neutrinos are different

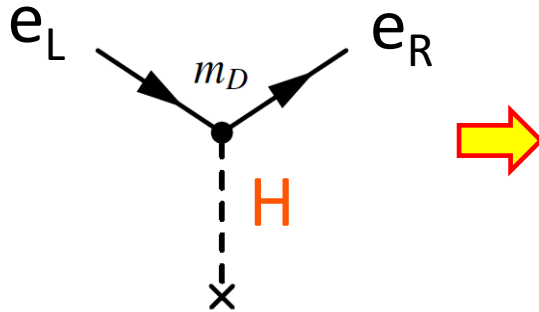
- For example, they are almost (but not quite) massless
 - (at least) nine orders of magnitude lighter than most of the other matter particles



a connection to new physics...

★ Neutrino masses are anomalously small

- Particle masses “generated” by the Higgs mechanism



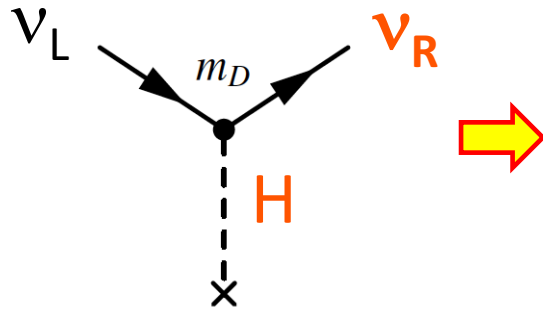
Dirac mass terms, Higgs coupling together
L- and R-handed chiral fermionic fields

$$\frac{Y_f}{\sqrt{2}} v (\bar{f}_L f_R + \bar{f}_R f_L)$$

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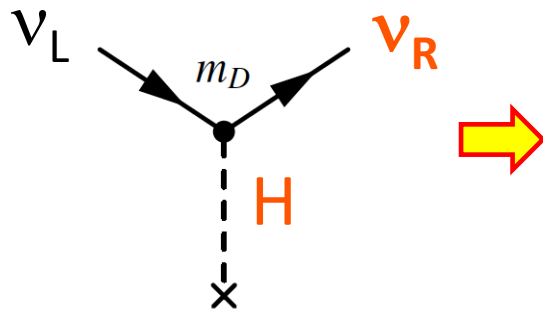
- This also could be the origin of neutrino masses

⇒ Existence of RH neutrino – a rather minimal extension to the SM?

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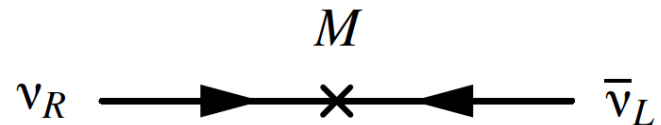


Dirac mass terms, Higgs coupling together L- and R-handed chiral fermionic fields

$$\frac{Y_f}{\sqrt{2}} v (\bar{f}_L f_R + \bar{f}_R f_L)$$

- This also could be the origin of neutrino masses
 - ⇒ Existence of RH neutrino – a rather minimal extension to the SM?
- But a RH neutrino is a gauge singlet – *feels none of SM forces*
 - ⇒ Can now add “by hand” a new Majorana mass term to the SM Lagrangian, involving only the RH field (and conjugate)

$$\sim M \overline{\nu_R^c} \nu_R$$

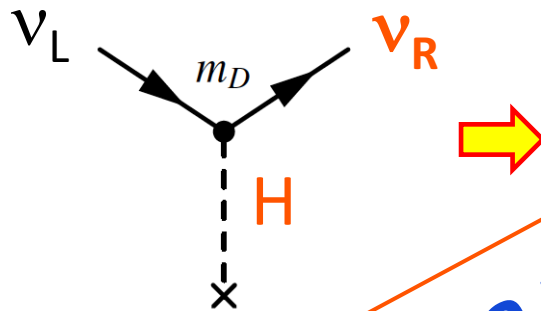


This additional freedom might explain why neutrino masses are “different”

a connection to new physics...

★ Neutrino masses are anomalously small

- Particle masses “generated” by the Higgs mechanism



Dirac mass term
 L- and R- fields
 $(\bar{\nu}_L + \bar{\nu}_R) f_L$

- This also could explain the smallness of neutrino masses



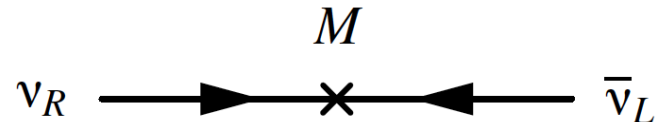
Existence of right-handed neutrinos: rather minimal extension to the SM?

- But right-handed neutrinos are gauge singlet – *feels none of SM forces*

Neutrinos could be a fundamentally different type of particle

“by hand” a new Majorana mass term to the Lagrangian, involving only the RH field (and conjugate)

$$\sim M \bar{\nu}_R^c \nu_R$$

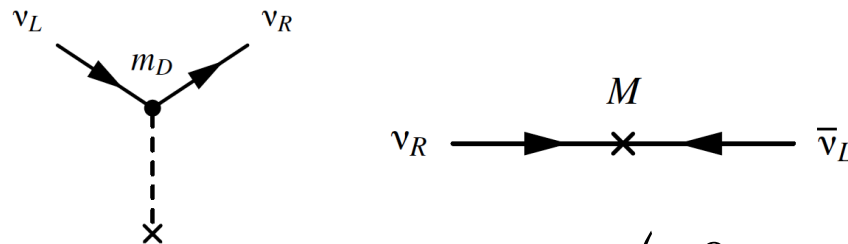


This additional freedom might explain why neutrino masses are “different”

a connection to the GUT scale?

★ Is there a connection to the GUT scale?

- If both Dirac and Majorana mass terms are present



(nothing to prevent this)
+ implies Lepton # violation

➔
$$\mathcal{L} \sim -\frac{1}{2} \begin{pmatrix} \bar{\nu}_L & \bar{\nu}_R^c \end{pmatrix} \begin{pmatrix} 0 & m_D \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$$

- The **seesaw** mechanism: the physical “mass eigenstates” are those in the basis where the mass matrix is diagonal

➔ Light LH neutrino $m_\nu \approx \frac{m_D^2}{M}$ + heavy RH neutrino $m_N \approx M$

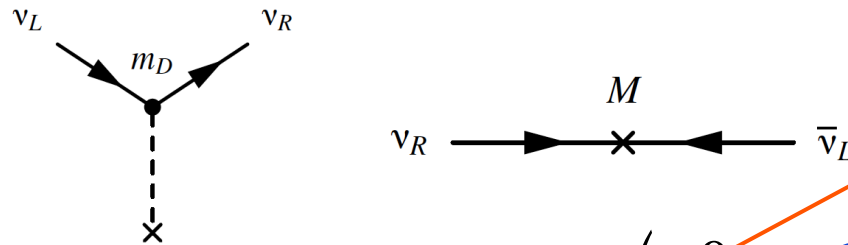
- With $m_D \sim m_\ell$ to get to right range of small neutrino masses:

$$M \sim 10^{12} - 10^{16} \text{ GeV}$$

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- If both Dirac and Majorana mass terms are present



(not relevant this)
lepton # violation

→
$$\mathcal{L} \sim -\frac{1}{2} \begin{pmatrix} \bar{\nu}_L & \bar{\nu}_R^c \end{pmatrix} \begin{pmatrix} m_D & 0 \\ 0 & M \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

- The seesaw mechanism: physical “mass eigenstates” are those in the basis where the mass matrix is diagonal

→
$$\text{light neutrino } m_\nu \approx \frac{m_D^2}{M} + \text{heavy RH neutrino } m_N \approx M$$

with $m_D \sim m_\ell$ to get to right range of small neutrino masses:

$$M \sim 10^{12} - 10^{16} \text{ GeV}$$

Neutrinos could provide a link to GUT-scale physics

Knowns and Unknowns

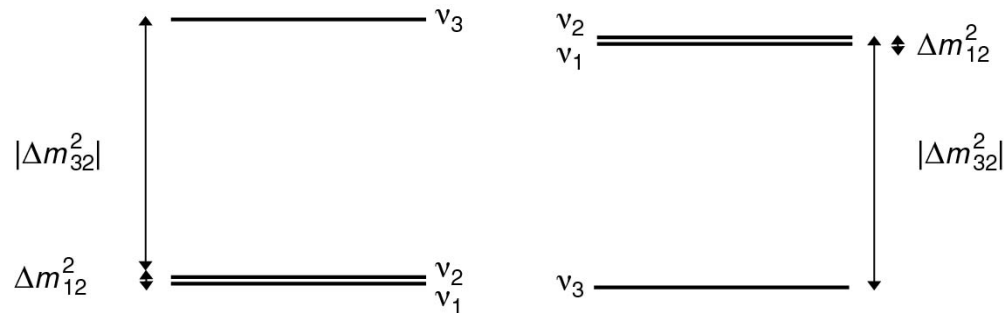
- [Standard] neutrino oscillations described by 6 parameters:

- 3 Euler angles
- 1 Complex phase
- 2 mass-squared differences

$$\{\Delta m_{21}^2, |\Delta m_{32}^2|, \theta_{12}, \theta_{13}, \theta_{23}, \delta_{\text{CP}}, \text{MH} = \text{sign}(\Delta m_{32}^2)\}$$



Mass Hierarchy
not yet known



δ_{CP} not known
 $\nu\text{CPV?}$

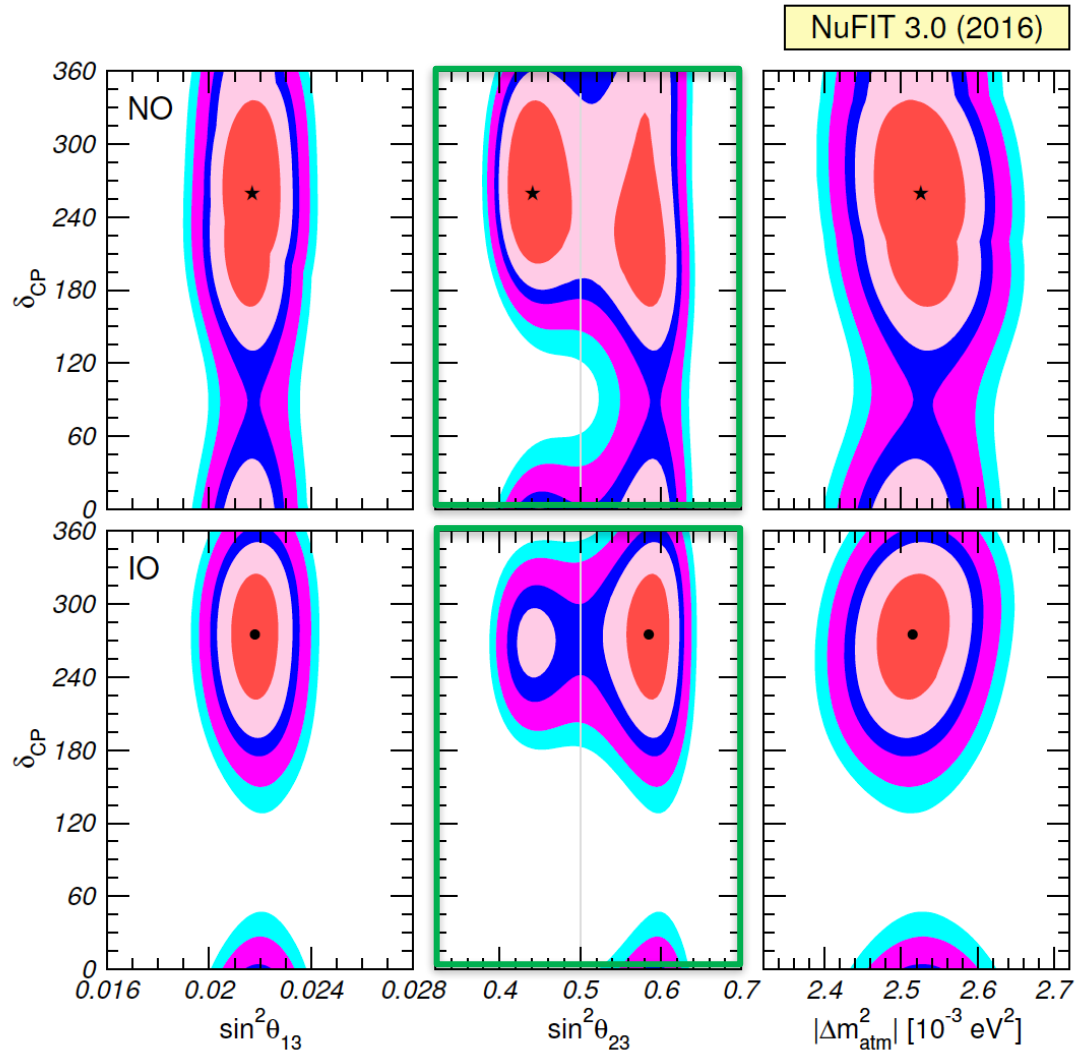
- CP violation and mass hierarchy are major goals

- Also want to test the 3-flavour neutrino Standard Model

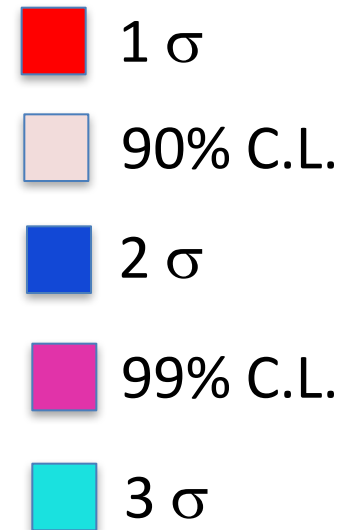
CP and MH : Combined knowledge

NH

IH



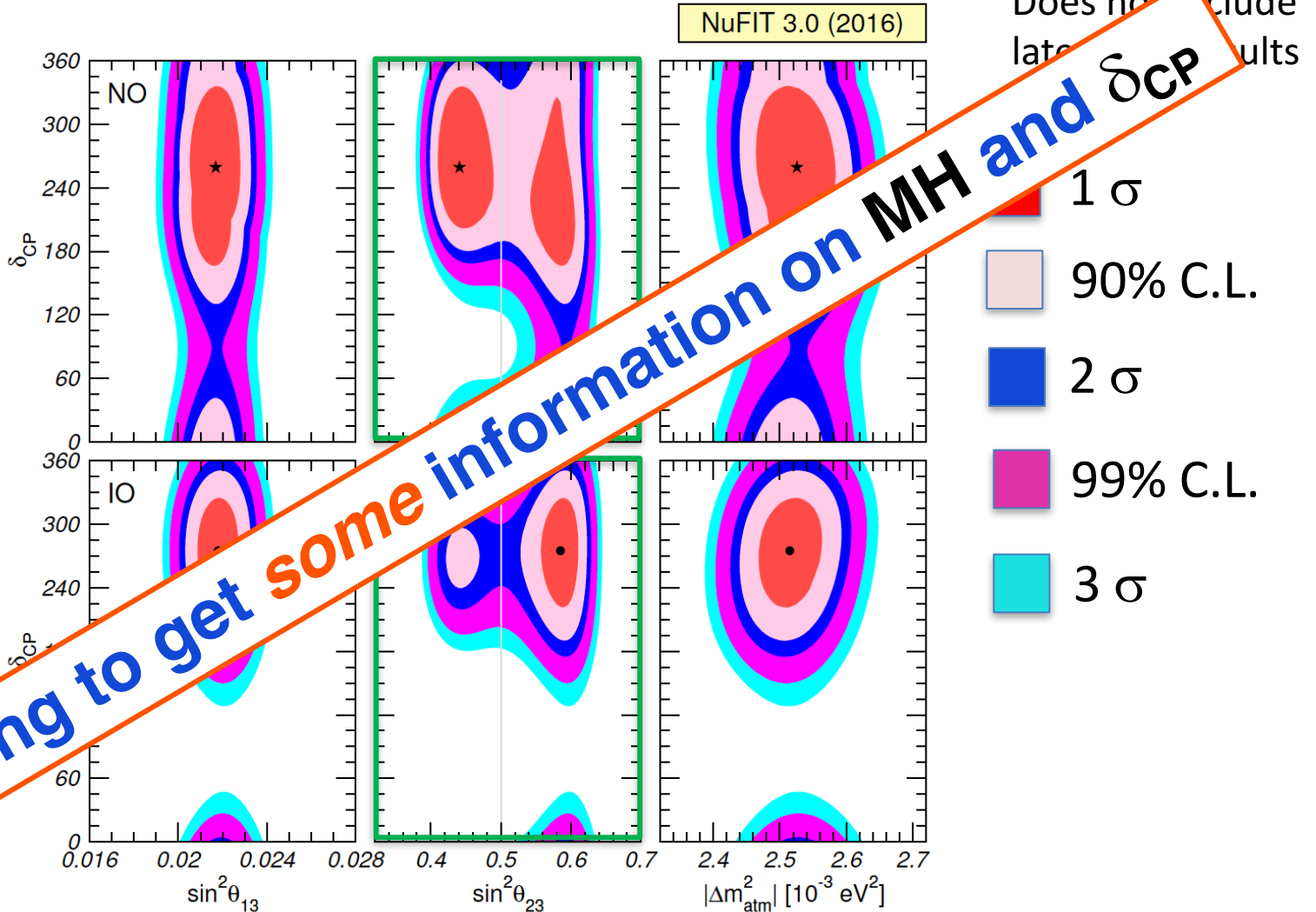
Does not include latest T2K results



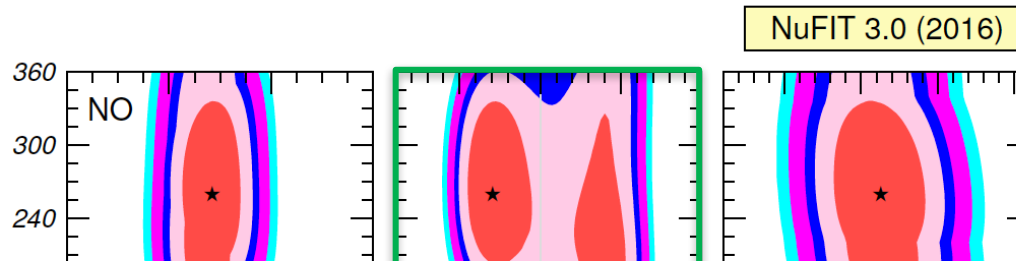
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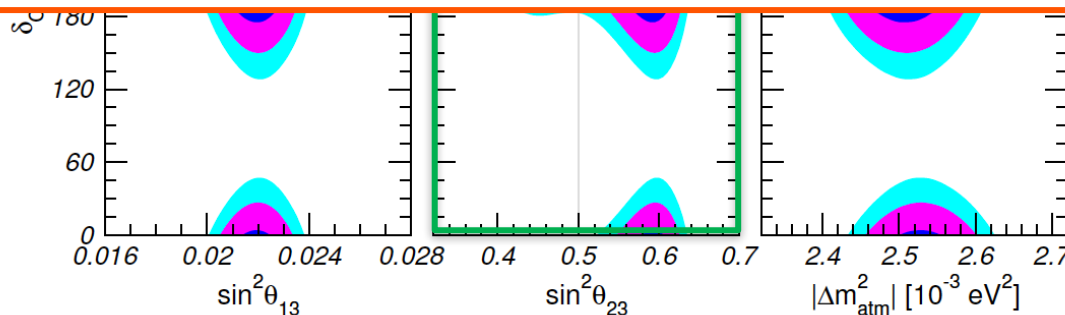
CP and MH : Combined knowledge



Does not include
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DUNE will:

- determine Mass Hierarchy
- discover/measure CP violation
- precisely measure oscillation parameters
- test current “standard” 3-flavour ν paradigm



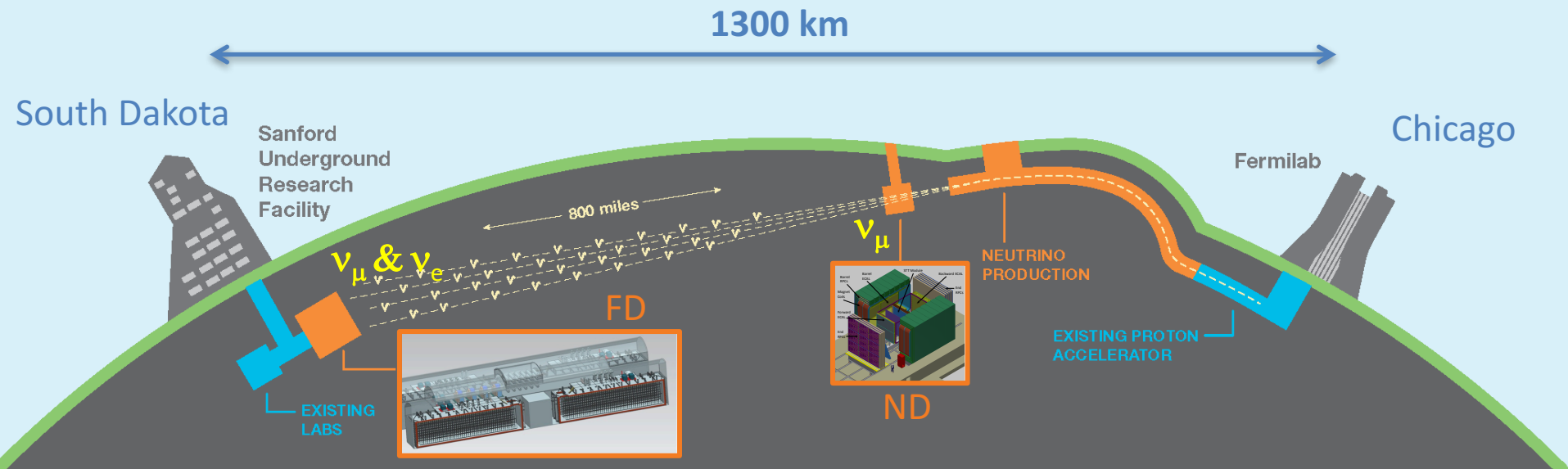
4. The Deep Underground Neutrino Experiment



DUNE in a Nutshell

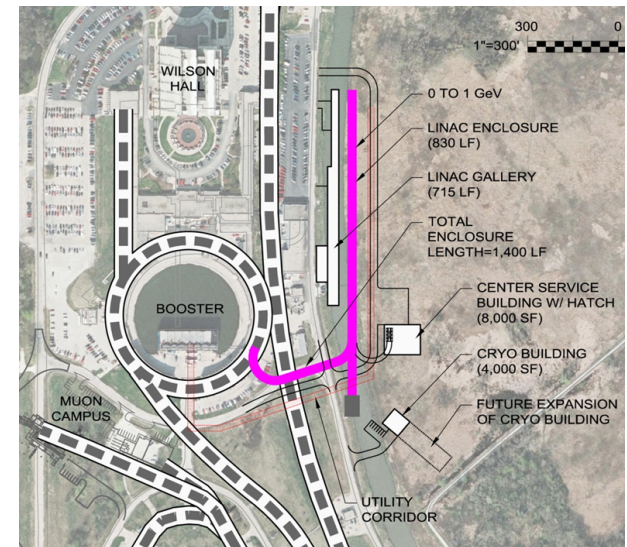
★ LBNF/DUNE

- Muon neutrinos/antineutrinos from high-power proton beam
 - **1.2 MW** from day one (upgradeable)
- Large underground **Liquid Argon Time Projection Chamber**
 - **4 x 17 kton** → fiducial (useable) mass of **>40 kton**
- Near detector to characterize the beam



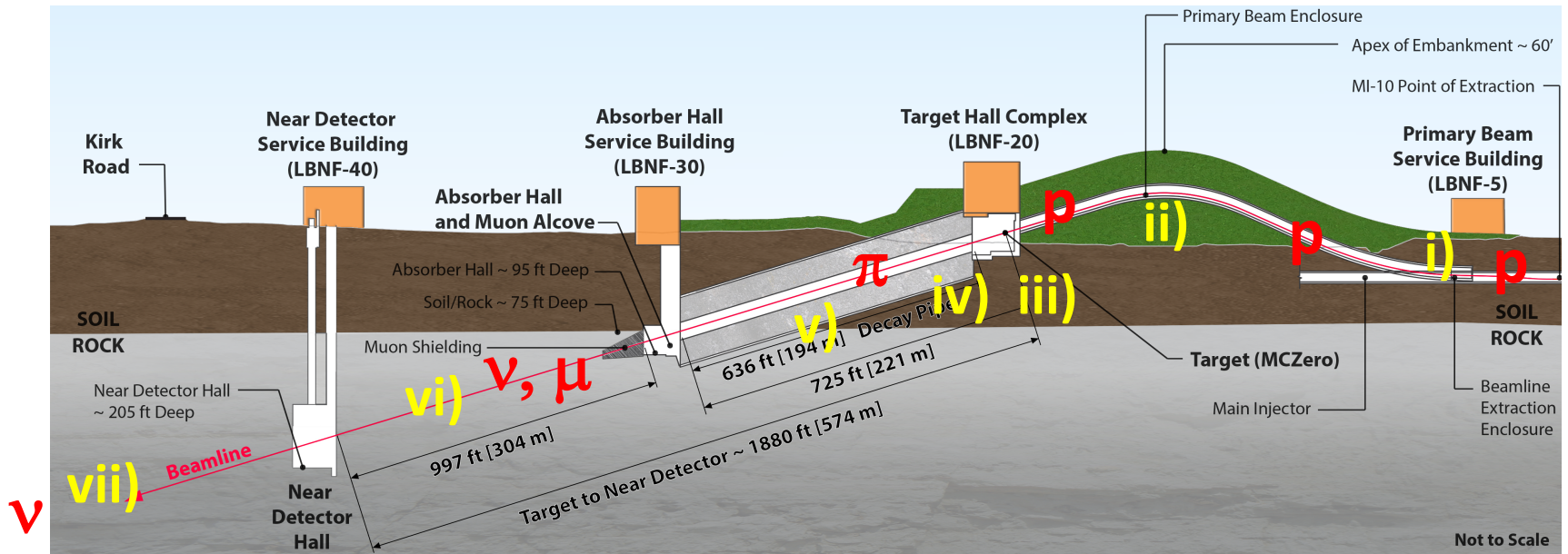
4.1 LBNF and PIP-II

- ★ In beam-based long-baseline neutrino physics:
 - beam power drives the sensitivity
- ★ LBNF: the world's most intense high-energy ν beam
 - **1.2 MW from day one**
 - NuMI (MINOS) <400 kW
 - NuMI (NOVA) 700 kW
 - **upgradable to 2.4 MW**
- ★ **Requires PIP-II** (proton-improvement plan)
 - **\$0.5B** upgrade of FNAL accelerator infrastructure
 - Replace existing 400 MeV LINAC with 800 MeV SC LINAC



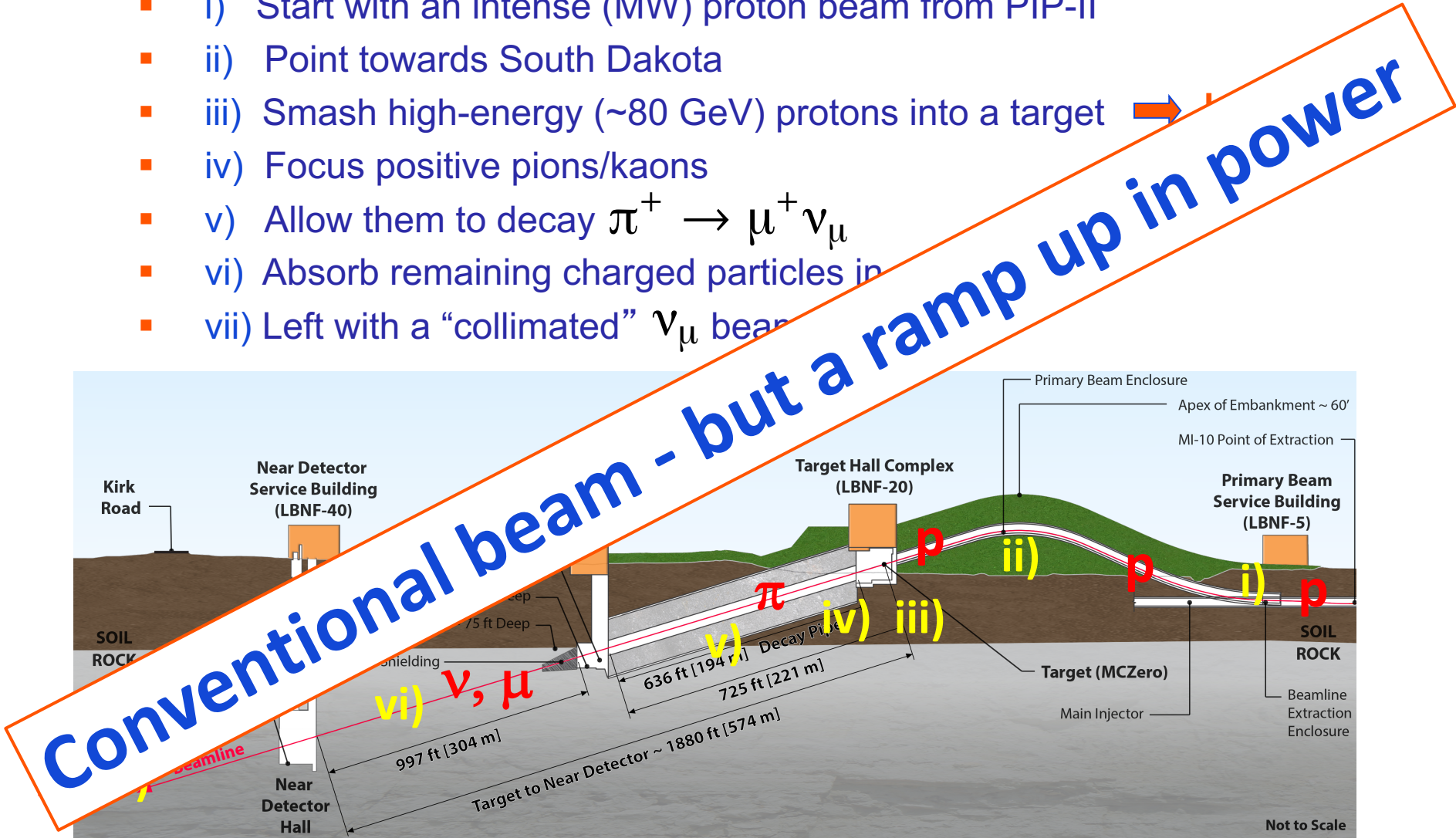
The LBNF Neutrino Beam

- i) Start with an intense (MW) proton beam from PIP-II
- ii) Point towards South Dakota
- iii) Smash high-energy (~ 80 GeV) protons into a target \rightarrow hadrons
- iv) Focus positive pions/kaons
- v) Allow them to decay $\pi^+ \rightarrow \mu^+ \nu_\mu$
- vi) Absorb remaining charged particles in rock
- vii) Left with a “collimated” ν_μ beam



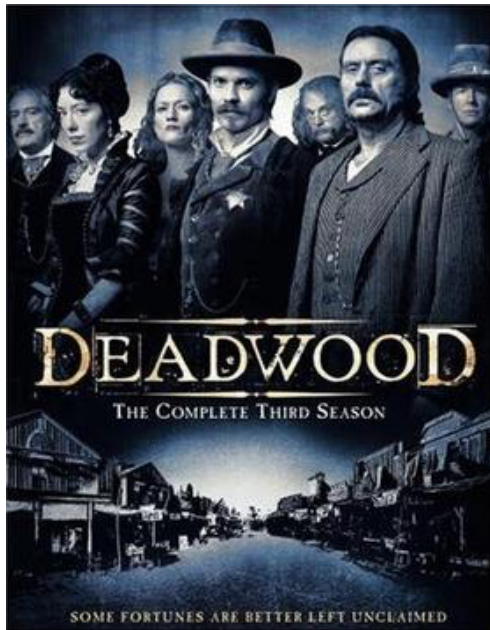
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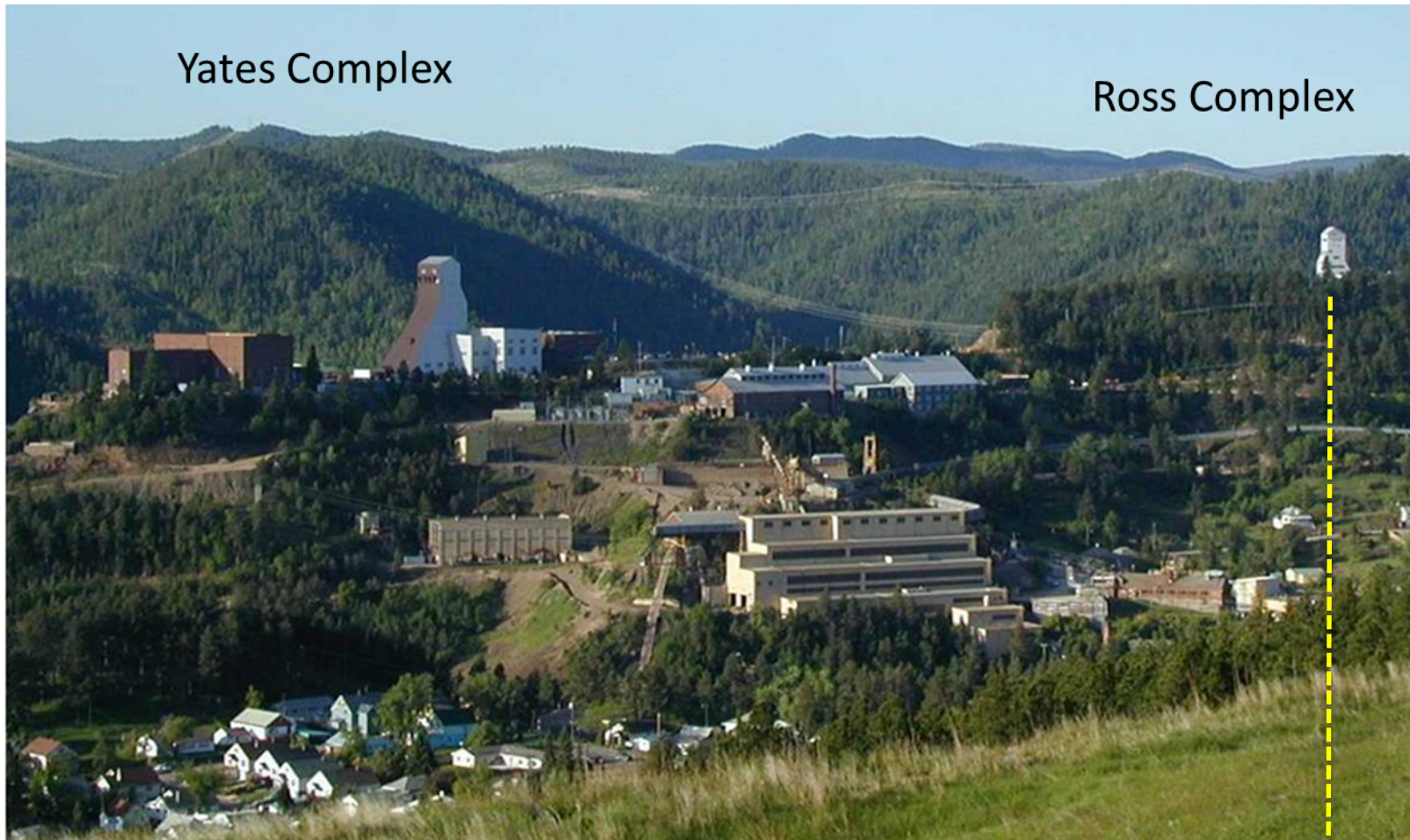
The beam then heads West

800 miles West of Chicago, lies the town of Deadwood...



...5 miles from Deadwood: Homestake gold mine

4.2 The DUNE Far Detector

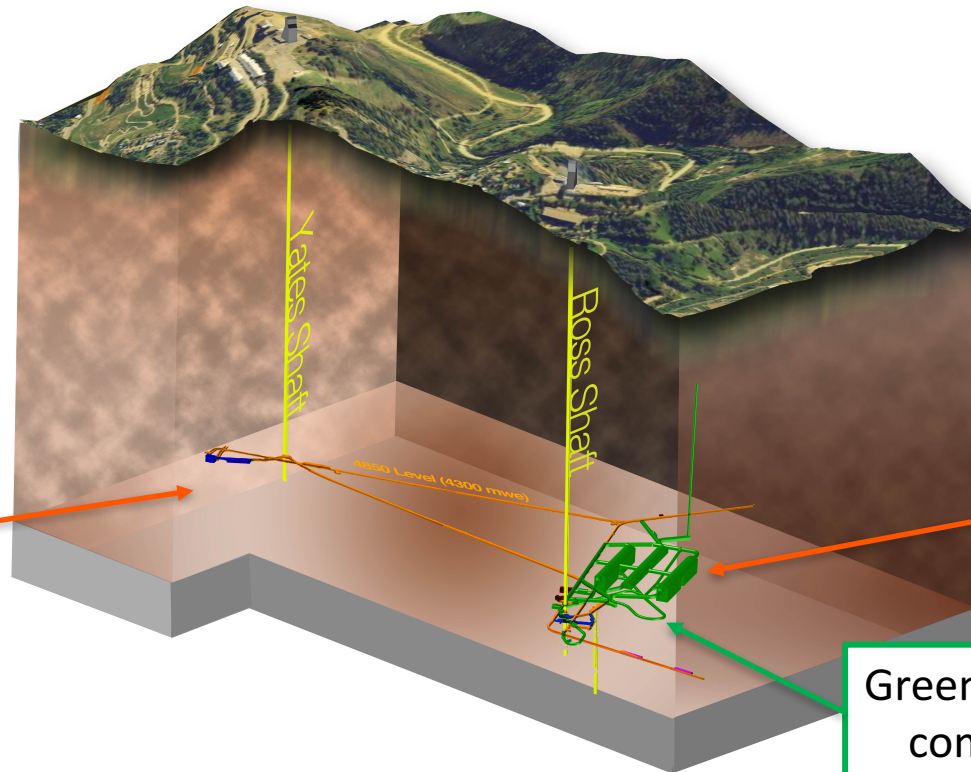


Going underground...



DUNE Far Detector site

- Sanford Underground Research Facility (SURF), South Dakota
- Four caverns on 4850 level (~ 1 mile underground)



Davis Campus:

- LUX
- Majorana demo.
- ...
- LZ

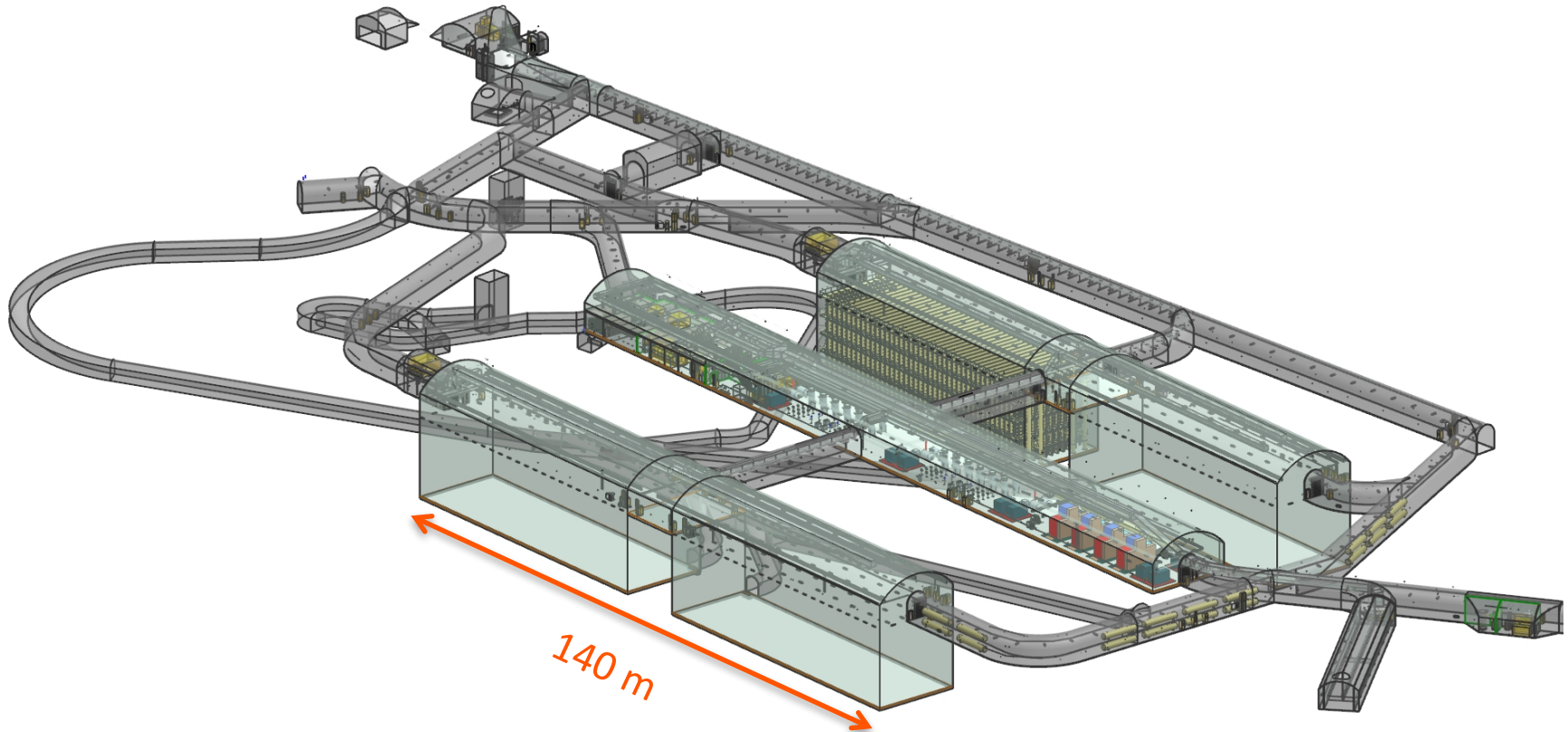
Ross Campus:

- CASPAR
- ...
- DUNE

Green = new construction commences in 2017

DUNE Design =

Far detector: 70-kt LAr-TPC = 4 x 17 kt detectors



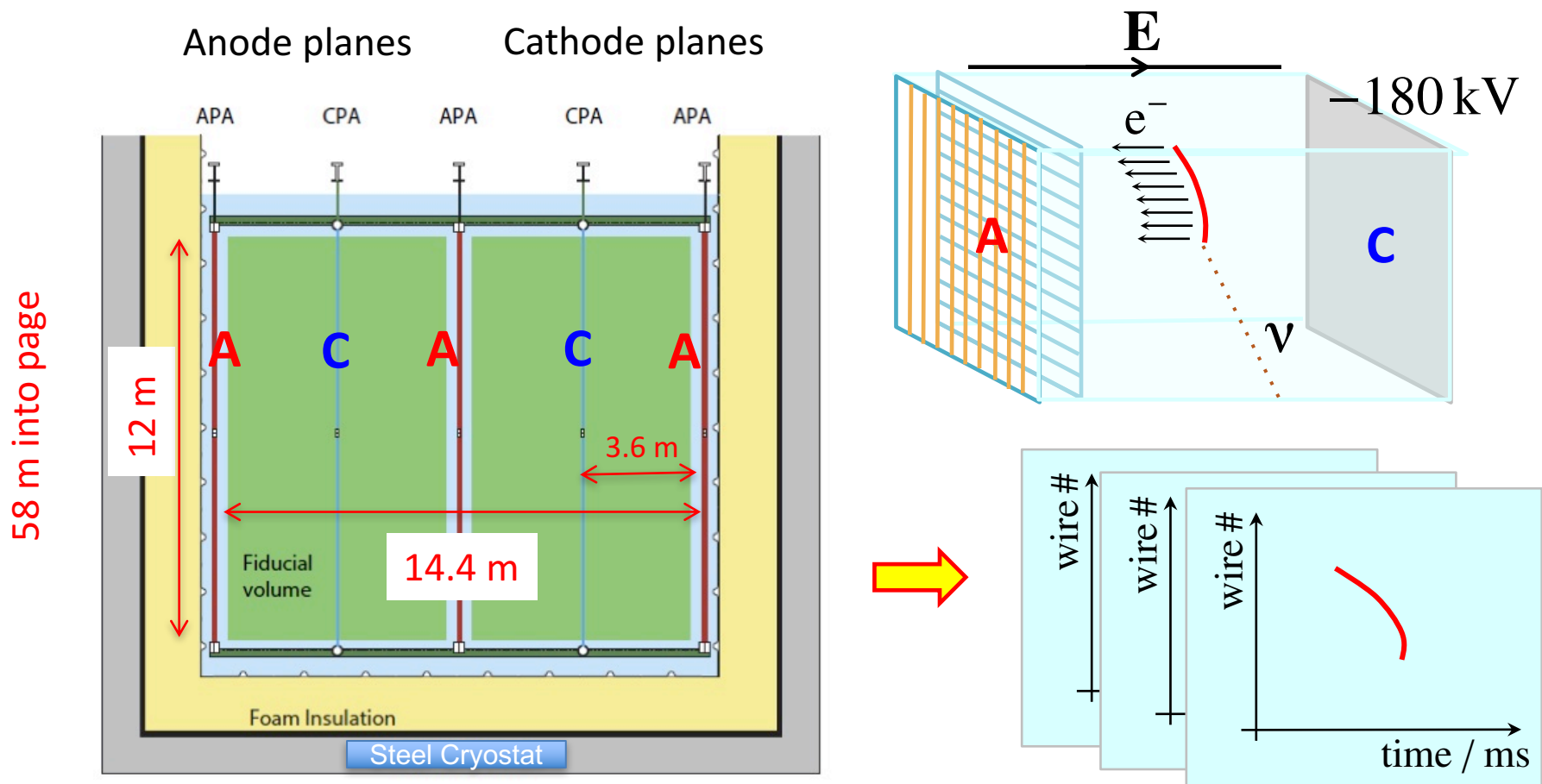
Four detectors modules

- Allows staged deployment of Far Detector

First 17-kt Far Detector Module

A modular implementation of Single-Phase LAr TPC

- Record ionization in LAr volume \Rightarrow 3D image



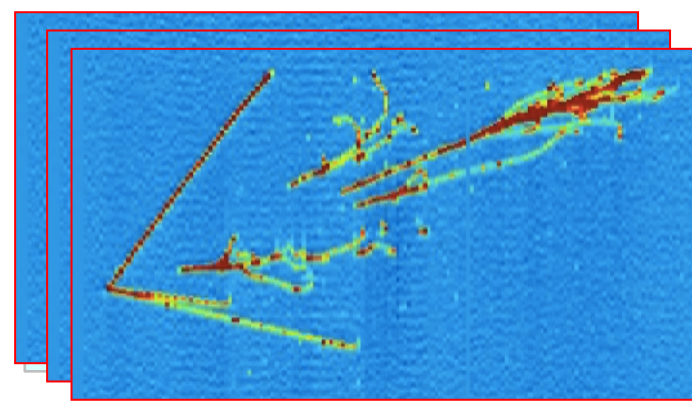
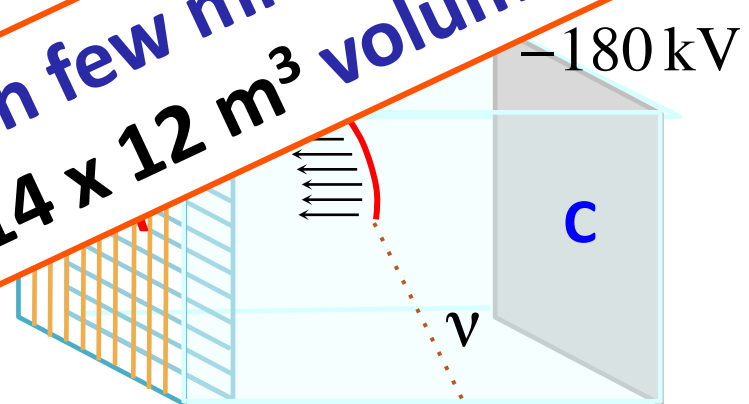
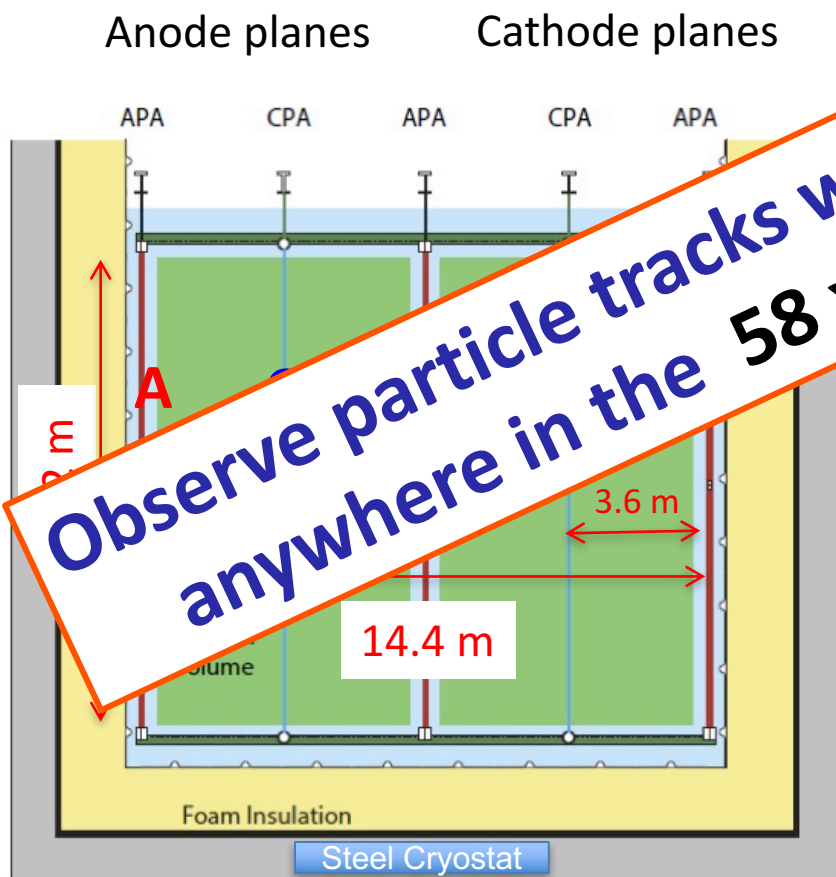
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A modular implementation of Single-Phase LAr TPC

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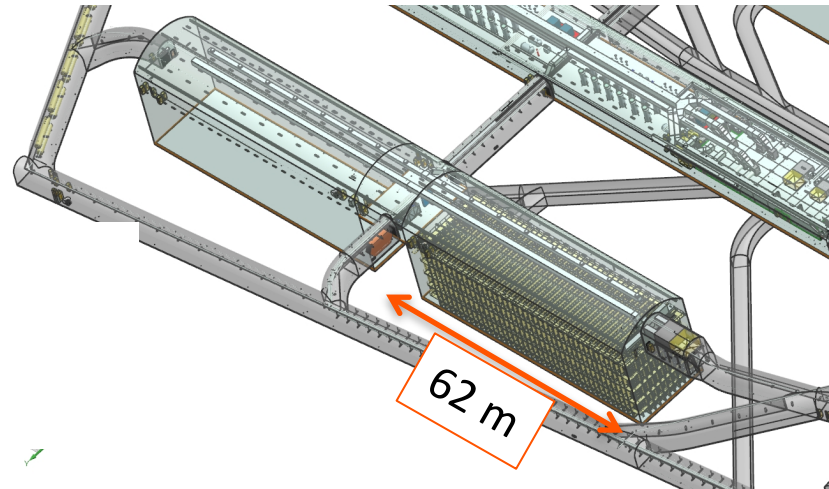
Observe particle tracks with few mm precision anywhere in the $58 \times 14 \times 12 \text{ m}^3$ volume

58 m into page



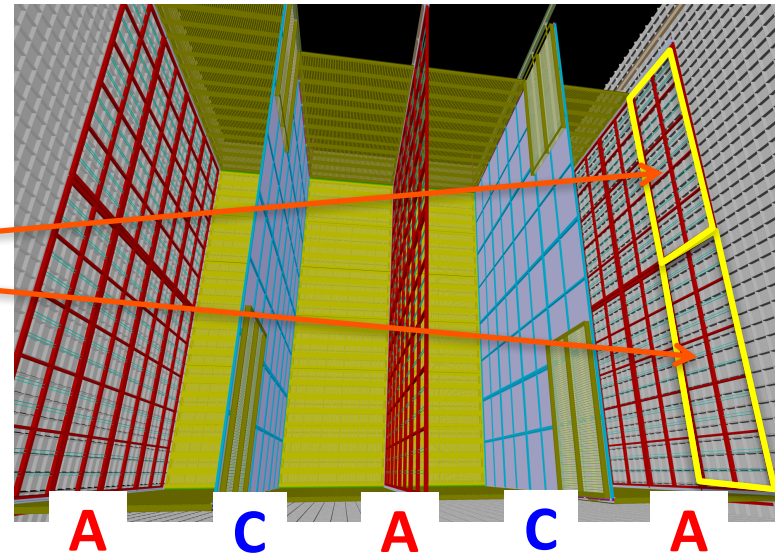
Modular Detector

- Four chambers hosting four independent 17-kt FD modules (10-kt fiducial)
- Going underground
➔ Modular design



Modular implementation of a massive LAr-TPC

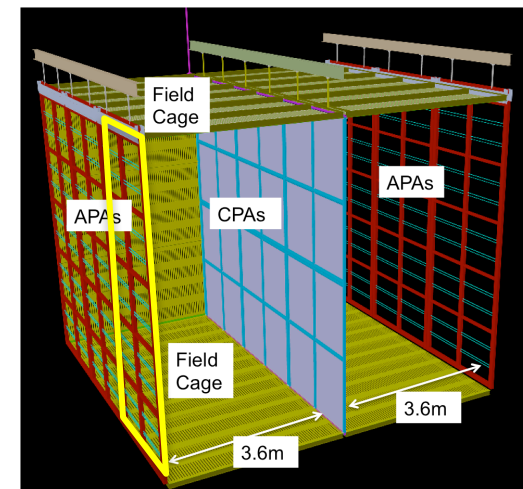
- Active volume: **12m x 14m x 58m**
- 150 Anode Plane Assemblies
 - 6m high x 2.3m wide
- 200 Cathode Plane Assemblies
 - Cathode @ -180 kV for 3.5m drift



4.3 Far Detector Prototyping

e.g. Single-phase APA/CPA LAr-TPC:

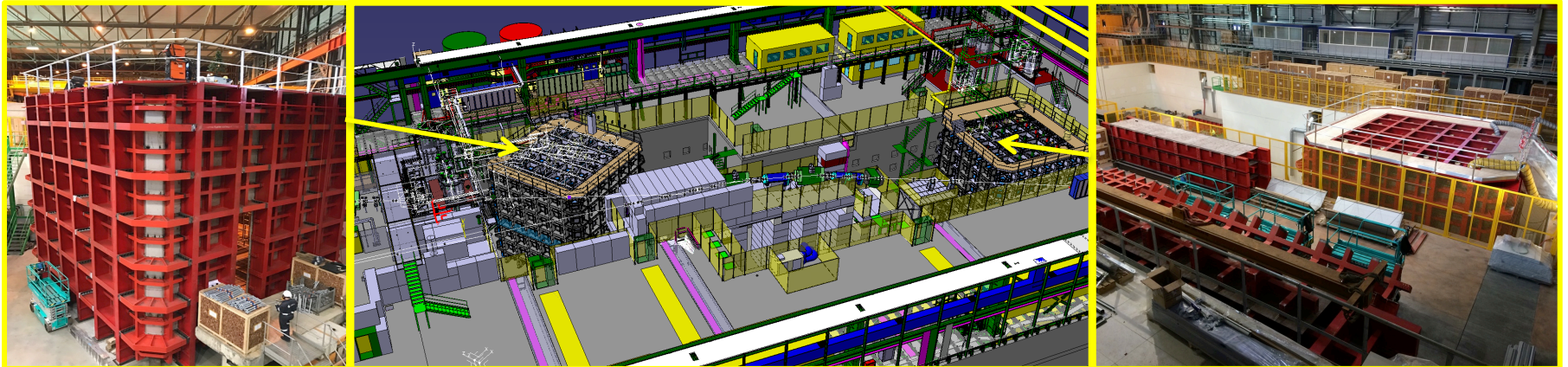
- Design is well advanced – evolution from ICARUS
- Supported by strong development program at Fermilab
 - 35-t prototype (ran in early 2016)
 - MicroBooNE (operational since 2015)
 - SBND (start of operation in 2018/2019)
- “Full-scale prototypes” with ProtoDUNE at the CERN Neutrino Platform
 - Engineering prototype
 - 6 full-sized drift cells c.f. 150 in the far det.
 - Approved experiment at CERN
 - Aiming for operation mid-2018



CERN Neutrino Platform

CERN support of international neutrino programme

- Focus is on protoDUNE:
 - Major investment by CERN to support DUNE
 - New building: EHN1 extension in the North area
 - Two tertiary charged-particle beam lines
 - Two **large** ($8 \times 8 \times 8 \text{m}^3$) cryostats & cryogenic systems + ...



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ProtoDUNE: a major step to FD construction:

- engineering risk mitigation
- setting up production processes
- design validation
- physics calibration data

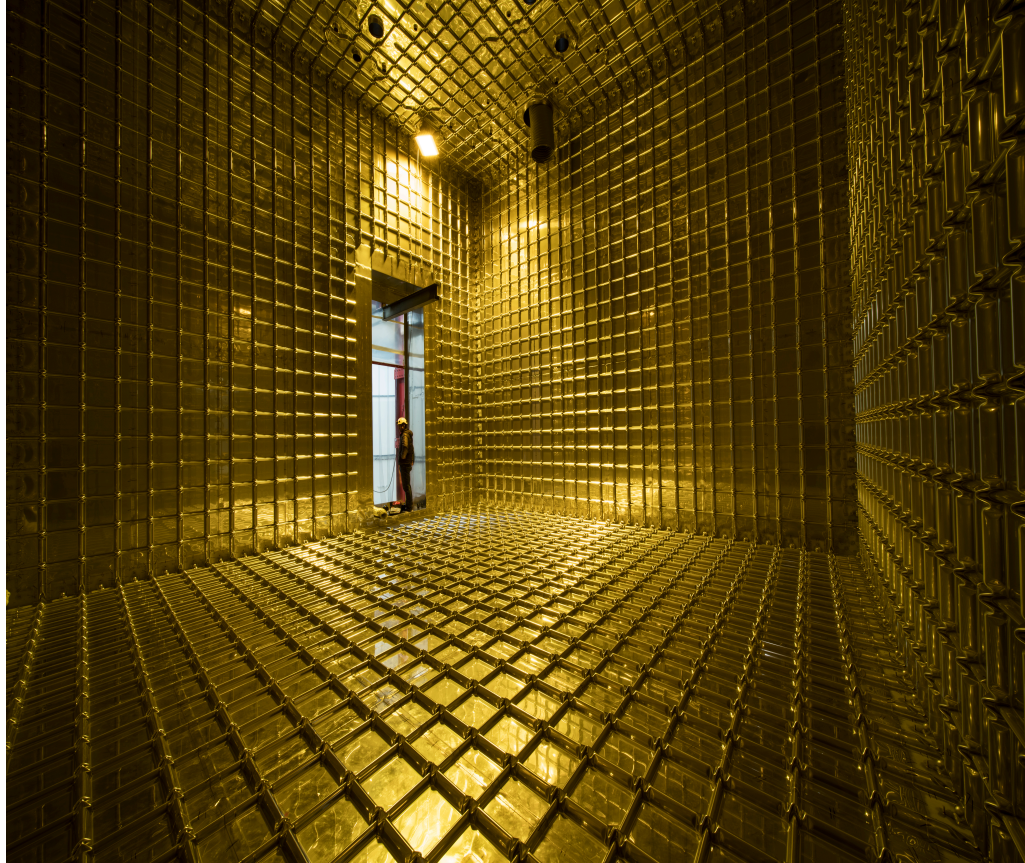
Membrane Cryostats

- Becoming very real – fill with liquid argon in July 2018



Membrane Cryostats

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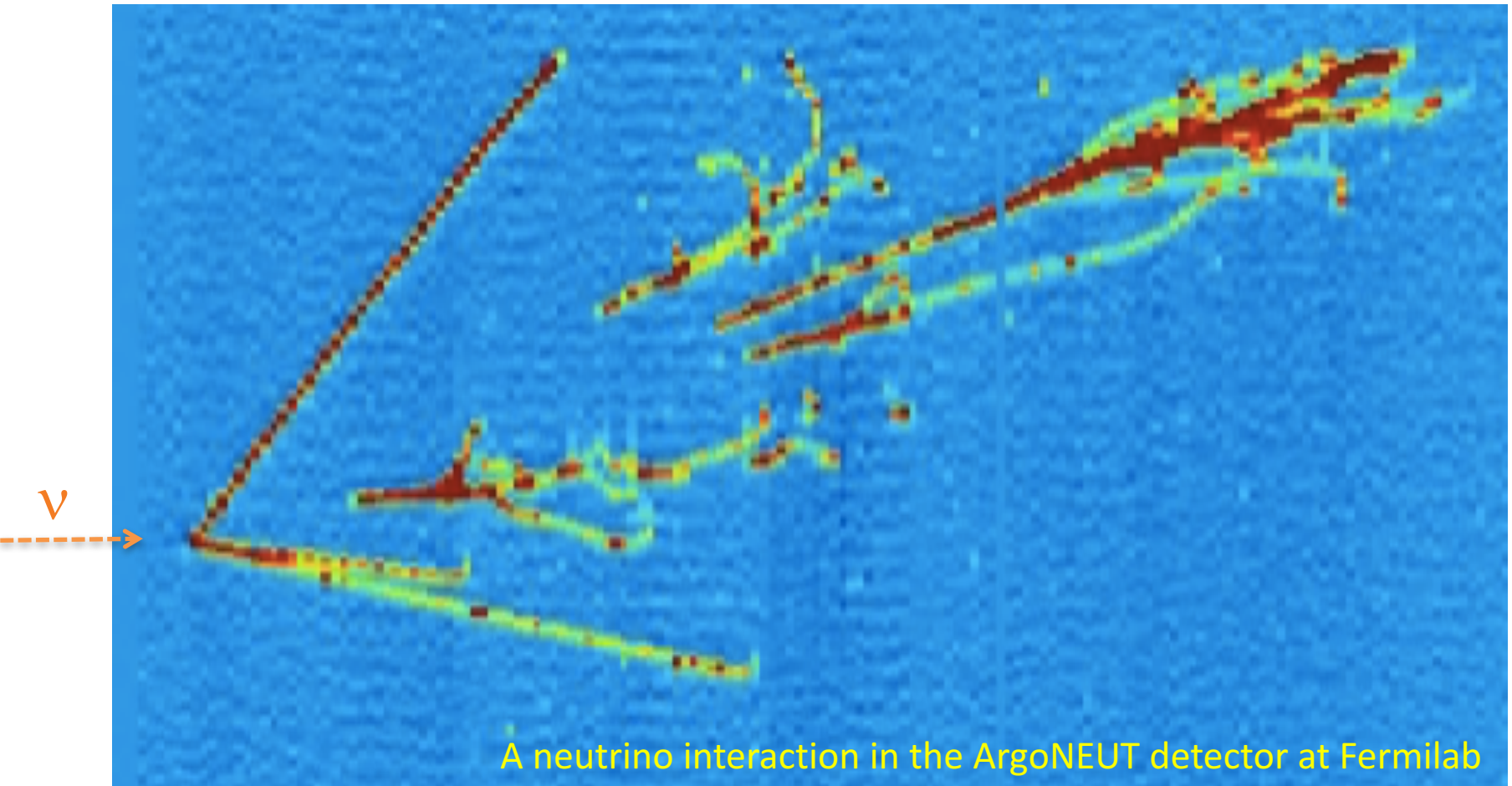


**Detector elements
ready to be installed**



5. DUNE Science


- Unprecedented precision utilizing a massive **Liquid Argon TPC**
 - The new technology of choice for ν -beam experiments

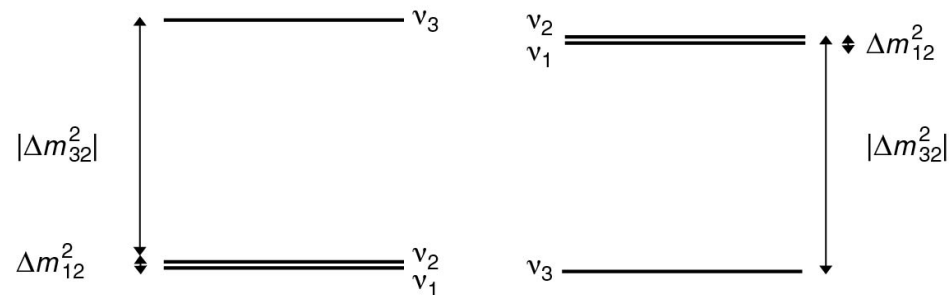


DUNE Primary Science Program

Focus on fundamental open questions in particle physics and astroparticle physics:

• 1) Neutrino Oscillation Physics

- Discover CP Violation in the leptonic sector
- Mass Hierarchy 
- Precision Oscillation Physics:
 - parameter measurement, θ_{23} octant
 - testing the 3-flavor paradigm, steriles, NSI
 - neutrinos are different, so could be more surprises



• 2) Nucleon Decay

- e.g. targeting SUSY-favored modes, $p \rightarrow K^+ \bar{\nu}$

• 3) Supernova burst physics & astrophysics

- Galactic core collapse supernova, sensitivity to ν_e

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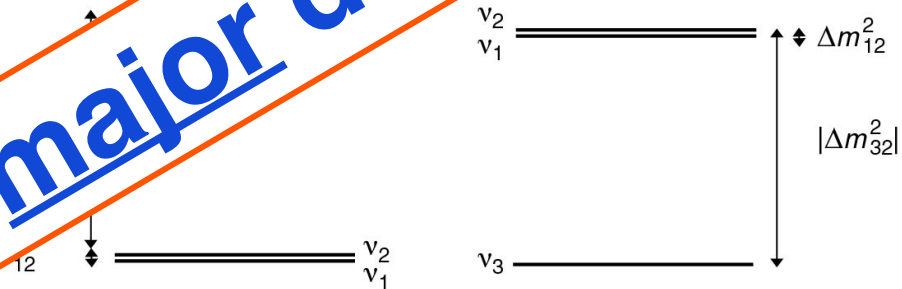
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• 2) Proton Decay

 - targeting SUSY-favored modes, $p \rightarrow K^+ \bar{\nu}$

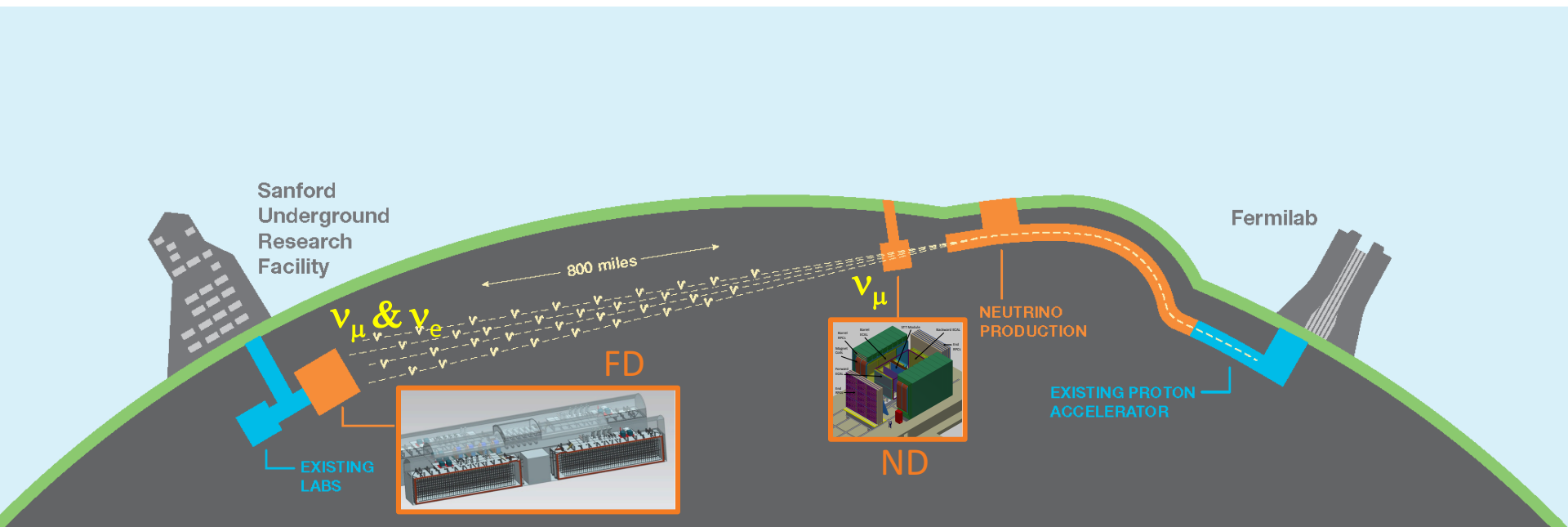
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Long Baseline (LBL) Oscillations

Measure **neutrino** spectra at 1300 km in a wide-band beam

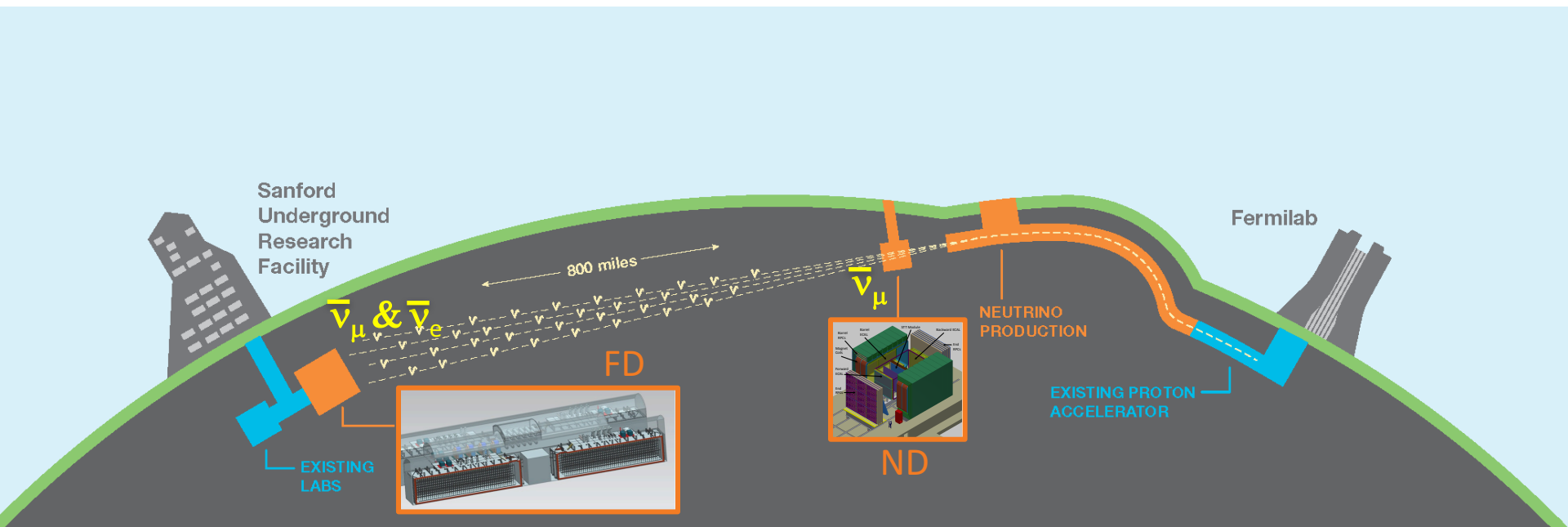


- **Near Detector at Fermilab:** measurements of ν_μ unoscillated beam
- **Far Detector at SURF:** measure oscillated ν_μ & ν_e neutrino spectra

Long Baseline (LBL) Oscillations

... then repeat for **antineutrinos**

- Compare oscillations of **neutrinos** and **antineutrinos**
- Direct probe of **CPV** in the neutrino sector



- **Near Detector at Fermilab:** measurements of $\bar{\nu}_\mu$ unoscillated beam
- **Far Detector at SURF:** measure oscillated $\bar{\nu}_\mu$ & $\bar{\nu}_e$ neutrino spectra

DUNE Oscillation Strategy

Measure neutrino spectra at 1300 km in a wide-band beam

- Determine MH and θ_{23} octant, probe CPV, test 3-flavor paradigm and search for BSM effects (e.g. NSI) in a single experiment

- Long baseline:

- Matter effects are large $\sim 40\%$

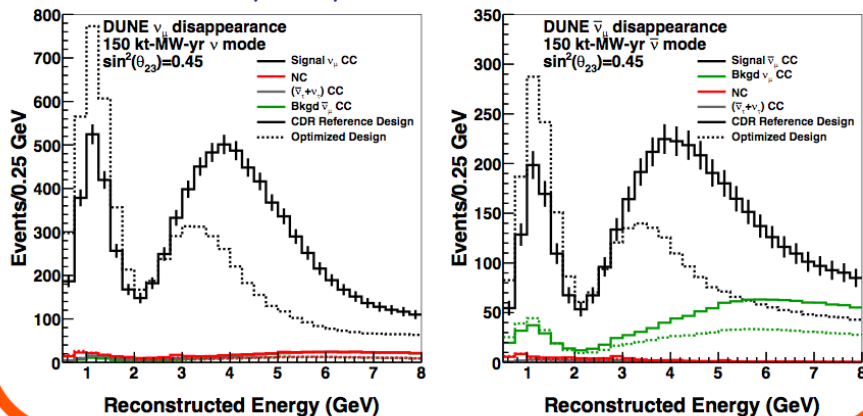
- Wide-band beam:

- Measure ν_e appearance and ν_μ disappearance over range of energies
- MH & CPV effects are separable

E ~ few GeV

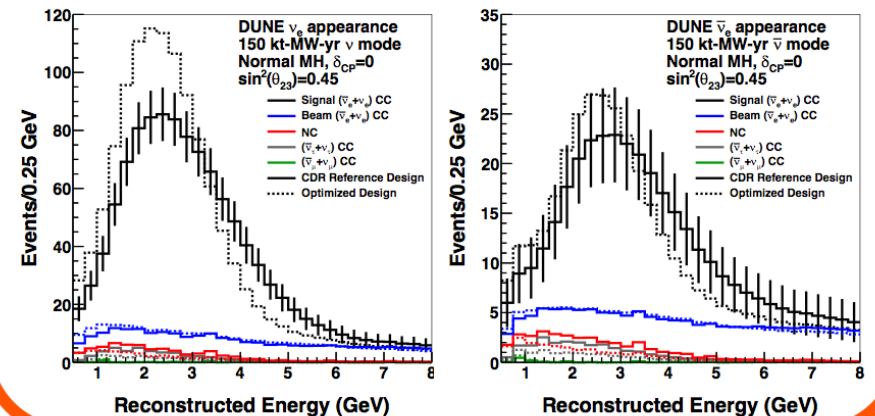
μ

$\nu_\mu / \bar{\nu}_\mu$ disappearance



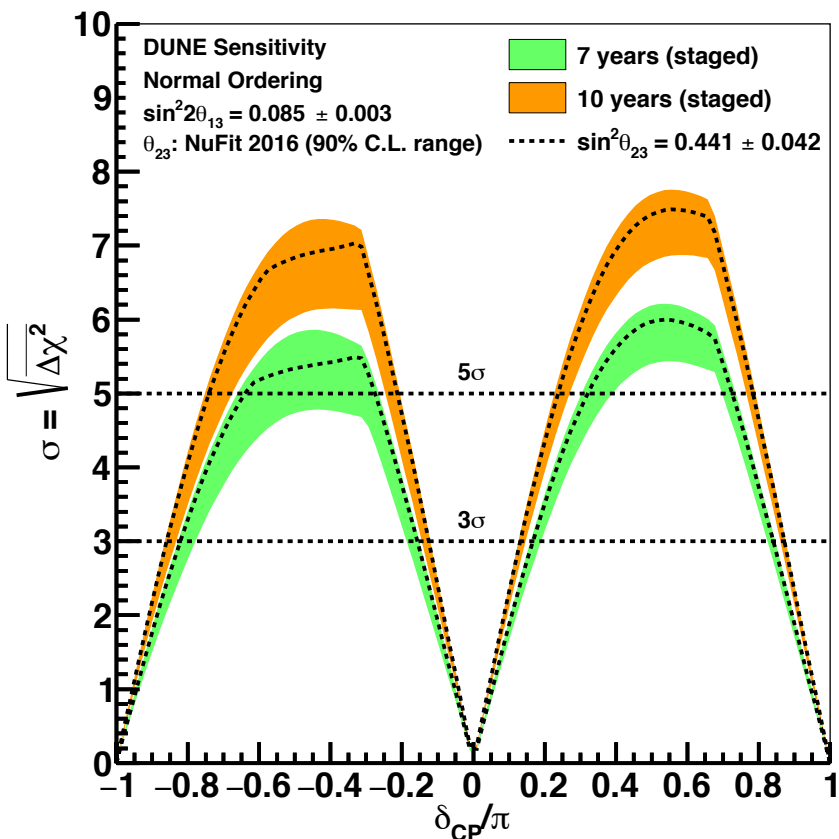
e

$\nu_e / \bar{\nu}_e$ appearance

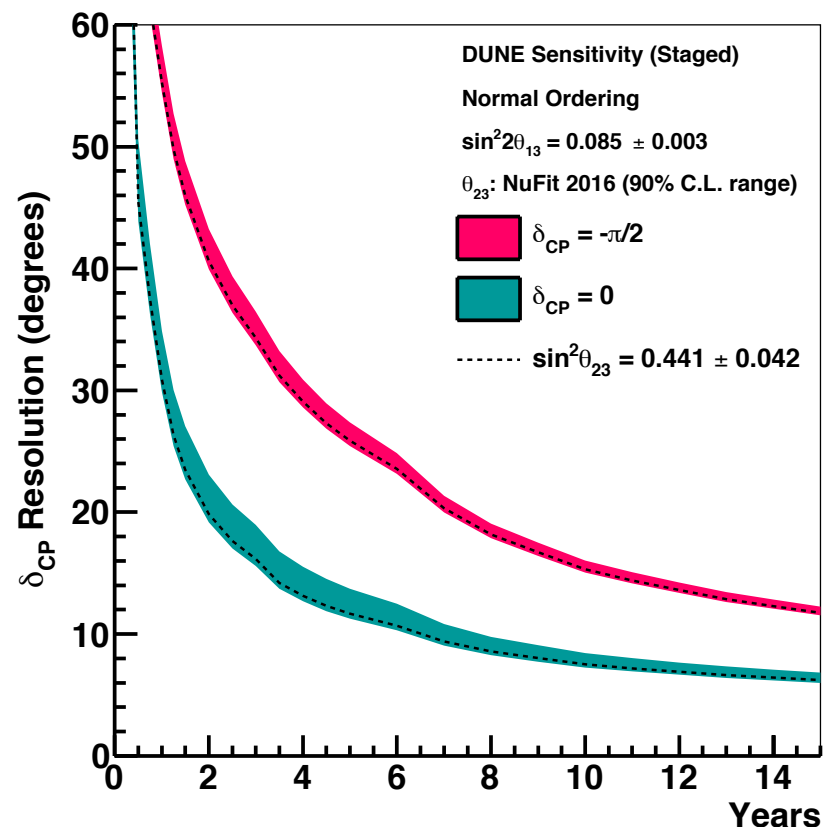


CP Sensitivity

CPV Discovery



δ_{CP} Measurement



Oscillation Über-Summary

- **Nail the Mass Hierarchy**
 - 5σ in 2 – 5 years
- **75 % coverage for 3σ CPV discovery**
- **If “lucky”, CPV reaches 3σ (5σ) in 3-4 (6-7) years**
- **Measure δ_{CP}**
 - $7^\circ - 15^\circ$ in 10 years
- **Wide-band beam + long baseline**
 - **Unique tests of 3-flavour paradigm**
 - Sensitivity to $BS\nu M$ effects, e.g. NSI, steriles, ...
- **On-axis beam: potential to tune beam spectrum**
 - Further studies at second oscillation maximum
 - Study tau appearance ?

LBNF/DUNE is a
facility for ν science

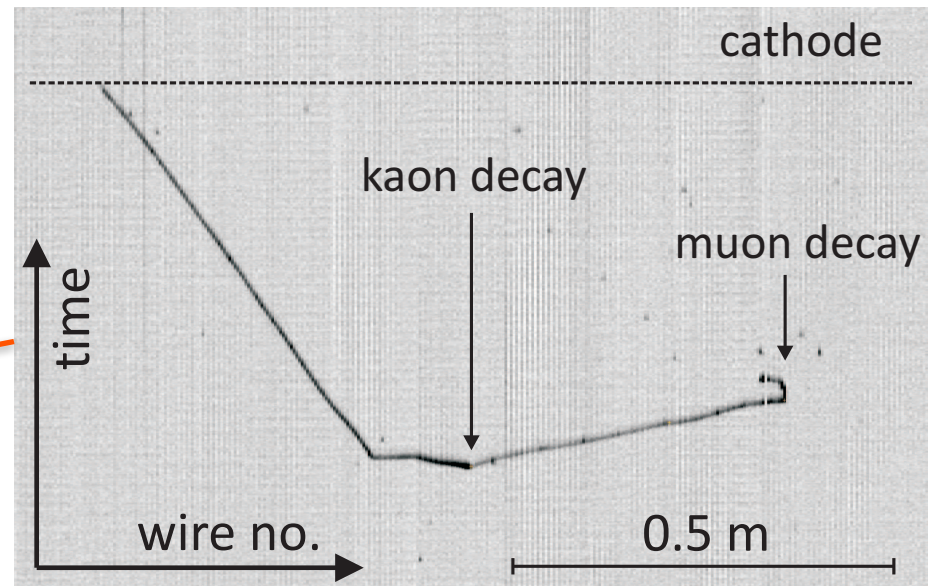
5.1 Proton Decay

Proton decay is expected in most new physics models

- But lifetime is very long, experimentally $\tau > 10^{33}$ years
 - Watch many protons with the capability to see a single decay
 - Can do this in a liquid argon TPC
- For example, look for kaons from SUSY-inspired GUT p-decay

modes such as $p \rightarrow K^+ \bar{\nu}$

$E \sim O(200 \text{ MeV})$



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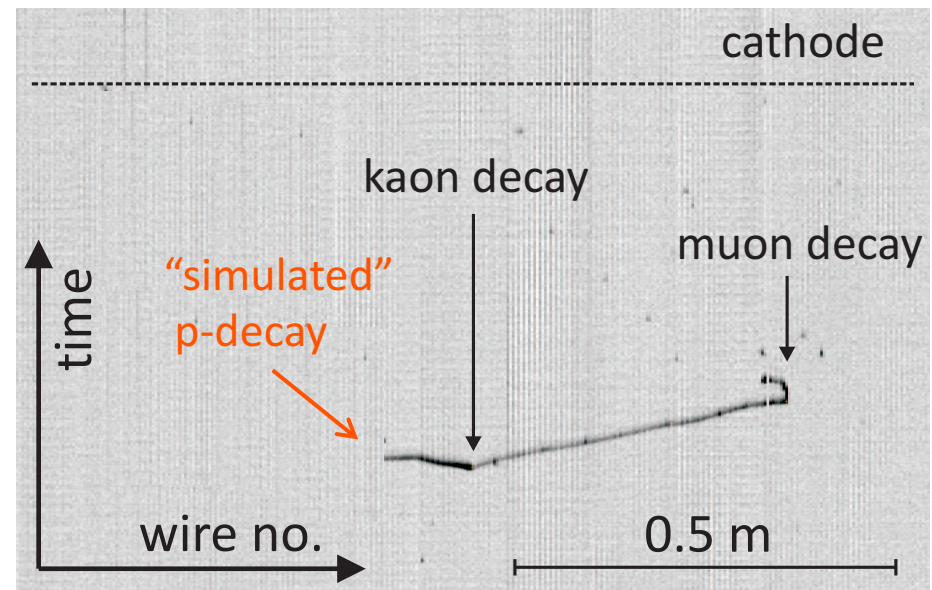
■ Clean signature

➔ very low backgrounds

Decay Mode	Water Cherenkov		Liquid Argon TPC	
	Efficiency	Background	Efficiency	Background
$p \rightarrow K^+ \bar{\nu}$	19%	4	97%	1
$p \rightarrow K^0 \mu^+$	10%	8	47%	< 2
$p \rightarrow K^+ \mu^- \pi^+$			97%	1
$n \rightarrow K^+ e^-$	10%	3	96%	< 2
$n \rightarrow e^+ \pi^-$	19%	2	44%	0.8

1 Mt.yr

Remove incoming particle

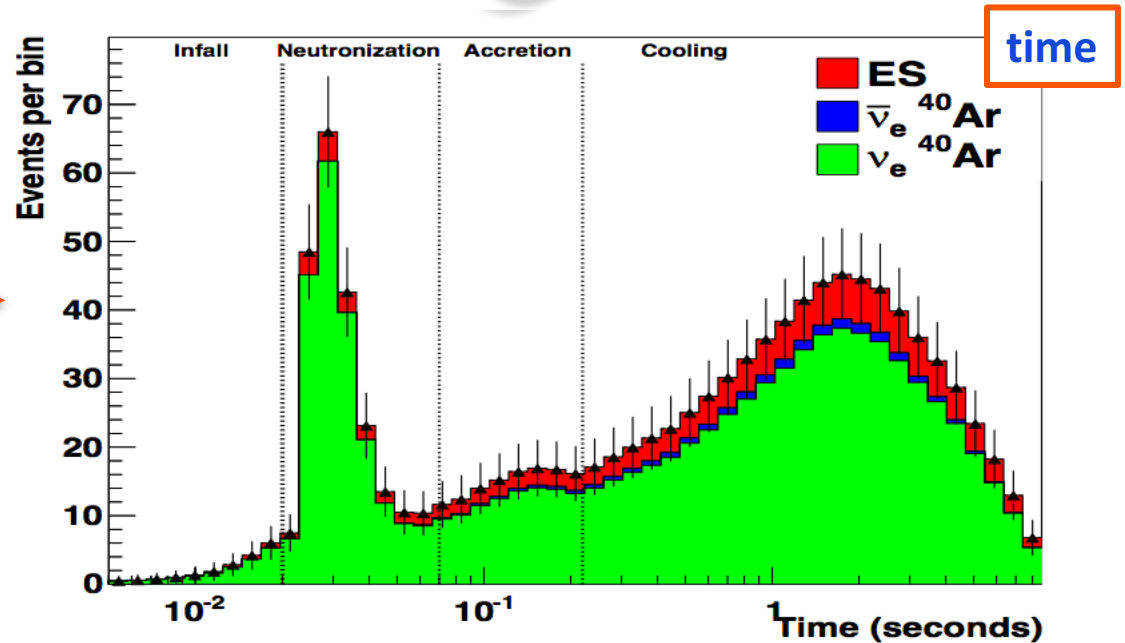


5.2 Supernova vs

A core collapse SN produces an intense burst of neutrinos

- Would see about 10000 neutrinos from a SN in our galaxy
- Over a period of 10 seconds

• In argon (uniquely) the largest sensitivity is $\nu_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$



Highlights include:

- Possibility to “see” neutron star formation stage
- Even the potential to see black hole formation !


6. Realizing DUNE





Realizing DUNE

★ **DUNE is a massive undertaking**

★ **Requires:**

- Large international scientific community 
- High-level international support 

★ **DUNE is going ahead !**

- **2016:** CD-3A approval in US 
- **2017:** start of construction in South Dakota 
- **2018:** operation of two large-scale prototypes at CERN
- **2021:** installation of first 10-kt far detector module
- **2024:** commissioning/operation of first far detector
- **2026:** start of beam operation (1.2 MW)

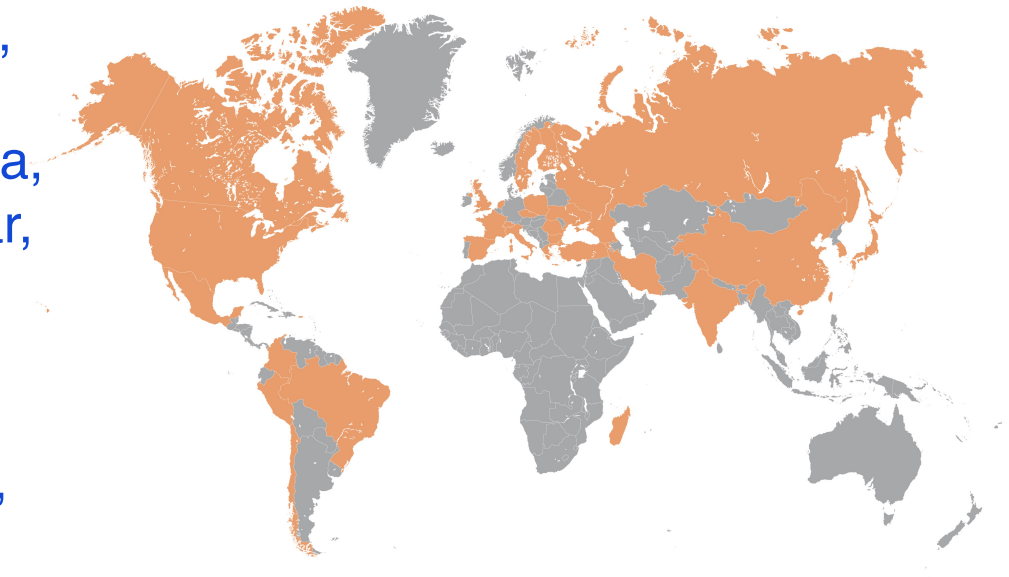
The DUNE Collaboration

As of today:

>60 % non-US

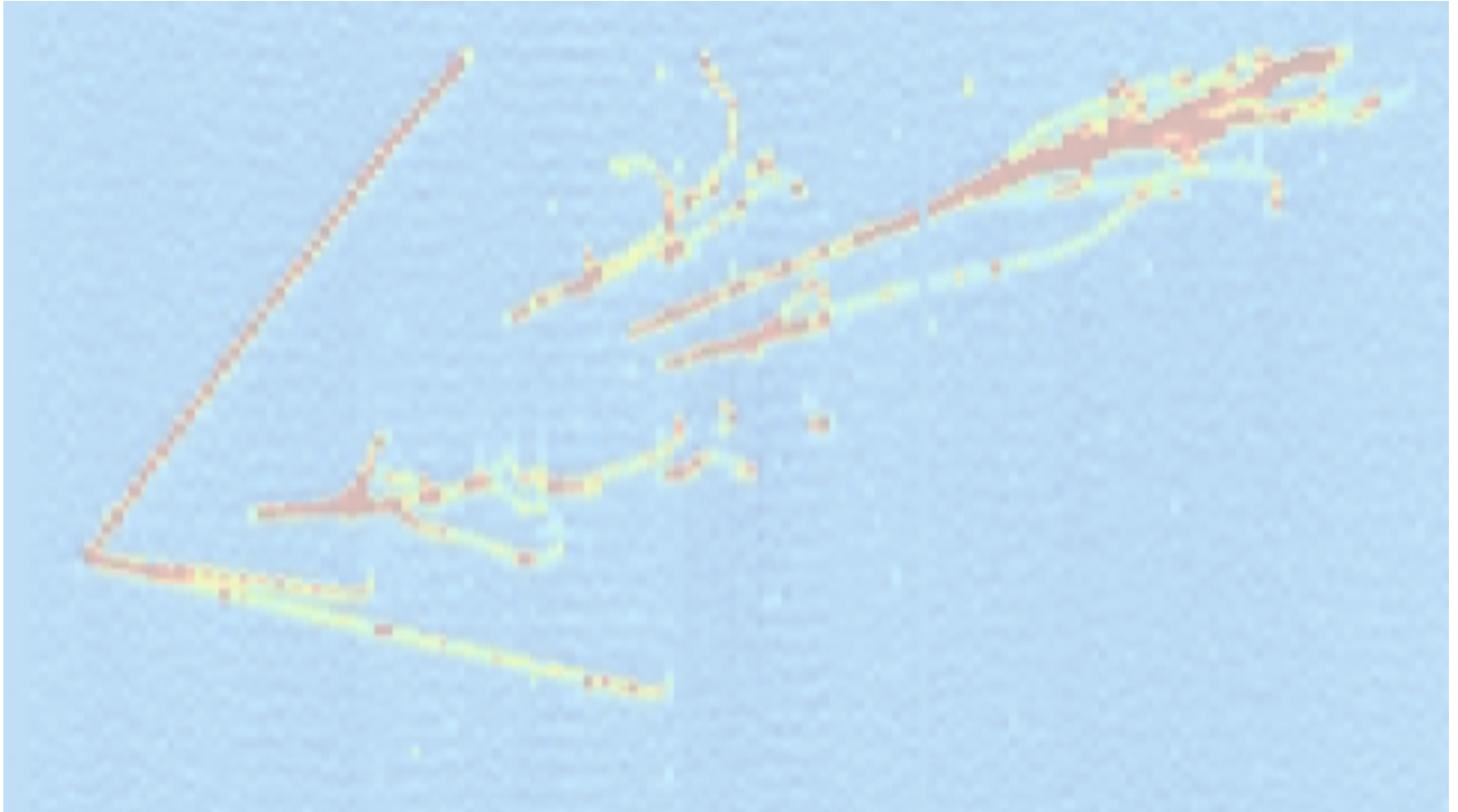
1043 collaborators from 175 institutions in 31 nations

Armenia, Brazil, Bulgaria,
Canada, CERN, Chile, China,
Colombia, Czech Republic,
Finland, France, Greece, India,
Iran, Italy, Japan, Madagascar,
Mexico, **Netherlands**, Peru,
Paraguay, Poland, Romania,
Russia, South Korea, Spain,
Sweden, Switzerland, Turkey,
UK, Ukraine, USA



DUNE has broad international support and is growing brought together by the exciting science....

7. Summary



Summary

★ DUNE will

- Probe leptonic CPV with unprecedented precision
- Definitively determine the MH to greater than 5σ
- Test the three-flavor hypothesis
- Significantly advance the discovery potential for proton decay
- (With luck) provide a wealth of information on Supernova bursts neutrino physics and astrophysics

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★ This is an exciting time

- DUNE is now ballistic
- The timescales are not long:
 - Starting construction at South Dakota in 2017
 - The large-scale DUNE prototypes will operate at CERN in 2018

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★ An international community has formed – including CERN

- LBNF/DUNE represents a **major new** scientific opportunity for particle physics

Summary

★ DUNE will

- Probe leptonic CPV with unprecedented precision
- Definitively determine the MH to greater than 10% accuracy
- Test the three-flavor hypothesis
- Significantly advance the discovery potential of neutrino decay
- (With luck) provide a wealth of information on supernova bursts in neutrino physics and astrophysics

★ This is an exciting time

- DUNE is now a reality
- The time has come

• Commissioning at South Dakota in 2017

• The DUNE prototypes will operate at CERN in 2018

★ **International community has formed – including CERN**

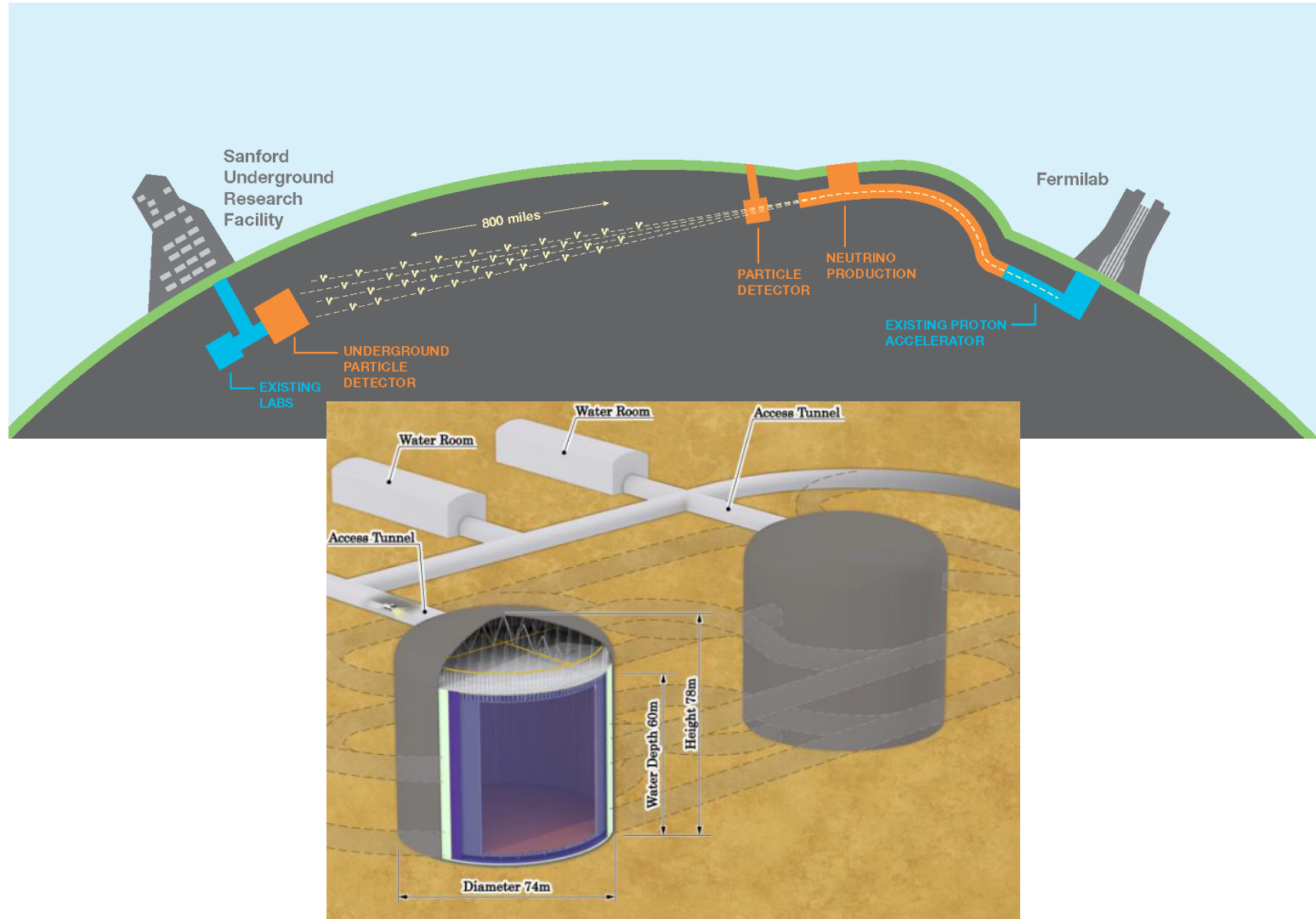
• **DUNE** represents a **major new** scientific opportunity for particle physics

We are currently launching the next “big thing” in particle physics: exciting times ahead

Thank you - questions?



A. DUNE & Hyper-Kamiokande



DUNE & Hyper-K: at 10 years

- HK based on plan at ICHEP'16 - 2 tanks staged + JPARC upgrades
- DUNE schedule based on LBNF/DUNE RLS & funding model

10 years (staged)		HK	DUNE
CP violation	δ resolution	$7^\circ - 21^\circ$	$7^\circ - 15^\circ$
	3σ coverage	78%	74%
	5σ coverage	62%	54%
Mass Hier.	sens. range	$5\sigma - 7\sigma$	$8\sigma - 20\sigma$
octant	sens. @ 0.45	5.8σ	5.1σ
	5σ outside of...	[0.46, 0.56]	[0.45, 0.57]
p decay (90% C.L.)	$p \rightarrow \bar{\nu} K^+$	$>2.8e34$ yrs	$>3.6e34$ yrs
	$p \rightarrow e^+ \pi^0$	$>1.2e35$ yrs	$>1.6e34$ yrs
supernova ν (10 kpc or relic)	SNB $\bar{\nu}_e$	130k evts	
	SNB ν_e		5k evts
	relic $\bar{\nu}_e$	100 evts, 5σ	
	relic ν_e		30 evts, 6σ
NSI (90% C.L.)	$\epsilon_{\mu e}$	<0.34	<0.05
	$\epsilon_{\mu \tau}$	<0.27	<0.08
	$\epsilon_{\tau e}$	<0.98	<0.25

* many caveats: but gives the general picture of 10-year sensitivities at $\pm 10\%$ level