



Self-supervision for data-driven anomaly detection at the LHC

EuCAIFCon 2024 - Amsterdam

Luigi Favaro

In collaboration with B. Dillon, F. Feiden, M. Krämer, T. Modak, T. Plehn, J. Rüschkamp

May 2, 2024



UNIVERSITÄT
HEIDELBERG
ZUKUNFT
SEIT 1386



Funded by

DFG

Deutsche
Forschungsgemeinschaft
German Research Foundation



Table of Contents

1 Introduction

▶ Introduction

▶ Contrastive Learning

▶ Event anomalies

▶ Representing dark showers



Anomaly searches

1 Introduction

- LHC does and will generate large amount of data;
- We are still looking for BSM physics;
- No clear anomalies in the near future ...
 - keep exploring with direct searches is not feasible;



Anomaly searches

1 Introduction

- LHC does and will generate large amount of data;
- We are still looking for BSM physics;
- No clear anomalies in the near future ...
 - keep exploring with direct searches is not feasible;

model agnostic searches



Anomaly searches

1 Introduction

- LHC does and will generate large amount of data;
- We are still looking for BSM physics;
- No clear anomalies in the near future ...
→ keep exploring with direct searches is not feasible;

model agnostic searches

no loss in sensitivity



Anomaly searches

1 Introduction

- LHC does and will generate large amount of data;
- We are still looking for BSM physics;
- No clear anomalies in the near future ...
→ keep exploring with direct searches is not feasible;

model agnostic searches

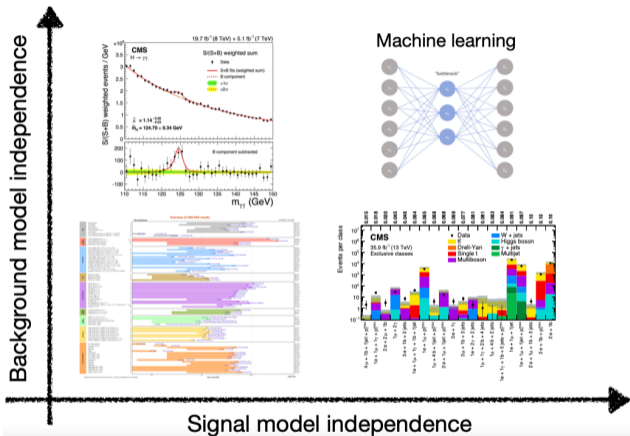
no loss in sensitivity

Have we fully explored the collected data?



Anomaly searches

1 Introduction



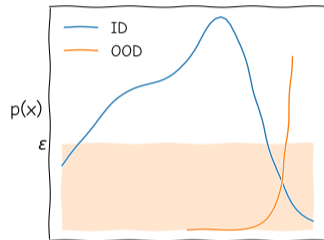
credits to M. Krämer, cf. Karagiorgi, Kasieczka, Kravitz, Nachman, Shih, arXiv:2112.03769 [hep-ph]



Density estimation

1 Introduction

- Density estimates used as agnostic anomaly scores;
- Only focus on density estimation of background:
 - can be used directly on data;
 - sensitivity requires dealing with large input spaces;
- Improved representation of the data is key...
 - Physics motivated preprocessing/observables.

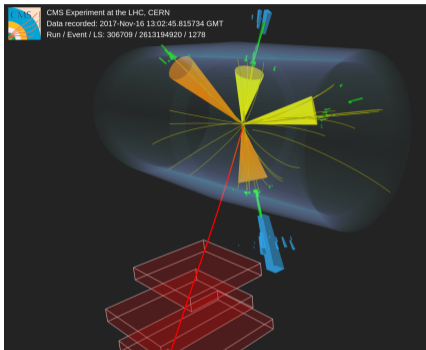


$$OOD = \{x | p(x) < \epsilon\}$$



Datasets

1 Introduction



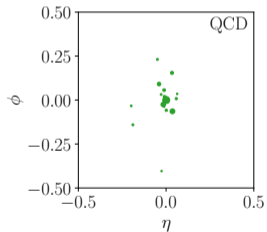
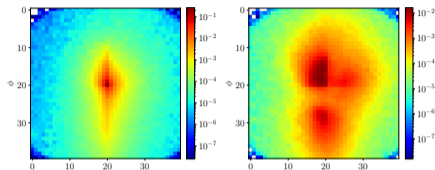
*from CMS Open Data

Reco events



Datasets

1 Introduction

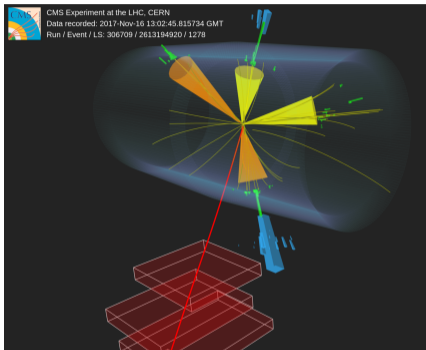


Jet substructure

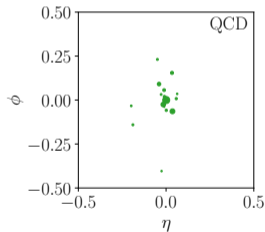
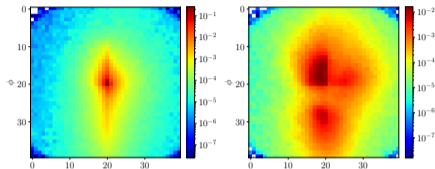


Datasets

1 Introduction



*from CMS Open Data



Set of objects of variable length with features (p_T, η, ϕ)



Table of Contents

2 Contrastive Learning

▶ Introduction

▶ Contrastive Learning

▶ Event anomalies

▶ Representing dark showers



Self-supervision

2 Contrastive Learning

*also discussed in Patrick's talk [here](#)

- Preprocessing solves many problems with neural networks;
 - NNs are not invariant to physical symmetries in data;
 - NNs like numbers of $O(1)$;
- Self-supervision: during training we use pseudo-labels, not truth labels;
 - task used to create new representations/observables;



Self-supervision

2 Contrastive Learning

*also discussed in Patrick's talk [here](#)

- Preprocessing solves many problems with neural networks;
 - NNs are not invariant to physical symmetries in data;
 - NNs like numbers of $O(1)$;
- Self-supervision: during training we use pseudo-labels, not truth labels;
→ task used to create new representations/observables;

Key aspects of representations:

- Invariance to certain transformations of the jet/event
- Discriminative power

In CLR we construct a mapping to a new representation space

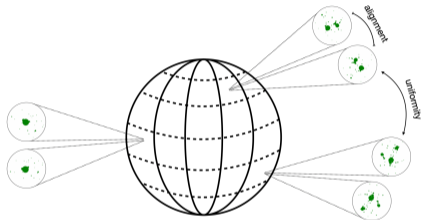


CLR training

2 Contrastive Learning

*as in JetCLR, arXiv:210804253

- Pseudo-labels are defined from pairs:
 - $\{(x_i, x'_i)\}$: positive pair
→ alignment/invariance;
 - $\{(x_i, x_j) \cup (x_i, x'_j)\}$: negative pair
→ uniformity/discriminative;
- $f : \mathcal{R} \rightarrow \mathcal{Z}$ is a transformer-encoder;
- $s(\cdot, \cdot)$ cosine distance in rep. space.



$$\mathcal{L} = -\log \frac{\exp(s(z_i, z'_i)/\tau)}{\sum_{i \neq j} [\exp(s(z_i, z_j)/\tau) + \exp(s(z_i, z'_j)/\tau)]}$$



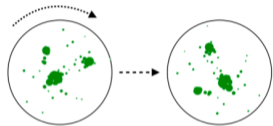
CLR for anomaly detection

2 Contrastive Learning

Augmentation: any transformation (e.g. rotation) of the original jet

- Example:

→ detector invariance under rotations;



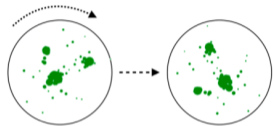


CLR for anomaly detection

2 Contrastive Learning

Augmentation: any transformation (e.g. rotation) of the original jet

- Example:
 - detector invariance under rotations;
- Background data does not know BSM features.



Can we train a transformer-encoder network only on background events?

- Possible, but with no guarantee to learn representations sensitive to new physics;

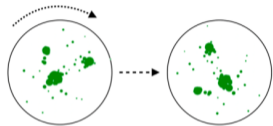


CLR for anomaly detection

2 Contrastive Learning

Augmentation: any transformation (e.g. rotation) of the original jet

- Example:
 - detector invariance under rotations;
- Background data does not know BSM features.



Can we train a transformer-encoder network only on background events?

- Possible, but with no guarantee to learn representations sensitive to new physics;

Introduce general BSM motivated anomalous representations z^*



CLR for anomaly detection

2 Contrastive Learning

*from "Anomalies, representations, and self-supervision", arXiv:2301.04660

Contrastive Learning for anomaly detection:

- anomalous pairs: $\{(x_i, x_i^*)\}$ where x_i^* comes from a different set of augmentations;
- These are motivated by BSM features, general, and signal agnostic.

$$\mathcal{L}_{aCLR} = -\log \frac{\exp(s(z_i, z_i') - s(z_i, z_i^*)/\tau)}{\sum \mathcal{I}_{i \neq j} [\exp(s(z_i, z_j)/\tau) + \exp(s(z_i, z_j')/\tau)]}$$

$$\mathcal{L}_{aCLR+} = -\log \exp^{(s(z_i, z_i') - s(z_i, z_i^*))/\tau} = \frac{s(z_i, z_i^*) - s(z_i, z_i)}{\tau}$$



Table of Contents

3 Event anomalies

▶ Introduction

▶ Contrastive Learning

▶ **Event anomalies**

▶ Representing dark showers





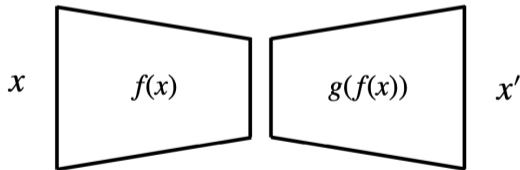
Anomaly scores

3 Event anomalies

- Cut-based OOD downstream task as a benchmark;
- (Normalized)AutoEncoder based anomaly score:

$$E_{\theta} = \text{MSE}(x, D(E(x))) \quad p_{\theta}(x) = \frac{e^{-E_{\theta}(x)}}{\Omega};$$

- for more details see [arXiv:2206.14225](https://arxiv.org/abs/2206.14225);
- or posters IDs [146,95](#) for applications within CMS.

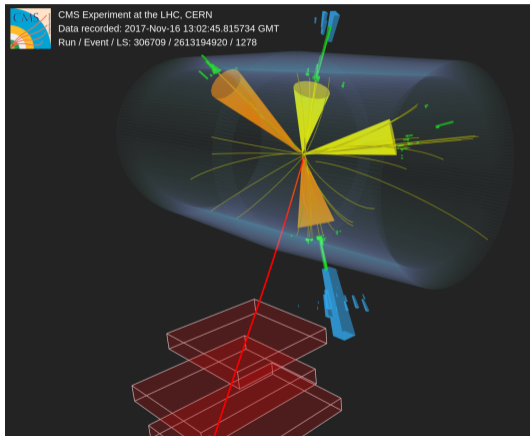


The corresponding anomaly score will be (approx) invariant to the augmentations



Reco events

3 Event anomalies





Reco events

3 Event anomalies

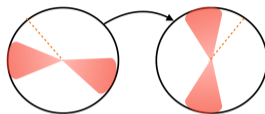
- Background data:
 - $W \rightarrow l\nu$ (59.2%);
 - $Z \rightarrow ll$ (6.7%);
 - QCD multijets (33.8%);
 - $t\bar{t}$ production (0.3%);
- Benchmark signals:
 - $A \rightarrow 4l$;
 - $h^0 \rightarrow \tau\tau$;
 - $h^+ \rightarrow \tau\nu$;
 - $LQ \rightarrow b\nu$;
- Event-level reconstructed objects:
 - (p_T, ϕ) of missing transverse energy;
 - (p_T, η, ϕ) of e, μ , and jets;
- Selection cuts:
 - one lepton with $p_T > 23$ GeV;
 - $|\phi| \in [-\pi, \pi]$, rescaled in $[-1, 1]$;
 - $|\eta| < 3, 2.1, 4$ for e, μ , jets, rescaled in $(-1, 1)$;
- empty entries are zero-padded.



Augmentations

3 Event anomalies

- Azimuthal rotations;
- (η, ϕ) and energy smearing.

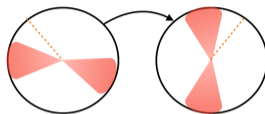
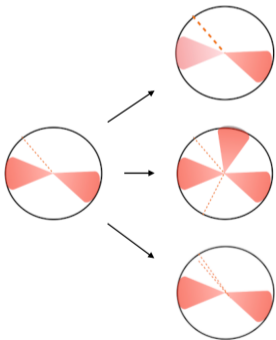




Augmentations

3 Event anomalies

- Azimuthal rotations;
- (η, ϕ) and energy smearing.

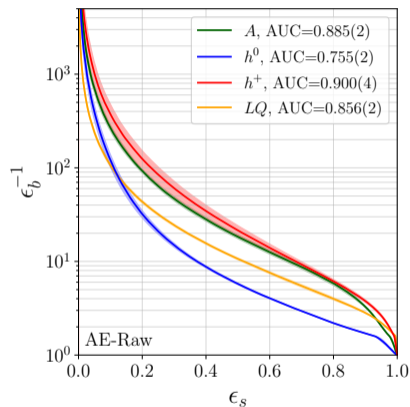
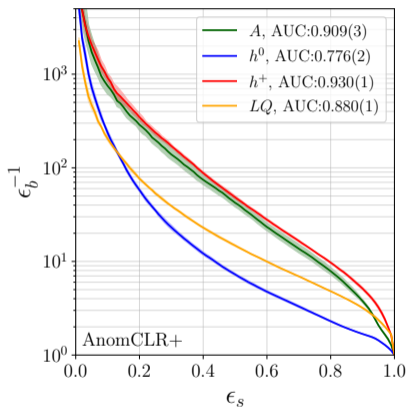


- p_T and MET shifts;
- random multiplicity shifts;
- multiplicity shifts with MET and p_T constant.



Effect of new representation

3 Event anomalies



Better performance on all the BSM signals



Table of Contents

4 Representing dark showers

▶ Introduction

▶ Contrastive Learning

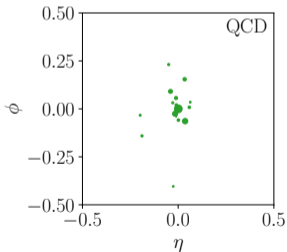
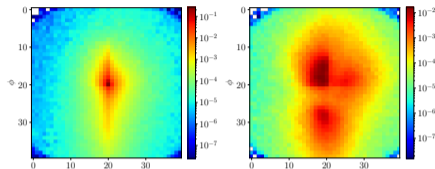
▶ Event anomalies

▶ Representing dark showers



Jet substructure

4 Representing dark showers





Dark showers

4 Representing dark showers

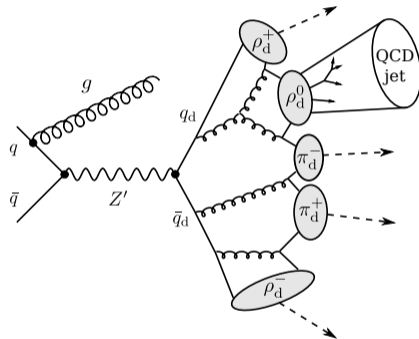
New physics hidden in jet substructure;

Benchmark signal: semi-visible jets

- $Z' = 2\text{TeV}$ dark sects mediator;
- q_d dark quarks charged under $SU(3)_d$;
- $m_{q_d} = 500\text{MeV}$;
- $\Lambda = m_{\pi_d} = m_{\rho_d} = 5\text{GeV}$;

QCD-like showers with fraction of invisible particles

*from "Semi-visible jets, energy-based models, and self-supervision", [arXiv:2312.03067](https://arxiv.org/abs/2312.03067)



*studied in [arXiv:2006.08639](https://arxiv.org/abs/2006.08639)



Dark showers

4 Representing dark showers

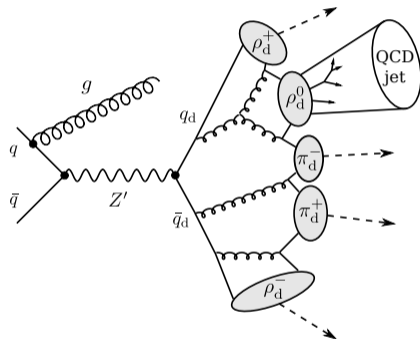
New physics hidden in jet substructure;

Benchmark signal: semi-visible jets

- Jet constituents:
 - (p_T, η, ϕ) of each constituent;
 - $p_T \in [150, 350] \text{ GeV}, |\eta_j| < 2$;
- anti-kt clustering $\Delta R = 0.8$;
- empty entries are zero-padded.

$r_{\text{inv}} = 0.75, m_d = 5\text{GeV} \longrightarrow$ referred to as "Aachen" model.

*from "Semi-visible jets, energy-based models, and self-supervision", [arXiv:2312.03067](https://arxiv.org/abs/2312.03067)



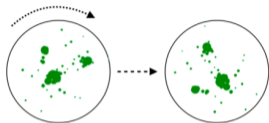
*studied in [arXiv:2006.08639](https://arxiv.org/abs/2006.08639)



Augmentations

4 Representing dark showers

rotations in $[0, 2\pi]$:

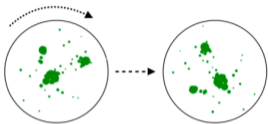




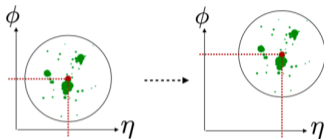
Augmentations

4 Representing dark showers

rotations in $[0, 2\pi]$:



translations in $[\eta, \phi]$:

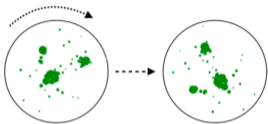




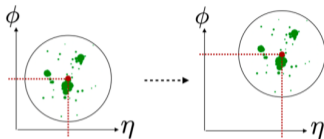
Augmentations

4 Representing dark showers

rotations in $[0, 2\pi]$:



translations in $[\eta, \phi]$:



permutation invariance:

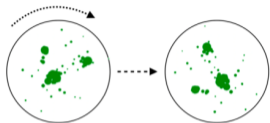
$$f(x) = f(\mathcal{S}_n(x))$$



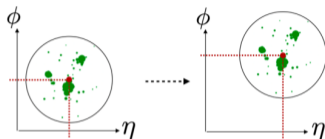
Augmentations

4 Representing dark showers

rotations in $[0, 2\pi]$:



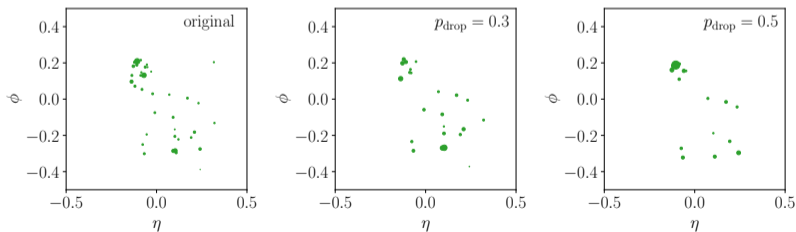
translations in $[\eta, \phi]$:



permutation invariance:

$$f(x) = f(\mathcal{S}_n(x))$$

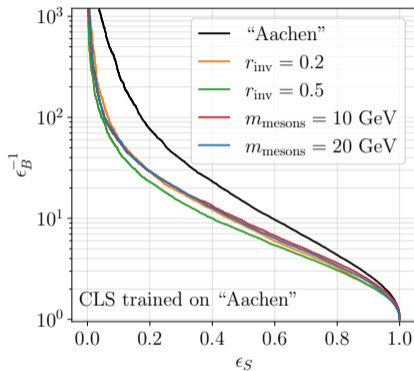
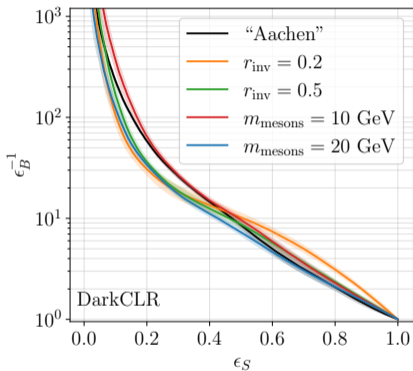
Applying p_{drop} to a QCD jet:





Robustness of darkCLR

4 Representing dark showers



Representations generalize over different pheno parameters



Conclusions

5 Conclusions

- ML can lead the future of anomaly searches;

EUROPEAN AI FOR
FUNDAMENTAL PHYSICS
CONFERENCE
EuCAIFCon 2024





Conclusions

5 Conclusions

- ML can lead the future of anomaly searches;
- We can use CLR to learn powerful representations:
 - approximately invariant under transformations;
 - sensitive to BSM effects;





Conclusions

5 Conclusions

- ML can lead the future of anomaly searches;
- We can use CLR to learn powerful representations:
 - approximately invariant under transformations;
 - sensitive to BSM effects;
- Two examples of anomaly detection with CLR:
 - Event-level reconstructed objects;
 - Semivisible jets detection;

EUROPEAN AI FOR
FUNDAMENTAL PHYSICS
CONFERENCE
EuCAIFCon 2024





Conclusions

5 Conclusions

- ML can lead the future of anomaly searches;
- We can use CLR to learn powerful representations:
 - approximately invariant under transformations;
 - sensitive to BSM effects;
- Two examples of anomaly detection with CLR:
 - Event-level reconstructed objects;
 - Semivisible jets detection;

Outlook:

- Have a more interpretable latent space;
- More detailed study of systematic uncertainties.





Self-supervision for data-driven anomaly detection at the LHC

EuCAIFCon 2024 - Amsterdam *Thank you for listening!*

Any questions?



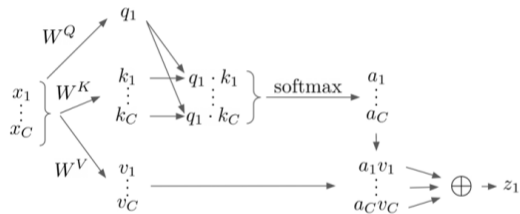
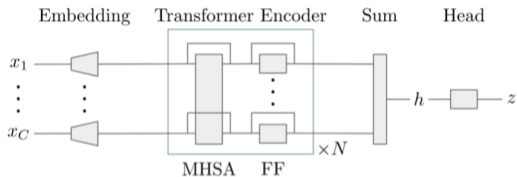


Backup



Transformer Encoder

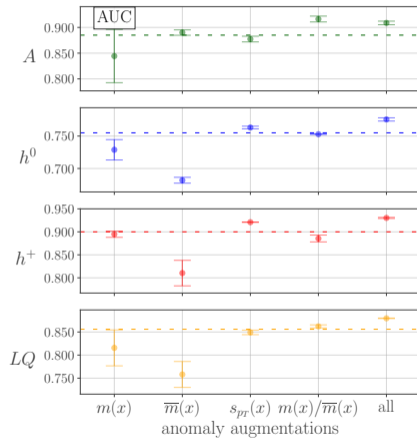
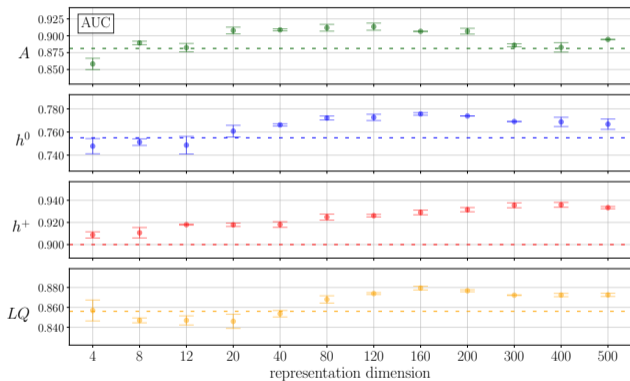
6 Backup





Ablation studies

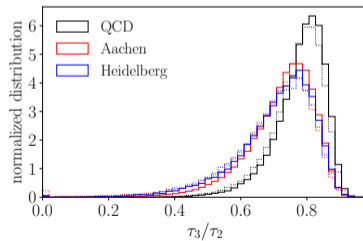
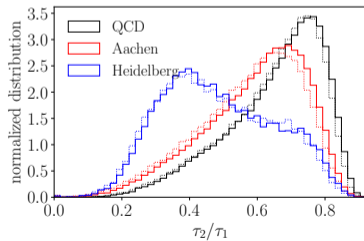
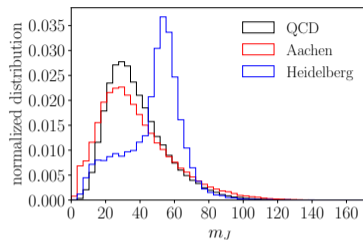
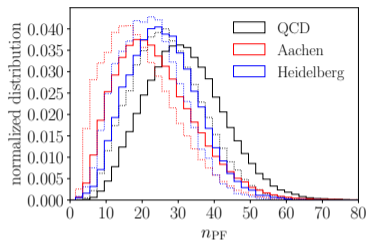
6 Backup





High-level features

6 Backup





DarkCLR LCT

6 Backup

