

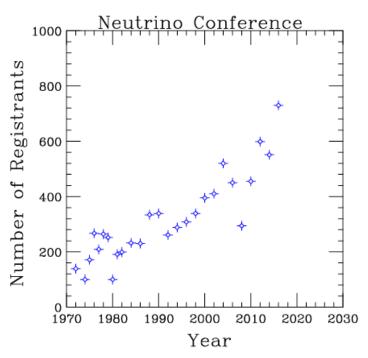
#### Why this meeting?

Neutrino physics is *superinteresting*.

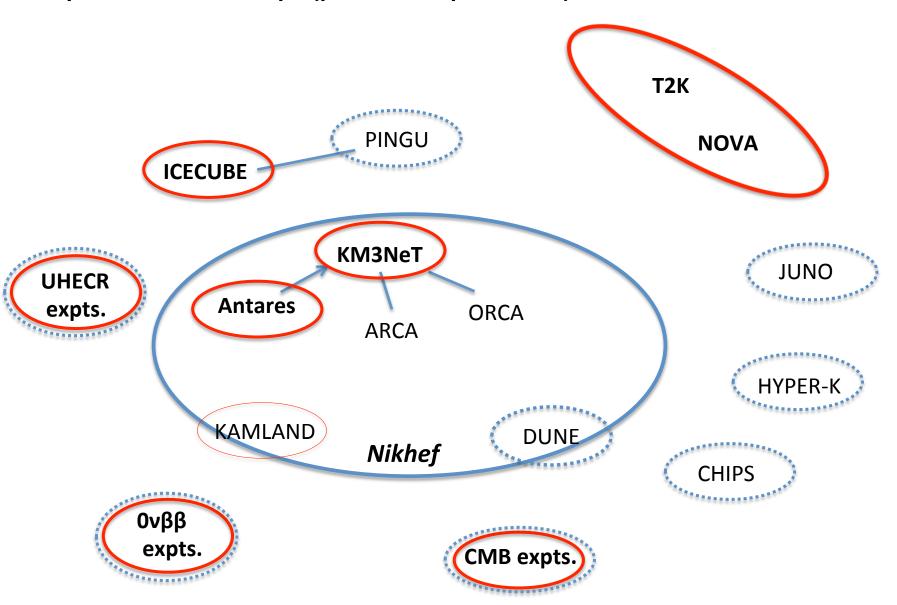
Neutrino mass: solid evidence for beyond-the-original-SM physics Window towards new physics: leptogenesis/flavour puzzle/sterile v/DM...

Lots of activity in past years, but much more to come. There is much more to explore (for discussion!)

The international field is very dynamic. How should Nikhef stand in this?



#### **Experimental landscape (personal impression...)**



Goal: an informal forum to discuss:

- neutrino physics
- our ambitions
- detector technology
- simulation/reconstruction/analysis software
- new ideas

and benefit from each other → strengthen the neutrino community @ Nikhef

**Informal**: no need for slick presentations no questions are taboo

**Format**: meetings < 2 hours, 1 or 2 presentations/meeting, once per 2 (?) months

Nikhef strategy discussion spring next year.

Would be nice if this forum could connect to the strategy discussion

I did not invite PhD students/postdocs today, but could join in the future

Today: introducing DUNE and protoDUNE

#### Future topics:

- status of the field after Neutrino 2016
- how does better knowledge of certain parameters influence the determination of other parameters?
- ORCA developments
- KM3NeT DUNE HyperK complementarity
- KM3NeT PMT technology transfer
- ... (your input here) (CMB expts? UHE cosmic v ?)

### **DUNE** and protoDUNE

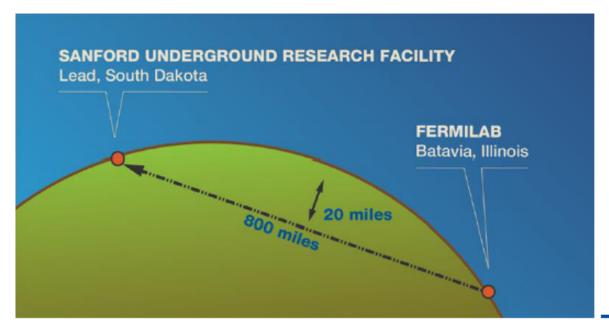


#### The Long Baseline Neutrino Facility (LBNF)

#### P5 Recommendation:

**US Strategy Document** 

 Form a new international collaboration to design and execute a highly capable Long-Baseline Neutrino Facility (LBNF) hosted by the U.S. To proceed, a project plan and identified resources must exist to meet the minimum requirements in the text [of the report]. LBNF is the highest-priority large project in its timeframe.



*In short, asks Fermilab* to do for neutrinos what CERN did for the Higgs boson, involving the worldwide community

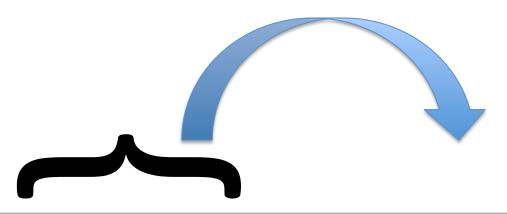
April 7 2016 DOUNE Euro-meet

#### **European Strategy Document**

"Rapid progress in neutrino oscillation physics, with significant European involvement, has established a strong scientific case for a long-baseline neutrino programme exploring CP violation and the mass hierarchy in the neutrino sector. CERN should develop a neutrino program to pave the way for a substantial European role in future long-baseline experiments. Europe should explore the possibility of major participation in leading long-baseline neutrino projects in the US and Japan."

## **CERN** interpretation

- ✓ no v beams at CERN!
- ✓ v beams in the US and in Japan
- ✓ A structure at CERN to foster an active involvement of Europe and CERN in the US and Japanese new facilities
  - → Neutrino Platform as a project at CERN



	LBNE (FNAL)	LBNO (CERN)	LBNF/DUNE
Baseline	1300 km	2300 km	1300 km
Protons power	1.2 MW	0.75 & 2 MW	1.2 MW then upgrade to 2.4 MW
Beam focusing	NUMI-style	CP-optimised	NUMI-style or CP-optimised
Far detector	10+30 kton	20+50 kton	4x10 kton
Far detector technologies	single phase LAr TPC	dual phase LAr TPC	single and/or dual phase LAr TPC
Near detector design	Magnetised fine grained tracker (FGT)	HP GAr TPC	Magnetised FGT and/or LAr TPC and/or HP GAr TPC

## **DUNE Primary Science Program**

# Focus on fundamental open questions in particle physics and astroparticle physics:

- 1) Neutrino Oscillation Physics
  - Discover CP Violation in the leptonic sector
     Mass Hierarchy
  - Precision Oscillation Physics:
    - e.g. parameter measurement,  $\theta_{23}$  octant, **testing the 3-flavor paradigm**
- 2) Nucleon Decay
  - e.g. targeting SUSY-favored modes,  $p \to K^+ \overline{\nu}$
- 3) Supernova burst physics & astrophysics
  - Galactic core collapse supernova, sensitivity to  $v_e$

## The Standard 3-Flavour Paradigm

- **★** Unitary PNMS matrix **→** mixing described by:
  - three "Euler angles":  $(\theta_{12}, \theta_{13}, \theta_{23})$
  - and one complex phase:  $\delta$

$$U_{\text{PMNS}} = \begin{pmatrix} U_{\text{e}1} & U_{\text{e}2} & U_{\text{e}3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

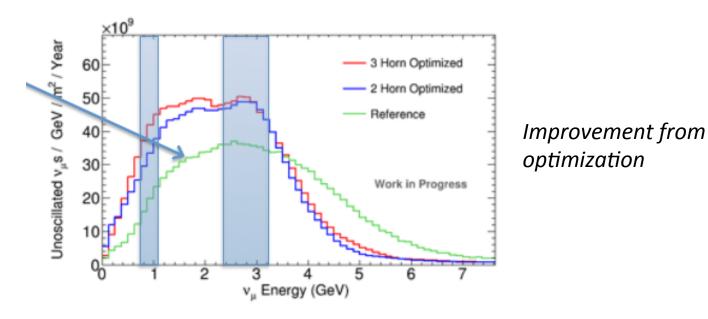
with  $s_{ij} = \sin \theta_{ij}$ ;  $c_{ij} = \cos \theta_{ij}$ 

- **\* If**  $\delta \neq \{0, \pi\}$  then SM leptonic sector  $\Rightarrow$  CP violation (CPV)
  - CPV effects  $\propto \sin \theta_{13}$
  - now know that  $\theta_{13}$  is <u>relatively large</u>
    - **CPV** is observable with conventional ∨ beams



**DUNE**: oscillation length 1300 km  $\rightarrow$  large matter effects

Chosen solution: wide band beam, access to first and second oscillation maximum muon-neutrino beam and muon-antineutrino beam muon v disappearance as well as electron v appearance disentangle mass hierarchy from  $\delta$  by energy dependence



(Compare Hyper-K: oscillation length 295 km, small matter effects narrow band off-axis beam lower energy, first oscillation maximum)

### Matter effects

### 2-generation osc probabilty:

Vacuum: 
$$P = \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E}$$

Matter: 
$$P = \sin^2 2\tilde{\theta} \sin^2 \frac{\Delta \tilde{m}^2 L}{4E}$$

$$\sin 2\tilde{\theta} = \frac{\sin 2\theta}{\zeta}, \Delta \tilde{m}^2 = \zeta \cdot \Delta m^2$$

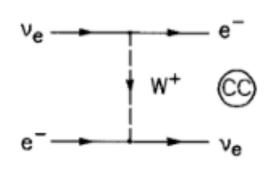
$$\zeta = \sqrt{\sin^2 2\theta + (\cos 2\theta - A)^2}$$

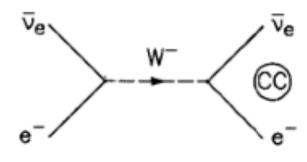
$$A=rac{2EV}{\Delta m^2} egin{array}{cc} V_
u=+2\sqrt{2}G_Fn_e \ V_{\overline{
u}}=-2\sqrt{2}G_Fn_e \end{array}$$

Resonance:  $\cos 2\theta \rightarrow A$ 

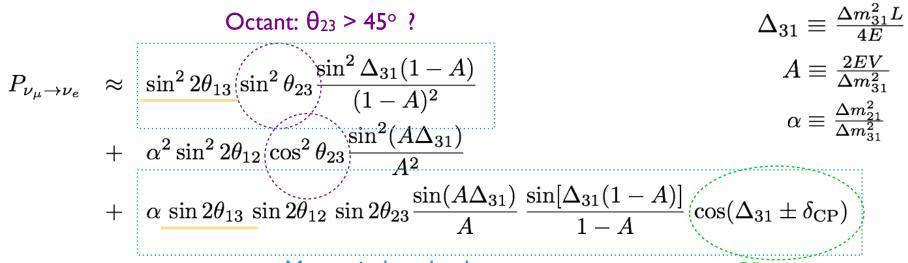
	Normal Ord	Inverted Ord	
V	Resonance	Suppression	
anti-V	Suppression	Resonance	

Matter contains e, but no µ, T



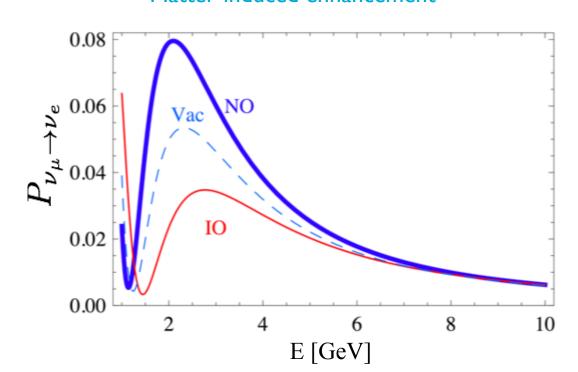


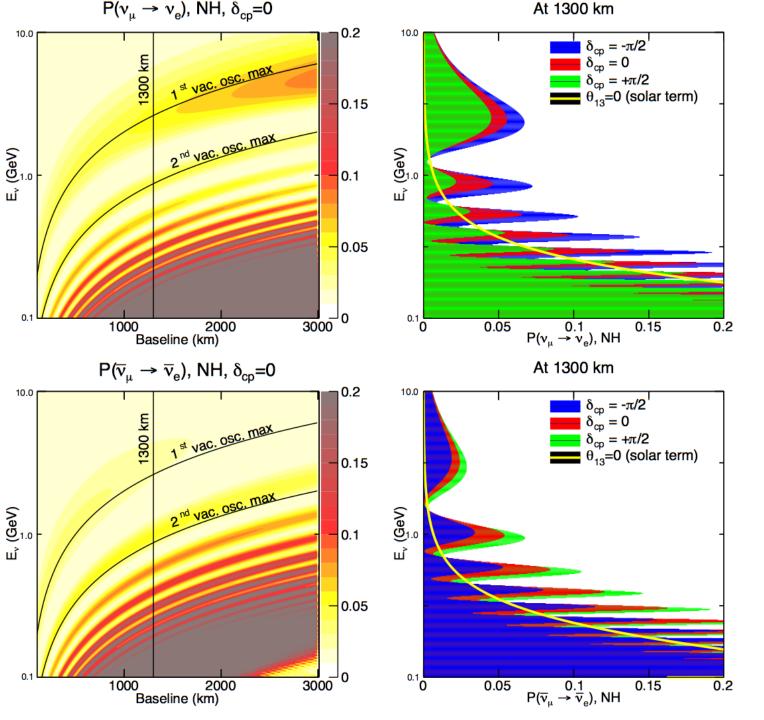
### Three V generations



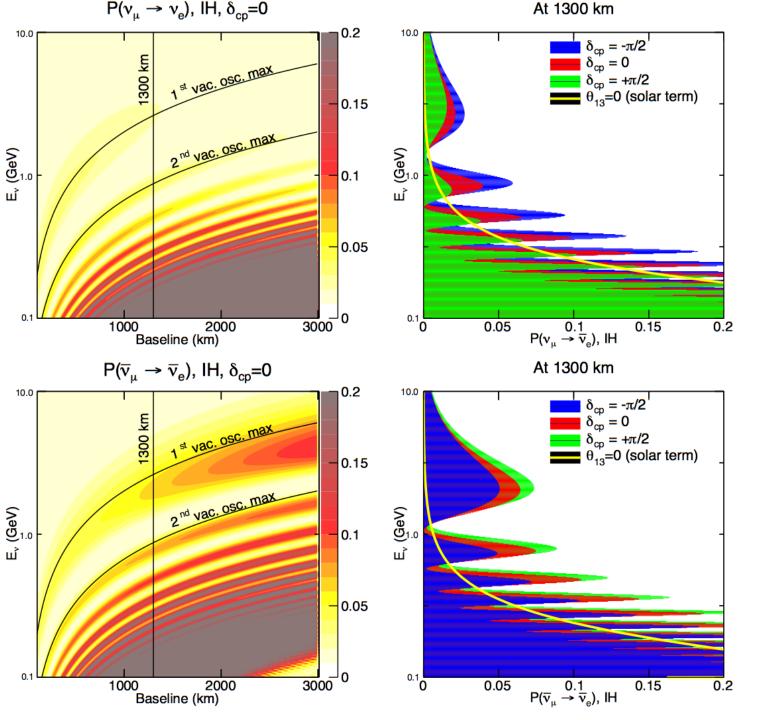
#### Matter-induced enhancement

**CP-term** 





# NH



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## **DUNE Ancillary Science Program**

# Enabled by the intense LBNF beam and the DUNE near and far detectors

- Other neutrino oscillation physics with BSM sensitivity
  - Neutrino non-standard interactions (NSIs)
  - Sterile Neutrinos at the near and far sites
  - Measurements of tau neutrino appearance

Benefit from wide band beam

- Oscillation physics with atmospheric neutrinos
- Neutrino Physics in the near detector
  - Neutrino cross section measurements
  - Studies of nuclear effects, FSI etc.
  - Measurements of the structure of nucleons
  - Neutrino-based measurements of sin<sup>2</sup>θ<sub>W</sub>
- Search for signatures of Dark Matter

> 100M neutrino interactions in a few years of operation

## Supernova vs

### A core collapse supernova produces an incredibly intense burst of neutrinos

- Measure energies and times of neutrinos from galactic supernova bursts
  - In argon (uniquely) the largest sensitivity is to  ${f v}_{
    m e}$

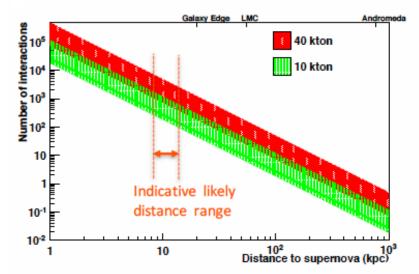
$$v_e + {}^{40}Ar \rightarrow e^- + {}^{40}K^*$$





# ~3000 interactions @ 10 kpc

Channel	Events
	"Livermore" model
$\nu_e + ^{40} { m Ar} \rightarrow e^- + ^{40} { m K}^*$	2720
$\overline{\nu}_e + ^{40} \text{Ar} \to e^+ + ^{40} \text{Cl}^*$	230
$\nu_x + e^- \rightarrow \nu_x + e^-$	350
Total	3300



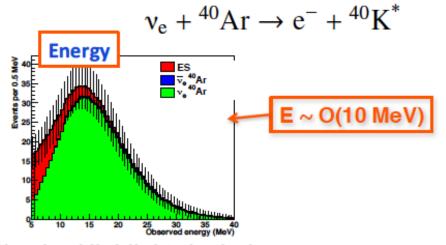


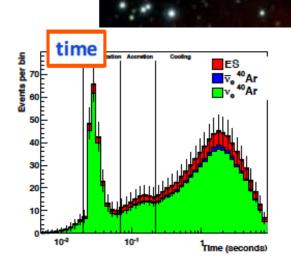
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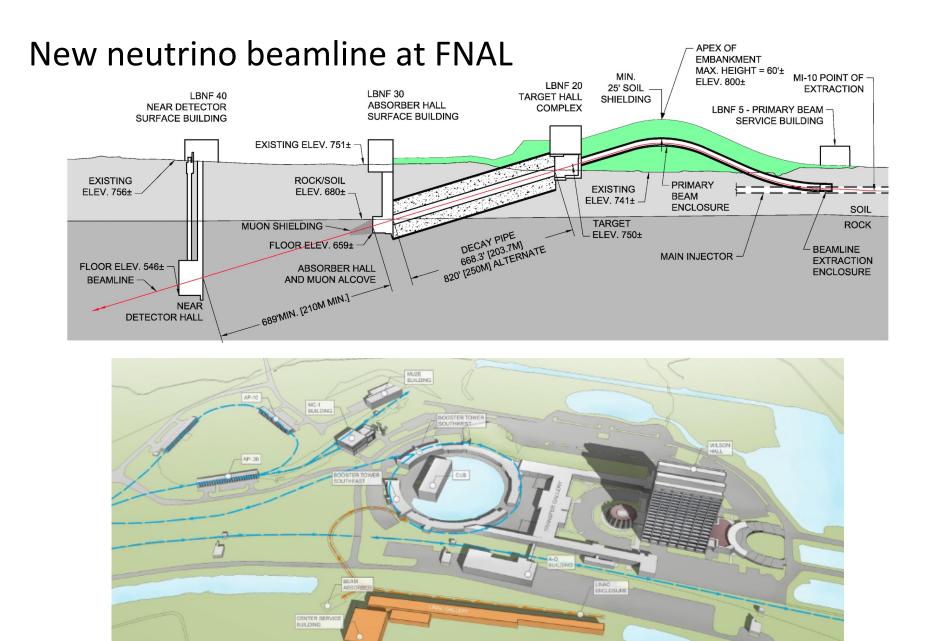




#### Physics Highlights include:

- Possibility to "see" neutron star formation stage
- Even the potential to see black hole formation!

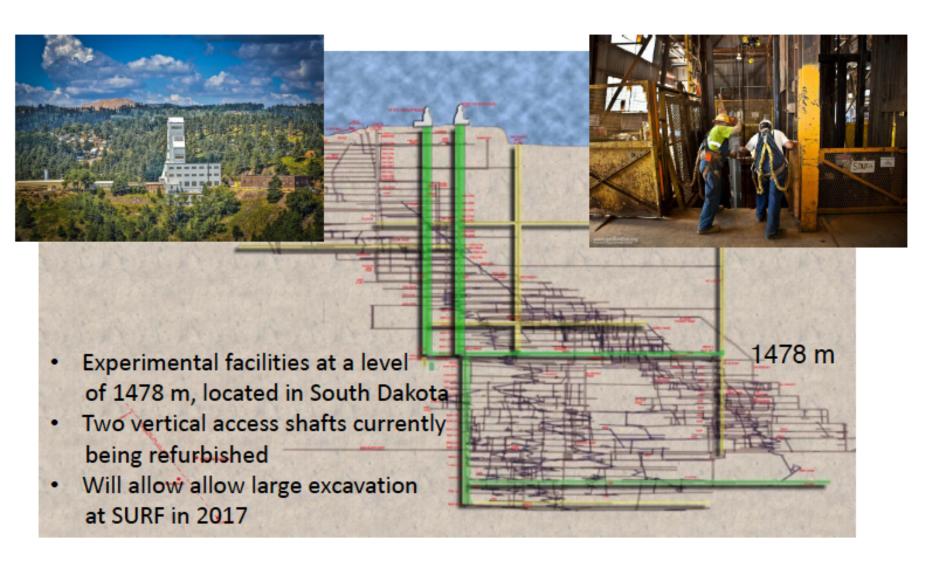




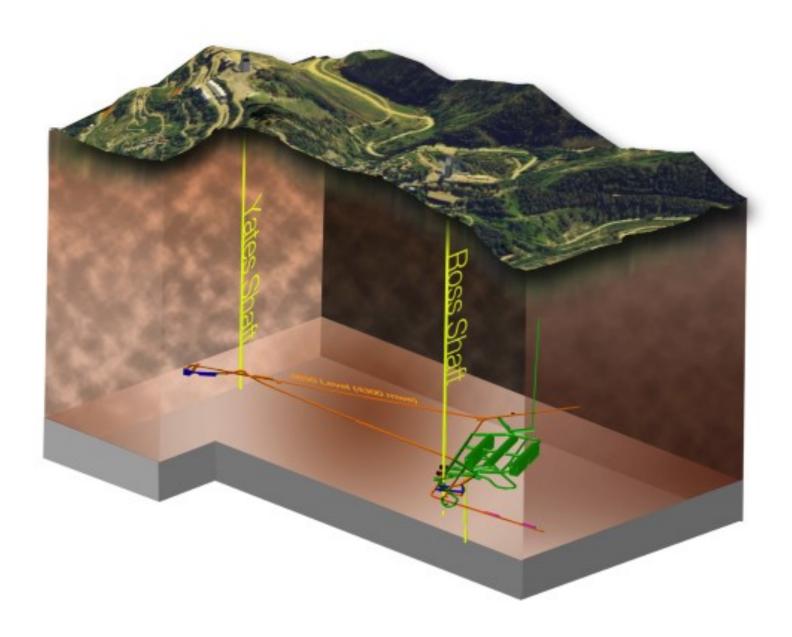
1.2 – 2.4 MW 60-120 GeV proton accelerator

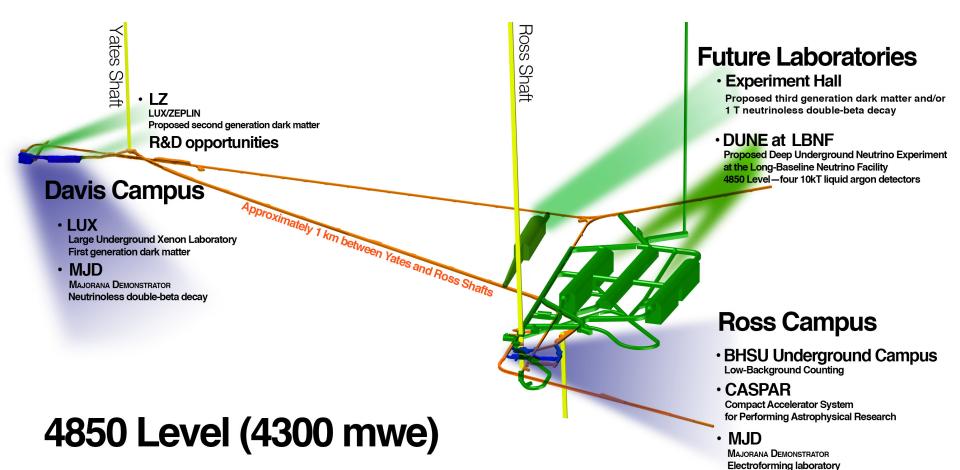


## Sanford Underground Research Facility (SURF)

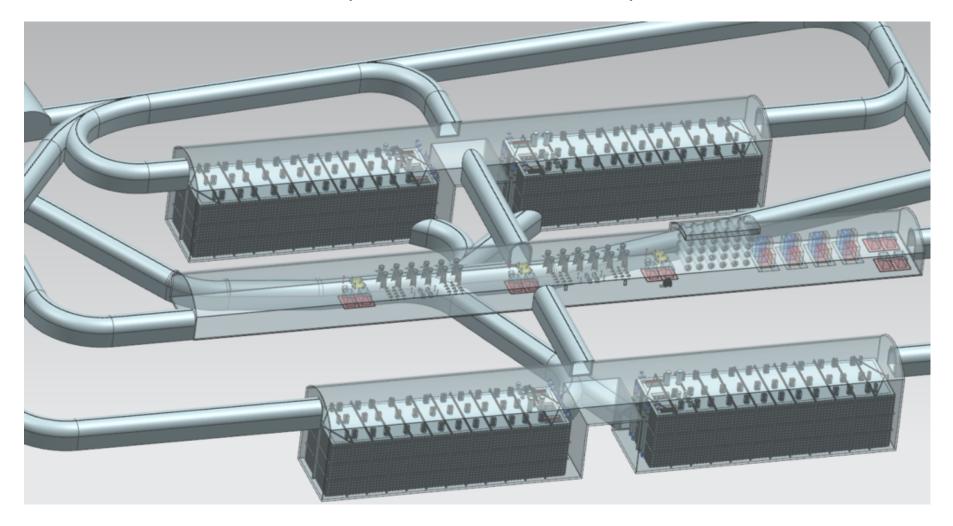


## Former Homestake goldmine in Lead, South Dakota



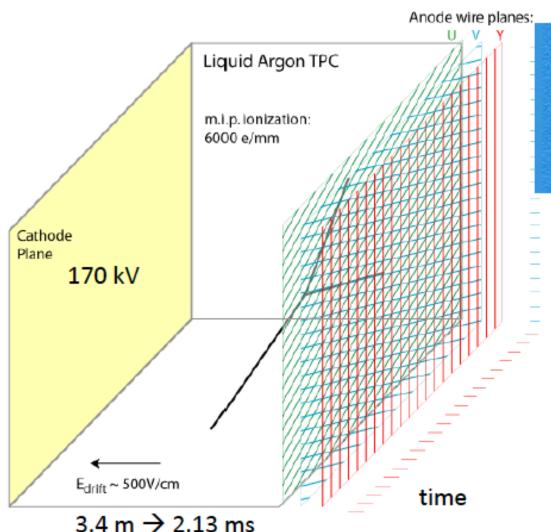


#### Caverns for 4 detectors (each 10 kton LAr TPC) + infrastructure

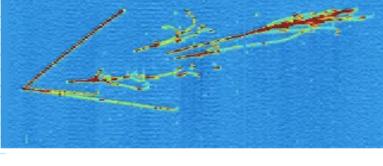


DOE approval of first 2 caverns, excavation to start in 2017/2018 DUNE far detectors (first two modules) ready 2025, beam 2026

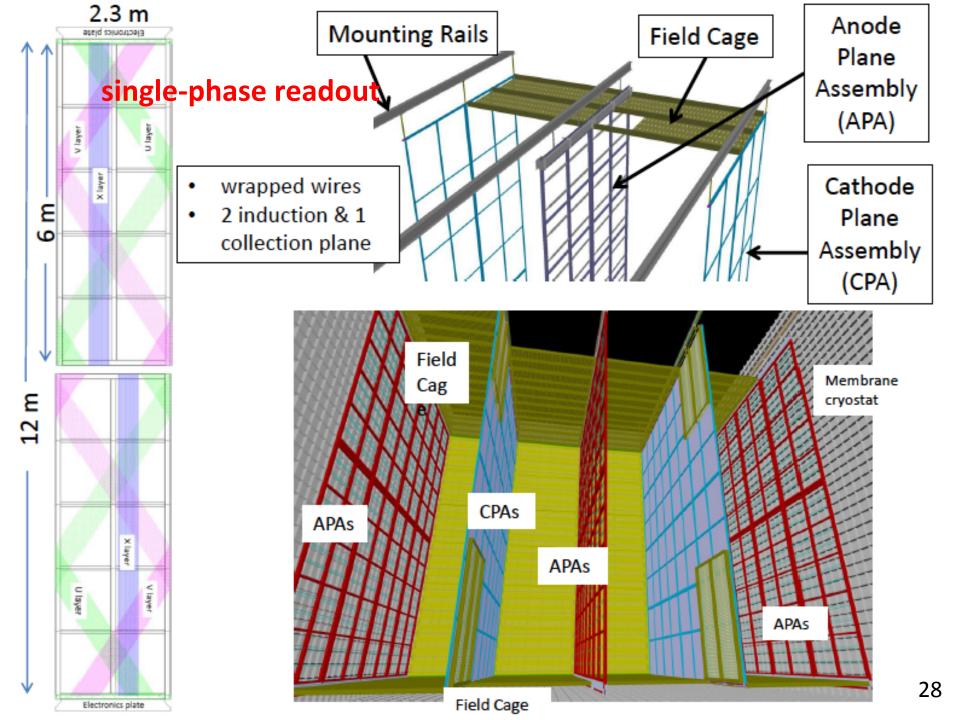
# **Time Projection Chamber (TPC)**



ArgoNeuT

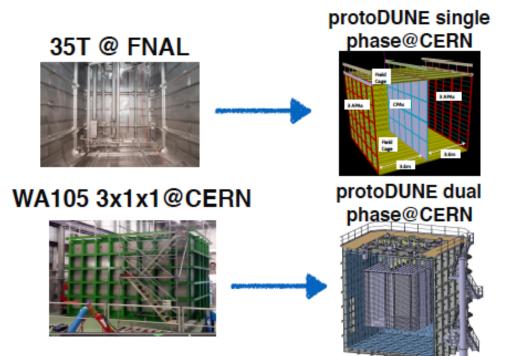


- "Bubble chamber" like imaging capabilities (few mm resolution).
- Excellent energy measurement.
- Excellent e-γ separation.
- Particle identification through dE/dx, range,...
- Timing through scintillation light



# Far Detector Prototyping Program

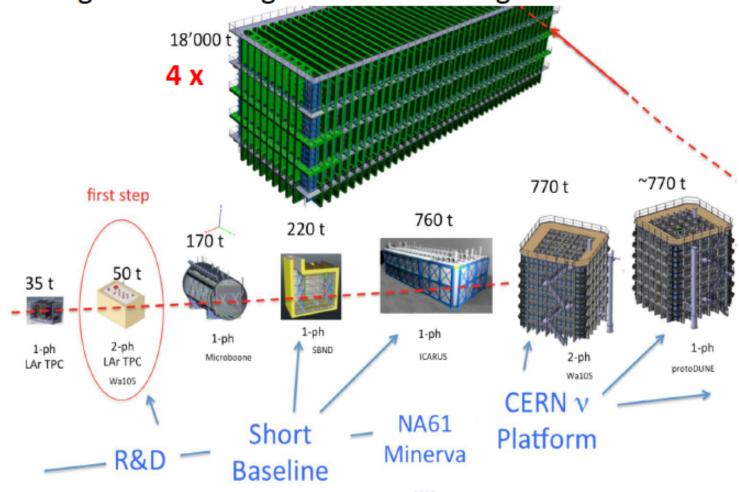
- Basic technologies demonstrated by ICARUS, ArgoNEUT/LArIAT, MicroBooNE, WA105 but DUNE scale is very different (each module is 40x ICARUS) and different in many details → need strong prototyping
- DUNE has well-developed plans for a series of detector prototypes that will provide input to the process leading to the final design(s) for the DUNE far detector modules.
- ProtoDUNE single- and dual-phase 300 tons prototypes to operate in 2018.



- Mitigation of risks associated with current detector designs
- Establishment of construction facilities required for full-scale production of detector components
- Early detection of potential issues with construction methods and detector performance
- Provide required calibration of detector response to particle interactions in charged particle test beams

## Step by step (LAr TPCs)

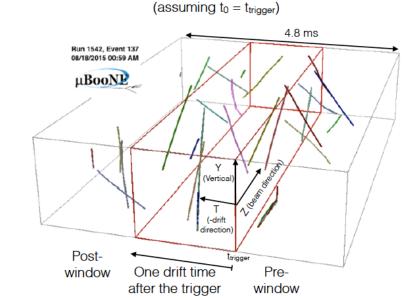
.... the large scale is a big and new challenge



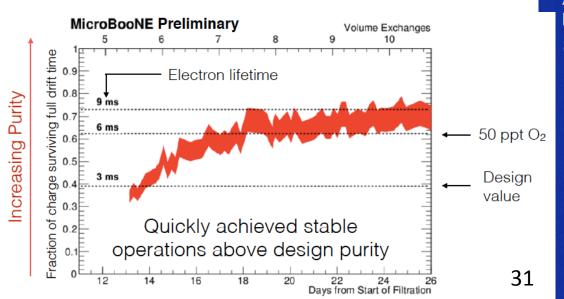
To succeed we need to proceed in steps (for cryostats, cryogenics and detectors)

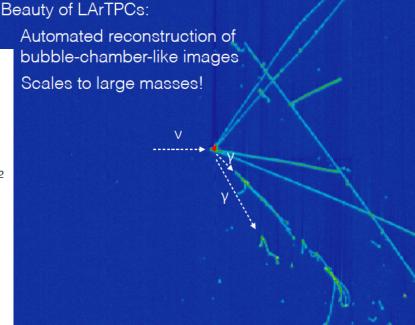
#### MicroBoone:

- ◆ 1. Can achieve high purity in fully-instrumented cryostats thanks to well-designed recirculating filtration system
- ◆ 2. Stable operations with high detector uptime possible with LArTPC experiments
- ◆ 3. Can achieve low noise levels in LArTPCs thanks to cold electronics
- ◆ 4. Robust signal calibration scheme important to correctly measure ionization charge with LArTPC technology
- ◆ 5. Space charge effects observable in LArTPC data when operating on surface
- ◆ 6. LArTPC experiments capable of producing high-level physics results with automated reconstruction

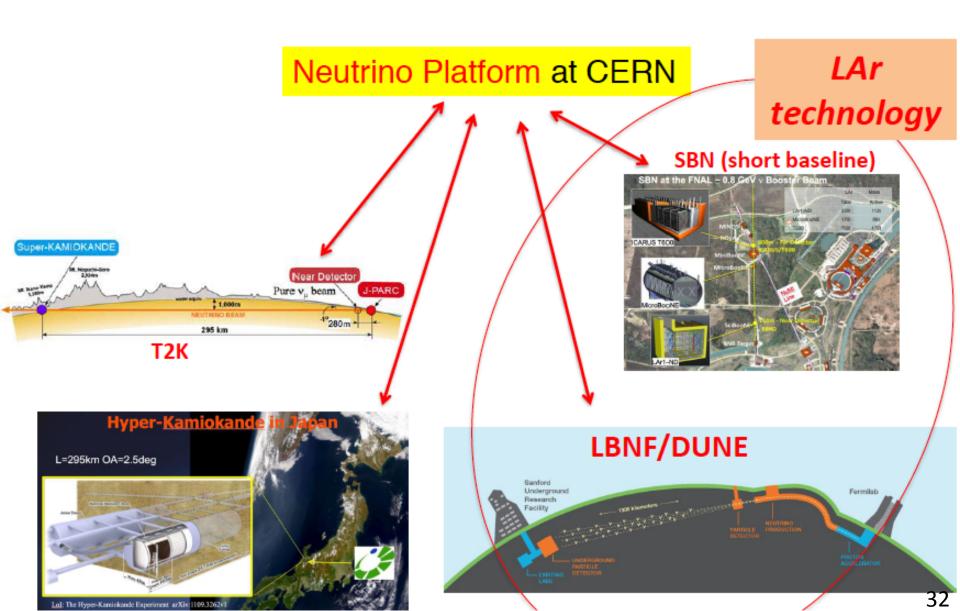


Reconstructed cosmic tracks in MicroBooNE data





## v future landscape (oscillation physics)



## **CERN EHN1 test beam extension**

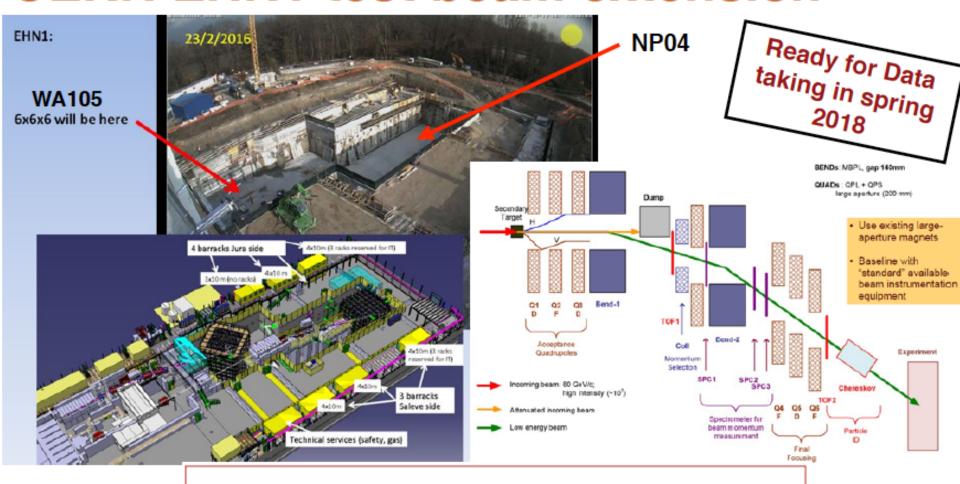


TABLE XIX: Requirements for particles and their moments. The particle rate here is the rate within a spill, regardless of the spill length, slow extraction is assumed.

Туре	Momentum [GeV/c]	Rate [kHz]	Total	Time est. [hrs]
Muon	tracks			
$\mu^{+/-}$	0.8, 1.0, 1.5, 2.0, 5.0, 10.0, 20.0	0.1	$5 \times 10^6 \times 14$	200
Showe	er reconstruction			
$\pi^{+/-}$	0.5, 0.7, 1.0, 2.0, 5.0, 10.0, 20.0	0.1	ნ×10 <sup>6</sup> ×14	200
$\epsilon$	0.5, 0.7, 1.0, 2.0, 5.0, 10., 20.0	0.1	$5 \times 10^8 \times 7$	100

### CERN North Area: 27/4/2016

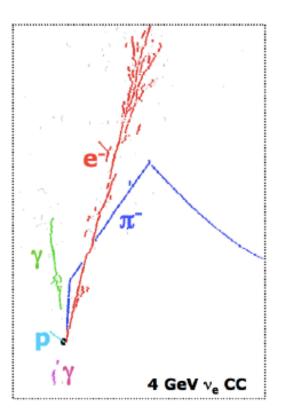


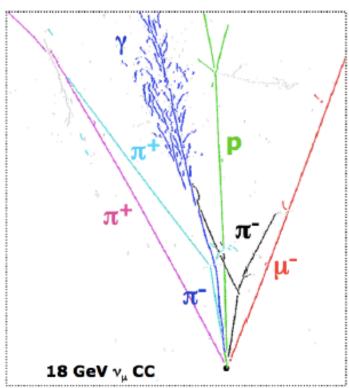
#### single-phase proto-DUNE in the CERN SPS test beam in 2018

Particle	Momenta (GeV/c)	Sample	Purpose
		Size	
$\pi^+$	0.2,  0.3,  0.4,  0.5,  0.7,  1,  2,  3,  5,  7	10k	hadronic cal, $\pi^0$ content
$\pi^-$	0.2,0.3,0.4,0.5,0.7,1	10k	hadronic cal, $\pi^0$ content
$\pi^+$	2	600k	$\pi^o/\gamma$ sample
proton	0.7, 1, 2, 3	10k	response, PID
proton	1	1M	mis-ID, PD, recombination
$\mathrm{e^{+}}\ \mathrm{or}\ \mathrm{e^{-}}$	0.2,0.3,0.4,0.5,1,2,3,5,7	10k	e- $\gamma$ separation/EM shower
$\mu^-$	(0.2), 0.5, 1, 2	10k	$E_{\mu}$ , charge sign
$\mu^+$	(0.2), 0.5, 1, 2	10k	$E_{\mu}$ , Michel el.,charge sign
$\mu^-$ or $\mu^+$	3, 5, 7	5k	$E_{\mu} \text{ MCS}$
anti-proton	low-energy tune	(100)	anti-proton stars
K <sup>+</sup>	1	(13k)	response, PID, PD
K <sup>+</sup>	0.5,0.7	(5k)	response, PID, PD
$\mu$ , e, proton	1 (vary angle $\times$ 5)	10k	reconstruction

Table 1: Requirements summary for particle types and momenta. The sample size column indicates the number of particles for each momentum point. Items in parenthesis indicate lower priority (see text).

## **Another Challenge: Event Reconstruction**





Highly complex event topologies that require sophisticated reconstruction algorithms.

Need to reconstruct tracks and showers, measure their energy and perform particle identification. Automatisation a major challenge.

## The DUNE Collaboration

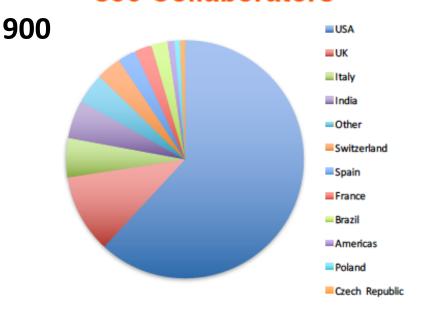
162

As of today:

856 Collaborators

from 149 Institutions in

29 Nations



Armenia, Belgium, Brazil,
Bulgaria, Canada, Colombia,
Czech Republic, Finland,
France, Greece, India, Iran,
Italy, Japan, Madagascar,
Mexico, Netherlands, Peru,
Poland, Romania, Russia,
Spain, Sweden, Switzerland,
Turkey, UK, USA, Ukraine

DUNE has broad international support and is growing ~70 new collaborators this calendar year



## The DUNE Collaboration

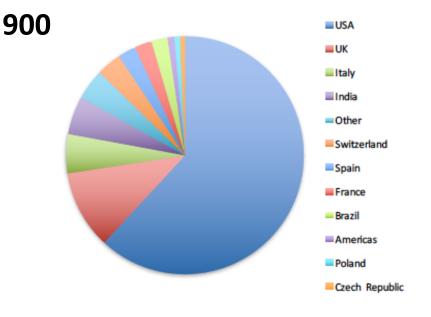
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## DUNE Strategic Goals 2016 - 2019

### Top-level goals for 2016 – 2019

- Construction and operation of large-scale prototypes at CERN
  - Critical for demonstrating the ability of the DUNE collaboration to implement a major construction activity
- Preparation of DUNE TDR for CD-2
  - Along with the protoDUNE programme, this is the major scientific and technical goal for the collaboration
- **Enlarging the Collaboration** 
  - To meet the technical challenges ahead our goal is to enlarge the DUNE collaboration, with particular focus on further internationalization. CD-3a approval will present a major opportunity
- Identification of the resources for construction of DUNE
  - DUNE TDR will present institutional responsibilities for DUNE construction. Funding for TDR scope needs to be in place by 2019





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### Far Site Facilities Scope and Requirements

Scope Element	Funding Source		
Excavation of 4850L detector and utility caverns and drifts	DOE	CD-3a	
Surface buildings, utility infrastructure, cavern outfitting	DOE	Scope	
LN2 cryogenic systems	DOE		
Four 10kt fiducial cryostats	Non-DOE		
LAr cryogenic systems	Non-DOE		
LAr and LN2 cryogenic fluids procurement	DOE and Non-DOE		

CERN taking lead in design of cryostats
CERN funds construction of one cryostat

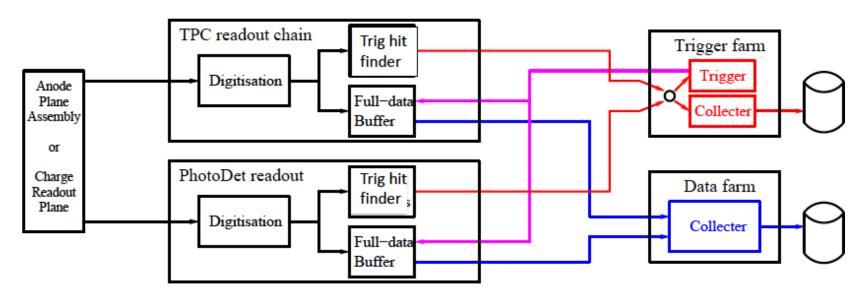
DOE review now

If pass: start excavation

Opportunities for European (including Dutch) industry

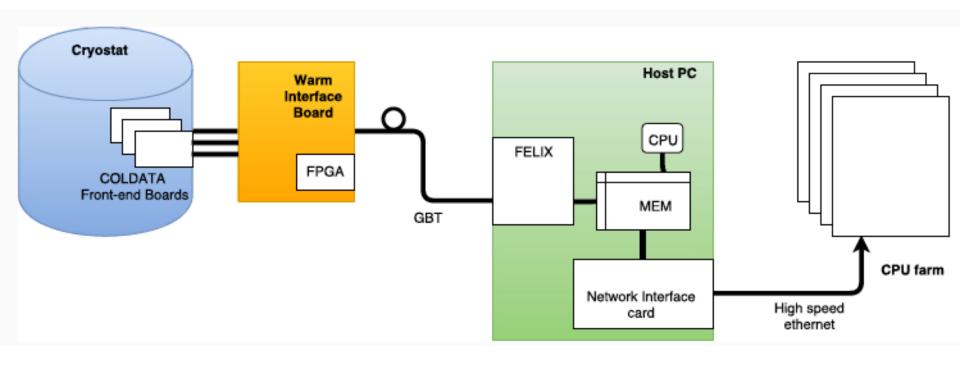
### What could Nikhef contribute?

We want to focus on the single-phase readout TPC technology.



DAQ and trigger concepts still being developed

- Multiple streams
- Multiple types of trigger
- Multi-level triggering



FELIX is being developed in ATLAS for the phase 1 (LS-2) upgrade, and afterwards Get data in computer memory, COTS networking equipment downstream Essentially on a PCI-e card in a host PC, equipped with a FPGA Nikhef is one of the major developers in ATLAS

protoDUNE plan: at least APA read out with FELIX. Test setup in ATLAS test room. PhD student Milo Vermeulen, staff Frank Filthaut, Patrick Decowski, Paul de Jong

### **FELIX plans**

### Proposed hardware setup

### I FELIX PC (SuperMicro)

- single CPU (3.6 GHz E5-1650v4 or 3.5 GHz E5-1650v2), 6 cores, hyperthreading; 16 or 32 GB memory (2 hosts available; upgrade from 16 GB to 32 GB possible)
- ◆ HTG710 board, 6 input links 
   input rate 57.6 Gb/s (payload: 45 Gb/s)
- emulate 6 WIB links using VC709 (optical splitter to create 6 links from 4)
- dual port 40 Gb/s Ethernet (or 56 Gb/s Infiniband) NIC (Mellanox), 8-lane PCIe Gen3 (3 available)

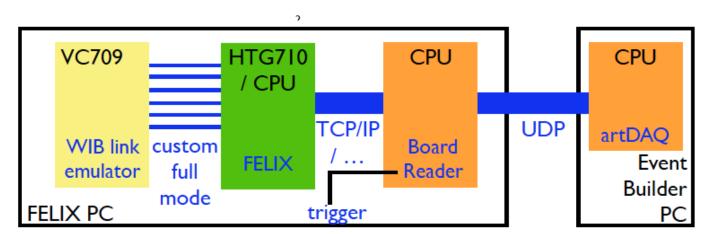
#### Levent builder PC

data sink

### BoardReader PC

(if needed)

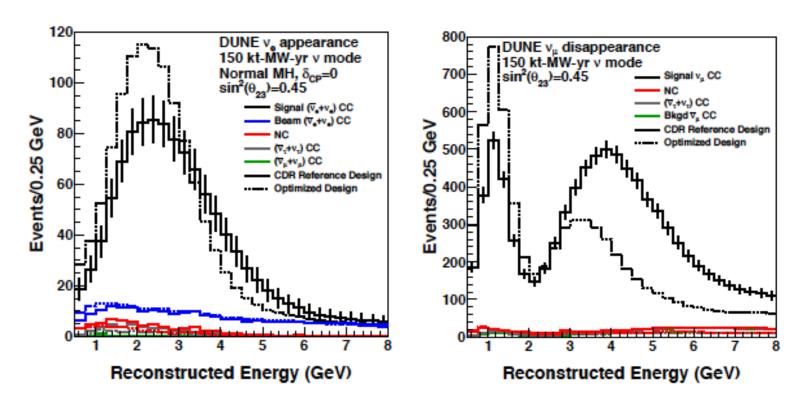
### All hardware available (Nikhef DAQ test lab)



### **DUNE** oscillation analysis:

Documented in CDR: arXiv:1512.06148, arXiv:1606.09550

LBNF beamline simulator → fast MC using GENIE → GLOBES for fit (assumed syst.)

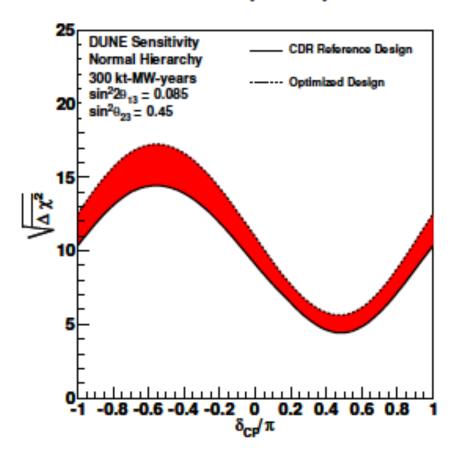


Next: more realistic simulation/reconstruction Technical Design Report 2019

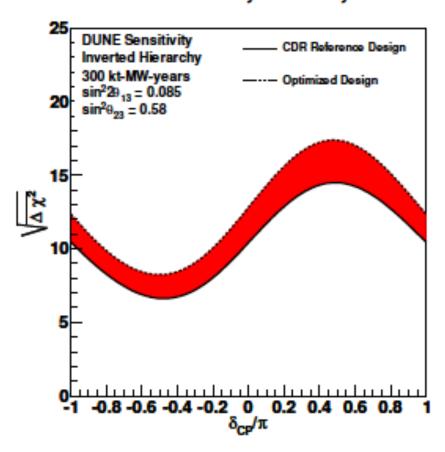
## **Assumptions in CDR**

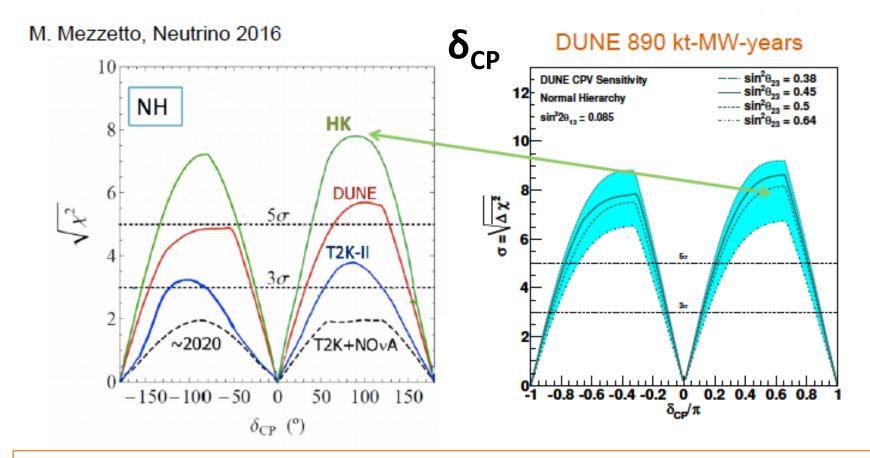
Dantiala Arma	D.,	!	E/	A	Annulas	
Particle type		ection	,	Momentum	Angular	
		nold (KE)	Resolutio	···	Resolution	
$\mu^{\pm}$	30	MeV	Contained track: track length 1°		1°	
			Exiting t	Exiting track: 30%		
$\pi^{\pm}$	100	) MeV	$\mu$ -like contained track: track length		1°	
			$\pi$ -like co	$\pi$ -like contained track: 5%		
			Showerin	Showering or exiting: 30%		
$e^{\pm}/\gamma$	30	MeV		$5\%/\sqrt{E}$ [GeV]	1°	
Р	50	MeV	p<400 MeV/c: 10%		5°	
			p>400 N	p>400 MeV/c: 5% $\oplus$ 30%/ $\sqrt{E}$ [GeV]		
n	50	MeV	$40\%/\sqrt{E}$ [GeV]		5°	
other	50	MeV	$5\% \oplus 30\%/\sqrt{E}$ [GeV]		5°	
Source of	MINOS	T2K	DUNE	Comments		
Uncertainty	$\nu_e$	$\nu_e$	$\nu_e$			
Beam Flux	0.3%	3.2%	2%	See "Flux Uncertainties" in Sec	tion 3.6.2	
after N/F						
extrapolation	0.70/	F 20/	004	C #1		
Interaction Model	2.7%	5.3%	$\sim 2\%$	See "Interaction Model Uncerta in Section 3.6.2	ainties	
	3.5%	included	(20/)		-lii	
Energy scale $(\nu_{\mu})$	3.5%	above	(2%)	Included in 5% $\nu_{\mu}$ sample norm uncertainty in DUNE 3-flavor fi		
Energy scale	2.7%	2.5%	2%	See " $\nu_e$ Energy-Scale Uncertain		
$(\nu_e)$	2.1 /0	includes	270	in Section3.6.2	ities	
(26)		all FD		500(10110.10.12		
		effects				
Fiducial	2.4%	1%	1%	Larger detectors = smaller unce	ertainty.	
volume						
Total	5.7%	6.8%	3.6 %			
Used in DUNE			$5\% \oplus 2\%$	Residual $\nu_e$ uncertainty: 2%		
Sensitivity						
Calculations						

### Mass Hierarchy Sensitivity



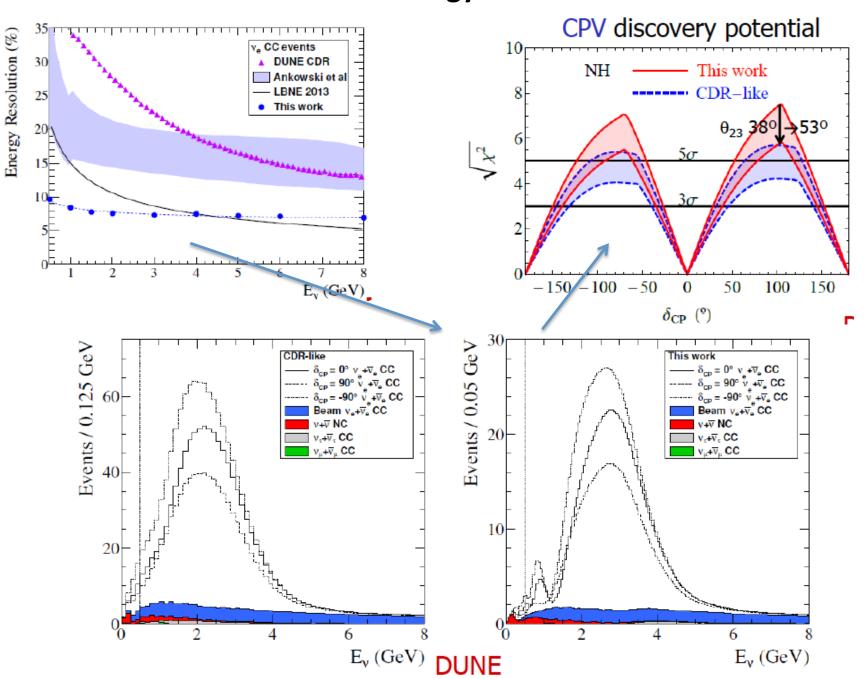
### Mass Hierarchy Sensitivity

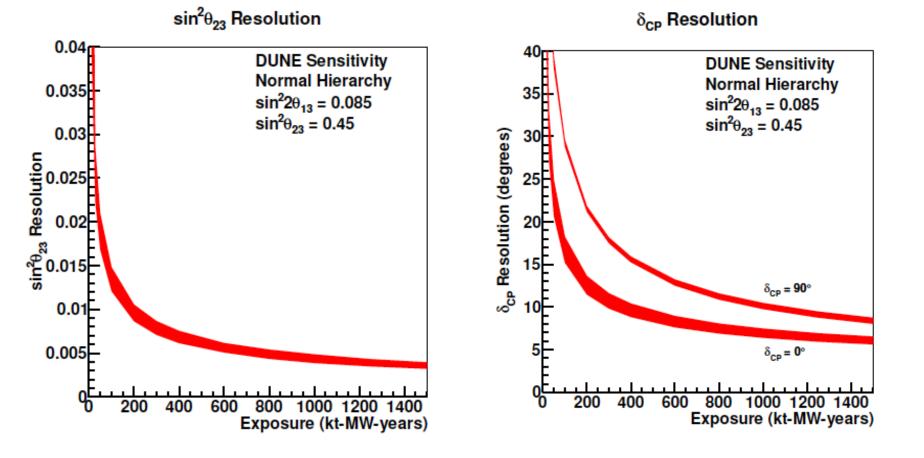




- DUNE curve is our 300 kt-MW-year exposure: ~1100 expected appearance events
- HK curve is a 10-year staged exposure -- 6 years with 1<sup>st</sup> tank (190 kt fiducial) + 4 years with both tanks (380 kt fiducial): ~4000 expected appearance events

DUNE: 300 kt-MW-year = about 8 years of running, with current staging estimates 890 kt-MW-year = 11 years with full detector and upgraded proton beam





DUNE is more than  $\delta_{CP}$ :

Determination of mixing angles and  $\Delta m^2$ ; consistency 3-generation picture, BSM physics: non-standard neutrino interactions, proton decay, Huge sample of neutrino interactions in near detector: SF, EW physics Supernova neutrinos

# Backup

## FELIX development team



- John Anderson
- Soo Ryu
- Jinlong Zhang



- **Hucheng Chen**
- Kai Chen
- Weihao Wu
- Francesco Lanni



- Markus Joos
- Giovanna Lehmann
- Wainer Vandelli
- Benedetto Gorini



- Frans Schreuder
- Andrea Borga Henk Boterenbrood
- Jos Vermeulen



Joern Schumacher







- Daniel Guest
- Daniel Whiteson



ROS/ROD

effort on

software

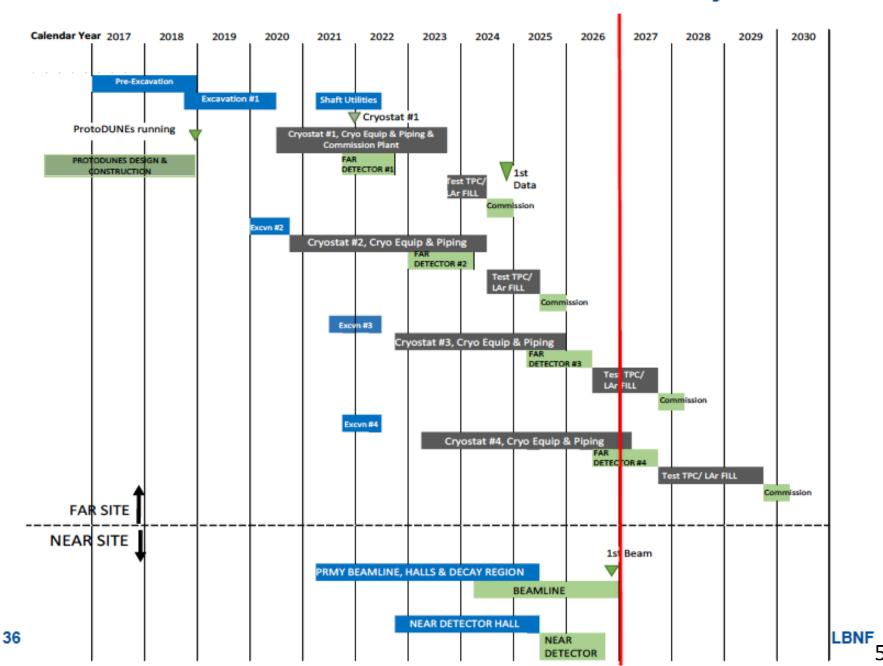
framework:

- Gordon Crone (UCL)
- Will Panduro (RHUL)
- Joern Schumacher (CERN / Paderborn)
- Wainer Vandelli (CERN)

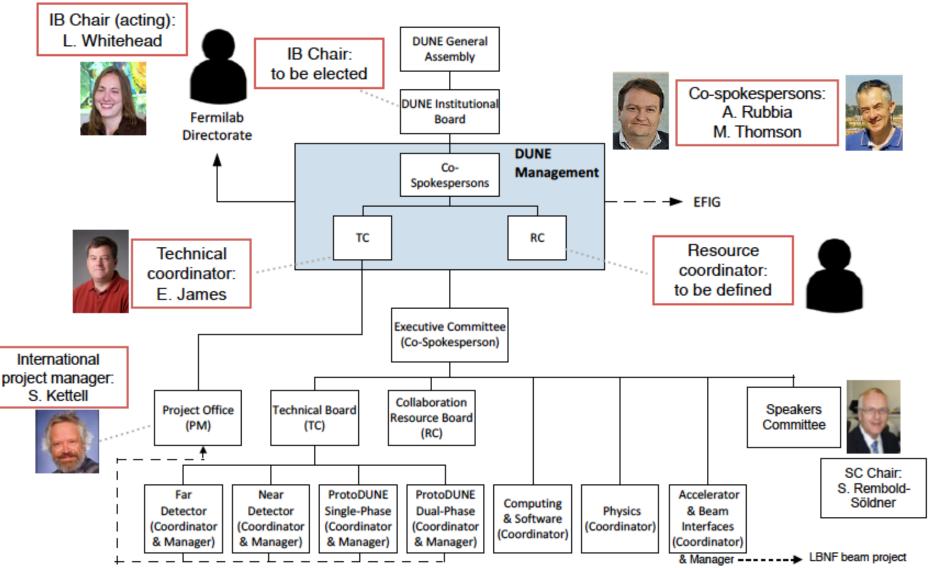


- Julia Narevicius
- Alex Roich
- Lorne Levinson

## **LBNF/DUNE – Construction Summary**



# **DUNE Top Level Management Team**



## **Matter Effects**

Even in the absence of CPV

$$P(\nu_{\mu} \rightarrow \nu_{e}) - P(\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}) \neq 0$$

Neutrinos travel through material that is not CP symmetric, i.e. matter not antimatter

★ Complicates the simple picture !!!!

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# **Experimental Strategy**

### EITHER:

- ★ Keep L small (~200 km): so that matter effects are insignificant
  - First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \quad \Longrightarrow \quad E_{\nu} < 1 \text{ GeV}$$

- Since  $\sigma \propto E_{
  m v}$  need a high flux at oscillation maximum
  - Off-axis beam: narrow range of neutrino energies

### OR:

- ★ Make L large (>1000 km): measure the matter effects (i.e. MH)
  - First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \implies E_{\rm v} > 2 \,{\rm GeV}$$

- Unfold CPV from Matter Effects through E dependence
  - On-axis beam: wide range of neutrino energies

# **Experimental Strategy**

### EITHER:

- ★ Keep L small (~200 km): so that matter eff re insignificant -Kamiokande
  - First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2}$$

- anigh flux at oscillation maximum Since
  - am: narrow range of neutrino energies

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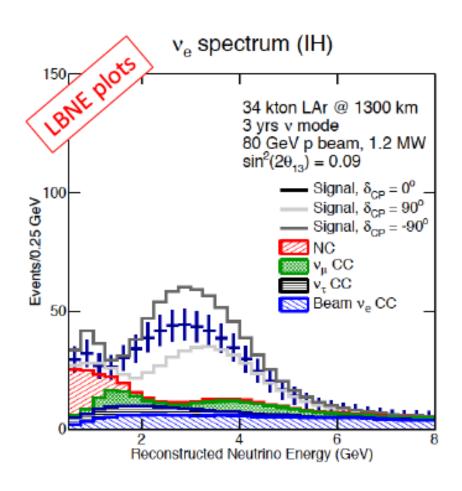
range of neutrino energies

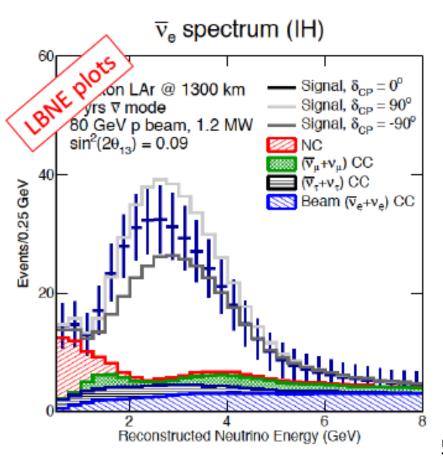
# Separating MH & CPV

DUNE: Determine MH and probe CPV in a single experiment

Recall:  $\mathcal{A} = P(\nu_{\mu} \rightarrow \nu_{e}) - P(\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}) = \mathcal{A}_{CP} + \mathcal{A}_{Matter}$ 

with different energy dependence



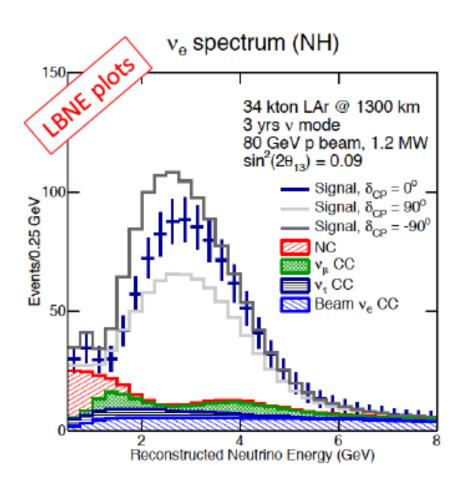


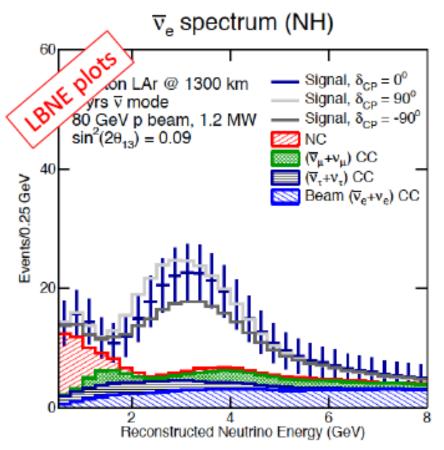
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with different energy dependence





## MH and CPV Sensitivities

- ★ Sensitivities depend on multiple factors:
  - Other parameters, e.g. δ
  - Details of beam spectrum, ...

