

Transverse Momentum Distributions of Heavy Hadrons and Polarized Heavy Quarks.

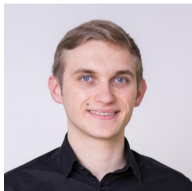
Rebecca von Kuk (DESY), Johannes K. L. Michel (Nikhef/UvA), Zhiquan Sun (MIT)

based on 2305.15461 (JHEP 09 (2023) 205)

Nikhef Theory Seminar



European Research Council
Established by the European Commission



1. Motivation and introduction

- short introduction to transverse momentum dependent distributions (TMDs)
- why are heavy quarks interesting?

2. Heavy TMD fragmentation functions (FFs)

- discuss heavy TMD FFs in two regimes: $\Lambda_{\text{QCD}} \sim k_T \ll m$ and $\Lambda_{\text{QCD}} \ll k_T \sim m$

3. Heavy TMD parton distribution functions (PDFs)

4. Towards phenomenology

- $e^+e^- \rightarrow H H X$
- Semi-inclusive deep inelastic scattering (SIDIS)

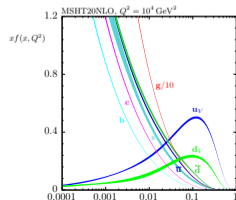
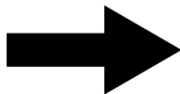
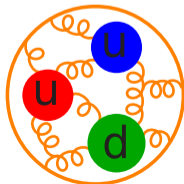
5. Outlook

Intro.

Introductions to Transverse Momentum Dependent Distributions.

Collinear parton distribution functions (PDFs)

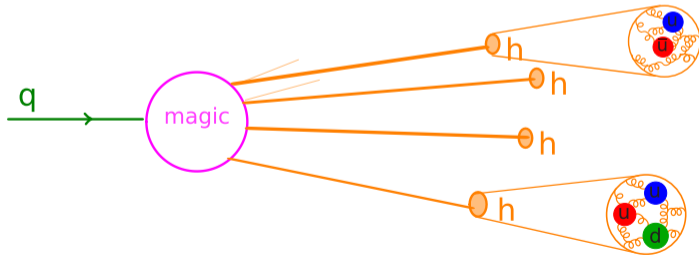
- collinear factorization [Collins, Soper, Sterman '89]: separation of physics at different scales, e.g. $m, k_T \ll Q$
- calculate a hard scattering process, but in hadron collisions the specific initial state is not known
- **Use PDFs:**
 - ▶ probability density for finding particle with certain longitudinal momentum fraction x at scale Q
 - ▶ non-perturbative: PDFs can not be calculated using perturbative QCD



Introductions to Transverse Momentum Dependent Distributions.

Collinear fragmentation functions (FFs)

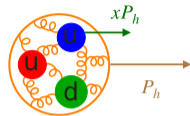
- what about the final state?
- in QCD: can't observe free partons \rightarrow parton fragments into a final state hadron
- Use **FFs**: describe probability of a final state hadron to originate from a given quark or gluon



Introductions to Transverse Momentum Dependent Distributions.

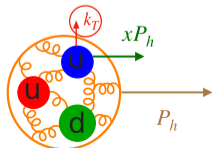
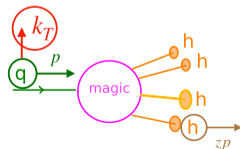
Collinear factorization theorem

- cross section factorizes into PDFs, hard scattering process and FFs
- **But:** so far only at a 1D snapshot of longitudinal momentum distributions!



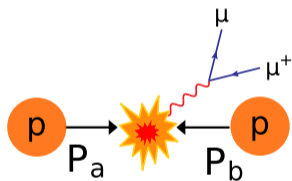
TMD factorization theorem

- allows for extraction of **3D** structure of the hadronization cascade
- TMDs are universal across processes [Collins, Metz '04]
- natural power-counting with $k_T \ll Q$



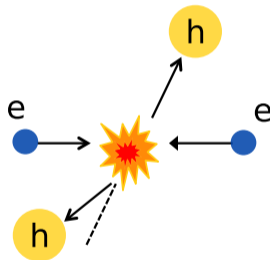
TMD factorization

Drell-Yan



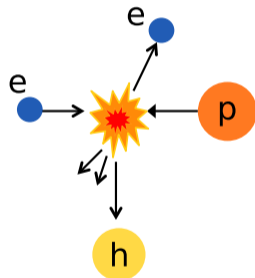
$$\frac{d\sigma}{dk_T} \sim f_{q/P}(x, k_T) \otimes f_{q/P}(x, k_T)$$

Dihadron in $e^+ e^-$



$$\frac{d\sigma}{dk_T} \sim D_{h/q}(z, k_T) \otimes D_{h/q}(z, k_T)$$

Semi-inclusive DIS



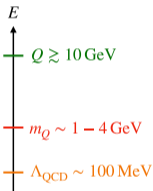
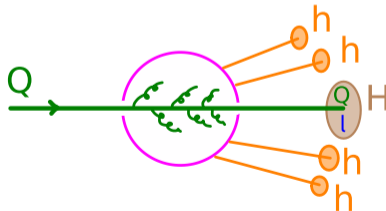
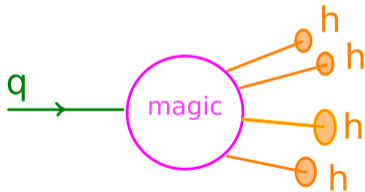
$$\frac{d\sigma}{dk_T} \sim f_{q/P}(x, k_T) \otimes D_{h/q}(z, k_T)$$

Heavy quarks.

Why are heavy quarks interesting?

- bottom and charm quarks have $m_b, m_c \gg \Lambda_{\text{QCD}}$
 - ▶ provides perturbative scale on otherwise non-perturbative dynamics of hadronization
- serve as static color source coupling to light degrees of freedom
- model independent prediction e.g. to improve heavy flavor modeling in MC
- ▶ **Ideal to study hadronization process**

1.27 GeV/c ² 2/3 1/2 charm	4.2 GeV/c -1/3 1/2 bottom
--	------------------------------------



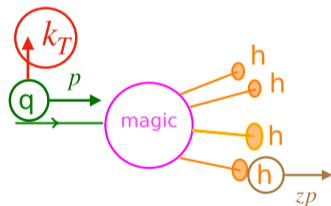
Heavy TMD FFs.

Basics of TMD FFs.

- TMD quark-quark correlator describing fragmentation

$$\Delta_{h/q}(z_H, b_\perp) = \frac{1}{2z_H N_c} \int \frac{db^+}{4\pi} e^{ib^+(P_h^-/z_H)/2} \times \text{Tr} \int_X \langle 0 | W^\dagger(b) \psi_q(b) | hX \rangle \langle hX | \bar{\psi}_q W | 0 \rangle,$$

- k_\perp : transverse momentum of the (heavy) quark
- $b = (0, b^+, b_\perp)$: Fourier conjugate of k
- z_H : fraction of quarks lightcone momentum retained by hadron
- P_h^- : large momentum component of the (heavy) hadron



$$p_\perp^2 \equiv p_\perp \cdot p_\perp < 0, \text{ and } p_T = \sqrt{-p_\perp^2},$$

$$W(x) = \bar{P} \left[\exp \left(-ig \int_0^\infty d\bar{s} \bar{n} \cdot A(x + \bar{n}s) \right) \right]$$

Basics of TMD FFs.

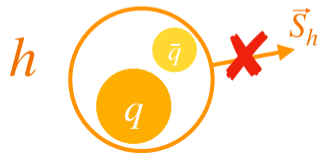
- TMD quark-quark correlator describing fragmentation

$$\Delta_{h/q}(z_H, b_\perp) = \frac{1}{2z_H N_c} \int \frac{db^+}{4\pi} e^{ib^+(P_H^-/z_H)/2} \\ \times \text{Tr} \sum_X \langle 0 | W^\dagger(b) \psi_q(b) | hX \rangle \langle hX | \bar{\psi}_q W | 0 \rangle,$$

- sum over all possible hadron helicities

$$\sum_X |hX\rangle \langle hX| \equiv \sum_X \sum_{h_H} |h, h_H; X\rangle \langle h, h_H; X|$$

- **Here:** only allow unpolarized hadrons

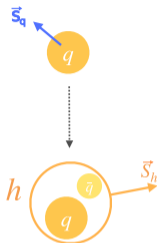


Heavy TMD FFs.

Leading Quark TMDFFs



		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Unpolarized (or Spin 0) Hadrons		$D_1 = \text{○} \bullet$ Unpolarized		$H_1^\perp = \text{○} \uparrow - \text{○} \downarrow$ Collins
	L		$G_1 = \text{○} \rightarrow - \text{○} \leftarrow$ Helicity	$H_{1L}^\perp = \text{○} \nearrow - \text{○} \searrow$
Polarized Hadrons	T	$D_{1T}^\perp = \text{○} \uparrow - \text{○} \downarrow$ Polarizing FF	$G_{1T}^\perp = \text{○} \rightarrow - \text{○} \leftarrow$	$H_1 = \text{○} \uparrow - \text{○} \downarrow$ Transversity $H_{1T}^\perp = \text{○} \nearrow - \text{○} \searrow$



$$D_{1h/q}(z_H, b_T)$$

$$= \text{tr} \left[\frac{\not{n}}{2} \Delta_{h/q}(z_H, b_\perp) \right]$$

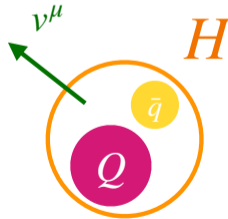
$$H_{1h/q}^{\perp(1)}(z_H, b_T)$$

$$= \text{tr} \left[\frac{\not{n}}{2} \frac{\not{b}_\perp}{M_H b_T^2} \Delta_{h/q}(z_H, b_\perp) \right]$$

bHQET

[Isgur, Wise '89 & '90] [Eichten, Hill '90] [Grinstein '90] [Georgi '90][Korner,Thompson '91], [Mannel, Roberts Ryzak '92], [Fleming, Hoang, Mantry, Stewart '08]

- Use bHQET to describe dynamics at non-perturbative scale
- HQET Lagrangian: $\mathcal{L} = \bar{h}_v(i v \cdot D) h_v + \mathcal{L}_{\text{light}} + \mathcal{O}\left(\frac{1}{m}\right)$ with $v^\mu = \frac{P_H^\mu}{M_H}$
- heavy quark spin symmetry: $[\mathcal{L}_{\text{HQET}}, S_Q] = 0$
- **1. step: tree-level matching:**
- QCD field: $\psi_Q(x) = e^{-imv \cdot x} h_v(x)$
- external state: $|H, h_H; X\rangle = \sqrt{m} |H_v, h_H; X\rangle$



bHQET

- HQET Lagrangian: $\mathcal{L} = \bar{h}_v(i v \cdot D) h_v + \mathcal{L}_{\text{light}} + \mathcal{O}\left(\frac{1}{m}\right)$ with $v^\mu = \frac{P_H^\mu}{M_H}$

- **2. step: decouple light degrees of freedom**

- decouple spin degrees of freedom from light dynamics $h_v(x) = Y_v(x) h_v^{(0)}(x)$

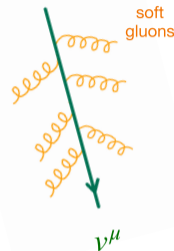
[Korchemsky and Radyushkin '92, Bauer, Pirjol and Stewart '02]

- $Y_v(x)$ takes the place of $h_v(x)$ in all external operators

$$\bar{h}_v(x) |s_Q, h_Q; s_\ell, h_\ell, f_\ell; X\rangle = \bar{u}(v, h_Q) Y_v(x) |s_\ell, h_\ell, f_\ell; X\rangle$$

- where $\underbrace{u(v, h_Q)}_{\text{HQET spinor}} = \underbrace{u(mv, h_Q)}_{\text{Dirac spinor}} / \sqrt{m}$

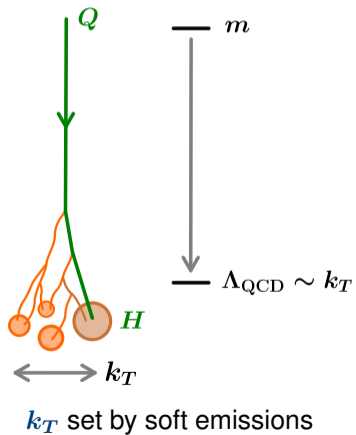
$$Y_v(x) = P \left[\exp \left(i g \int_0^\infty ds v \cdot A(x + vs) \right) \right]$$



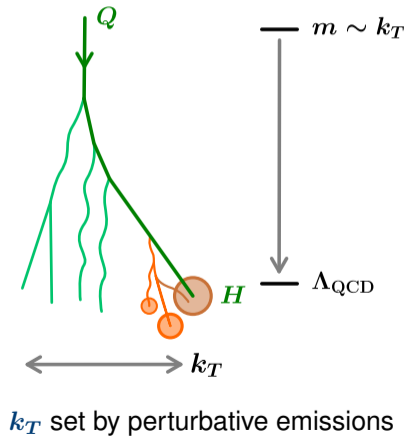
Heavy TMD FFs.

Two different regimes

Regime 1:



Regime 2:



Regime 1.

Regime 1 $\Lambda_{\text{QCD}} \sim k_T \ll m$

- recall TMD quark-quark correlator:

$$\Delta_{H/Q}(z_H, b_\perp) = \frac{1}{2z_H N_c} \int \frac{db^+}{4\pi} e^{ib^+(P_H^-/z_H)/2} \text{Tr} \sum_X \langle 0 | W^\dagger(b) \psi_Q(b) | H X \rangle \langle H X | \bar{\psi}_Q W | 0 \rangle$$



1. step: tree-level matching

$$\Delta_{H/Q}(z_H, b_\perp) = \frac{\delta(1-z_H)}{\bar{n} \cdot v} C_m(m) \underbrace{\frac{1}{2N_c} \text{Tr} \sum_X \langle 0 | W^\dagger(b_\perp) h_v(b_\perp) | H_v X \rangle \langle H_v X | \bar{h}_v W | 0 \rangle}_{\text{HQET element}}$$

$$= \frac{\delta(1-z_H)}{\bar{n} \cdot v} C_m(m) F_H(b_\perp)$$

$$\begin{aligned} \psi_Q(x) &= e^{-imv \cdot x} h_v(x) \\ |H, h_H; X\rangle &= \sqrt{m} |H_v, h_H; X\rangle \end{aligned}$$

Regime 1.

Regime 1 $\Lambda_{\text{QCD}} \sim k_T \ll m$

- evaluate TMD FFs:

$$D_{1H/Q}(z_H, b_T, \mu, \zeta) = \delta(1 - z_H) C_m(m, \mu, \zeta) \chi_{1,H}\left(b_T, \mu, \frac{\sqrt{\zeta}}{m}\right) \quad \odot$$

$$b_T M_H H_{1H/Q}^{\perp(1)}(z_H, b_T, \mu, \zeta) = \delta(1 - z_H) C_m(m, \mu, \zeta) \chi_{1,H}^{\perp}\left(b_T, \mu, \frac{\sqrt{\zeta}}{m}\right) \quad \odot - \odot$$

- new scalar bHQET TMD fragmentation factors

$$\chi_{1,H}(b_T) = \frac{1}{2} \text{tr} F_H(b_{\perp}), \quad \chi_{1,H}^{\perp}(b_T) = \frac{1}{2} \text{tr} \left[\frac{\not{b}_{\perp}}{b_T} \not{z} F_H(b_{\perp}) \right]$$

- $\chi_{1,H}$ conditional probability to produce H given k_T
- $\chi_{1,H}^{\perp}$ conditional density of quark spin w.r.t magnetization axis given by Q and k_T

Regime 1.

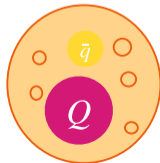
Regime 1: $\Lambda_{\text{QCD}} \sim k_T \ll m$

- **2. step:** decouple spin d.o.f. from light dynamics

$$h_v(x) = Y_v(x) h_v^{(0)}(x)$$

$$F_H(b_\perp) = \frac{1}{2} \sum_{h_H} \sum_{h_Q, h'_Q} \sum_{h_\ell, h'_\ell} u(v, h_Q) \bar{u}(v, h'_Q) \overbrace{\langle s_Q, h_Q; s_\ell, h_\ell | s_H, h_H \rangle \langle s_H, h_H | s_Q, h'_Q; s_\ell, h'_\ell \rangle}^{\text{Clebsch-Gordan-Coefficients}}$$
$$\times \frac{1}{N_c} \text{Tr} \underbrace{\int_X \langle 0 | W^\dagger(b_\perp) Y_v(b_\perp) | s_\ell, h_\ell, f_\ell; X \rangle \langle s_\ell, h'_\ell, f_\ell; X | Y_v^\dagger(0) W(0) | 0 \rangle}_{\rho_{\ell, h_\ell h'_\ell}(b_\perp)}$$

- ▶ $\rho_{\ell, h_\ell h'_\ell}(b_\perp)$: light spin density matrix, encodes all non-perturbative physics



Regime 1.

Results for the unpolarized TMD FF



- performing trace sets $h_Q = h'_Q$ and $h_\ell = h'_\ell$

$$D_{1H/Q}(z_H, b_T, \mu, \zeta) \propto \chi_{1,H}(b_T) = \frac{1}{2} \sum_{h_H} \sum_{h_Q} \sum_{h_\ell} \underbrace{|\langle s_Q, h_Q; s_\ell, h_\ell | s_H, h_H \rangle|^2}_{\text{Clebsch-Gordan-Coefficients}} \rho_{\ell, h_\ell h_\ell}(b_\perp)$$

- example:

$$D(s_\ell = 1/2, s_H = 0)$$

D

$$\chi_{1,D}(b_T) = \frac{1}{4} [\rho_{\ell,++}(b_\perp) + \rho_{\ell,--}(b_\perp)]$$

vs.

$$D^* \text{ meson } (s_\ell = 1/2, s_H = 1)$$

D^*

$$\chi_{1,D^*}(b_T) = \frac{3}{4} [\rho_{\ell,++}(b_\perp) + \rho_{\ell,--}(b_\perp)]$$

- ▶ three times as likely to produce D^* than D !
- ▶ for the first time: show that relations hold point by point in k_T

[proven for inclusive fragmentation Falk and Peskin '94, Manohar and Wise '00]

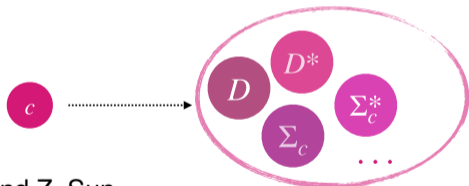
Results for the unpolarized TMD FF



- complete sum of states

$$\chi_1(b_T) \equiv \sum_H \chi_{1,H}(b_T) = \frac{1}{N_c} \text{Tr} \langle 0 | W^\dagger(b_\perp) Y_v(b_\perp) Y_v^\dagger(0) W(0) | 0 \rangle$$

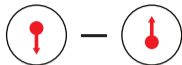
- **vacuum element of Wilson lines!**
- simplest TMD observable possible!
- NLO result from ongoing work by RvK, J.K.L. Michel and Z. Sun



$$\chi_1(b_T, \mu, \rho) = 1 + \frac{\alpha_s(\mu)}{4\pi} C_F(-L_b) (4 \ln \rho - 2) + \mathcal{O}(\alpha_s^2) + \mathcal{O}(\lambda_{\text{QCD}}^2 b_T^2)$$

Regime 1.

Results for the Collins TMD FF

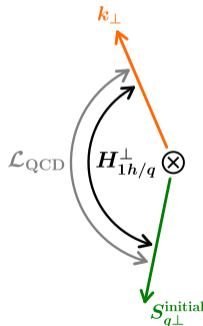


$$H_{1,H/Q}^{(1),\perp}(z_H, b_T) \propto \chi_{1,H}^{\perp}(b_T) = \frac{1}{2} \text{tr} \left[\frac{\not{b}_{\perp}}{b_T} \not{F}_H(b_{\perp}) \right]$$

- encodes correlation between $S_{q\perp}^{\text{initial}}$ and k_{\perp}
- naive expectation from HQ symmetry: Collins TMD FF should be suppressed by $1/m$

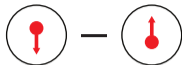
Light quarks:

- correlation directly from non-perturbative dynamics of \mathcal{L}_{QCD}



Regime 1.

Results for the Collins TMD FF



$$H_{1,H/Q}^{(1),\perp}(z_H, b_T) \propto \chi_{1,H}^{\perp}(b_T) = \frac{1}{2} \text{tr} \left[\frac{\not{b}_{\perp}}{b_T} \not{F}_H(b_{\perp}) \right]$$

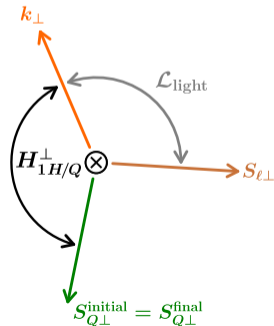
- encodes correlation between $S_{q\perp}^{\text{initial}}$ and k_{\perp}
- naive expectation from HQ symmetry: Collins TMD FF should be suppressed by $1/m$

Light quarks:

- correlation directly from non-perturbative dynamics of \mathcal{L}_{QCD}

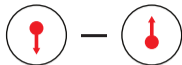
Heavy quarks:

- measuring D vs. D^* induces correlation between $S_{Q\perp}$ and $S_{\ell\perp}$



Regime 1.

Results for the Collins TMD FF



$$H_{1,H/Q}^{(1),\perp}(z_H, b_T) \propto \chi_{1,H}^{\perp}(b_T) = \frac{1}{2} \text{tr} \left[\frac{\not{b}_{\perp}}{b_T} \not{F}_H(b_{\perp}) \right]$$

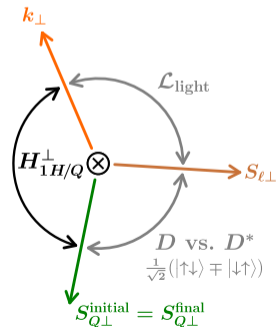
- encodes correlation between $S_{q\perp}^{\text{initial}}$ and k_{\perp}
- naive expectation from HQ symmetry: Collins TMD FF should be suppressed by $1/m$

Light quarks:

- correlation directly from non-perturbative dynamics of \mathcal{L}_{QCD}

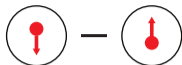
Heavy quarks:

- measuring D vs. D^* induces a correlation between $S_{Q\perp}$ and $S_{\ell\perp}$



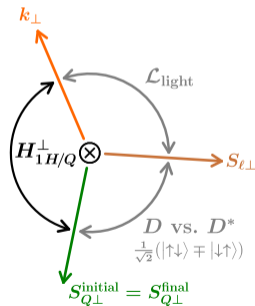
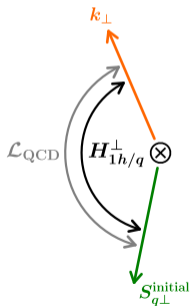
Regime 1.

Results for the Collins TMD FF



$$H_{1,H/Q}^{(1),\perp}(z_H, b_T) \propto \chi_{1,H}^{\perp}(b_T) = \frac{1}{2} \text{tr} \left[\frac{b_{\perp}}{b_T} \not{F}_H(b_{\perp}) \right]$$

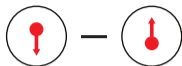
- encodes correlation between $S_{q\perp}^{\text{initial}}$ and k_{\perp}
- naive expectation from HQ symmetry: Collins TMD FF should be suppressed by $1/m$



► Collins effect is not suppressed by $1/m$!

Regime 1.

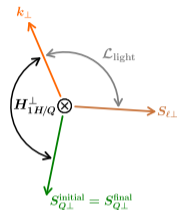
Results for the Collins TMD FF



$$H_{1,H/Q}^{(1),\perp}(z_H, b_T) \propto \chi_{1,H}^{\perp}(b_T) = \frac{1}{2} \text{tr} \left[\frac{b_{\perp}}{b_T} \not{F}_H(b_{\perp}) \right]$$

- example $s_{\ell} = 1/2$, $s_H = 0$

$$\chi_{1,H}^{\perp}(b_T) = \frac{1}{4} [\rho_{\ell,-+}(b_{\perp}) - \rho_{\ell,+-}(b_{\perp})]$$



- sum over all hadrons within same spin multiplet M_{ℓ} (identical light spin and flavor state ℓ)

$$\sum_{H \in M_{\ell}} \chi_{1,H}^{\perp}(b_T) = 0 \quad \rightarrow \quad \boxed{H_{1,D/c}^{\perp}} + \boxed{H_{1,D^*/c}^{\perp}} = 0$$



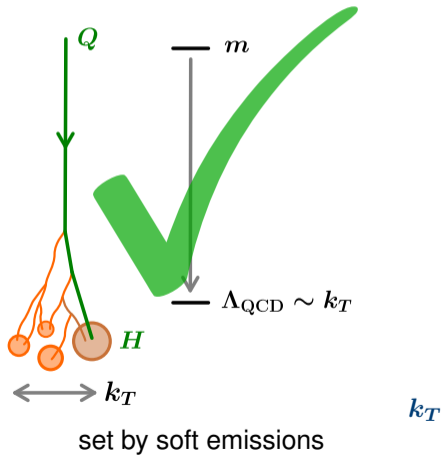
► Heavy quark limit lets us sum rule point by point in q_T !

[Previously shown in bare case. Requires sum over all hadrons and integration of z_H and k_T . Schäfer and Teryaev '00, Meissner, Metz and Pitonyak '10]

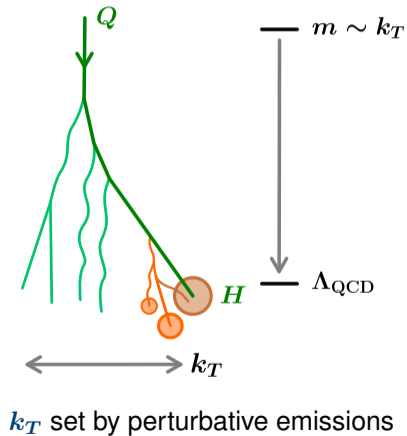
Heavy TMD FFs.

Two different regimes

Regime 1:



Regime 2:



Regime 2.

Unpolarized for $\Lambda_{\text{QCD}} \ll k_T \sim m$



- match onto bHQET at $\mu \sim k_T \sim m$ [Nadolsky, Kidonakis, Olness and Yuan '03]

$$D_{1H/Q}(z_H, b_T, \mu, \zeta) = d_{1Q/Q}(z_H, b_T, \mu, \zeta) \chi_H + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{m}\right) + \mathcal{O}(\Lambda_{\text{QCD}} b_T)$$

- ▶ new perturbative matching coefficient:

$$d_{1Q/Q}(z_H, b_T, \mu, \zeta) = \text{tr} \left[\frac{\not{n}}{2} \Delta_{Q/Q}(z_H, b_\perp) \right] = \delta(1 - z_H) + \mathcal{O}(\alpha_s)$$

- χ_H total probability of Q to fragment into H
- ▶ **symmetry relations hold for all values of k_T and to all orders in α_s !**

$$D \quad D_{1H/Q} = \frac{1}{3} D_{1H^*/Q} \quad D^*$$

Heavy TMD FFs.

Unpolarized TMD FF: work in progress



- calculate $d_{1Q/Q}(z_H, b_T, \mu, \zeta)$ at NLO

$$d_{1Q/Q} = \text{[diagram 1]} + \text{[diagram 2]} + \text{[diagram 3]} + \delta(1-z_H) \text{[diagram 4]}$$

The equation shows four diagrams representing the NLO calculation of the unpolarized TMD FF $d_{1Q/Q}$. The first three diagrams are tree-level diagrams with a gluon loop. In the first, the quark line has momentum p and the gluon loop has momentum ℓ . In the second, the quark line has momentum p' and the gluon loop has momentum ℓ . In the third, the quark line has momentum $p - \ell$ and the gluon loop has momentum ℓ . The fourth diagram is a delta function $\delta(1-z_H)$ multiplied by a diagram of a gluon ladder between two quark lines, with momenta S_n and S_n^\dagger and a gluon loop with momentum ℓ .

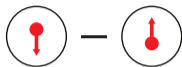
- also consider $d_{1g/Q}(z_H, b_T, \mu, \zeta)$ and $d_{1Q/g}(z_H, b_T, \mu, \zeta)$

$$d_{1g/Q} = \text{[diagram 5]} + \text{[diagram 6]}, \quad d_{1Q/g} = \text{[diagram 7]}$$

The equation shows three diagrams representing the NLO calculation of the unpolarized TMD FFs $d_{1g/Q}$ and $d_{1Q/g}$. The first two diagrams are tree-level diagrams with a gluon loop. In the first, the quark line has momentum p and the gluon loop has momentum ℓ . In the second, the quark line has momentum p and the gluon loop has momentum ℓ . The third diagram is a tree-level diagram with a gluon loop, where the quark line has momentum p and the gluon loop has momentum ℓ .

- check against:
 - ▶ heavy quark limit ✓
 - ▶ light quark limit ✓
 - ▶ joint k_T & threshold resum. for $z \rightarrow 1$ with full mass dependence ✓

Collins for $\Lambda_{\text{QCD}} \ll k_T \sim m$



$$b_T M_H H_{1H/Q}^{\perp(1)}(z_H, b_T) = \delta(1 - z_H) b_T \chi_{H,G} + \mathcal{O}(\alpha_s) + \mathcal{O}(\Lambda_{\text{QCD}}^2)$$

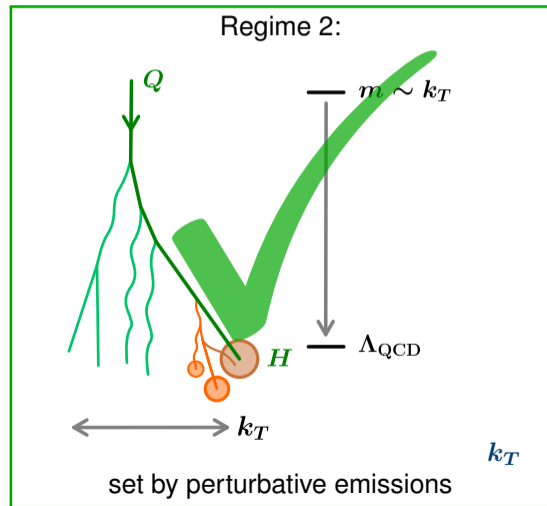
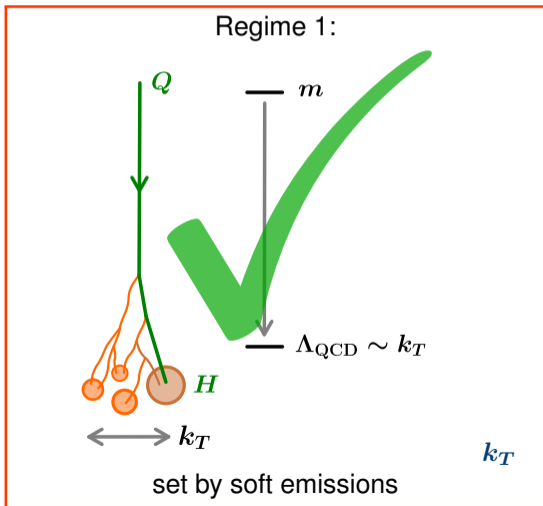
- $\chi_{H,G}$ correlation between gluon field polarization and transverse polarization

$$\chi_{H,G} \equiv \frac{1}{2N_c} \text{Tr tr} \int_X \left\{ \langle 0 | W^\dagger \sigma_{\beta\alpha} z^\beta [iD_\perp^\alpha + g\mathcal{G}_\perp^\alpha] h_v | H_v X \rangle \langle H_v X | \bar{h}_v W | 0 \rangle + \text{h.c.} \right\}.$$

- ▶ can easily show $\sum_{H \in M_\ell} \chi_{H,G} = 0 \rightarrow$ **new symmetry relation still holds!**

Heavy TMD FFs.

Two different regimes



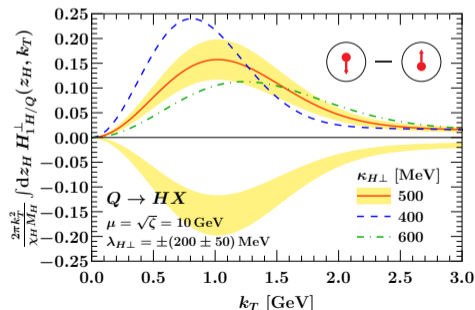
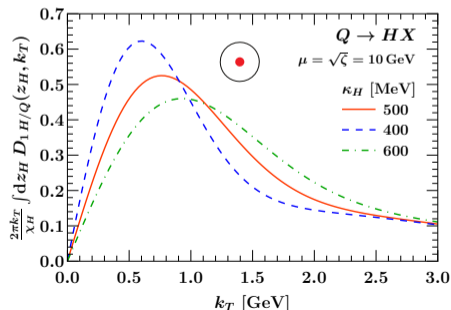
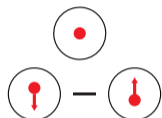
Heavy TMD FFs.

numerical model

- at LL: TMD FFs completely specified by $\chi_{1,H}$, $\chi_{1,H}^\perp$ and TMD evolution
- assume simple Gaussian models

$$\chi_{1,H}(b_T, \mu_0, \rho_0) = \chi_H \exp\left(-\kappa_H^2 b_T^2\right)$$

$$\chi_{1,H}^\perp(b_T, \mu_0, \rho_0) = \chi_H \lambda_{H\perp} b_T \exp\left(-\kappa_{H\perp}^2 b_T^2\right)$$



Heavy TMD PDFs.

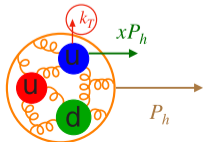
setup

- consider production of a heavy quark Q with from light partons within a (polarized) nucleon N
- heavy quarks is pair produced in initial state gluon splitting at $\mu \sim m$
- ▶ **TMD PDFs can be calculated by matching them onto leading collinear PDFs**
- TMD PDF decomposition: [Bacchetta, Diehl, Goeke, Metz, Mulders and Schlegel '07, Ebert, Gao and Stewart '22]

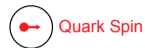
$$\Phi_{Q/N}(x, k_{\perp}) =$$

$$\left\{ f_{1Q/N} + g_{1LQ/N} S_L \gamma_5 + h_{1LQ/N}^{\perp} S_L \gamma_5 \frac{k_{\perp}}{M_N} + i h_{1Q/N}^{\perp} \frac{k_{\perp}}{M_N} + (\text{terms} \propto S_{\perp}) \right\} \frac{\not{n}}{4}$$

- S_L : longitudinal nucleon polarization, M_N : the nucleon mass
- all terms $\propto S_{\perp}$ vanish at order α_s



Leading Quark TMDPDFs



		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1 = \text{○} \cdot$ Unpolarized		$h_1^\perp = \text{○} \uparrow - \text{○} \downarrow$ Boer-Mulders
	L		$g_1 = \text{○} \rightarrow - \text{○} \rightarrow$ Helicity	$h_{1L}^\perp = \text{○} \rightarrow \uparrow - \text{○} \rightarrow \downarrow$ Worm-gear
	T	$f_{1T}^\perp = \text{○} \uparrow - \text{○} \downarrow$ Sivers	$g_{1T}^\perp = \text{○} \uparrow \rightarrow - \text{○} \downarrow \rightarrow$ Worm-gear	$h_1 = \text{○} \uparrow - \text{○} \downarrow$ Transversity $h_{1T}^\perp = \text{○} \uparrow \rightarrow - \text{○} \downarrow \rightarrow$ Pretzelosity

[Figure taken from TMD Handbook '23]

Heavy TMD PDFs.

setup

- TMD PDF decomposition:

	Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
U	$f_1 = \odot$		$h_1^\perp = \uparrow - \downarrow$
L		$g_1 = \rightarrow - \leftarrow$	$h_{1L}^\perp = \curvearrowright - \curvearrowleft$

$$\Phi_{Q/N}(x, k_\perp) = \left\{ f_{1Q/N} + g_{1LQ/N} \boxed{S_L} \gamma_5 + h_{1LQ/N}^\perp \boxed{S_L} \gamma_5 \frac{k_\perp}{M_N} + i h_{1Q/N}^\perp \frac{k_\perp}{M_N} \right\} \frac{\not{n}}{4}$$

- collinear PDF decomposition [Collins '11]

$$\Phi_{g/N}^{\mu\nu}(x) = -\frac{g_\perp^{\mu\nu}}{2} f_{g/N}(x) + \frac{i\epsilon_\perp^{\mu\nu}}{2} g_{g/N}(x) \boxed{S_L}$$

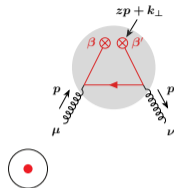
- unpolarized f_1 and Boer-Mulders h_1^\perp match onto unpolarized PDF f_g \odot , $\uparrow - \downarrow$
- helicity $g_{1,L}$ and Worm-gear $L h_{1,L}^\perp$ match onto helicity PDF g_g $\rightarrow - \leftarrow$, $\curvearrowright - \curvearrowleft$

results

- unpolarized quark from unpolarized gluon:

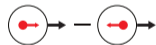
$$C_{Q/g}^{(1)}(z, k_T, m) = T_F \Theta(z)\Theta(1-z) \frac{2}{\pi} \frac{k_T^2(1-2z+2z^2) + m^2}{(k_T^2 + m^2)^2}$$

[Nadolsky, Kidonakis, Olness, Yuan '02], [Pietrulewicz, Samitz, Spiering, Tackmann '17]



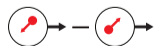
- NEW:** longitudinally polarized quark from longitudinally polarized gluon:

$$C_{Q_{\parallel}/g_{\parallel}}^{(1)}(z, k_T, m) = T_F \Theta(z)\Theta(1-z) \frac{2}{\pi} \frac{k_T^2(2z-1) + m^2}{(k_T^2 + m^2)^2}$$



- NEW:** transversely polarized quark from longitudinally polarized gluon:

$$C_{Q_{\perp}/g_{\parallel}}^{(1)}(z, k_T, m) = T_F \Theta(z)\Theta(1-z) \frac{4}{\pi} \frac{mk_T(z-1)}{(k_T^2 + m^2)^2}$$

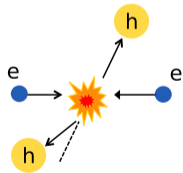
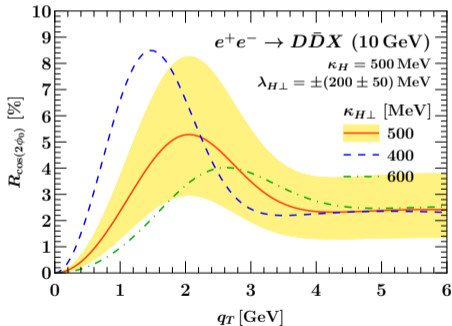
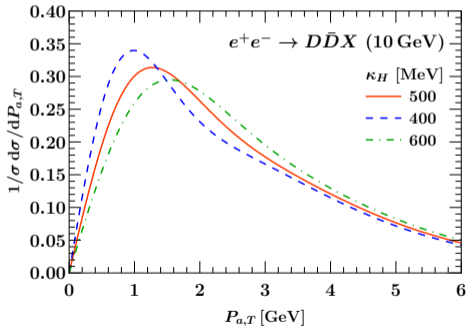


Towards Phenomenology.

Towards Phenomenology: $e^+e^- \rightarrow HHX$.

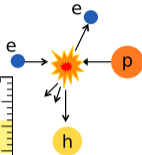
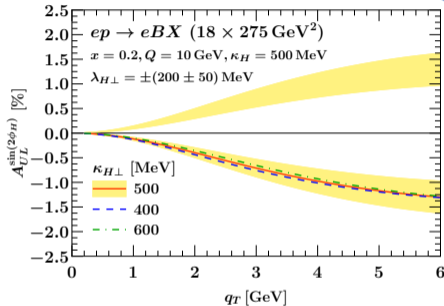
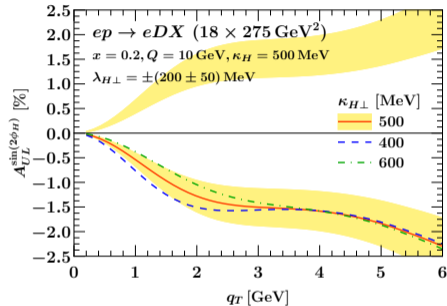
Charm continuum production

- cross section depends on transverse momentum of the hadron $P_{a,T}$ and partonic transverse momentum $q_T = P_{a,T}/z_a$
- Collins effect strength: $R_{\cos(2\phi_0)}(Q^2, q_T) = H_1^{\perp(1)} \otimes H_1^{\perp(1)} / D_1 \otimes D_1$



- ▶ absolute sign of the Collins functions lost!

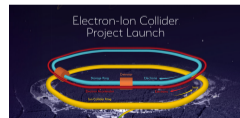
Collins effect



- get access to the sign of the Collins via spin asymmetry

$$A_{UL}^{\sin(2\phi_H)}(Q^2, x, q_T) = h_{1L}^\perp \otimes H_1^\perp / f_1 \otimes D_1$$

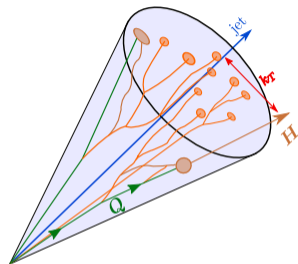
- resolving the sign should be possible within expected statistics at EIC!



Outlook.

TMD FFs within jets

- consider heavy-quark TMD fragmentation within jets
- **straightforward extension: our results are independent of the factorization theorem!**
- polarization of initial state quark washed out



Polarized Hadrons

- also consider polarized hadrons \rightarrow study all eight TMD FFs for heavy quarks
- ▶ relevant for LHC(b): polarized hadrons can be reconstructed from angular distribution of decay products \rightarrow access to same ρ_ℓ

Outlook and Summary.

Summary

- heavy TMD FFs:

- ▶ $\Lambda_{\text{QCD}} \sim k_T \ll m$: new bHQET matrix elements $\chi_{1,H}, \chi_{1^\perp,H}$
- ▶ $\Lambda_{\text{QCD}} \ll m \sim k_T$: new inclusive bHQET matrix elements χ_1, χ_1^\perp and new perturbative matching coefficient $d_{1Q/Q}$

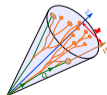
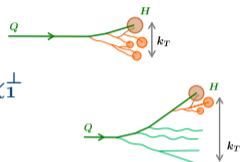
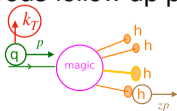
- heavy TMD PDFs

- ▶ calculated matching coefficients for worm-gear- L and helicity TMD PDFs for the first time

- towards phenomenology

- ▶ measurement of Collins effect within reach for existing B factories
- ▶ future EIC: provides possibility to measure the absolute sign of the Collins TMD FF

- Outlook: numerous follow up projects → **Stay tuned!**



Thank you!

Acknowledgements.

This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (Grant agreement No. 101002090 COLORFREE).



European Research Council

Established by the European Commission

Back up.

Collins for $\Lambda_{\text{QCD}} \ll k_T \sim m$

- two step matching to integrate out $\mu \sim k_T, m$
- use known matching for light quarks to integrate out k_T

[Mulders and Tangerman '96, Boer and Mulders '98, Yuan and Zhou '09]

$$b_T M_h H_{1h/q}^{\perp(1)}(z_h, b_T) = b_T \hat{H}_{h/q}(z_h) + \mathcal{O}(\alpha_s) + \mathcal{O}(\Lambda_{\text{QCD}}^2 b_T^2),$$

- $\hat{H}_{h/q}(z_h)$ is twist-3 collinear fragmentation matrix element at the scale $\mu \sim \Lambda_{\text{QCD}}$:

$$\hat{H}_{h/q}(z_h) \equiv \frac{z_h^2}{2N_c} \int \frac{dx^+}{4\pi} e^{ix^+(P_h^-/z_h)/2} \text{Tr tr} \sum_X \left\{ \langle 0 | W^\dagger(x) \right. \\ \left. \times \sigma_{\alpha-} [\mathbf{i}D_\perp^\alpha(x) + g\mathcal{G}_\perp^\alpha(x)] \psi_q(x) | hX \rangle \langle hX | \bar{\psi}_q(0) W(0) | 0 \rangle + \text{h.c.} \right\}$$

Regime 2.

Collins for $\Lambda_{\text{QCD}} \ll k_T \sim m$

- match $\hat{H}_{h/q}(z_h)$ onto bHQET to integrate out m

$$\hat{H}_{h/q}(z_h) = \delta(1 - z_H) \chi_{H,G} + \mathcal{O}(\alpha_s) + \mathcal{O}\left(\frac{1}{m}\right),$$

- $\chi_{H,G}$ correlation between gluon field polarization and transverse polarization of light components in hadron
- matching coefficient from bHQET:

$$\chi_{H,G} \equiv \frac{1}{2N_c} \text{Tr tr} \int_X \left\{ \langle 0 | W^\dagger \sigma_{\beta\alpha} z^\beta [iD_\perp^\alpha + g\mathcal{G}_\perp^\alpha] h_v | H_v X \rangle \langle H_v X | \bar{h}_v W | 0 \rangle + \text{h.c.} \right\}$$

- final result for the tree-level matching of the heavy-quark Collins TMD FF onto bHQET

$$b_T M_H H_{1H/Q}^{\perp(1)}(z_H, b_T) = \delta(1 - z_H) b_T \chi_{H,G} + \mathcal{O}(\alpha_s) + \mathcal{O}(\Lambda_{\text{QCD}}^2)$$

- ▶ can easily show $\sum_{H \in M_\ell} \chi_{H,G} = 0 \rightarrow$ **new symmetry relation still holds!**

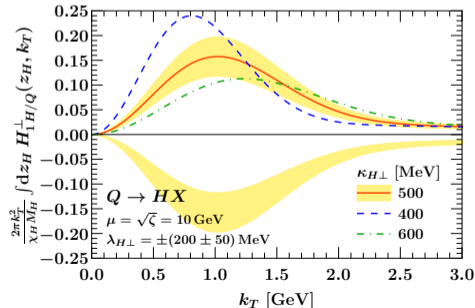
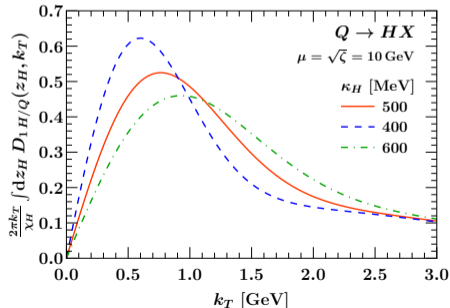
Heavy TMD FFs.

numerical model

- at LL: TMD FFs completely specified by $\chi_{1,H}$, $\chi_{1,H}^\perp$ and TMD evolution
- assume simple Gaussian models

$$\chi_{1,H}(b_T, \mu_0, \rho_0) = \chi_H \exp\left(-\kappa_H^2 b_T^2\right)$$

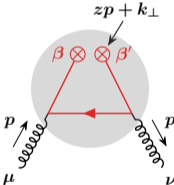
$$\chi_{1,H}^\perp(b_T, \mu_0, \rho_0) = \chi_H \lambda_{H\perp} b_T \exp\left(-\kappa_{H\perp}^2 b_T^2\right)$$



matching calculation

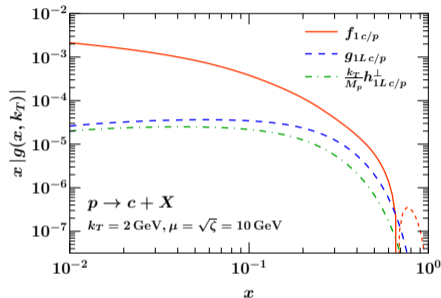
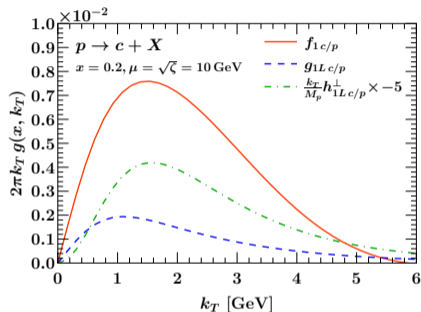
- matching coefficient $C_{Q/g}$ is defined by

$$\Phi_{Q/N}^{\beta\beta'}(x, k_{\perp}) = \int \frac{dp^-}{p^-} C_{Q/g, \mu\nu}^{\beta\beta'}(xP_N^-, p^-, k_{\perp}, m) \Phi_{g/N}^{\mu\nu}\left(\frac{p^-}{P_N^-}\right)$$

$$C_{Q/g, \mu\nu}^{\beta\beta'}(zp^-, p^-, k_{\perp}, m) =$$


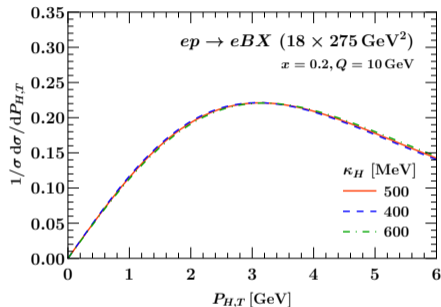
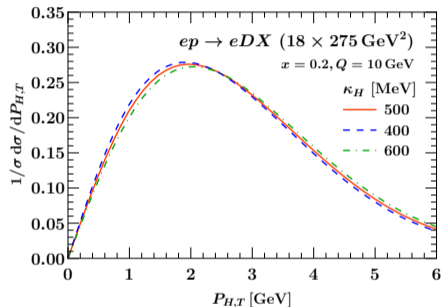
- where $z = xP_N^-/p^-$ is the fraction of p^- injected into the hard scattering process
- insert $\Phi_{g/N}$ and trace resulting Dirac spinors $(\dots)^{\beta\beta'}$ against relevant Dirac structures

numerical model



- unpolarized and helicity are linear in small k_T region, worm-gear L quadratic (after including Jacobian)
- unpolarized rises much more rapidly for small x
 - ▶ expected from smaller gluon polarized fraction at small x
- need for resummation of subleading-power threshold logs of $1 - x$

cross section



- compare cross sections D and B mesons
- broader peak for B because of different size of phase space
- very small dependence on parameter κ_H

SIDIS kinematics

- electron-nucleon collisions $e^-(\ell) + N(P) \rightarrow e^-(\ell') + H(P_H) + X$
- scattering mediated by an off-shell photon with $q = \ell - \ell'$ and $Q^2 \equiv -q^2 > 0$
- SIDIS cross section depends on: $x = Q^2/(2P \cdot q)$, $y = (P \cdot q)/(P \cdot \ell)$, $z_H = (P \cdot P_H)/(P \cdot q)$
- estimate sample at EIC:

$\sigma(eN \rightarrow eHX)$ [pb]	$c, x > 0.01$	$c, x > 0.1$	$b, x > 0.01$	$b, x > 0.1$
$q_T < 2 \text{ GeV}, Q > 4 \text{ GeV}$	84	3.47	18	0.65
$q_T < 4 \text{ GeV}, Q > 10 \text{ GeV}$	16	1.45	4.9	0.42

acceptance cuts: $0.01 < y < 0.95$, $W^2 = \left(\frac{1}{x} - 1\right)Q^2 > 100 \text{ GeV}^2$