

# Numerical methods in General Relativity (and possible other theories of gravity...)

European Einstein Toolkit Meeting 2024



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**AMIT**  
MUCVAL

 **VIRGO**

Huge thanks to Bruno Giacomazzo and Peter Diener for their talks.

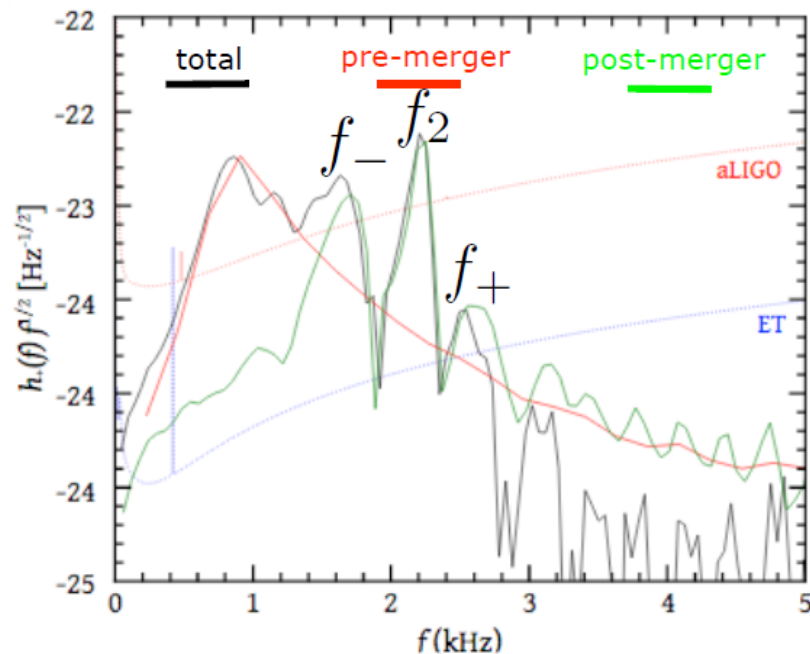
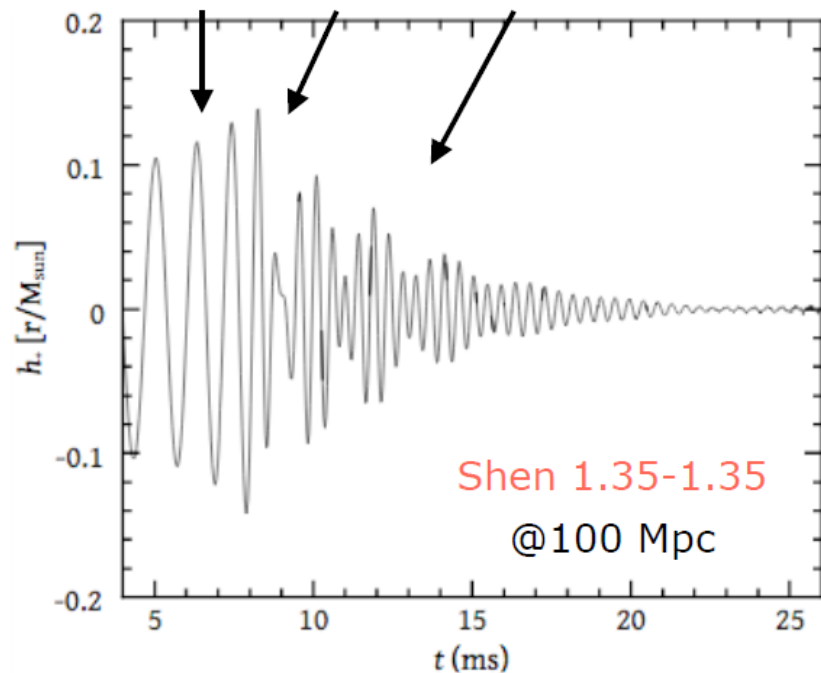
## Contents:

- Don't forget the details: EoS and numerical simulations.
- Numerical vs physical resistivity: MIRK methods for the RRMHD equations.
- Adding more ingredients: MIRK methods for the neutrino transport equations (M1 scheme) in supernovae simulations.
- Numerical resolution of elliptic equations: at least for initial data.
- Your hyperbolic sector has (gravitational) waves: RK methods and stability.
- Black hole singularities in your numerical grid.

And everything is **coupled...**

GW signal divided in three distinct phases:  
inspiral, merger, postmerger oscillations

Stergioulas+ (2011)



Triplet of frequencies identified in GW spectrum.

Future GW observations may extract  $f_2$  &  $f_0$

GW **asteroseismology** with post-merger remnant to **constrain the EOS**.

$f_{\text{peak}} = f_2$  fundamental  $l=m=2$   
f-mode oscillation

$f_- = f_2 - f_0$

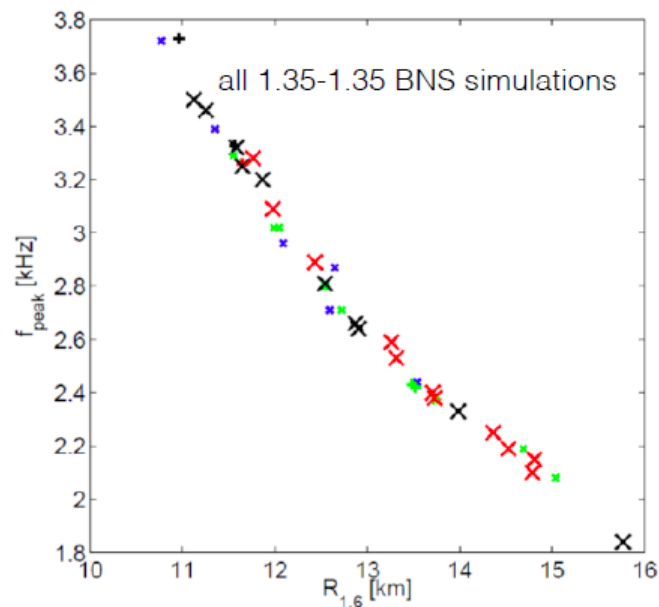
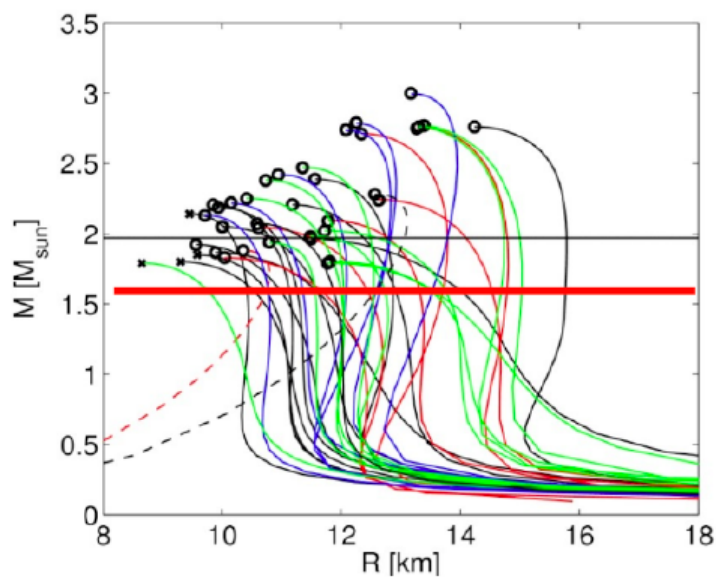
$f_+ = f_2 + f_0$

quasilinear  
combination tones

# Constraining the EoS

Bauswein+ (2012): peak frequency of  $(1.35-1.35)M_{\odot}$  BNS merger correlates with the radius of a  $1.6M_{\odot}$  nonrotating NS in an EoS-independent manner.

Similar relations found for other binary masses and other radii ( $R_{1.35}$  or  $R_{1.8}$ )



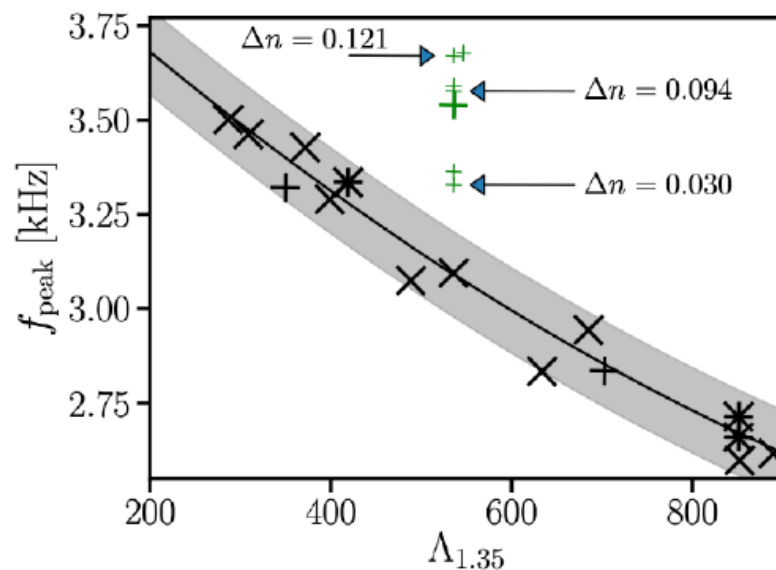
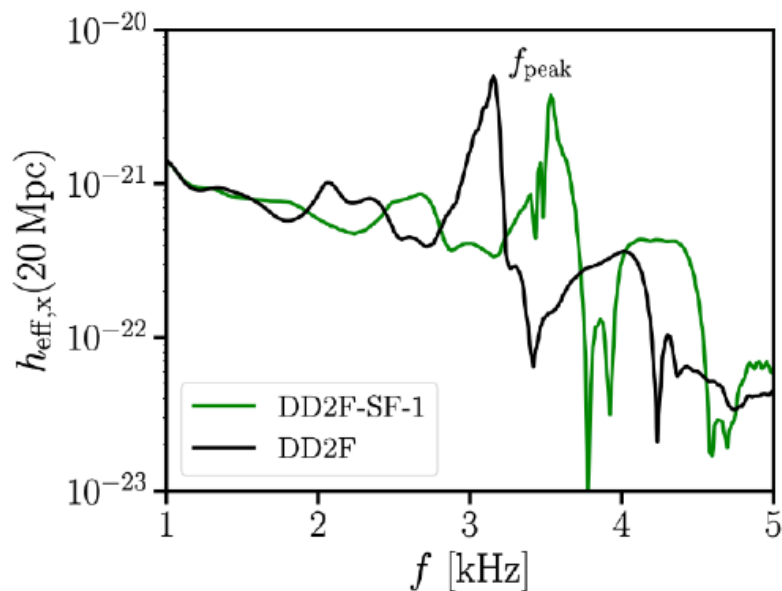
A potential measurement of  $f_{\text{peak}}$  from postmerger signal can be used to obtain an estimate on  $R_{1.6}$ , a quantity that can be used to directly constrain the EoS.

The smaller the scatter ( $<200$  m) the smaller the error in radius measurement.

# BNS mergers with hadron-quark phase transitions

Bauswein+ (2019) identified an **observable imprint** of a first-order hadron-quark PT at supranuclear densities on the GW emission of BNS mergers.

Dominant postmerger GW frequency  $f_{\text{peak}}$  may exhibit a **significant deviation** from an empirical relation between  $f_{\text{peak}}$  and tidal deformability if a first-order PT leads to the formation of a stable extended quark matter core in the postmerger remnant.



(Bauswein+ 2019)

Green + : DD2F-SF EoS (PT to deconfined quarks)

Black markers: purely hadronic EoS

EoS from Wrocław group (Fischer+ 2018, Bastian+ 2018)

- Could this shift in the frequency be explained by a different reason?
- Could the anomalous dynamics be triggered by a **non-convex** EoS?

Non-convexity of isentropes in the p-rho plane: compressive rarefaction waves and expansive shocks. **Classical fluid dynamics**, the convexity is determined by the **fundamental derivative**:

$$\mathcal{G}_{(C)} \equiv -\frac{1}{2} V \frac{\frac{\partial^2 p}{\partial V^2}}{\frac{\partial p}{\partial V}} \quad \mathcal{G}_{(C)} = 1 + \frac{\partial \log c_s}{\partial \log \rho} = \frac{1}{2} \left( 1 + \Gamma_1 + \frac{\partial \log \Gamma_1}{\partial \log \rho} \right)$$

(all derivatives computed at constant entropy)

$\Gamma_1$  adiabatic index. Characterizes stiffness of EoS at a given density, showing a local maximum above nuclear matter density.

Bethe (1942), Zel'dovich (1946) and Thompson (1971) (BZT) fluids exhibit negative values for the fundamental derivative.

**Relativistic fluids** [Ibáñez, Cordero-Carrión et al. (2013)]: extension to the relativistic case, introducing the **relativistic fundamental derivative**:

$$\mathcal{G}_{(R)} = \mathcal{G}_{(C)} - \frac{3}{2} c_{s(R)}^2$$

$$p = (\Gamma - 1)\rho\epsilon \quad \Gamma = \gamma_0 + (\gamma_1 - \gamma_0)e^{-\frac{(\rho - \rho_1)^2}{\sigma^2}}$$

Ibáñez+ 2018

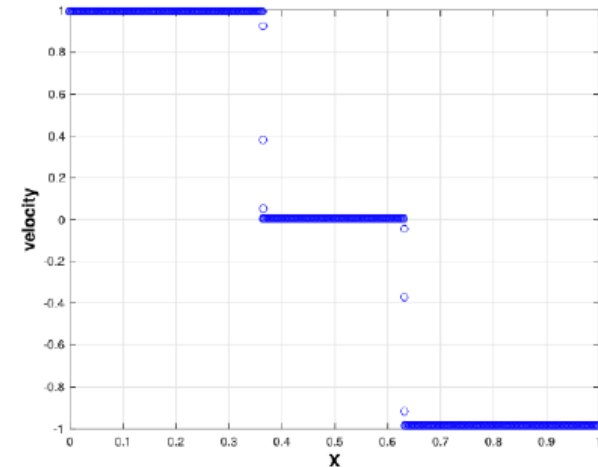
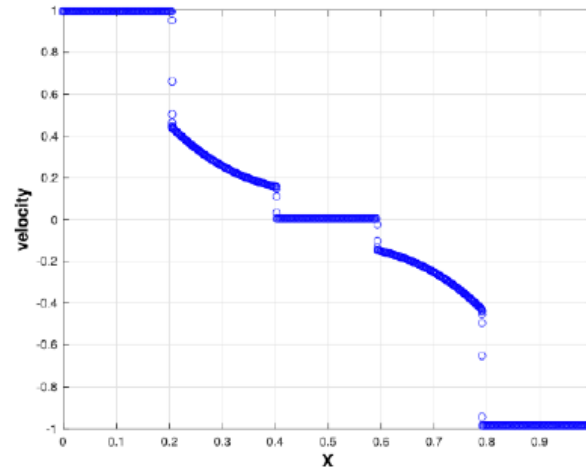
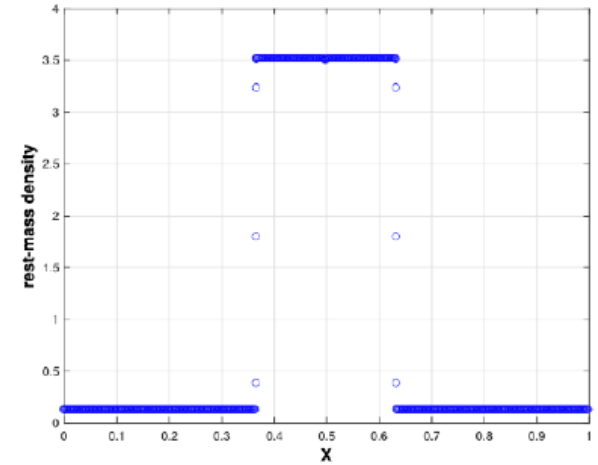
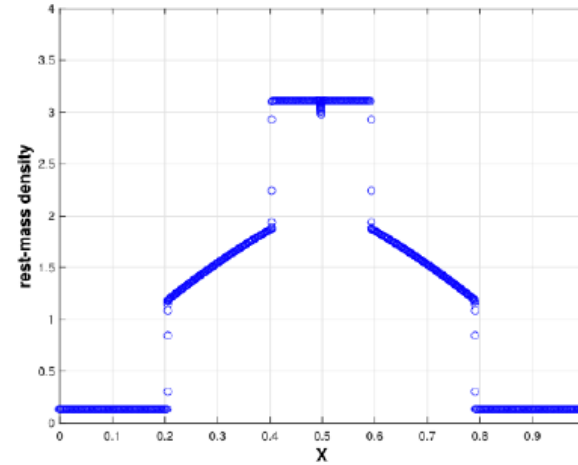
Non-convex dynamics  
(toy model EoS)

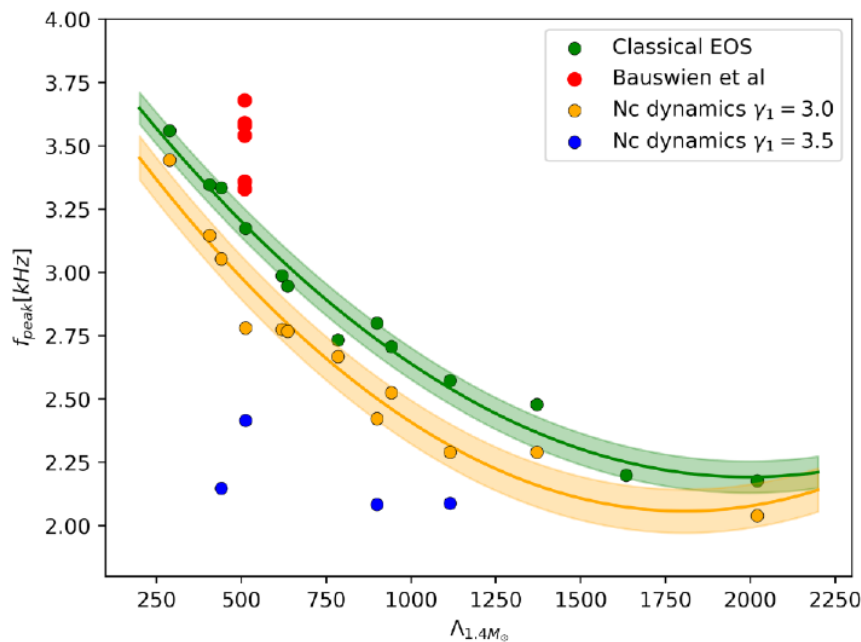
Convex dynamics  
(Ideal gas EoS)

EoS broadly used in numerical simulations of CCSN and BNS mergers display regions where adiabatic index is not monotonic  $\rightarrow$  non-convex regions.

Good news: you can use standard numerical methods.

Illustrative example: effect on BNS mergers with a phenomenological toy-model EoS





**Significant shifts** in frequency observed for f2 mode: as large as ~500 Hz for some choice of toy-model EoS parameters.

Do these findings hold for realistic EoS?

Rivieccio+  
(2024)

This is not really the end of the story [Ibáñez, Cordero-Carrión et al. (2015)]: the **relativistic fundamental derivative** has **corrections** due to the presence of **intense magnetic fields**.

$$\tilde{\mathcal{C}}_M := \tilde{\mathcal{C}} + F,$$

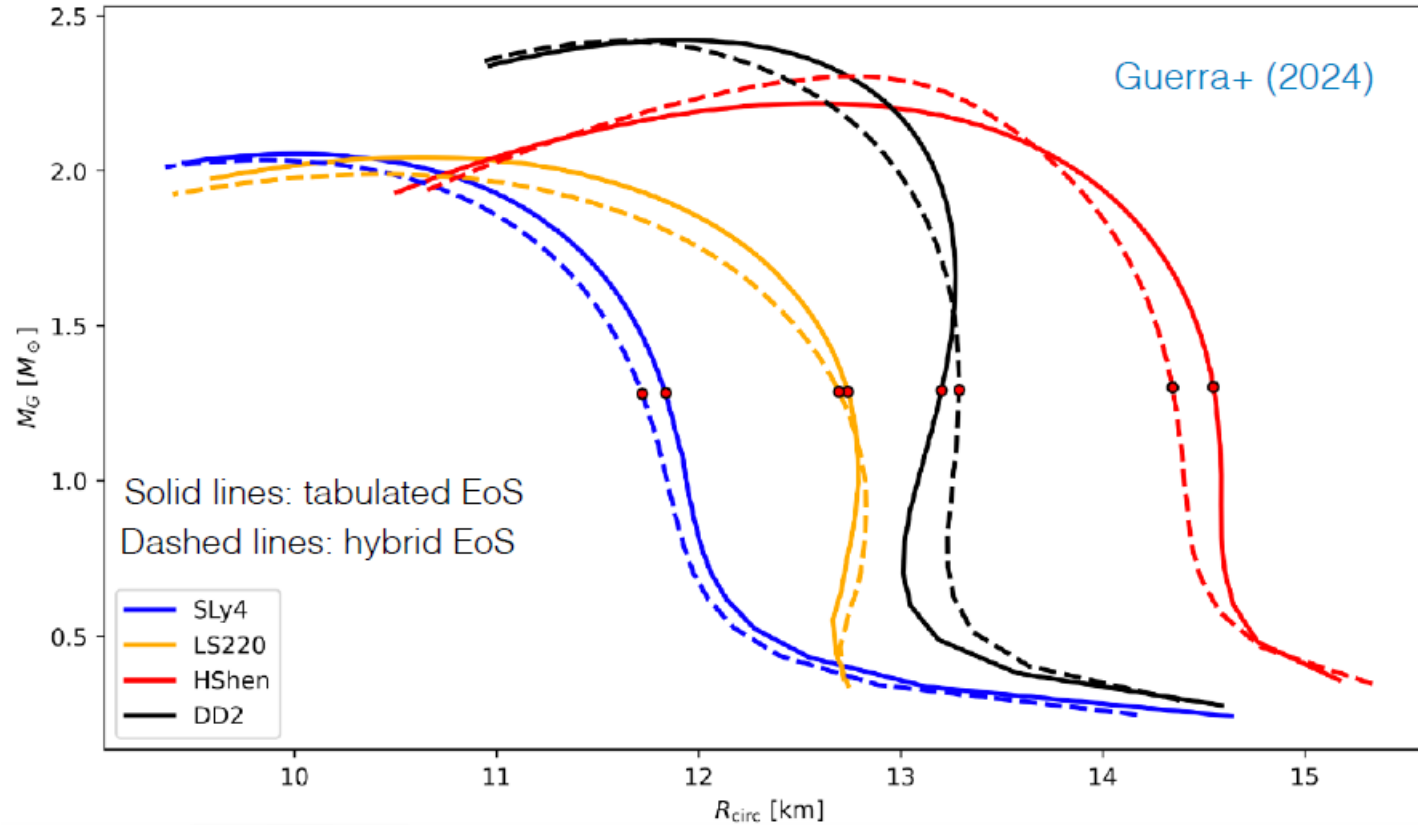
where

$$F := \frac{3}{2} W_s^{-4} \left( \frac{c_a^2/a_s^2 - R}{1 - R} \right).$$

In the previous expressions,  $R := \frac{B^2}{\epsilon a^2}$ , and  $c_a^2 := \frac{b^2}{\epsilon}$



Also, take care about the treatment of thermal effects in postmerger BNS merger with hybrid EoS vs tabulated EoS:



# MIRK methods for the RRMHD equations

- **Magnetic fields** are key in accretion disks, AGN, relativistic jets, compact objects.
- A consistent treatment is necessary to avoid **numerical resistivity**.
- Hyperbolic equations + constraints (divergence of magnetic and electric fields) → augmented system of **hyperbolic equations** [Komissarov 2007] (velocity, density, electric and magnetic fields, two additional scalar equations).

·· **Structure** of the equations:  $\partial_t E^j = S_E^j - \sigma W[E^j + (v \times B)^j - (v_l E^l)v^j] = \tilde{S}_E^j,$

$$\partial_t B^j = S_B^j,$$

$$\partial_t Y = S_Y,$$

- Avoid numerical instabilities due to **stiff source term** in the evolution equation for the electric field for **high conductivities**.

# MIRK methods for the RRMHD equations

· · **PIRK methods** to deal with wave-like equations (electric and magnetic fields) for low-order methods.

[I. C.-C. and P. Cerdá-Durán, arXiv:1211.5930 (2012)]

[I. C.-C. and P. Cerdá-Durán, SEMA SIMAI Springer Series Vol. 4 (2014)]

$$\begin{cases} u_t = \mathcal{L}_1(u, v), \\ v_t = \mathcal{L}_2(u) + \mathcal{L}_3(u, v), \end{cases}$$

$$\text{linearization } \begin{cases} u_t = \bar{\alpha}_1 u + \bar{\alpha}_2 v, \\ v_t = \bar{\gamma}_1 u + \bar{\gamma}_2 v + \bar{\lambda} u, \end{cases}$$

$$\text{wave-like eq.: } (\bar{\alpha}_1 - \bar{\gamma}_2)^2 + 4\bar{\alpha}_2(\bar{\gamma}_1 + \bar{\lambda}) < 0.$$

· · **Ideal limit**: infinite conductivity and  $E^i = -(\mathbf{v} \times \mathbf{B})^i$ .

· · **Implicit / Semi-implicit methods** include additional **recoveries** of primitive variables from conserved ones [Palenzuela et al. 2009] → potential convergence problems, additional computational cost.

## MIRK methods for the RRMHD equations

· First-order MIRK method (stability criteria to select coefficients):

$$E^j|_{n+1} = E^j|_n + \Delta t S_E^j|_n - \Delta t \bar{\sigma}|_n [c_1 E^j|_n + (1 - c_1) E^j|_{n+1}] + c_2 (v \times B)^j|_n \\ + (1 - c_2) (v|_n \times B|_{n+1})^j - c_3 v^j|_n v_l|_n E^l|_n - (1 - c_3) v^j|_n v_l|_n E^l|_{n+1},$$

$$B^j|_{n+1} = B^j|_n + \Delta t S_B^j|_n,$$

$$Y|_{n+1} = Y|_n + \Delta t S_Y|_n.$$

→ Pure explicit method with an effective time step:

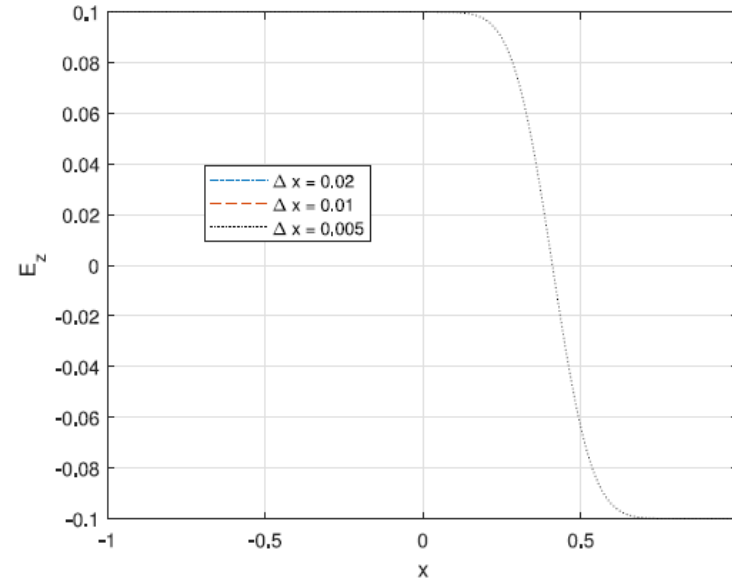
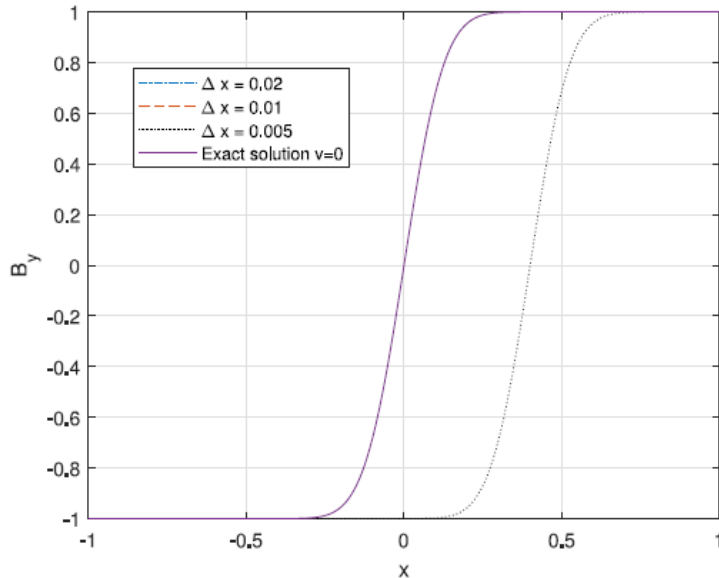
$$E^i|_{n+1} = E^i|_n + \frac{\Delta t}{1 + \Delta t \bar{\sigma}|_n} \left[ S_E^i|_n + \bar{\sigma}|_n E^l|_n (v^i|_n v_l|_n - \delta_l^i) - \bar{\sigma}|_n (v|_n \times B|_{n+1})^i \right].$$

· Analogous derivation for the two-stage second-order MIRK method.

# MIRK methods for the RRMHD equations

· Applications: **Self-similar current sheet**: 1D problem; CFL=0.8; initial data at t=1:

$$\mathbf{v} = (v^x, 0, 0), \mathbf{E} = (0, 0, 0), \mathbf{B} = (0, B^y(x, t = 1), 0), B_e^y(x, t) = \operatorname{erf}\left(\frac{x}{2}\sqrt{\frac{\sigma}{t}}\right) \quad \sigma = 10^3.$$



Stable simulations with zero and non-zero velocities ( $v_x = 0.1$ ), first and second-order methods.

# MIRK methods for the RRMHD equations

· Applications: **Circular Polarized Alfvén waves**: 1D; full system (including matter); EoS for an ideal fluid,  $\Gamma = 4/3$ ;  $\rho(x, 0) = p(x, 0) = 1$ ; CFL=0.3  $\rightarrow$  0.7;  $\sigma = 10^8$ ; KO term;

$$\mathbf{B}(x, 0) = B_0 (1, \cos(kx), \sin(kx)),$$

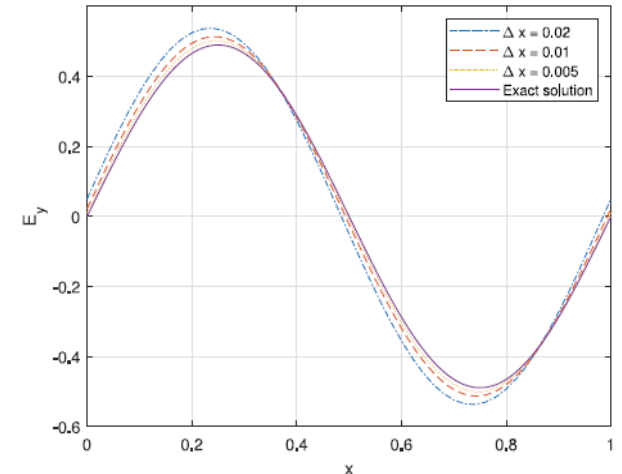
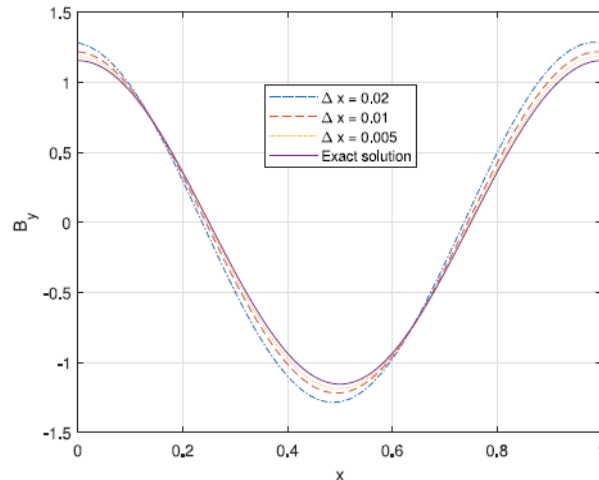
with  $k = 2\pi$  and  $B_0 = 1.1547$ , and

$$\mathbf{E}(x, 0) = -\mathbf{v}(x, 0) \times \mathbf{B}(x, 0),$$

with  $\mathbf{v}(x, 0) = \frac{v_A}{B_0} (0, B^y(x, 0), B^z(x, 0))$  and  $v_A = 0.423695$

**Stable simulations**, with first and second-order methods.

Exact solution refers to the one in the stiff limit (close enough for very high conductivities).



# MIRK methods for the M1 neutrino transport equations

- The explosion mechanism of CCSNe cannot be understood without a detailed account of the generation and transport of neutrinos.
- Boltzmann equation (7D problem) → momentum-space integration of the distribution function. Truncation: n=0 or diffusion; n=1, quite used – M1 scheme.
- Optically thick regime → very different timescales of different interactions and stiff source term for very high opacities.
- Structure of the equations: 
$$\begin{aligned}\partial_t E &= S_E + C^{(0)}, & C^{(0)} &= c \kappa_a (E_{\text{eq}} - E), \\ \partial_t F^i &= S_F^i + C^{(1),i}, & C^{(1),i} &= -c \kappa_{\text{tra}} F^i.\end{aligned}$$
- IMEX-like method [Just et al. 2015]. Complexity of applying IMEX methods: opacities, equilibrium profile.

# MIRK methods for the M1 neutrino transport equations

· Similar **derivation** of MIRK methods, taking into account stability and limit at the stiff limit: effective time-step when written similar to explicit methods.

→ **First-order:**

$$E^{n+1} = E^n + \frac{\Delta t}{1 + \Delta t \kappa^n} \left[ S_E^n + \kappa^n (E_{\text{eq}}^n - E^n) \right]$$

$$(F^i)^{n+1} = (F^i)^n + \frac{\Delta t}{1 + \Delta t \kappa^{i n}} \left[ (S_F^i)^n - \kappa^{i n} (F^i)^n \right]$$

→ **Second-order:** (similar expressions for F)

$$E^{(1)} = E^n + \Delta t \left[ S_E^n + a \kappa^n (E_{\text{eq}}^n - E^n) + (1 - a) \kappa^n (E_{\text{eq}}^n - E^{(1)}) \right],$$

$$E^{n+1} = \frac{1}{2} [E^{(1)} + E^n] + \Delta t \left[ \frac{1}{2} S_E^{(1)} + a' \kappa^{(1)} (E_{\text{eq}}^{(1)} - E^{(1)}) + \frac{1 - a}{2} \kappa^{(1)} (E_{\text{eq}}^{(1)} - E^n) + \left( \frac{a}{2} - a' \right) \kappa^{(1)} (E_{\text{eq}}^{(1)} - E^{n+1}) \right],$$

Opt 1) Second order at the stiff limit for **smooth variables**:

$$a' = \frac{a - 1}{2} \quad (\text{similar for } b').$$

Opt 2) Guarantee of stiff limit even if **non-smooth variables**:

$$b' = \frac{(1 - b)^2}{2b}, \quad b \in (-\infty, 0) \cup (1/2, 1). \quad (\text{similar for } a').$$



# MIRK methods for the M1 neutrino transport equations

· Applications: **Simple test**: test 1 from [J.A. Pons, J.M. Ibáñez, J.A. Miralles, MNRAS 317, 550-562 (2000)]:

**Difussion limit** ( $P = p E = E/3$ ) in **spherical symmetry** (1D problem) and  $\kappa_a = 0$ :

$$\begin{aligned}\partial_t E + \partial_r F + \frac{F}{r} &= -c \kappa_a (E_{\text{eq}} - E) \\ \partial_t F + \partial_r P + \frac{3P - E}{r} &= -c \kappa_{\text{tra}} F\end{aligned}$$

*Note: Blue arrows in the original image point from the terms  $\kappa_a$  and  $\kappa_{\text{tra}}$  to a '0' below them, indicating they are set to zero in the diffusion limit.*

Analytical **solution**,  $c=1$  (geometrical units):

$$E(t, r) = \left(\frac{\kappa_{\text{tra}}}{t}\right)^{3/2} \exp\left(-\frac{3\kappa_{\text{tra}} r^2}{4t}\right) \quad F(t, r) = \frac{r}{2t} E(t, r)$$

# MIRK methods for the M1 neutrino transport equations

· Applications: **Simple test:**

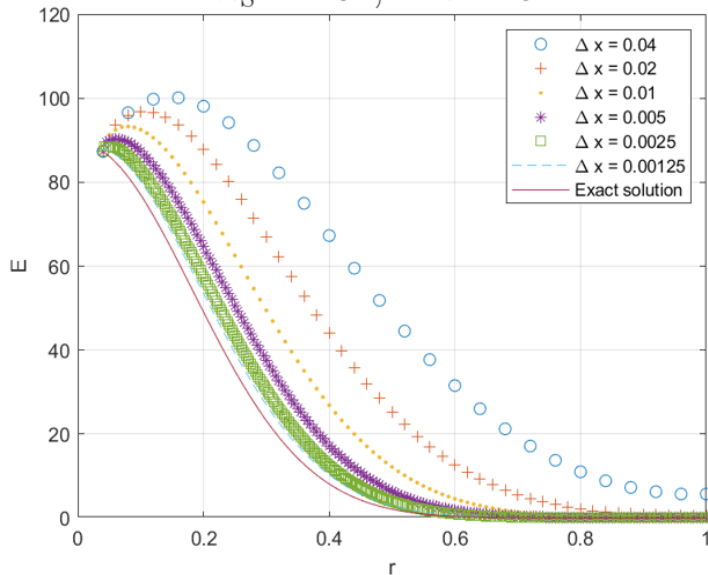
MIRK1:  $a=b=0$ . MIRK2:  $a=b=1/2$ ,  $a'=(a-1)/2$ ,  $b'=(b-1)/2$ . Similar results.

0.6302	0.7416	0.8401	0.9096	0.9516
0.6308	0.7424	0.8409	0.9102	0.9520

MIRK1	4.1606	1.4709	1.7560	1.9217	1.9835
MIRK2	4.1602	1.4704	1.7553	1.9202	1.9804

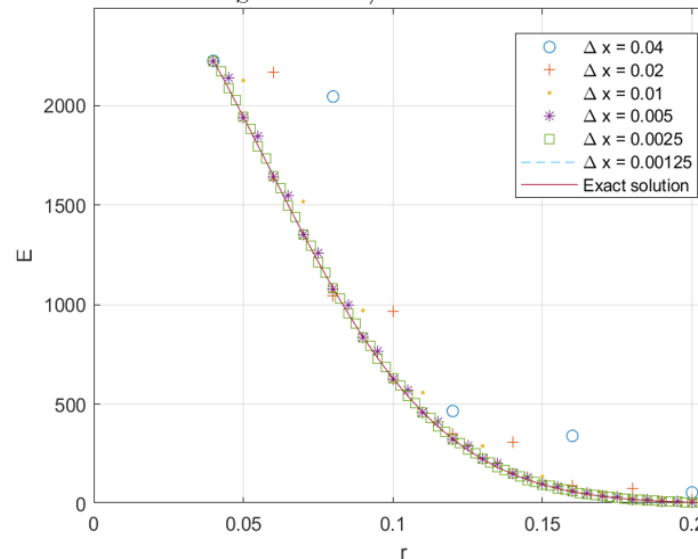
**Numerical flux: Godunov method**

$\kappa_S = 10^2$ ,  $t = 5$



**Numerical flux: Centered finite differences**

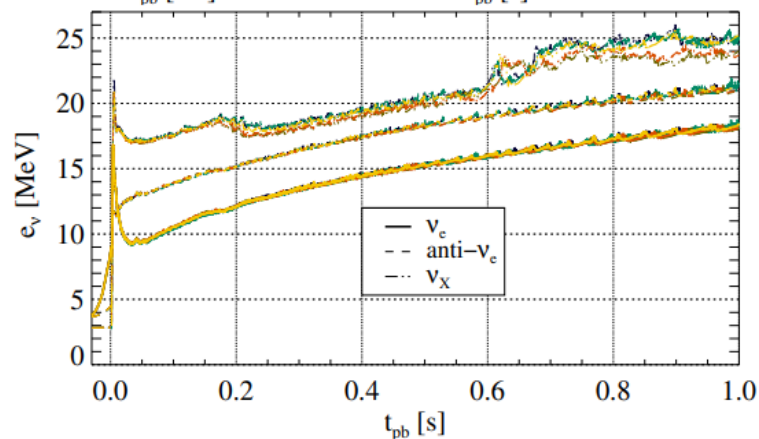
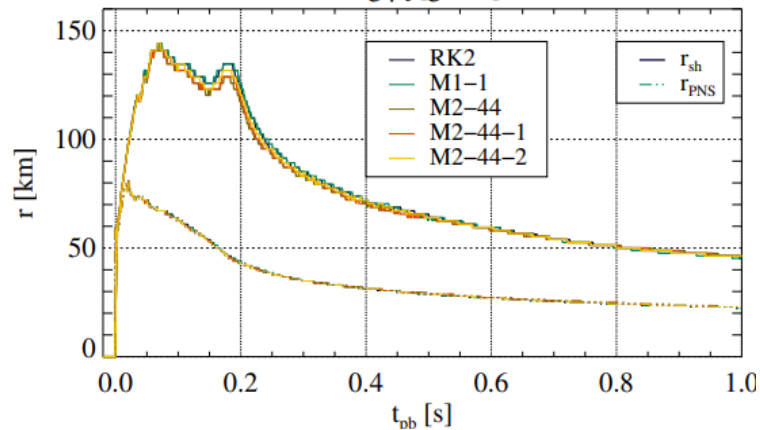
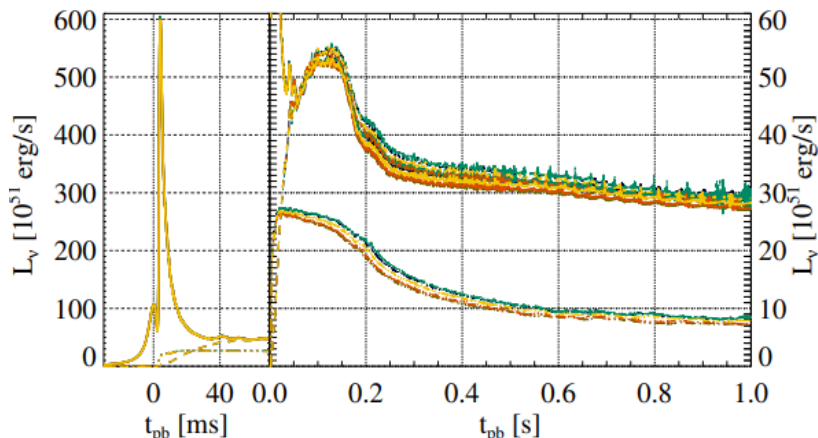
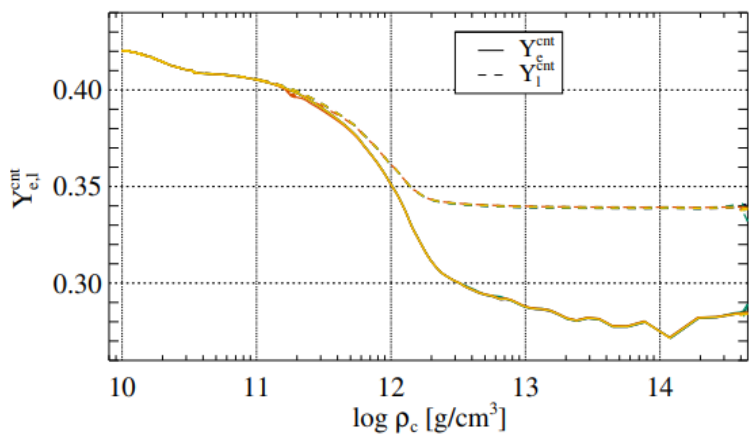
$\kappa_S = 10^5$ ,  $t = 500$



CFL=1.

Exact solution used at boundary conditions and initial data at  $t=1$ .

# MIRK methods for the M1 neutrino transport equations



.. Applications:  
Core-collapse simulation with all the important interactions that dominate the dynamics (see more details in arXiv reference).

# MIRK methods for the M1 neutrino transport equations

· Applications: Core-collapse simulation:

→ Stable and accurate results using 1st and 2nd MIRK methods vs reference.

→ Direct relation between the values of the coefficients and stability + correct values at the stiff limit (non-smooth variables).

→ Slight modifications from pure explicit methods and similar computational cost, independently of the complexity of opacities and equilibrium profile.

General idea MIRK methods: Hyperbolic equations with stiff source terms that can be somehow linearized with respect to the conserved (evolved) variables:

$$\partial_t U + \partial_i F^i(U) = S(U), \quad S(U) = S_E(U) + \frac{1}{\epsilon} [S_I(U) - U_0];$$

$$S_I(U) = \sum_{i=1}^n G_i(U) U^i.$$

Only the conserved variables are evaluated implicitly. More examples: GR force-free electrodynamics, rarefied gases problems, shallow water equations with friction...

**Constrained evolution schemes**: SOLVE the evolution and constraint equations on each spatial hypersurface: CFC, FCF...

**CFC (Conformally Flat Condition)** Isenberg 1979/2008, Wilson and Mathews 1989: conformally flat spatial 3-metric; gravitational radiation encoded in the neglected terms.

- Exact in spherical symmetry (CC 2011). Very **accurate** for axisymmetric rotating NSs.
- Set of **elliptic equations** for the metric variables (including the constraint equations): lapse, shift, conformal factor.
- Shares similar **structure** with **XCTS**, used in generation of initial data.
- Original formulation suffers from a **non-local uniqueness pathology** at extreme curvature or very high density regimes. This problem is solved with the introduction of auxiliary variables [Cordero-Carrión et al., 2009]. See talk in **Einstein toolkit meeting 2019**.

**FCF (Fully Constrained Formulation)** Bonazzola et al., 2004:

- Maximal slicing and Dirac generalized gauge.
- Similar elliptic system as in CFC with additional source terms + hyperbolic new sector encoding the GW radiation.

**Elliptic equations** are more stable but difficult to solve and parallelize:

- Initial data talk by P. Grandclément → **spectral methods** with Lorene library, commonly used.
- **Chevishev-Jacobi methods (CJM)** (Adsuara et al. 2017): parallelization is possible.

**Hyperbolic equations:** PIRK methods developed for the hyperbolic FCF sector and afterwards applied to other (free evolution) formulations (BSSN).

Coupled with **matter** content...

## Black hole singularities: infinite quantities cannot be treated numerically

→ Remap somehow your space-time: **puncture method** commonly used in free evolution schemes (BSSN) and BBH simulations.

→ **Excise** a topological sphere from your numerical grid containing the black hole singularity:

- **Pretorius** 2005 simulations used GHG and excision.
- Excision can be combined with the **CFC formulation** [Cordero-Carrión et al., 2014].

This idea with a small modification has been recently used in **core-collapse simulations** [B. Sykes et al., 2023].

More ideas are about to come in the **1D case**.

More research is needed in the **2D / 3D cases**.

