

# Electric Dipole Moments and the strong CP problem



Jordy de Vries, Nikhef, Amsterdam

Topical Lectures on electric dipole moments, Dec. 14-16

# Introductory remarks

- Strong CP violation is a technical subject. **Here outline the main ideas.**
- Start by considering the QED Lagrangian

$$L = \bar{q}(i\gamma^\mu D_\mu - m_q)q - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad D_\mu = \partial_\mu - iQ_q A_\mu$$

- Why these terms? They are **almost all** gauge-invariant terms (U(1) gauge) with terms up to ‘dimension 4’.
- **SM = all renormalizable terms that obey SU(3)xSU(2)xU(1) gauge invariance involving known degrees of freedom (quarks , leptons,..)**

# Introductory remarks

- Strong CP violation is a technical subject. **Here outline the main ideas.**
- Start by considering the QED Lagrangian

$$L = \bar{q}(i\gamma^\mu D_\mu - m_q)q - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad D_\mu = \partial_\mu - iQ_q A_\mu$$

- Why these terms? They are **almost all** gauge-invariant terms (U(1) gauge) with terms up to ‘dimension 4’.
- **SM = all renormalizable terms that obey SU(3)xSU(2)xU(1) gauge invariance involving known degrees of freedom (quarks , leptons,..)**
- However one term is missing.....
- $F_{\mu\nu}$  is a gauge-invariant quantity.... So we could have added a term:

$$\theta \frac{e^2}{32\pi^2} \varepsilon^{\alpha\beta\mu\nu} F_{\alpha\beta} F^{\mu\nu} \equiv \theta \frac{e^2}{32\pi^2} \tilde{F}_{\mu\nu} F^{\mu\nu} \quad \varepsilon^{\alpha\beta\mu\nu}$$

= 4 dimensional Levi-Civita tensor  
= 0 if 2 indices are equal

# Total derivatives

- So why don't we have this term in QED ?

$$\theta \frac{e^2}{32\pi^2} \varepsilon^{\alpha\beta\mu\nu} F_{\alpha\beta} F^{\mu\nu} \equiv \theta \frac{e^2}{32\pi^2} \tilde{F}_{\mu\nu} F^{\mu\nu}$$

- First of all, what does it even describe ?

$$\theta \frac{e^2}{32\pi^2} \varepsilon^{\alpha\beta\mu\nu} F_{\alpha\beta} F^{\mu\nu} \sim \vec{E} \cdot \vec{B}$$

# Total derivatives

- So why don't we have this term in QED ?

$$\theta \frac{e^2}{32\pi^2} \varepsilon^{\alpha\beta\mu\nu} F_{\alpha\beta} F^{\mu\nu} \equiv \theta \frac{e^2}{32\pi^2} \tilde{F}_{\mu\nu} F^{\mu\nu}$$

- First of all, what does it even describe ?

$$\theta \frac{e^2}{32\pi^2} \varepsilon^{\alpha\beta\mu\nu} F_{\alpha\beta} F^{\mu\nu} \sim \vec{E} \cdot \vec{B}$$

Parity or Time-reversal:  $\vec{E} \cdot \vec{B} \rightarrow -\vec{E} \cdot \vec{B}$

Note:  $F_{\mu\nu} F^{\mu\nu} \sim \vec{E}^2 - \vec{B}^2 \rightarrow \vec{E}^2 - \vec{B}^2$

- So it describes a CP-odd interaction! Whoho !
- But... This term has no physical consequences ! Why ?

- **Cause its a total derivative:**  $\varepsilon^{\alpha\beta\mu\nu} F_{\alpha\beta} F^{\mu\nu} = \partial_\mu (\varepsilon^{\alpha\beta\mu\nu} A_\nu F_{\alpha\beta})$

# QCD makes life complicated ...

- This explains why we do not have a QED theta term
- QCD is more complicated, non-Abelian group, still total derivative

$$\varepsilon^{\alpha\beta\mu\nu} G_{\alpha\beta} G^{\mu\nu} = \partial_{\mu} \varepsilon^{\alpha\beta\mu\nu} (A_{\nu} F_{\alpha\beta} + A_{\alpha} A_{\beta} A_{\nu})$$

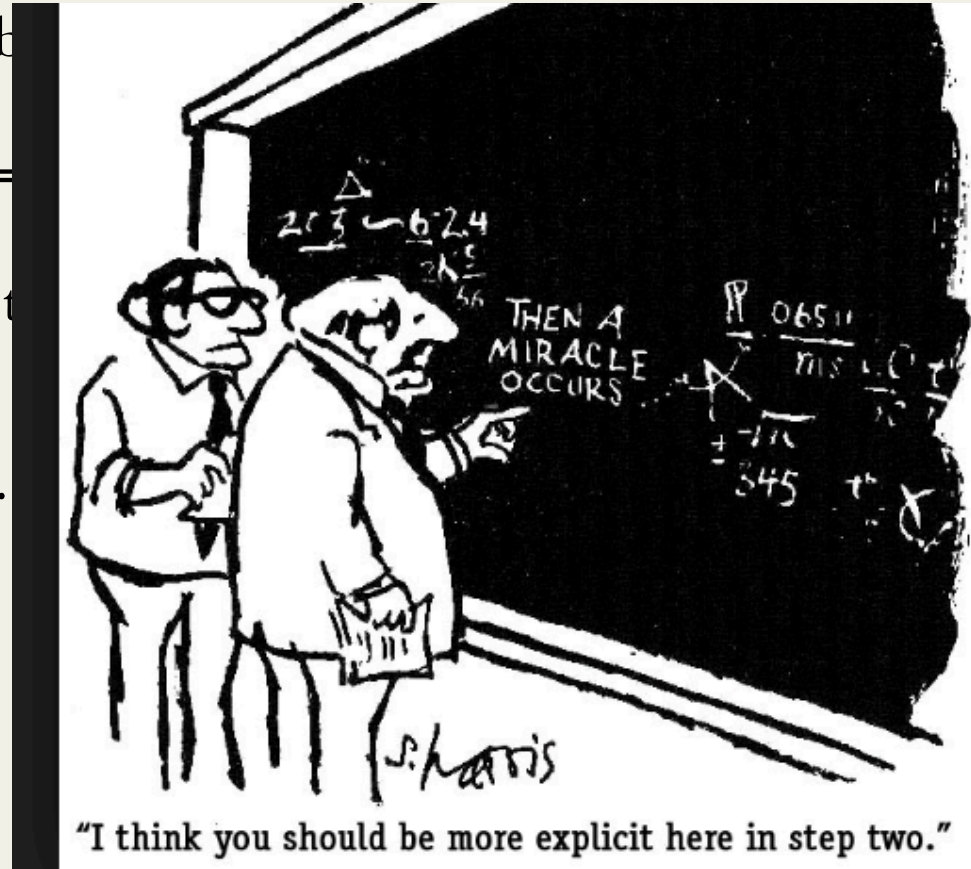
- For a long time it was thought that this has no consequences as well...
- Now I am going to wave hands .....

# QCD makes life complicated ...

- This explains why we do not have a QED theta term
- QCD is more complicated, non-Abelian

$$\varepsilon^{\alpha\beta\mu\nu} G_{\alpha\beta} G^{\mu\nu} =$$

- For a long time it was thought that the theta term was not physical
- Now I am going to wave hands .....



# QCD makes life complicated ...

- This explains why we do not have a QED theta term
- QCD is more complicated, non-Abelian group

$$\varepsilon^{\alpha\beta\mu\nu} G_{\alpha\beta} G^{\mu\nu} = \partial_{\mu} \varepsilon^{\alpha\beta\mu\nu} (A_{\nu} F_{\alpha\beta} + A_{\alpha} A_{\beta} A_{\nu})$$

- For a long time it was thought that this has no consequences as well....
- Now I am going to wave hands .....
- There are instanton solutions where  $A \sim 1/r$  only for very large  $r$
- These solutions do not drop off fast enough to ignore the surface terms

$$\int d^4x \varepsilon^{\alpha\beta\mu\nu} G_{\alpha\beta} G^{\mu\nu} \neq 0$$

- **The QCD theta term has physical consequences !**



# The eta-eta' puzzle

- Let us look at 3-flavor QCD

$$L = \sum_{u,d,s} \bar{q}(i\gamma^\mu D_\mu - m_q)q - \frac{1}{4}G_{\mu\nu}G^{\mu\nu}$$

- The u,d,s quark masses are much smaller than the hadron masses, so let us consider the 'chiral limit'  $m_q \rightarrow 0$
- The very simple Lagrangian has a number of **global** symmetries

$$q \rightarrow e^{i\alpha} q \quad \text{U(1) symmetry} \quad q \rightarrow e^{i\beta^a \lambda^a} q \quad \text{SU(3) symmetry}$$

$$q \rightarrow e^{i\alpha_5 \gamma^5} q \quad \text{U}_A(1) \text{ symmetry} \quad q \rightarrow e^{i\beta^a \lambda^a \gamma^5} q \quad \text{SU}_A(3) \text{ symmetry}$$

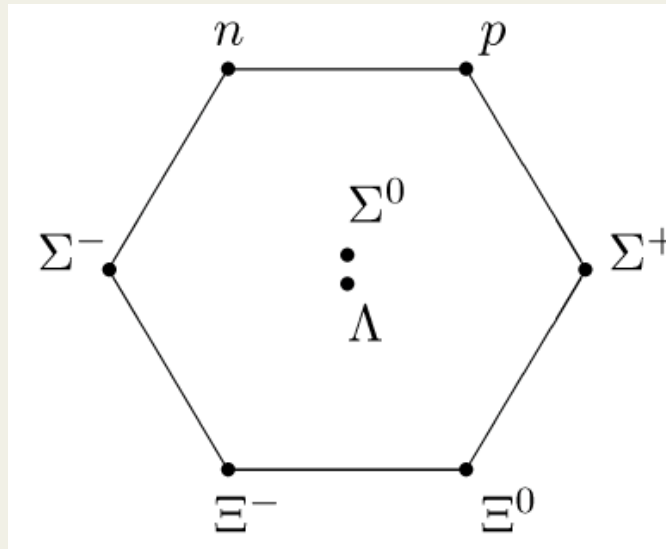
- **But do we actually see any of these symmetries in QCD ?**

# Symmetry hunting

- Let's hunt these symmetries in the spectrum of mesons and baryons

$$q \rightarrow e^{i\alpha} q \quad \text{U(1) symmetry} \quad \text{Check! Baryon number conservation!}$$

$$q \rightarrow e^{i\beta^a \lambda^a} q \quad \text{SU(3) symmetry} \quad \text{Check! Octet baryons with very similar properties (neutron, proton, Lambda, ...)}$$



# Symmetry hunting

- Let's hunt these symmetries in the spectrum of mesons and baryons

$$q \rightarrow e^{i\alpha} q \quad \text{U(1) symmetry} \quad \text{Check! Baryon number conservation!}$$

$$q \rightarrow e^{i\beta^a \lambda^a} q \quad \text{SU(3) symmetry} \quad \text{Check! Octet baryons with very similar properties (neutron, proton, Lambda, ...)}$$

$$q \rightarrow e^{i\beta^a \lambda^a \gamma^5} q \quad \text{SU}_A(3) \text{ symmetry} \quad \text{???? ????? No P-odd neutron ...}$$

$$q \rightarrow e^{i\alpha_5 \gamma^5} q \quad \text{U}_A(1) \text{ symmetry} \quad \text{???? ?????}$$

- What is going on? Does QCD even describe hadrons?

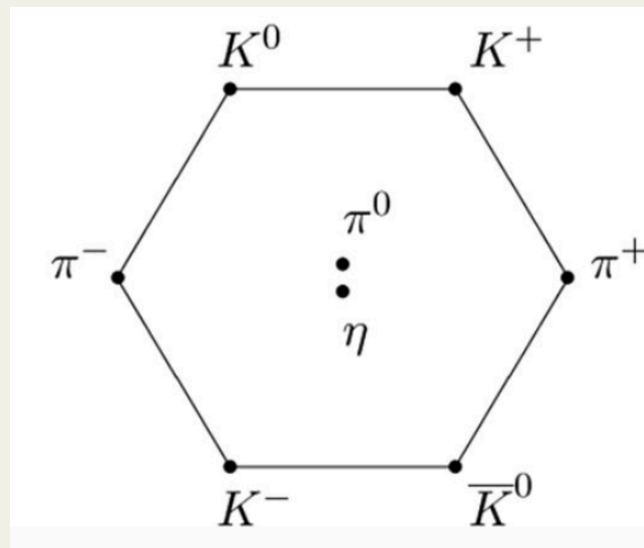
# Nambu-Goldstone to the rescue !

- But wait ! Maybe these symmetries are **spontaneously** broken !
- What does this imply? Goldstone theorem: for each **spontaneously broken global symmetry** there appears a **massless** boson .
- Well, QCD has no massless bosons.....



# Nambu-Goldstone to the rescue !

- But wait ! Maybe these symmetries are **spontaneously** broken !
- What does this imply? Goldstone theorem: for each **spontaneously broken global symmetry** there appears a **massless boson** .
- Well, QCD has no massless bosons.... But there are very light bosons !
- Pions (3), Kaons (4), eta (1) are much lighter than  $\sim 1$  GeV (baryon mass)
- They are **Pseudo-Goldstone** bosons of  $SU_A(3)$  (because of quark mass)



# Symmetry hunting

- Let's hunt these symmetries in the spectrum of mesons and baryons

$$q \rightarrow e^{i\alpha} q \quad \text{U(1) symmetry} \quad \text{Check! Baryon number conservation!}$$

$$q \rightarrow e^{i\beta^a \lambda^a} q \quad \text{SU(3) symmetry} \quad \text{Check! Octet baryons with very similar Masses (neutron, proton, Lambda, ...) !}$$

$$q \rightarrow e^{i\beta^a \lambda^a \gamma^5} q \quad \text{SU}_A(3) \text{ symmetry} \quad \text{Check! Spontaneously broken!}$$

$$q \rightarrow e^{i\alpha_5 \gamma^5} q \quad \text{U}_A(1) \text{ symmetry} \quad \text{???? ????}$$

- What about the last one ?** There are no more light mesons....
- The eta' is there but it is massive  $\sim 1 \text{ GeV} \gg m_{\text{pion, Kaon, eta}}$
- This was a big problem in the early days of QCD

# Anomalous symmetry

- Anomalous symmetries are classical symmetries that are broken by QM
- Action (or Lagrangian) invariant but path-integral not
- **We forgot about the Jacobian !**

$$\int [d\psi][d\bar{\psi}] e^{iS[\psi, \bar{\psi}]}$$

$\psi \rightarrow \psi' \quad \bar{\psi} \rightarrow \bar{\psi}'$

$$S[\psi, \bar{\psi}] = S[\psi', \bar{\psi}']$$
$$\int [d\psi][d\bar{\psi}] = \int [d\psi'][d\bar{\psi}'] \mathcal{J} \quad \mathcal{J} \neq 1$$

# Anomalous symmetry

- Anomalous symmetries are classical symmetries that are broken by QM
- Action (or Lagrangian) invariant but path-integral not
- **We forgot about the Jacobian !**

$$\int [d\psi][d\bar{\psi}] e^{iS[\psi, \bar{\psi}]}$$

$\psi \rightarrow \psi' \quad \bar{\psi} \rightarrow \bar{\psi}'$

$$S[\psi, \bar{\psi}] = S[\psi', \bar{\psi}']$$
$$\int [d\psi][d\bar{\psi}] = \int [d\psi'][d\bar{\psi}'] \mathcal{J} \quad \mathcal{J} \neq 1$$

- The  $U_A(1)$  symmetry is not a symmetry at all !

**Axial transformation induces a shift in the  $\theta$  term**

$$\log \mathcal{J} = -\alpha \int d^4x \frac{g_s^2}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{\mu\nu, a}$$

**Total derivative  
but nonzero !**

- No reason to expect another Goldstone Boson ! **Eta' mass 'explained'**



# Complex masses

- Let us look at 2-flavor QCD with masses + theta term

$$L = \sum_{u,d} \bar{q} (i\gamma^\mu D_\mu - m_q) q + \theta \frac{g_s^2}{32\pi^2} \varepsilon^{\alpha\beta\mu\nu} G_{\alpha\beta} G^{\mu\nu}$$

- We assumed the masses to be real, but there is **no** reason to do so
- To **make** the masses real, we do a  $U_A(1)$  transformation

$$q \rightarrow e^{i\alpha_5 \gamma^5} q$$

# Complex masses

- Let us look at 2-flavor QCD with masses + theta term

$$L = \sum_{u,d} \bar{q}(i\gamma^\mu D_\mu - m_q)q + \theta \frac{g_s^2}{32\pi^2} \varepsilon^{\alpha\beta\mu\nu} G_{\alpha\beta} G^{\mu\nu}$$

- We assumed the masses to be real, but there is **no** reason to do so
- To **make** the masses real, we do a  $U_A(1)$  transformation

$$q \rightarrow e^{i\alpha_5 \gamma^5} q$$

- But this induces a theta term from the anomaly!  $\theta \rightarrow \theta + 2i \text{Arg}(m_q)$
- So we can **'trade'** the theta term for a complex mass or vice versa

$$+\theta \frac{g_s^2}{32\pi^2} \varepsilon^{\alpha\beta\mu\nu} G_{\alpha\beta} G^{\mu\nu} \quad \longleftrightarrow \quad -\left(\frac{m_u m_d}{m_u + m_d}\right) \theta \bar{q} i \gamma^5 q$$

- One 'physical' combination

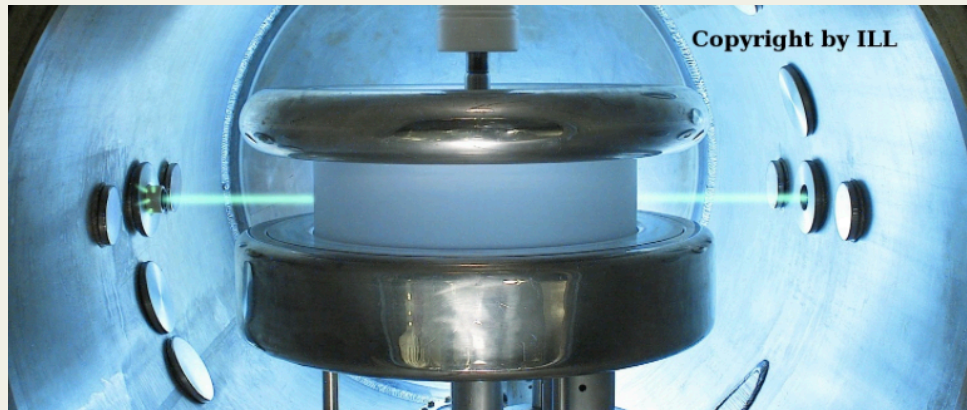
$$\bar{\theta} = \theta + n_f \text{Arg}(m_q)$$

# Let's take a breath.....

- QCD contains a theta term, a CP-violating interaction
- Total derivative but still contributes via 'instantons'
- The effect is real because we are missing a Goldstone boson

$$+\theta \frac{g_s^2}{32\pi^2} \varepsilon^{\alpha\beta\mu\nu} G_{\alpha\beta} G^{\mu\nu} \longleftrightarrow -\left(\frac{m_u m_d}{m_u + m_d}\right) \theta \bar{q} i\gamma^5 q$$

- We can trade the theta term for a complex quark mass
- Theta itself is unknown! One of the SM parameters !
- **We should measure it ! Let's do it !**



# How do we measure the theta term ?

- Difficult problem. Not unlike measuring the normal quark mass...
- Only **two** feasible ways that I know and one is much better
- **Electric dipole moments of hadrons and nuclei**
- **Problem: low-energy QCD is nonperturbative.**
- How to calculate the nucleon EDM from CPV at quark-gluon level ?

$$L_{dip} = -\frac{d_n}{2} \bar{\Psi}_n \sigma^{\mu\nu} i\gamma^5 \Psi F_{\mu\nu} \quad \text{from} \quad -\left(\frac{m_u m_d}{m_u + m_d}\right) \bar{\theta} \bar{q} i\gamma^5 q = -\left(m^*\right) \bar{\theta} \bar{q} i\gamma^5 q$$

# How do we measure the theta term ?

- Difficult problem. Not unlike measuring the normal quark mass...
- Only **two** feasible ways that I know and one is much better
- **Electric dipole moments of hadrons and nuclei**
- **Problem: low-energy QCD is nonperturbative.**
- How to calculate the nucleon EDM from CPV at quark-gluon level ?

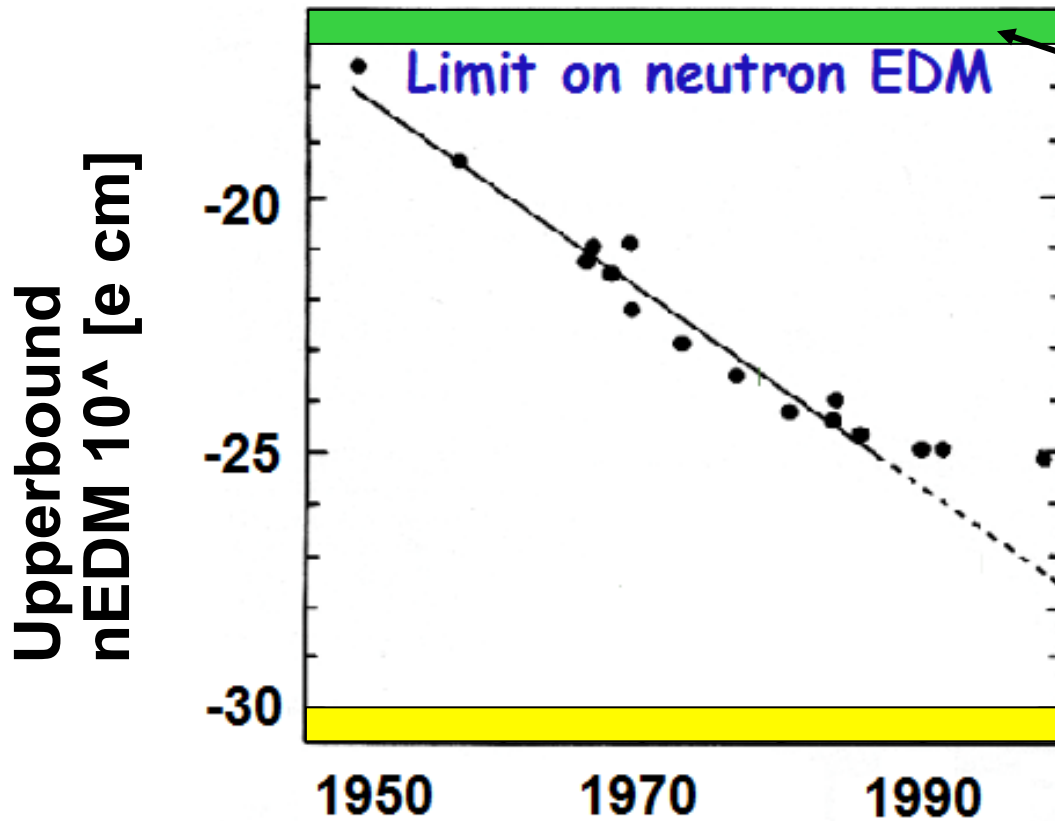
$$L_{dip} = -\frac{d_n}{2} \bar{\Psi}_n \sigma^{\mu\nu} i\gamma^5 \Psi F_{\mu\nu} \quad \text{from} \quad -\left(\frac{m_u m_d}{m_u + m_d}\right) \bar{\theta} \bar{q} i\gamma^5 q = -(m^*) \bar{\theta} \bar{q} i\gamma^5 q$$

- Let's guess something:  $d_n$  should be proportional to  $\sim (m^* \theta)$
- There should be a coupling to a photon somewhere  $\sim e$
- To get dimensions right we need  $1/\text{mass}^2$ , let's say nucleon mass....

$$d_n \sim e \frac{m^*}{m_N^2} \bar{\theta} \sim e \frac{10 \text{ MeV}}{(1 \text{ GeV})^2} \bar{\theta} \sim 10^{-3} \bar{\theta} e \text{ fm}$$

# Limiting theta

$$d_n \sim e \frac{m^*}{m_N^2} \bar{\theta} \sim e \frac{10 \text{ MeV}}{(1 \text{ GeV})^2} \bar{\theta} \sim 10^{-3} \bar{\theta} e \text{ fm}$$



If  $\theta \sim 1$ ,  
just like  
the CKM  
phase

Sets  $\theta$  upper bound:  $\theta < 10^{-10}$

# Limiting theta

$$d_n \sim e \frac{m^*}{m_N^2} \bar{\theta} \sim e \frac{10 \text{ MeV}}{(1 \text{ GeV})^2} \bar{\theta} \sim 10^{-3} \bar{\theta} e \text{ fm}$$



1950

1970

1990

$\sim 1$ ,  
like  
KM  
use

Sets  $\theta$  upper bound:  $\theta < 10^{-10}$

# Perhaps the estimate is stupid....

- The estimate was based on inserting some quasi-random factors

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{kin}} - \bar{m}\bar{q}q - \varepsilon\bar{m}\bar{q}\tau^3q + m_\star\bar{\theta}\bar{q}i\gamma^5q$$

$$\bar{m} = \frac{m_u + m_d}{2}$$

$$\varepsilon = \frac{m_u - m_d}{m_u + m_d}$$

- We use ‘chiral perturbation theory’ to match this to the hadronic level. Skip all details.



# Perhaps the estimate is stupid....

- The estimate was based on inserting some quasi-random factors

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{kin}} - \boxed{\bar{m}\bar{q}q} - \varepsilon\bar{m}\bar{q}\tau^3q + m_*\bar{\theta}\bar{q}i\gamma^5q$$

$$\bar{m} = \frac{m_u + m_d}{2}$$

$$\mathcal{L}'_{\chi} = \mathcal{L}_{\chi} - \boxed{\frac{m_{\pi}^2}{2}\pi^2} - \delta m_N \bar{N}\tau^3N + \bar{g}_0 \bar{N}\tau \cdot \pi N$$

**Pion mass**

# Perhaps the estimate is stupid....

- The estimate was based on inserting some quasi-random factors

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{kin}} - \bar{m}\bar{q}q - \boxed{\varepsilon\bar{m}\bar{q}\tau^3q} + m_*\bar{\theta}\bar{q}i\gamma^5q$$

$$\varepsilon = \frac{m_u - m_d}{m_u + m_d}$$

$$\mathcal{L}'_{\chi} = \mathcal{L}_{\chi} - \frac{m_{\pi}^2}{2}\pi^2 - \boxed{\delta m_N \bar{N}\tau^3 N} + \bar{g}_0 \bar{N}\tau \cdot \pi N$$

**Strong proton-neutron  
mass splitting**

# Perhaps the estimate is stupid....

- The estimate was based on inserting some quasi-random factors

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{kin}} - \bar{m}\bar{q}q - \varepsilon\bar{m}\bar{q}\tau^3q + m_\star \bar{\theta} \bar{q}i\gamma^5q$$

$$\mathcal{L}'_\chi = \mathcal{L}_\chi - \frac{m_\pi^2}{2}\pi^2 - \delta m_N \bar{N}\tau^3N$$

$$+ \bar{g}_0 \bar{N}\tau \cdot \pi N$$

$$m_\star = \frac{m_u m_d}{m_u + m_d}$$

$\pi^{0,\pm}$

$\bar{g}_0$

CP-odd pion-nucleon  
interaction

# Perhaps the estimate is stupid....

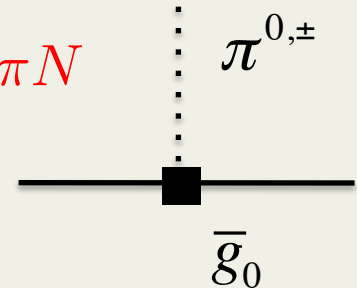
- The estimate was based on inserting some quasi-random factors

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{kin}} - \bar{m}\bar{q}q - \varepsilon\bar{m}\bar{q}\tau^3q + m_*\bar{\theta}\bar{q}i\gamma^5q$$

Linked via  $\text{SU}_A(2)$  rotation

$$m_* = \frac{m_u m_d}{m_u + m_d}$$

$$\mathcal{L}'_{\chi} = \mathcal{L}_{\chi} - \frac{m_{\pi}^2}{2}\pi^2 - \delta m_N \bar{N}\tau^3 N + \bar{g}_0 \bar{N}\tau \cdot \pi N$$



**Nucleon mass splitting**  
(strong part, no EM!)

**CP-odd pion-nucleon interaction**

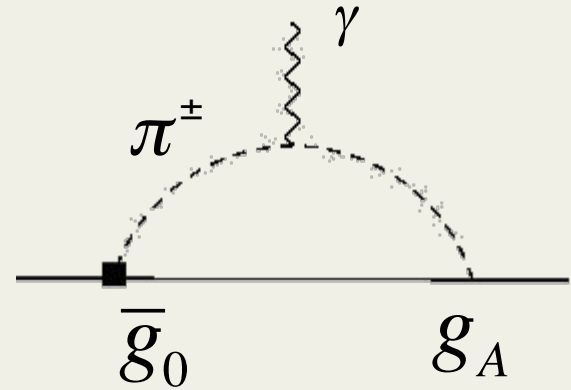
Use **lattice** for mass splitting

$$g_0 = -\frac{\delta m_N}{2f_{\pi}} \frac{m_*\bar{\theta}}{\bar{m}\varepsilon} = -(15.5 \pm 2.5) \cdot 10^{-3} \bar{\theta}$$

# The Nucleon EDM

## Neutron EDM

$$d_n = -\frac{eg_A\bar{g}_0}{8\pi^2 f_\pi} \text{Log} \frac{m_\pi^2}{m_N^2} \longrightarrow d_n \simeq -2.5 \cdot 10^{-16} \bar{\theta} e \text{ cm}$$



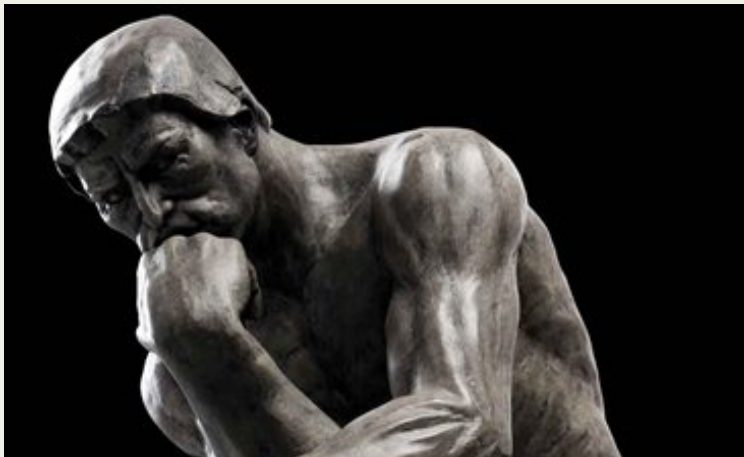
- Very close to the naïve estimate
- Very recent developments. Use lattice-QCD (difficult !)

$$\text{Lattice + ChPT} \quad d_n = -(3.9 \pm 1.0) \cdot 10^{-16} \bar{\theta} e \text{ cm}$$

- So everything agrees it seems  $\longrightarrow \bar{\theta} < 10^{-10}$
- Why is theta term so small? **‘Strong-CP Problem’**

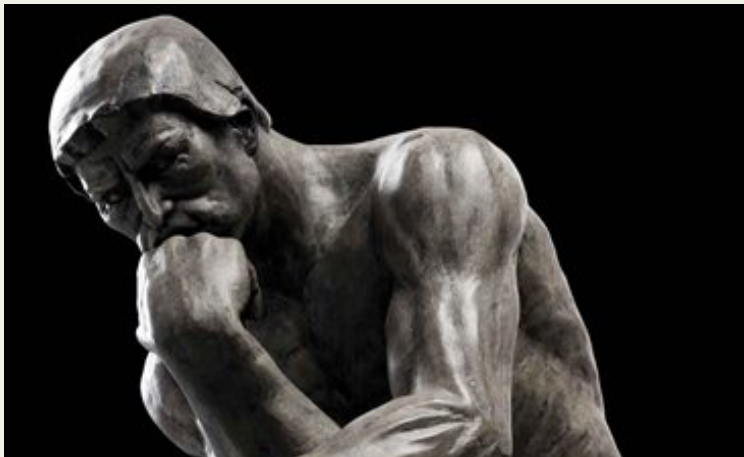
# Philosophy of theta

- The smallness of theta is considered as one of the outstanding problems of the Standard Model **'Strong-CP problem'**
- **First of all, is it really a problem ?**



# Philosophy of theta

- The smallness of theta is considered as one of the outstanding problems of the Standard Model **‘Strong-CP problem’**
- **First of all, is it really a problem ?**
- Small parameters already appear in the SM:  
 $Y_u \sim m_u/v \sim 10^{-5}$ ,  $|V_{ub}| \sim 10^{-3}$ , neutrino masses (not fair perhaps)
- Note that there is no **‘anthropic’** reason for theta to be so small....



- **I don't know....**

# Some possible ways to make theta small

- What if the lightest quark is massless ?  $-\left(\frac{m_u m_d}{m_u + m_d}\right) \bar{\theta} \bar{q} i \gamma^5 q$
- This could be, masses of hadrons do not really care.
- But precision phenomenology of mesons + lattice

$$\frac{m_u}{m_d} = 0.46 \pm 0.02 \pm 0.02$$

- Reincarnation of this idea by Wilczek '16  
“Superheavy Light Quarks and the Strong P,T problem”



# Some possible ways to make theta small

- What if the lightest quark is massless ?  $-\left(\frac{m_u m_d}{m_u + m_d}\right) \bar{\theta} \bar{q} i \gamma^5 q$
- This could be, masses of hadrons do not really care.
- But precision phenomenology of mesons + lattice

$$\frac{m_u}{m_d} = 0.46 \pm 0.02 \pm 0.02$$

- Reincarnation of this idea by Wilczek '16  
“Superheavy Light Quarks and the Strong P,T problem”
- **Other easy solutions:** demand P or CP is an exact symmetry
- But then difficult to get large CKM phase and  $\theta < 10^{-10}$
- Arguably most popular solution: **axions**

# Axions

- **Lecture set on its own**, but the idea is not too hard
- We saw: theta term connected to  $U_A(1)$  anomaly
- Add to the SM some new  $SU(3)$ -charged fields which have a new  $U_A(1)$  symmetry
- Assume this symmetry is spontaneously broken (axion=goldstone)

$$\mathcal{L}_a = \frac{1}{2} \partial_\mu a \partial^\mu a + \frac{a(x)}{f_a} \frac{\alpha_s}{8\pi} G \tilde{G}$$

- The theta term becomes a pseudoscalar ‘field’
- This field has a potential, just like the Higgs

$$\bar{\theta} \rightarrow \bar{\theta} + \frac{a}{f_a}$$

# Axions

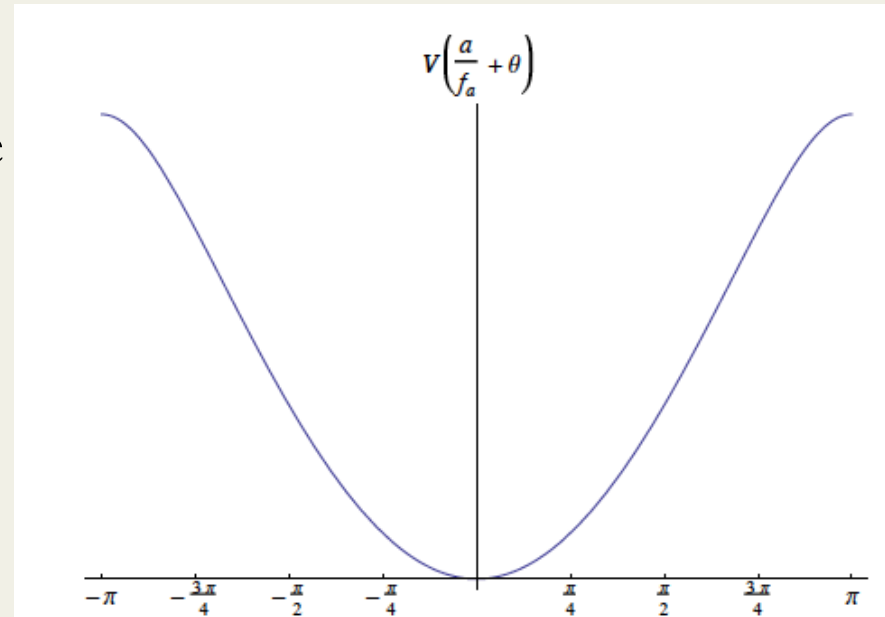
- Potential gets a minimum  $\theta_{total} = \frac{\langle a \rangle}{f_a} + \bar{\theta} = 0$

- This solves the CP-problem **independent** of the starting value of the theta term !

- **Dynamical solution** (Peccei-Quinn mechanism)

- **Very nice, but where is the axion?**

- Mass inversely proportional to  $f_a$  which can be huge ! So axion could be **very very light**



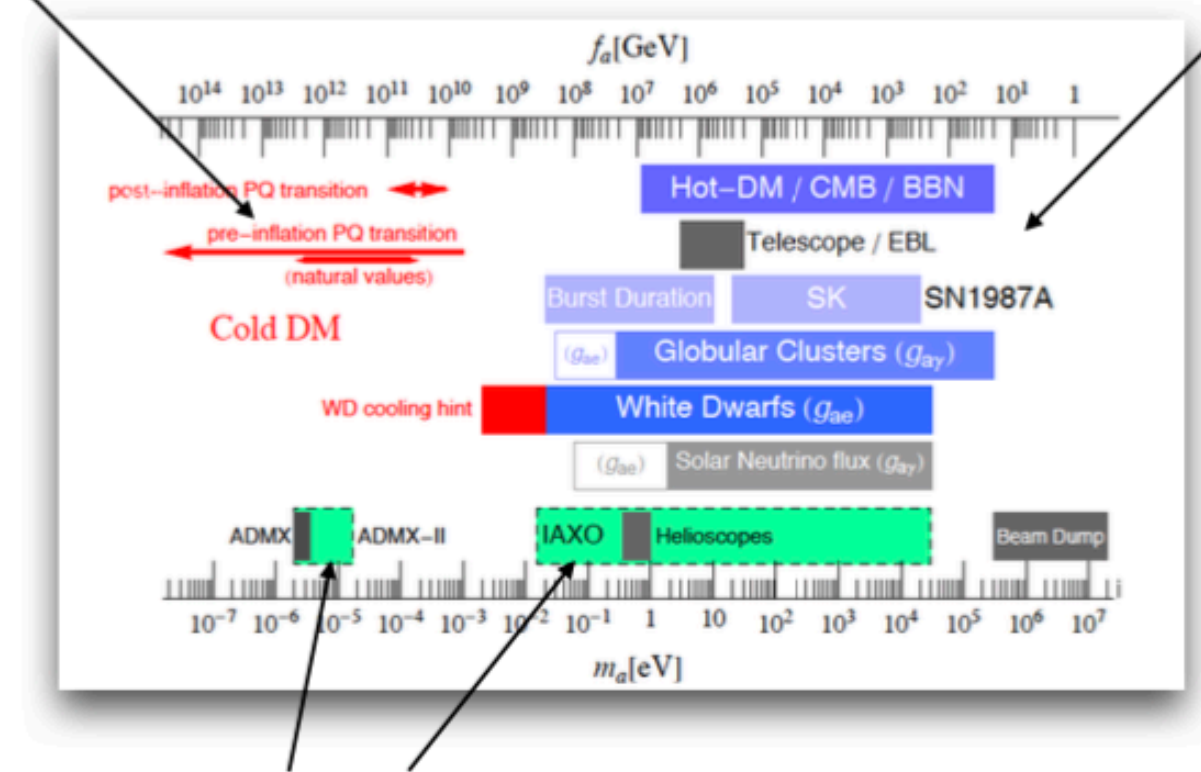
$$m_a^2 \propto \frac{m_\pi^2 f_\pi^2}{f_a^2}$$

# Status of axion searches

Axion as cold dark matter lives here

$$m_a \sim 6 \text{ meV} (10^9 \text{ GeV}/f_a)$$

Disfavored by astrophysics / cosmological observations (grey) or argument (blue)



Sensitivity of planned experiments

# Summary

- QCD is difficult.....
- Because it is non-Abelian (self-interacting gluons) there appears a new term that violates P and T: the theta term
- Theta term is one of SM parameters (must measure it)
- Neutron electric dipole moment limits theta  $< 10^{-10}$
- **At the moment we do not understand why**
- Perhaps theta is simply small for no fundamental reason, in that case it might be that theta will be found in **future experiments**
- Very attractive solution: **the axion mechanism**
- However, no axions have been found for over 30 years....
- Axions are also a **Dark Matter candidate**