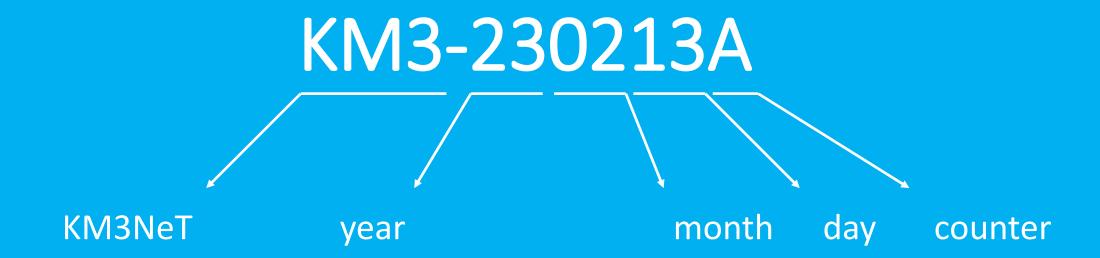
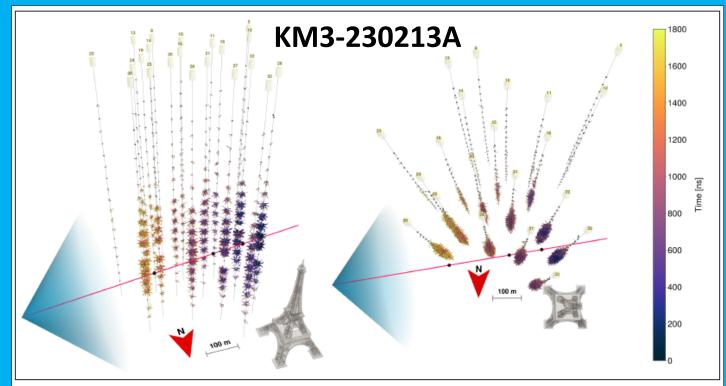
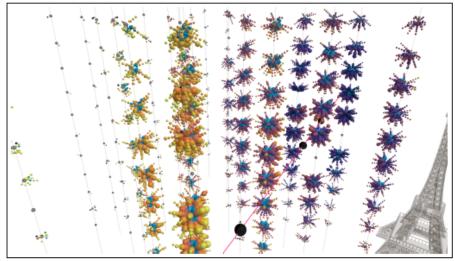
# KM3-230213A

Nikhef topical lectures

Maarten de Jong (Nikhef/Leiden University)











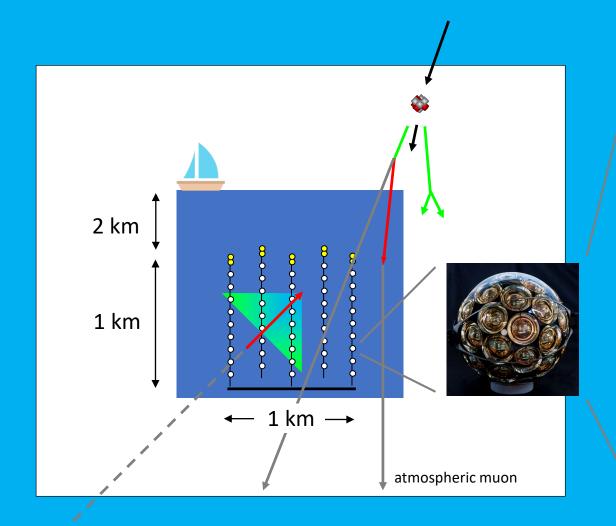
#### Recap

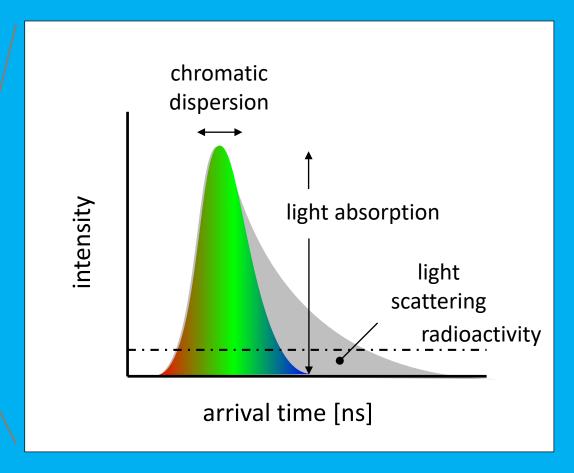
- 1. Precision of estimation of parameters increases with more information
- 2. For a given set of data (i.e. amount of information), maximum likelihood yields smallest variance of parameters
- 3. If data are normally distributed and  $\chi^2$  depends linearly on parameters, smallest  $\chi^2$  can be obtained by solving matrix equation Ax=b
- 4. Else, determination of maximum likelihood involves iterative procedure

PDF describing data

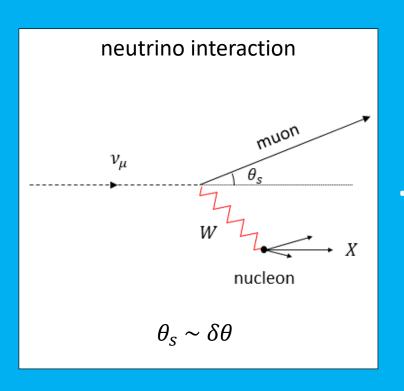
$$\nabla \chi^2 = \nabla f \times \frac{\partial \chi^2}{\partial f}$$

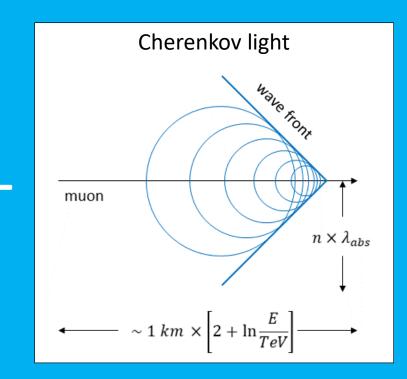
## KM3NeT neutrino telescope





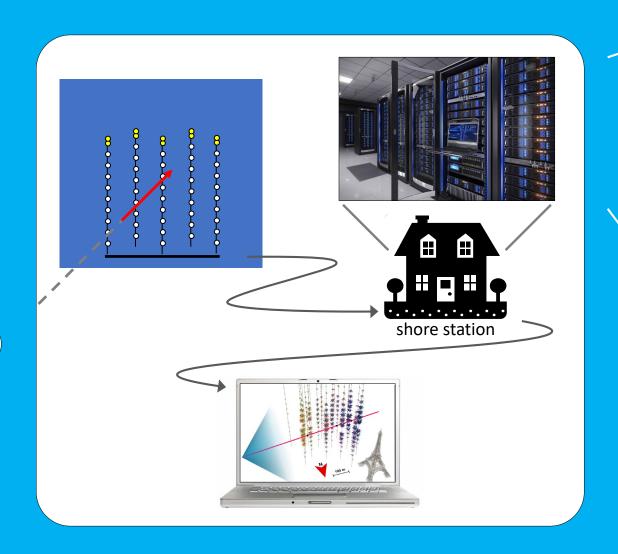
## "golden" channel





- 1. Target mass
  - overcome small cross section
- 2. Transparency sparse sensor array
- 3. Muon range good angular resolution

#### All-data-to-shore



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25 Gb/s

↓

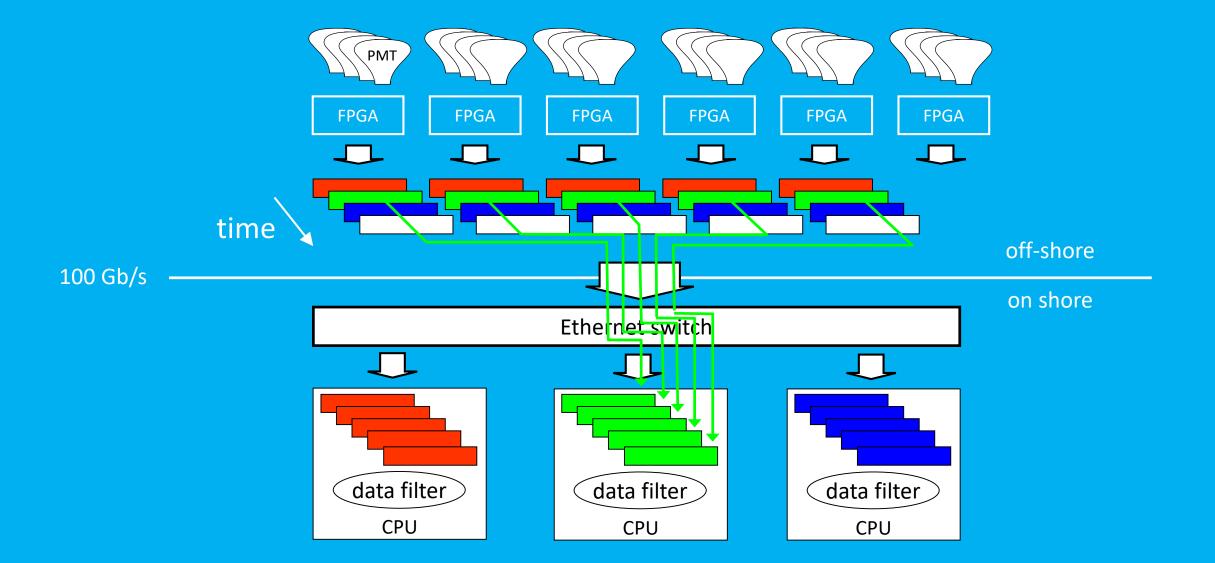
"something happens"

↓

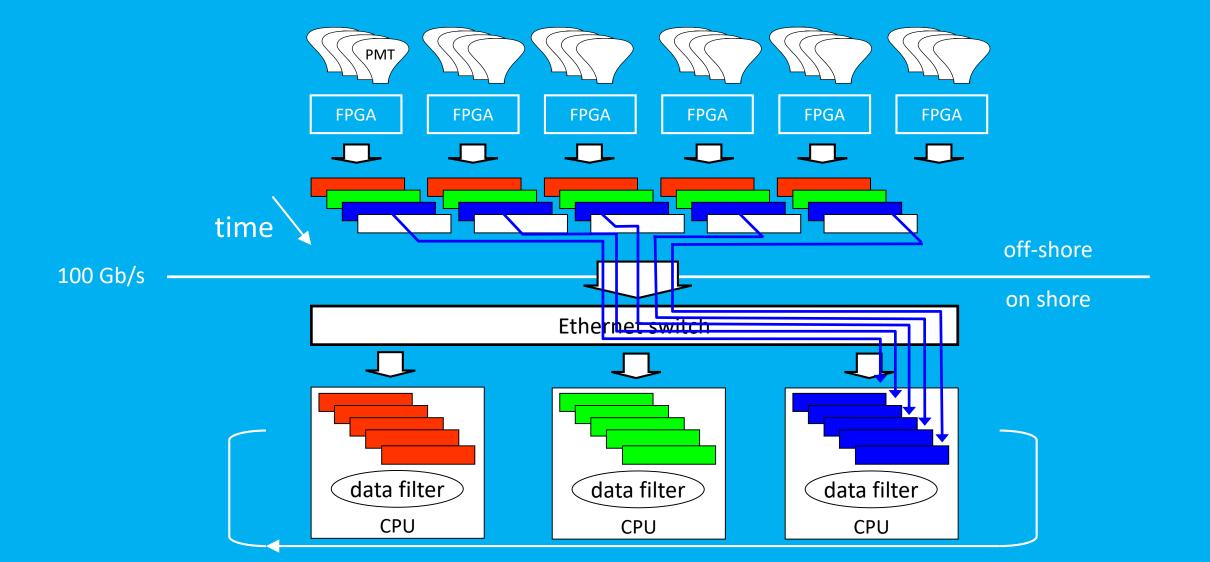
10 Mb/s

#### All-data-to-shore **FPGA FPGA FPGA FPGA FPGA FPGA** time off-shore 100 Gb/s on shore Filhernet switch data filter data filter data filter CPU CPU CPU

#### All-data-to-shore



#### All-data-to-shore



#### Data filter

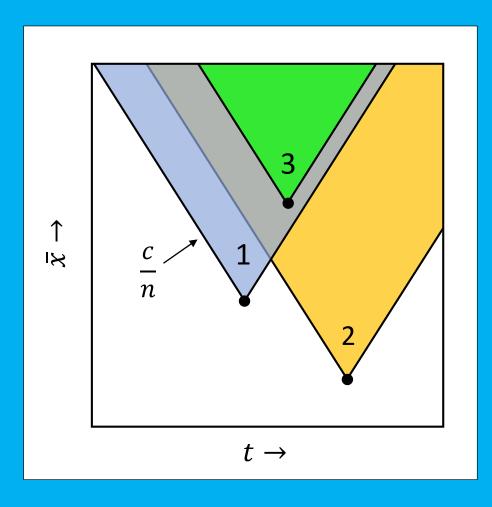
- Level 1
  - local coincidence of two (or more) L0 hits in same optical module
    - typical time window 20 ns
- Level 2
  - subset of L1 hits with additional criteria
    - typical opening angle between PMTs  $\leq 90 \text{ deg.}$
    - typical time window 15 *ns*
- Level 3
  - minimal subset of L2 hits that are causally related
    - typical minimal number of L2 hits is 5 (= 10 photo-electrons)
    - write "snapshot" to disk (no loss of data)



100% purity photon counting

$$\frac{c}{n}|\Delta t| \le |\Delta \bar{x}|$$
 causality

# Causality



- hit (1,3) and (2,3) are causally related
- hit (1,2) are not causally related



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*n*! problem

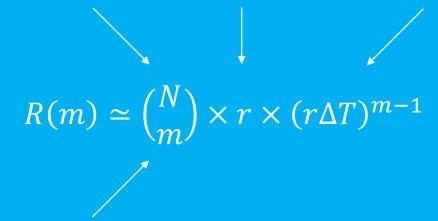


"clique" algorithm  $(n^2)$ 

#### number of modules

L2 rate

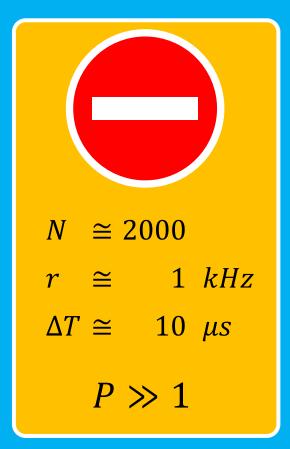
time window



number of coincidences

$$\simeq \frac{N^m}{m!} \times r \times (r\Delta T)^{m-1}$$

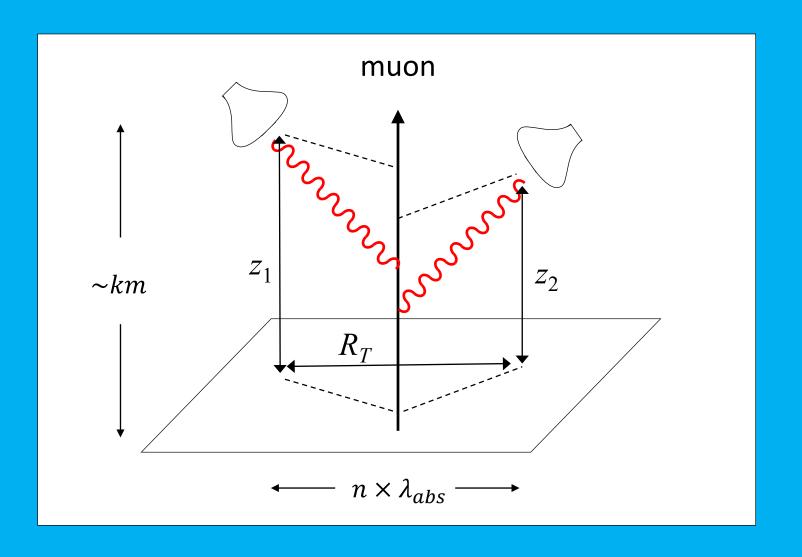
$$= \frac{1}{m!} \times (Nr) \times (Nr\Delta T)^{m-1}$$



total rate

probability of coincidence

# Beaming



#### Beaming

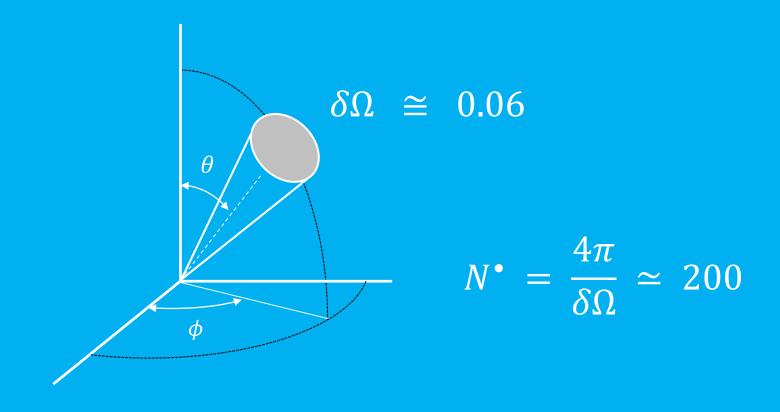
$$R(m) \simeq \frac{1}{m!} \times Nr \times (Nr\Delta T)^{m-1}$$

$$\Delta T = \frac{n}{c}D \Rightarrow \frac{1}{c}R_T \tan \theta_c$$

$$N \times \left(\frac{R_T}{D}\right)^2$$

$$R'(m) \simeq (10^{-3} - 10^{-2})^{m-1} \times R(m)$$

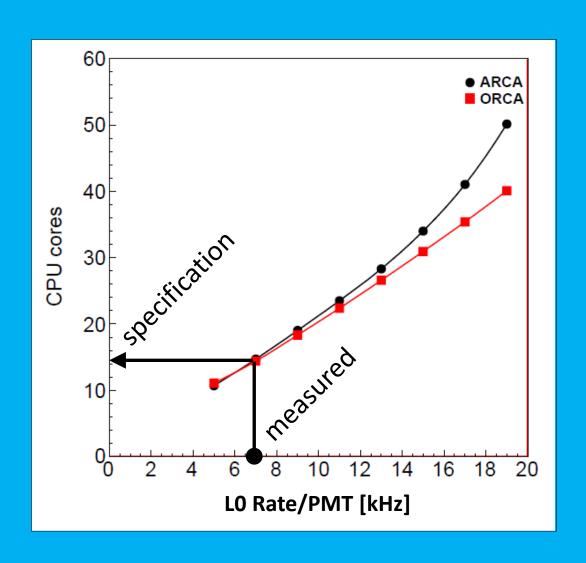
## Full sky coverage



$$R'' \simeq N^{\bullet} \times R'$$

$$\simeq N^{\bullet} \times (10^{-3} - 10^{-2})^{m-1} \times R \ll R$$

# CPU usage



### Start value problem

$$5D = 3D \bigotimes_{\text{position-time}} 2D$$





linear fit  $(x_0, y_0, t_0)$ 

$$scan(\theta, \varphi)$$

$$\bar{t}_{j}^{2} - \bar{t}_{i}^{2} - 2(\bar{t}_{j} - \bar{t}_{i}) \, \bar{t}_{0} =$$

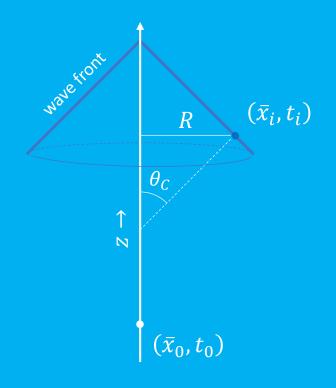
$$x_{j}^{2} - x_{i}^{2} - (x_{j} - x_{i}) \, x_{0} +$$

$$y_{j}^{2} - y_{i}^{2} - (y_{j} - y_{i}) \, y_{0}$$

$$\bar{t}_i \equiv \frac{ct_i}{\tan \theta_C} - \frac{z_i}{\tan \theta_C}$$

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$$\bar{t}_0 \equiv \frac{ct_0}{\tan \theta_C}$$



$$ct_i \cong ct_0 + \Delta z + R \tan \theta_C$$





#### Linear fit

$$y = H\theta$$

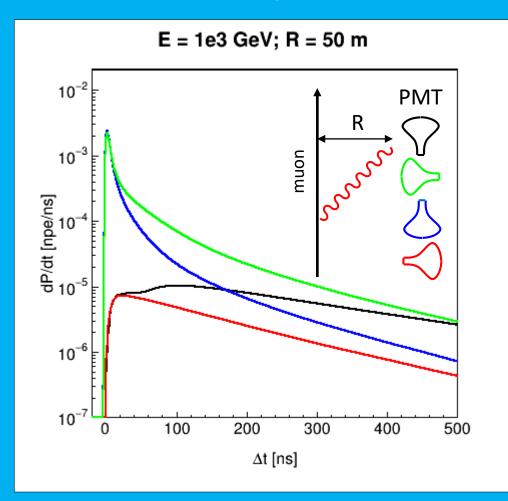
$$(n \times 1) \qquad (n \times k) (k \times 1)$$

1 (0) solution

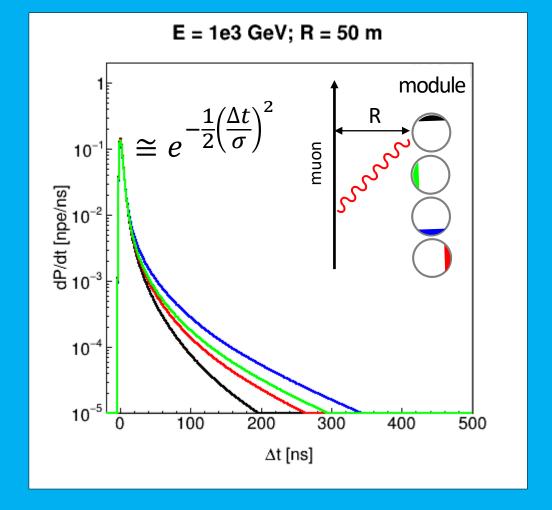
## Gaussian PDF

LO

**L1** 







Scan  $(\theta, \varphi)$ 

1° grid of directions



keep *N* best solutions

#### Which N best?

$$\widehat{\theta} = (H^T V^{-1}H)^{-1}H^T V^{-1}y$$
(k×1) (k×n) (n×n) (n×k) (k×n) (n×n) (n×1)

$$V = \begin{pmatrix} \sigma_1^2 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & \sigma_n^2 \end{pmatrix} \quad \text{grid angle} \quad V_{ij} += \delta \alpha^2 \frac{\partial t_i}{\partial \alpha} \frac{\partial t_j}{\partial \alpha}$$

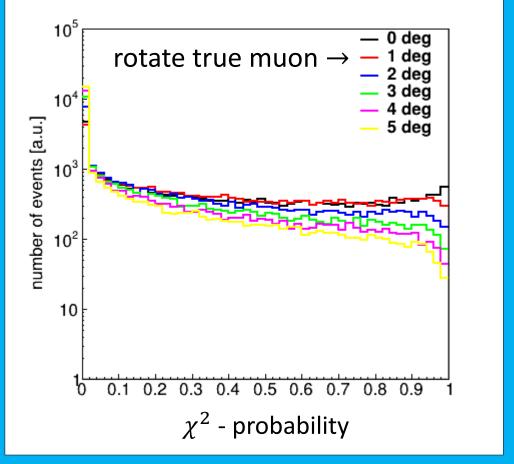
### Which N best?

#### w/o co-variances

#### 10<sup>5</sup> 0 deg rotate true muon → deg 2 deg 3 deg 10 4 deg number of events [a.u.] 5 deg 0.2 0.3 0.4 0.5 0.6 0.7 $\chi^2$ - probability

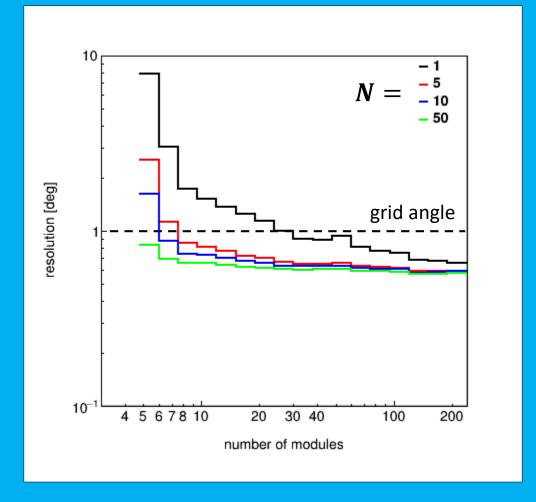
#### with co-variances





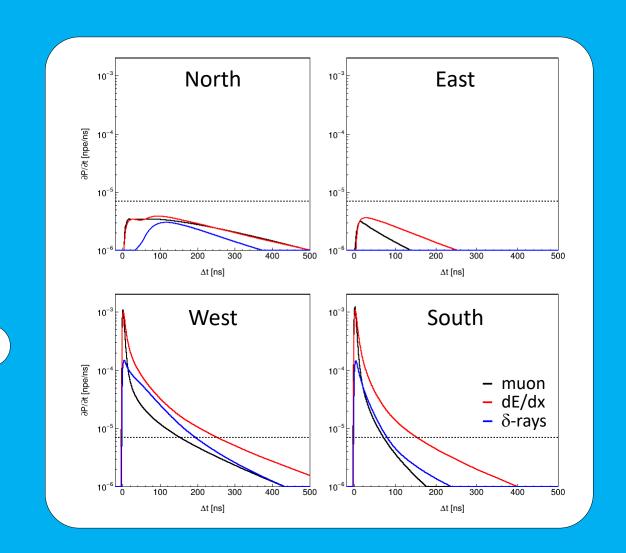
### Which N best?



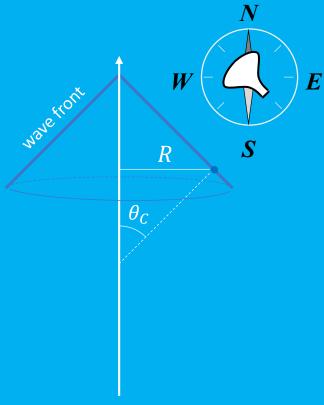




# PDF of Cherenkov light



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### PDF of Cherenkov light

 PDF can be calculated from first principles when [effective] scattering length is much larger than absorption length (see <u>documentation</u>)

$$\frac{1}{R} \propto \frac{1}{R}$$

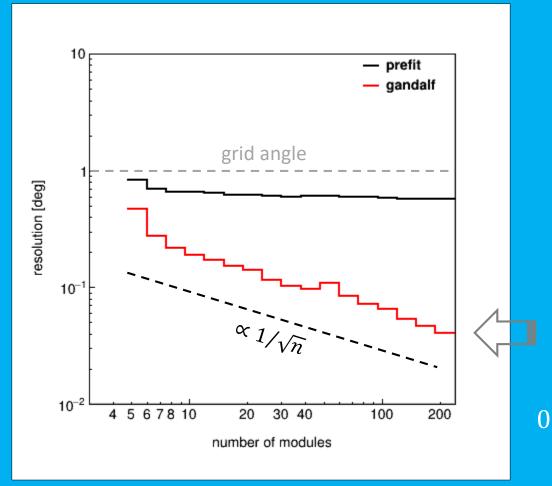
$$\frac{d\mathcal{P}}{dt} = \Phi_0(R,\lambda) A \left(\frac{\partial t}{\partial \lambda}\right)^{-1} \varepsilon(\cos\theta_{\odot}) QE(\lambda) e^{-d/\lambda_{abs}} e^{-d/\lambda_s}$$

$$\frac{d\mathcal{P}}{dt} = \iiint d\lambda \, dz \, d\phi_0 \, \frac{1}{2\pi} \frac{dN}{dx} \, \frac{1}{\lambda_s} \, \left(\frac{\partial t}{\partial u}\right)^{-1} \varepsilon(\cos\theta_{\odot}) \, QE(\lambda) \, e^{-d/\lambda_{att}} \, \frac{dP_s}{d\Omega_s} \, d\Omega$$

$$\frac{\partial N_s}{\partial u}$$

$$\frac{1}{1-\cos\theta_s}$$

#### Performance



world's best!

 $0.05^{\circ} \cong 1 \ mrad \cong 3 \ arcmin$ 

# "intelligent lockdown"

Dear Dorothea and Robert,¶

3 March 2020

I would like to suggest that the acoustic position calibration is approached from a data analysis perspective. If I correctly understood, there are various emitters on the seabed. The acoustic signals are regularly produced but the actual time of the emission is not directly recorded. These signals are synchronously detected in different optical modules located on different strings. Furthermore, the positions of the modules are constraint by the mechanics of the string. To make optimal use of the available information, the shapes of the different strings should simultaneously be reconstructed from the measured times-of-arrival of the acoustic signals. In this, the time of emission of the acoustic signal is a free parameter. To further constrain the system, signals from different emitters should be joint. Regards,

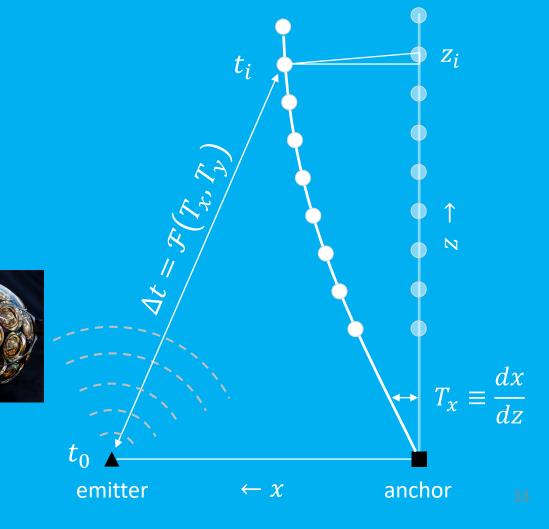
Maarten





### Concept

- model
  - mechanical constraints
  - shape of string = drag + buoyancy
- parameters
  - string: 2 tilt angles  $(T_x, T_y)$
  - ping: 1 time of emission  $(t_0)$
- data
  - time of arrival  $t_i$  in optical module



### Implementation

#### 1. event builder

correlate times of emission from given emitter (referred to as "event")

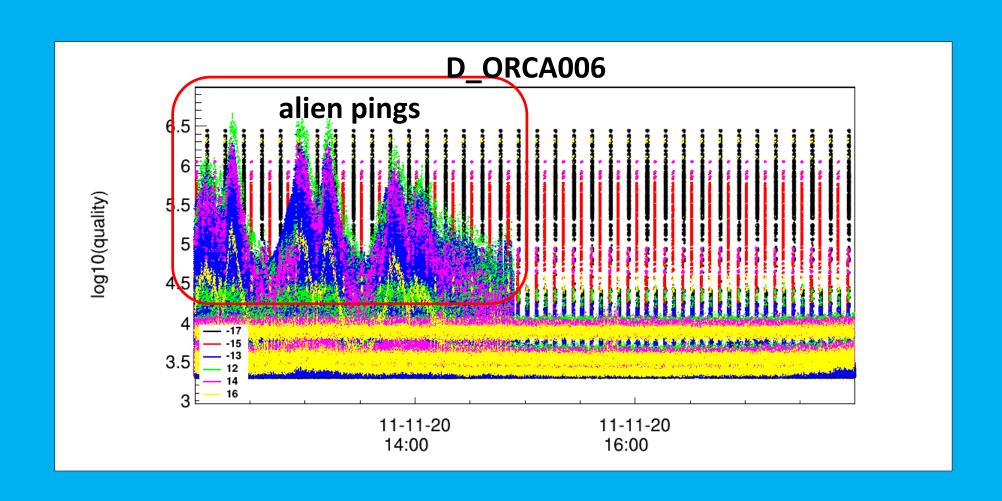
#### 2. fit

- combine events within fixed time intervals (typically 10 mins.)
- simultaneously fit all model parameters to data

#### 3. dynamical calibration of detector

 interpolate fitted model parameters and correct detector geometry on-the-fly (typically every minute)

#### Event builder



# Culprit



#### Fit

- Levenberg-Marquardt algorithm (text book)
  - repeatedly solve curvature matrix equation

$$A \bar{x} = \bar{b}$$

$$(n \times n) (n \times 1) \qquad (n \times 1)$$

$$A_{ij} \equiv \sum_{N} \frac{\partial \chi^{2}}{\partial x_{i}} \frac{\partial \chi^{2}}{\partial x_{j}} \qquad b_{i} \equiv \sum_{N} \frac{\partial \chi^{2}}{\partial x_{i}}$$

- Number of parameters  $n \sim 300$
- Number of data points  $N \sim 100,000$

$$A_{ij} \equiv \sum_{N} \frac{\partial \chi^{2}}{\partial x_{i}} \frac{\partial \chi^{2}}{\partial x_{j}} \qquad i \in \{1, 2, \dots, n\}$$

$$\sum \Rightarrow CPU$$

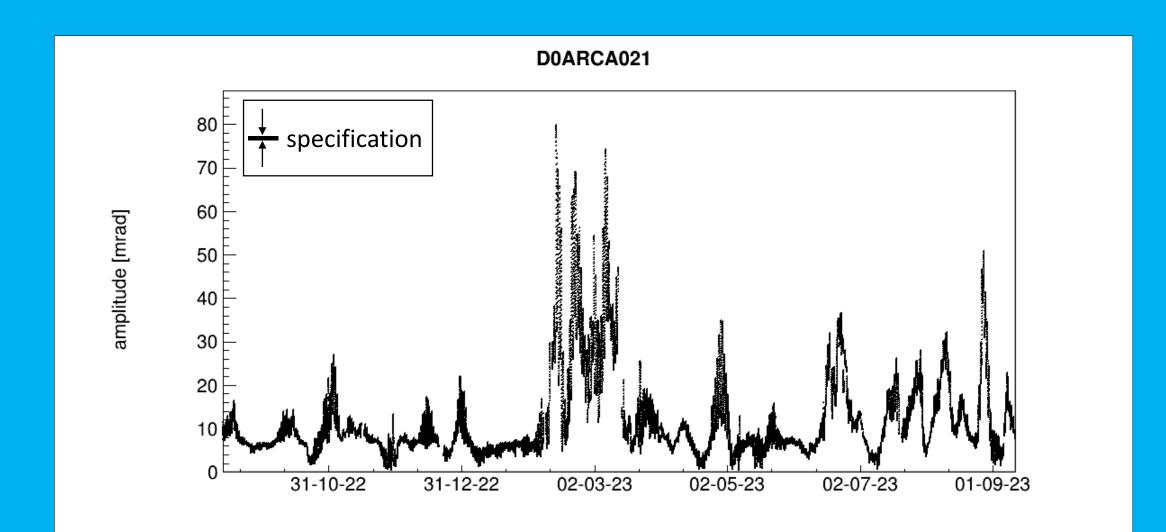
Each data point affects only <u>one</u> ping and <u>one</u> string (= 3 parameters)

• 
$$i \notin \{i_1, i_2, i_3\} \Rightarrow \frac{\partial \chi^2}{\partial x_i} = 0$$

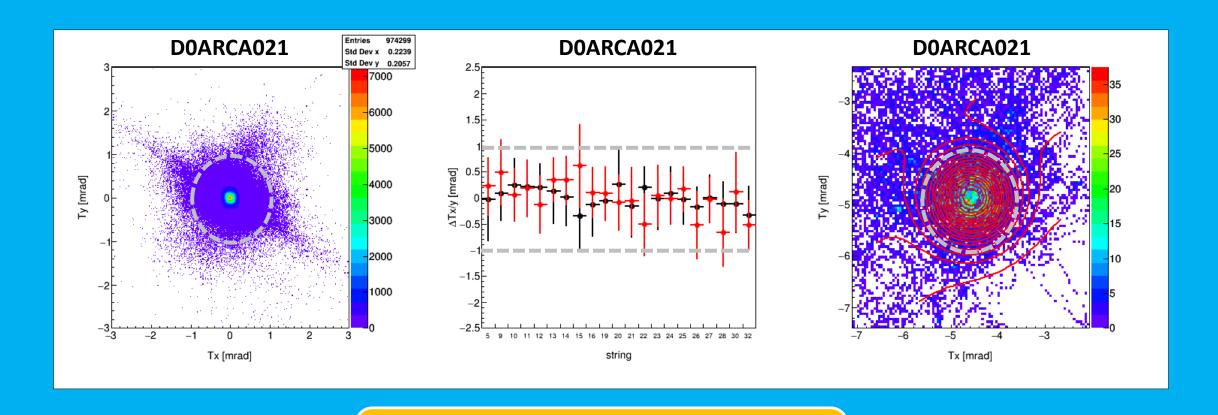
$$A_{ij} \equiv \sum_{N} \frac{\partial \chi^{2}}{\partial x_{i}} \frac{\partial \chi^{2}}{\partial x_{j}} \qquad i \in \{i_{1}, i_{2}, i_{3}\}$$

customisation  $\Rightarrow$  1000 x faster

# Results

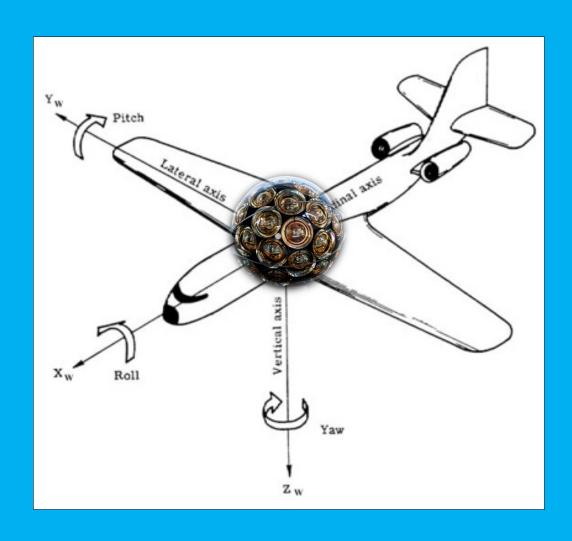


## Resolution



resolutions within 1 mrad

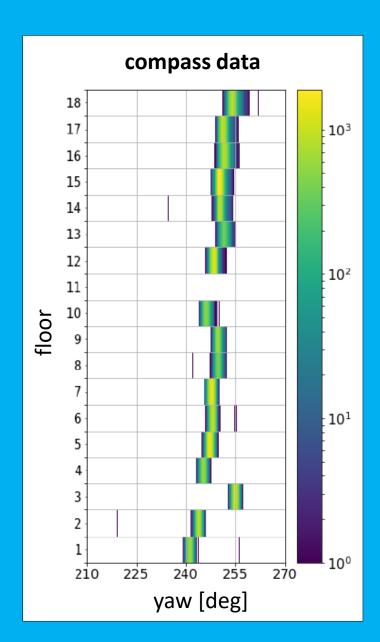
## Orientation calibration

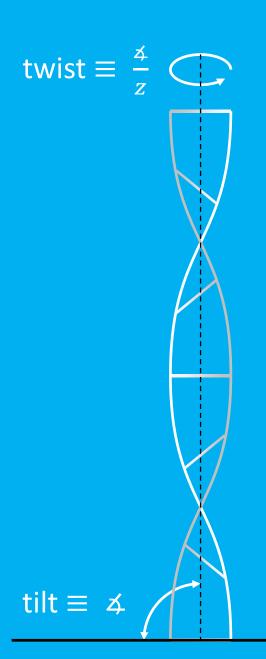






Here as he walked by on the 16th of October 1843
Sir William Rowan Hamilton in a flash of genius discovered the fundamental formula for **quaternion** multiplication  $i^2 = j^2 = k^2 = i j k = -1$  & cut it on a stone of this bridge.





#### idea

#### orientation of optical modules

- measured by 3D-compass
  - yaw, pitch and roll
- related through string mechanics
  - tilt
  - twist

#### model

- $\bullet \ Q_i = Q_0 Q_1^{z_i}$ 
  - $Q_0$  tilt of the string
  - $Q_1$  twist of string
  - $z_i$  height floor i
- data
  - yaw, pitch and roll → quaternion

Fit polynomial of quaternions to quaternions

"Easier said than done."

- Metric
  - geodesic distance between two quaternions
- Resolution for  $\chi^2$  evaluation

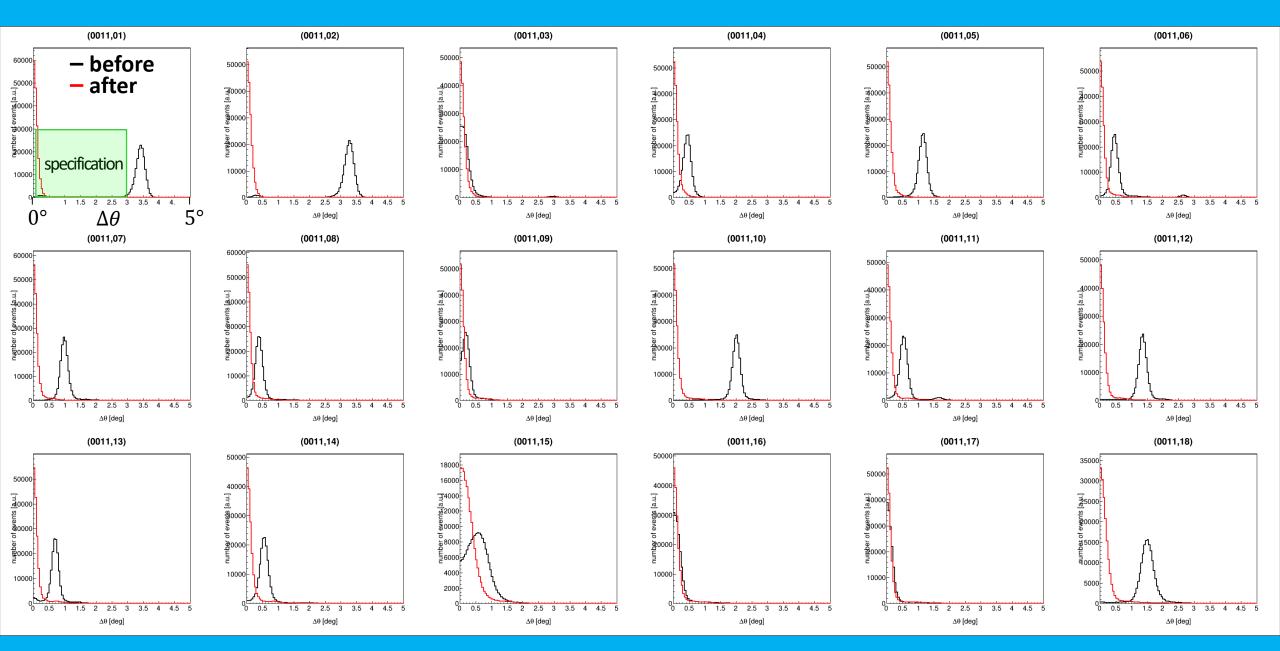
• 
$$\sigma \equiv \frac{1}{2} \sqrt{\sigma_{\chi}^2 + \sigma_{y}^2 + \sigma_{z}^2}$$

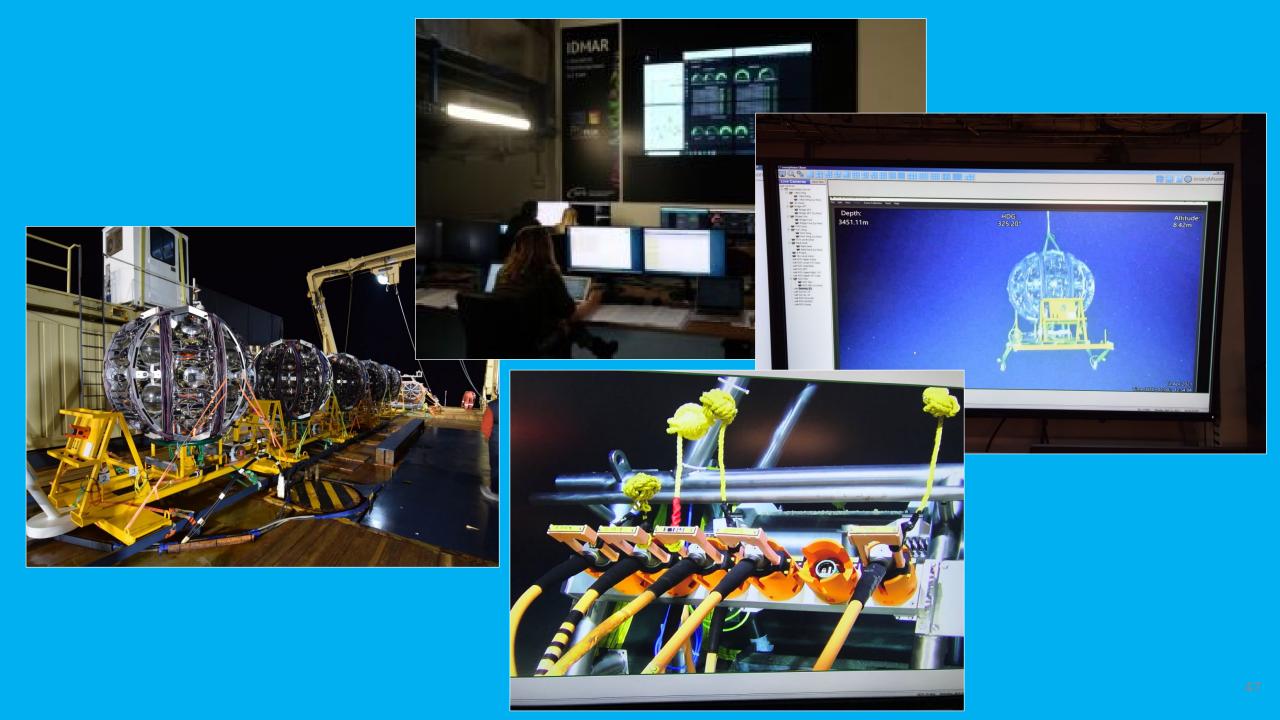
- Minimiser
  - JSimplex¶ works out-of-the-box
    - step corresponds to multiplication  $Q \pm \Delta Q \Rightarrow Q \Delta Q^{\pm 1}$
    - scaling corresponds to power  $Q \times y \Rightarrow Q^y$

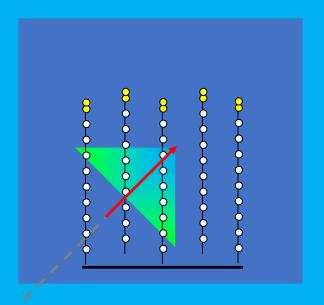
✓ Euler's theorem

"Easier done than said."

<sup>¶</sup> JSimplex is a custom-made minimiser in C++.



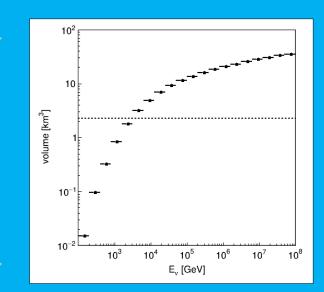






data filter



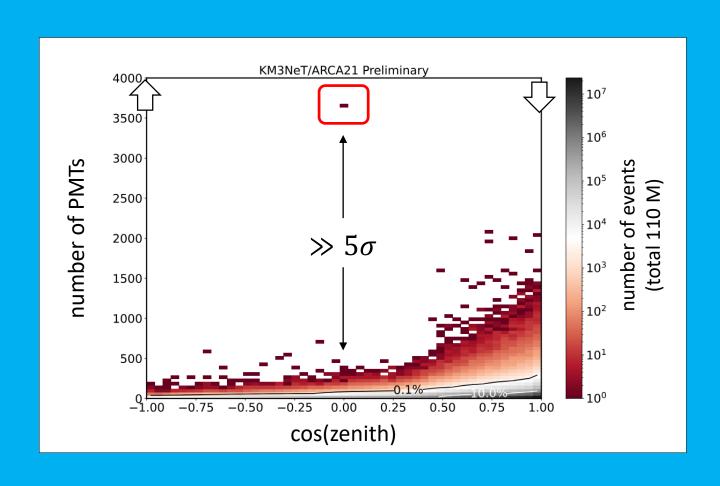


event reconstruction

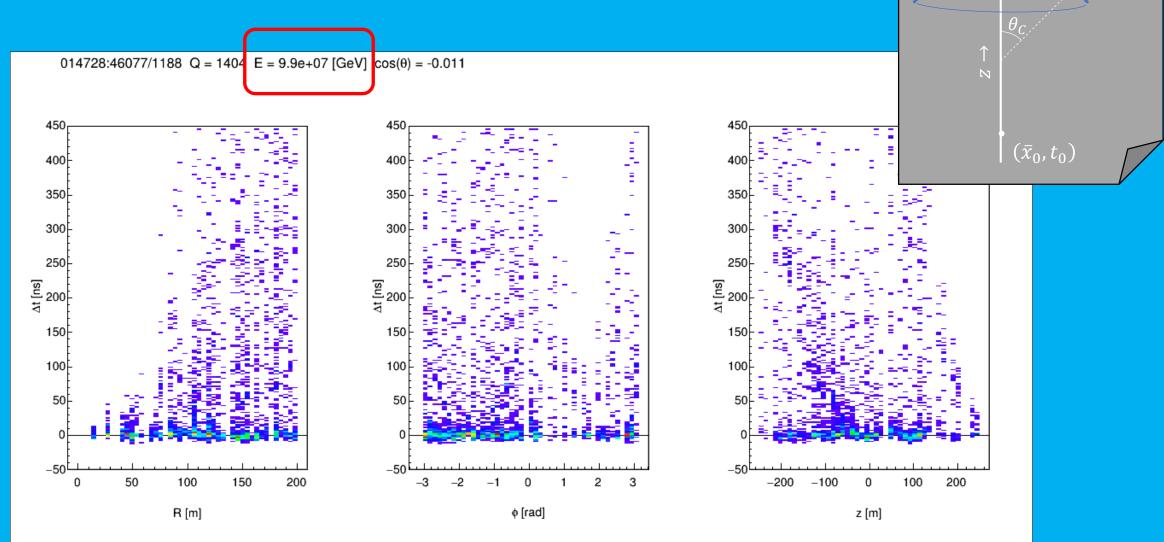
#### Search for hot spots...

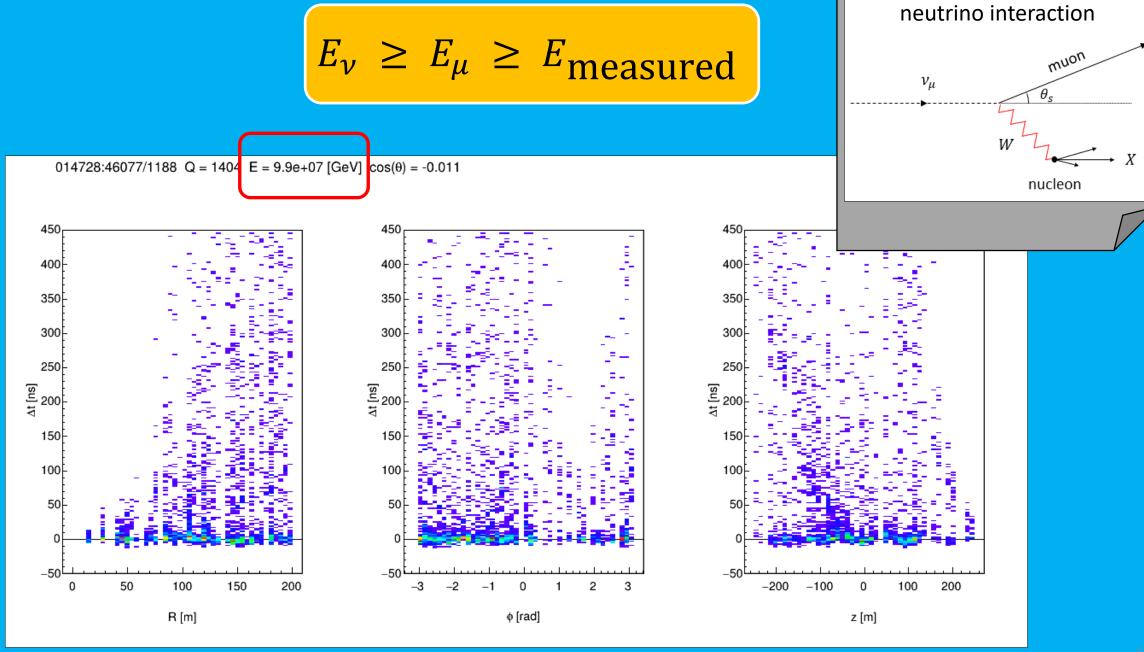


## KM3-230213A

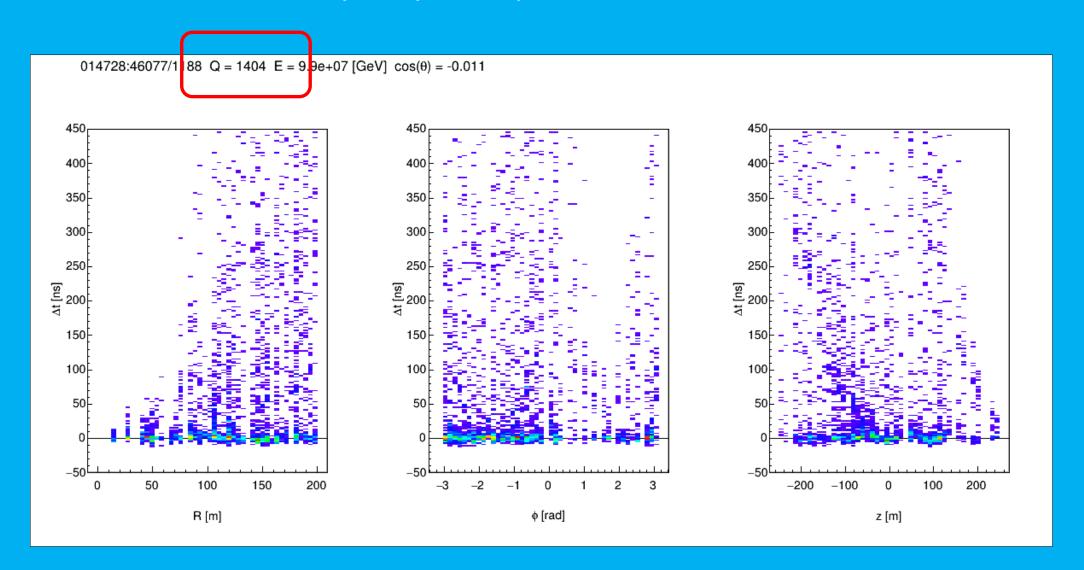


# Event display

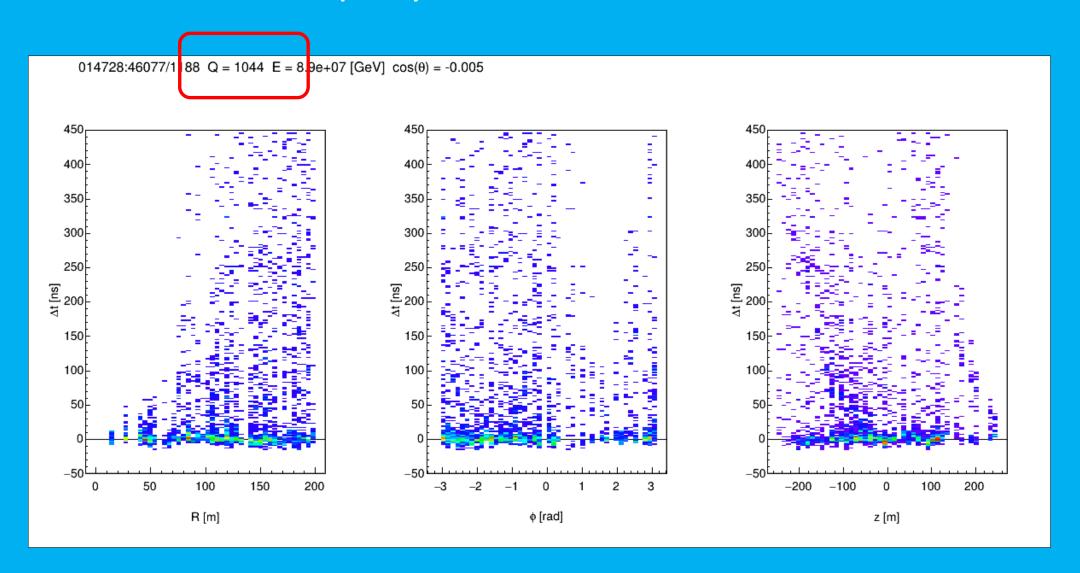




# Event display - dynamic calibrations



# Event display - static calibrations



# Q/A

- Why is direction of this neutrino close to horizon?
- Do we know [astrophysical] origin of neutrino?
- Could it be a tau?
- Could it be a charged-current interaction of a tau neutrino?
- Is observation [in]compatible with IceCube results?

# Summary & Outlook

- KM3NeT construction [now] proceeds as planned
  - time to completion about 5 years
  - deployment of hardware for absolute pointing of KM3NeT/ARCA 2025/2026
- KM3NeT operation ongoing
  - data are being recorded 24/7
  - neutrino physics with KM3NeT/ORCA
- KM3NeT science output depends on:
  - calibration = data analysis
  - reconstruction = data analysis