

# Improved Fixed Point Actions from Gauge Equivariant Neural Networks

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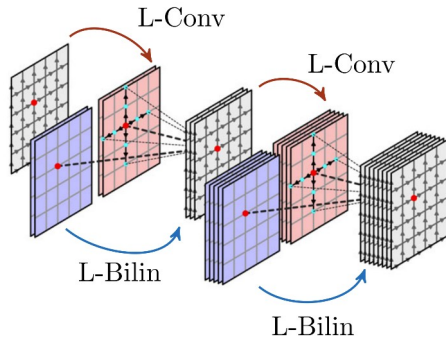


Der Wissenschaftsfonds.



# Overview

## Lattice gauge equivariant convolutional neural networks (L-CNNs)



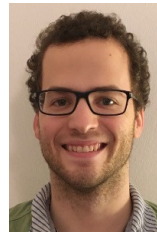
Favoni, AI, Müller, Schuh,  
Phys.Rev.Lett. 128 (2022) 032003



David Müller

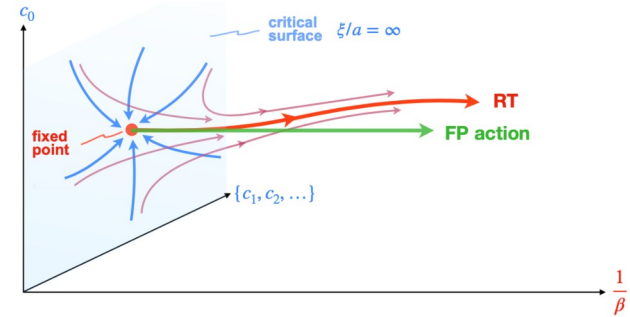


Matteo Favoni



Daniel Schuh

## Learning fixed-point actions



Holland, AI, Müller, Wenger  
arXiv:2401.06481



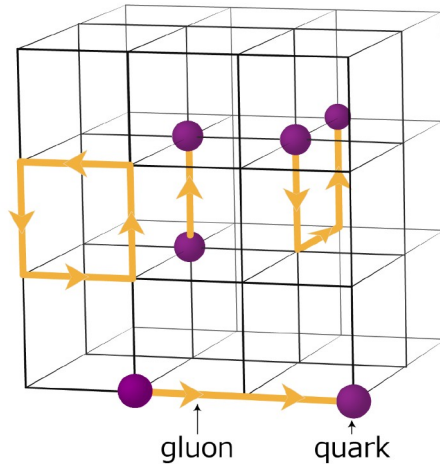
Kieran Holland  
(U. Pacific)



Urs Wenger  
(U. Bern)

Open source: <https://gitlab.com/openpaxi/lge-cnn>

# QCD on the lattice



Solve Feynman path integral numerically using Monte Carlo methods

Gauge transformation: Rotation in internal color space at each space-time point

Image from Bi et al. EPJ Web Conf. 245 (2020) 09008

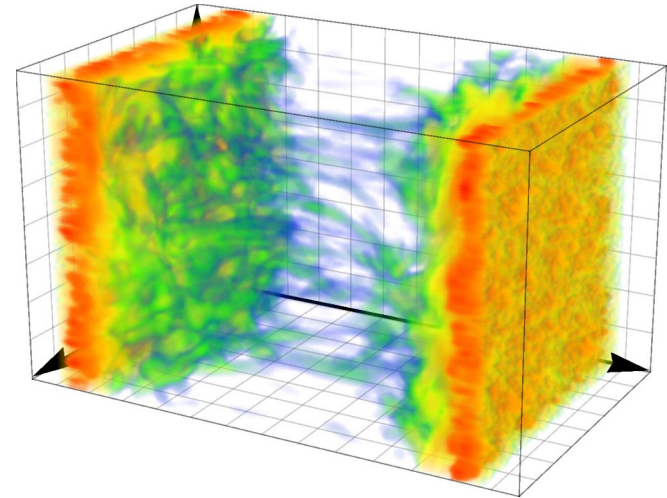
Wilson action for gluons:

$$S_W[U] = \frac{2}{g^2} \sum_{x \in \Lambda} \sum_{\mu < \nu} \text{Tr} [\mathbb{1} - U_{x, \mu\nu}]$$

Gauge transformation of link variables:

$$U_\mu(n) \rightarrow U'_\mu(n) = \Omega(n) U_\mu(n) \Omega(n + \hat{\mu})^\dagger$$

## Real-time lattice gauge theory



Used for describing dynamics of classical gluon fields at earliest stages of heavy ion collisions

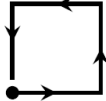
Al, Müller, Eur.Phys.J.A 56 (2020) 9, 243  
Al, Müller, Eur.Phys.J. C 78 (2018) no.11, 884  
Al, Müller, Phys. Lett. B 771 (2017) 74  
Gelfand, Al, Müller, Phys. Rev. D 94 (2016) no.1, 014020

# Examples of Wilson loops on the lattice

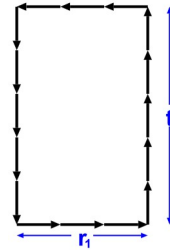
## Wilson action

$$S_W[U] = \frac{2}{g^2} \sum_{x \in \Lambda} \sum_{\mu < \nu} \text{Tr} [\mathbb{1} - U_{x, \mu\nu}]$$

## Plaquette

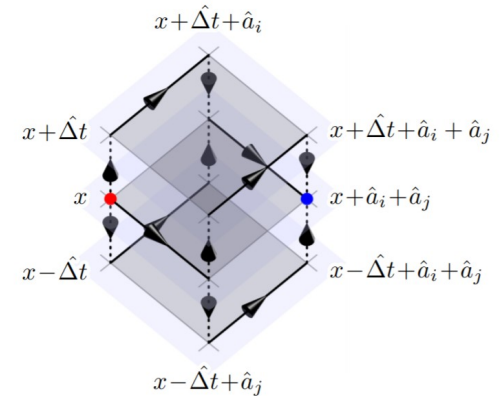
$$U_{x, \mu\nu} = U_{x, \mu} U_{x+\mu, \nu} U_{x+\nu, \mu}^\dagger U_{x, \nu}^\dagger =$$


## Potential of static quark pair



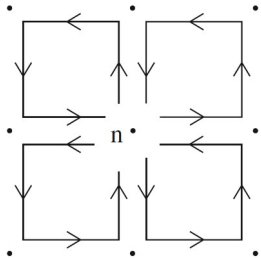
from: Bali, Phys.Rept. 343:1 (2001)

## Improved real-time lattice actions



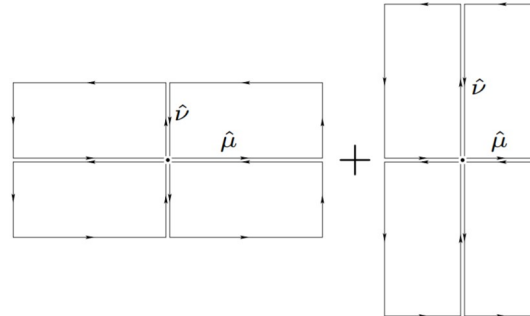
Al, Müller, Eur.Phys.J. C78 (2018) no.11, 884

## Symanzik improved clover action



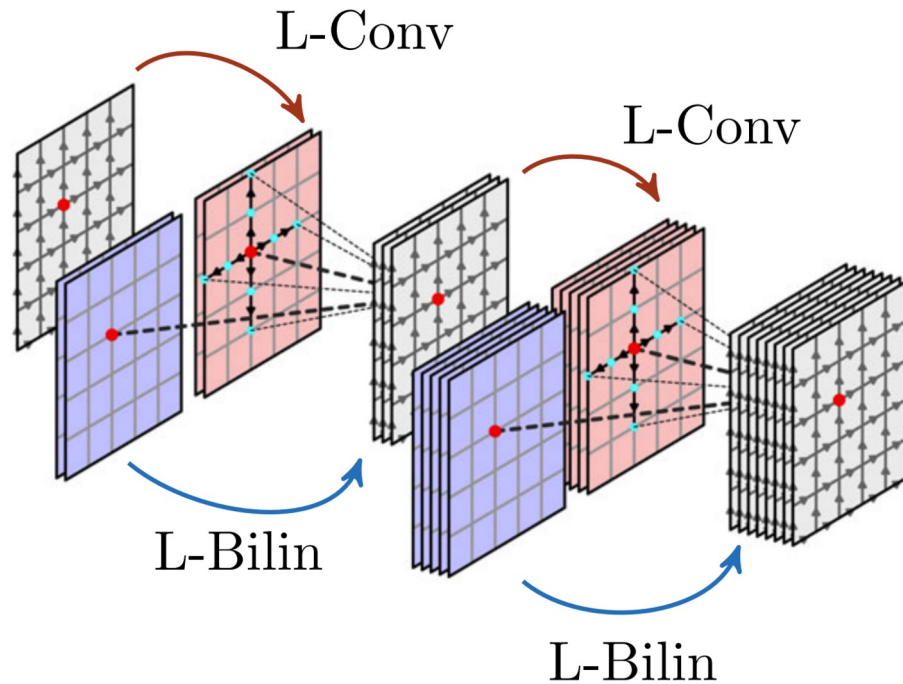
from: Gattringer, Lang (2010)

## Improved topological charge



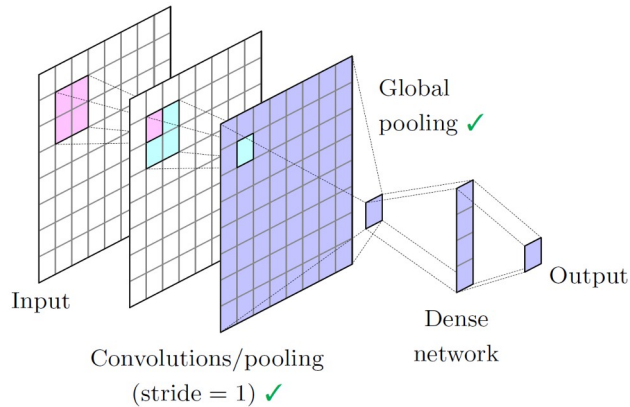
from: Alexandrou et al., Eur.Phys.J.C 80 (2020) 5, 424

# Lattice gauge symmetry



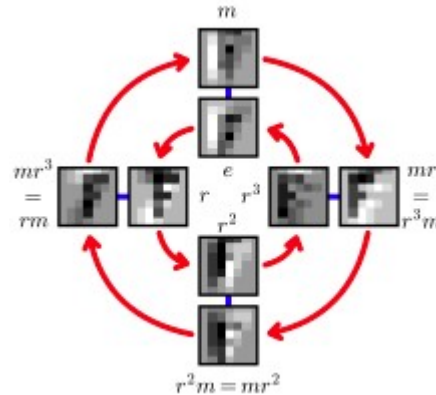
# Symmetries on the lattice

Translational symmetry  
 → Convolutional neural networks (CNNs)



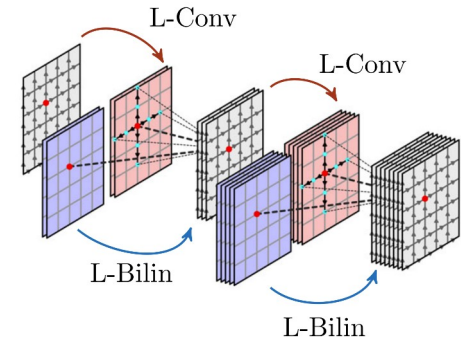
Bulusu, Favoni, AI, Müller, Schuh,  
 Phys. Rev. D 104 (2021) 074504

Rotation, mirror symmetry  
 → Group equivariant CNNs (G-CNNs)



Cohen, Welling, ICML 2016

Lattice gauge symmetry  
 → Lattice gauge equivariant CNNs (L-CNNs)



Favoni, AI, Müller, Schuh,  
 Phys.Rev.Lett. 128 (2022) 032003

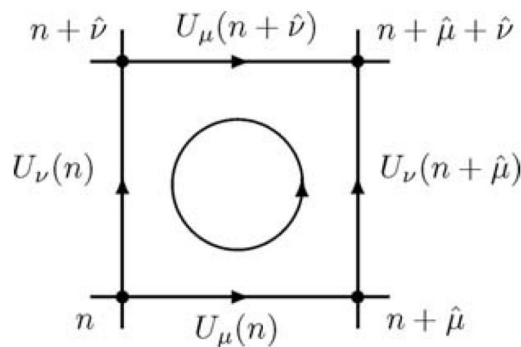
# L-CNN data

Combine lattice links  $U$   
and locally transforming objects  $W$

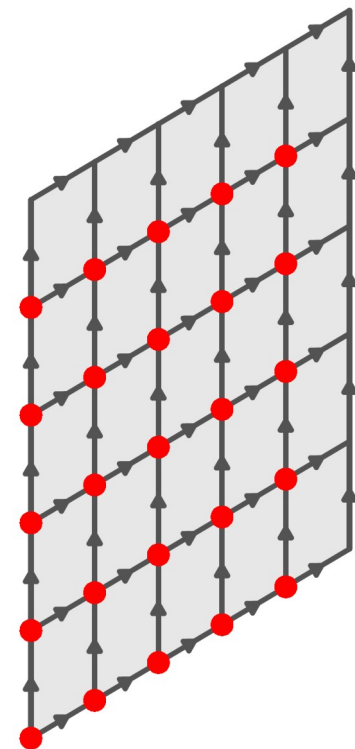
tuple  $(\mathcal{U}, \mathcal{W})$

$\mathcal{U} = \{U_{x,\mu}\}$   $SU(N_c)$  matrices

$\mathcal{W} = \{W_{x,i}\}$  with  $W_{x,i} \in \mathbb{C}^{N_c \times N_c}$



from: Gattringer, Lang (2010)



$$\mathcal{U} = \{U_{\mathbf{x},\mu}\}$$

$$\mathcal{W} = \{W_{\mathbf{x},\mu\nu}\}$$

Gauge transformation

$$T_\Omega U_{x,\mu} = \Omega_x U_{x,\mu} \Omega_{x+\mu}^\dagger$$

$$T_\Omega W_{x,i} = \Omega_x W_{x,i} \Omega_x^\dagger$$

Gauge equivariant (gauge covariant) function

$$f(T_\Omega \mathcal{U}, T_\Omega \mathcal{W}) = T'_\Omega f(\mathcal{U}, \mathcal{W})$$

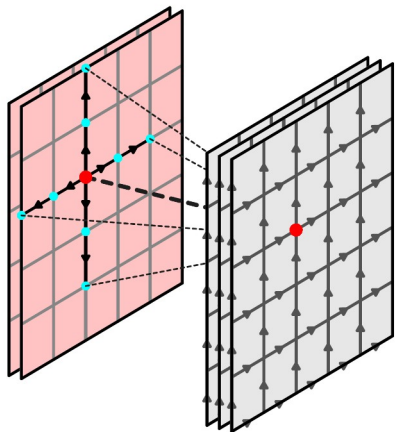
Gauge invariant function

$$f(T_\Omega \mathcal{U}, T_\Omega \mathcal{W}) = f(\mathcal{U}, \mathcal{W})$$



# Lattice gauge equivariant layers

Convolution (L-Conv)

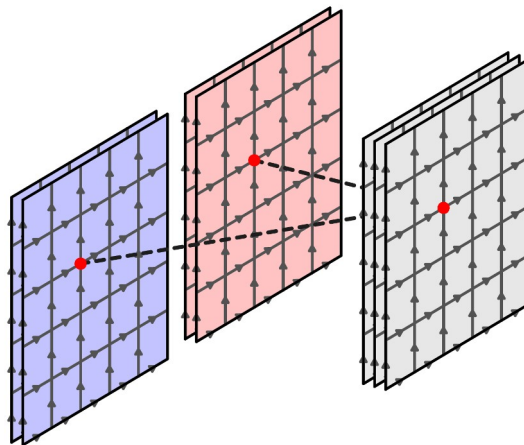


Convolution with shared weights and proper parallel transport along coordinate axes

$$(U, W) \rightarrow (U, W')$$

$$W'_{\mathbf{x},i} = \sum_{j,\mu,k} \omega_{i,j,\mu,k} U_{\mathbf{x},k,\mu} W_{\mathbf{x}+\mathbf{k},\mu,j} U_{\mathbf{x},k,\mu}^\dagger$$

Bilinear layer (L-Bilin)

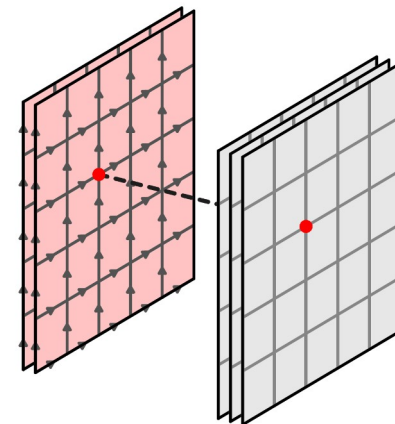


Multiply  $W$  at each lattice point

$$(U, W) \times (U, W') \rightarrow (U, W'')$$

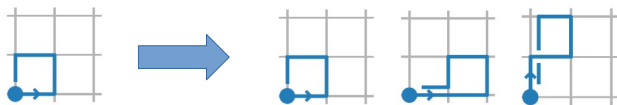
$$W''_{\mathbf{x},i} = \sum_{j,k} \alpha_{ijk} W_{\mathbf{x},j} W'_{\mathbf{x},k}$$

Trace layer

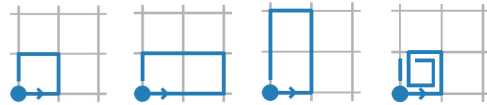


Generate gauge invariant output

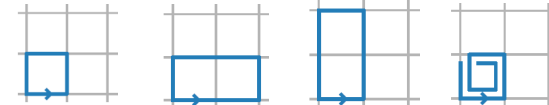
$$w_{\mathbf{x},i} = \text{Tr } W_{\mathbf{x},i} \in \mathbb{C}$$



Improved Fixed Point Actions from L-CNNs

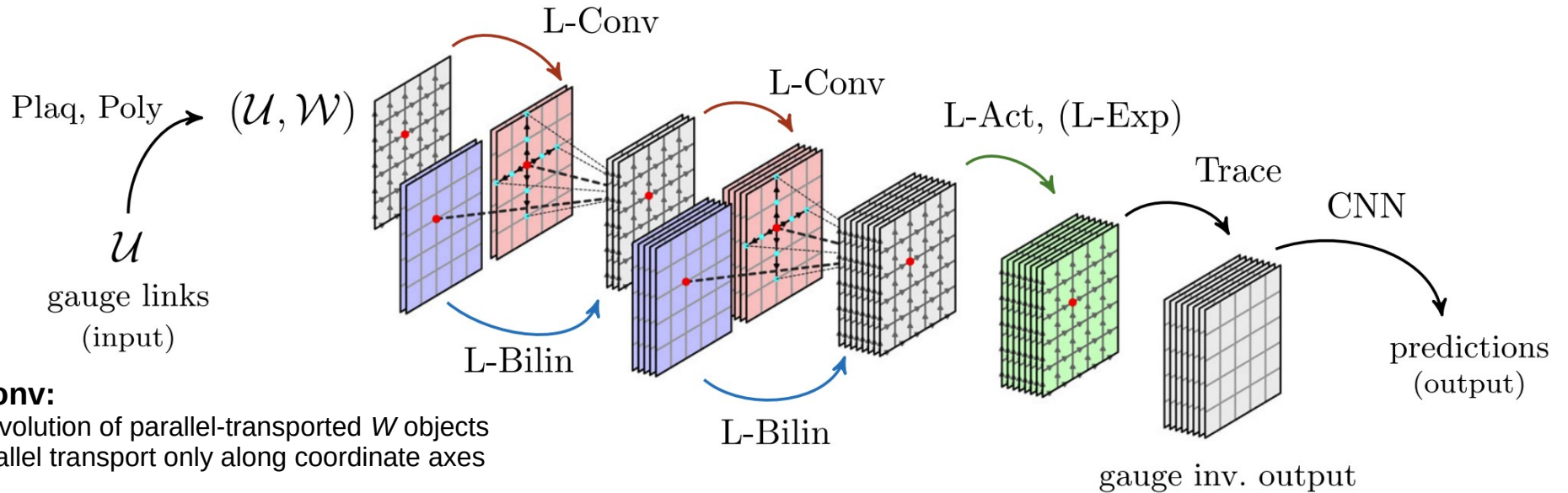


Andreas Ipp





# Generic L-CNN



## L-Conv:

- \* convolution of parallel-transported  $W$  objects
- \* parallel transport only along coordinate axes

## L-Bilin:

- \* bilinear layer, product of locally transforming objects

## L-Act:

- \* activation functions multiply  $W$  objects by scalar, gauge-invariant functions

## L-Exp:

- \* update link variables using exponential map

## Trace:

- \* calculate gauge invariant trace

## Plaq:

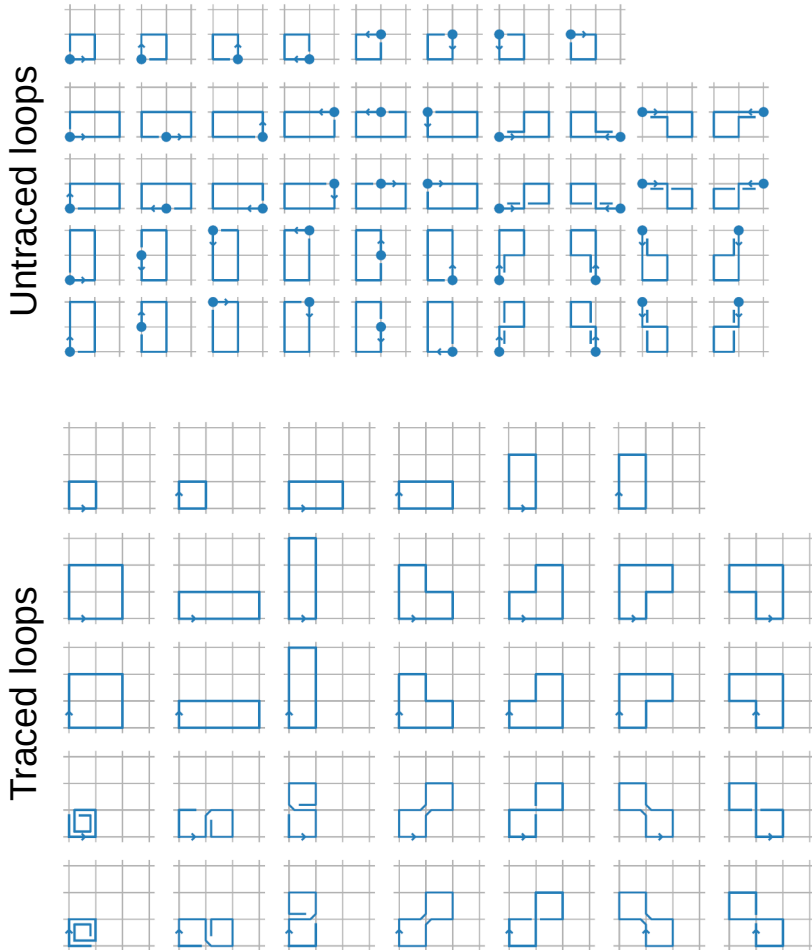
- \* generate all possible plaquettes

## Poly:

- \* generate all possible Polyakov loops

Favoni, AI, Müller, Schuh,  
Phys.Rev.Lett. 128 (2022) 032003

# L-CNNs generate Wilson loops



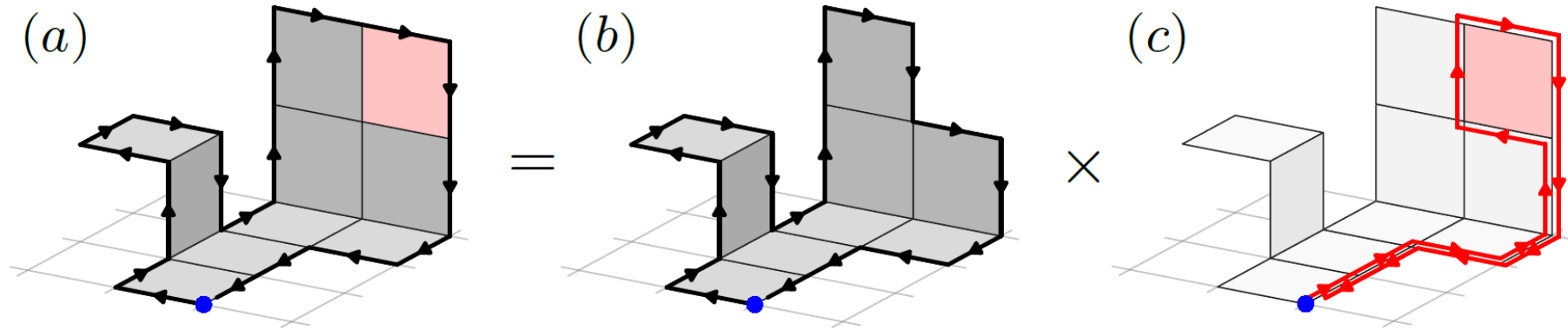
Number of traced Wilson loops covered by L-CNN architectures of various sizes in 1+1 D

Length	Max	$W^{(1 \times 1)}$		$W^{(1 \times 2)}$			$W^{(2 \times 2)}$		
		S	S	M	L	S	M	L	
0	1	1	1	1	1	1	1	1	1
2	0	0	0	0	0	0	0	0	0
4	2	2	2	2	2	2	2	2	2
6	4		4	4	4	4	4	4	4
8	28		4	4	4	22	22	22	22
10	152			8	8	48	76	76	76
12	1,010				8	92	204	220	220
14	6,772					120	412	532	532
16	47,646					100	712	1,080	1,080
18	343,168					136	928	1,896	1,896
20	2,529,890					32	1,056	2,620	2,620
22	18,982,172					64	768	3,152	3,152
$\geq 24$							800	7,210	7,210
Total			3	11	19	27	621	4,985	16,725
Max.Len			4	8	10	12	22	28	34

Architectures differ in number of layers, kernel size, and number of channels.

Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

# Sketch of proof for arbitrary Wilson loops



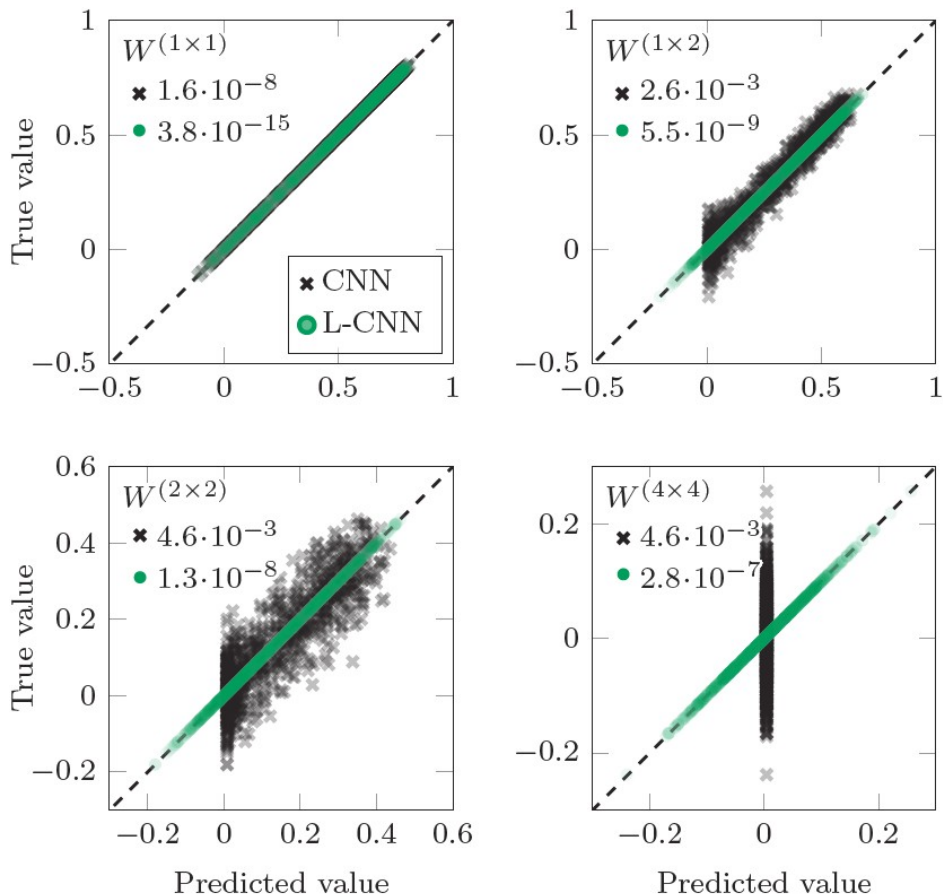
Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

- (a) An arbitrary contractible Wilson loop of  $n$  tiles ...
- (b) ... is composed (L-Bilin) of a Wilson loop of  $(n-1)$  tiles ...
- (c) ... and a parallel-transported (L-Conv) plaquette (Plaq).

Non-contractible loops (like Polyakov loops) have to be added (Poly).

# Numerical results

1+1D



Regression task to learn value of rectangular Wilson loops:

$$W_{x,\mu\nu}^{(m \times n)} = \text{Re Tr} \left[ U_{x,\mu\nu}^{(m \times n)} \right]$$

Lattice gauge equivariant CNN (L-CNNs, green) can learn the relation, while traditional convolutional neural networks (CNNs, black) struggle to find the solution.

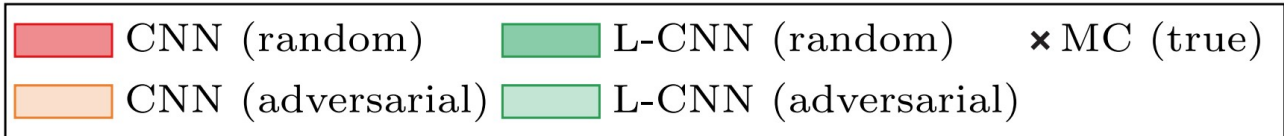
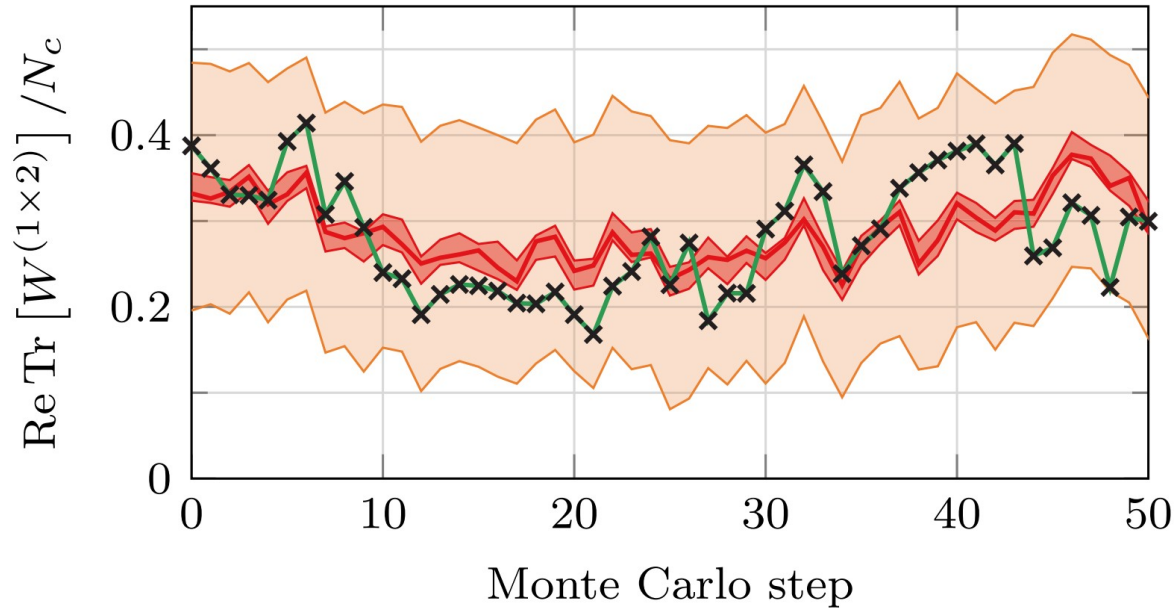
Training on  $8 \times 8$ , testing from  $8 \times 8$  up to  $64 \times 64$

Compared best from:  
100 L-CNN models ( $10 - 10^4$  trainable parameters, up to 4 L-Conv+L-Bilin)

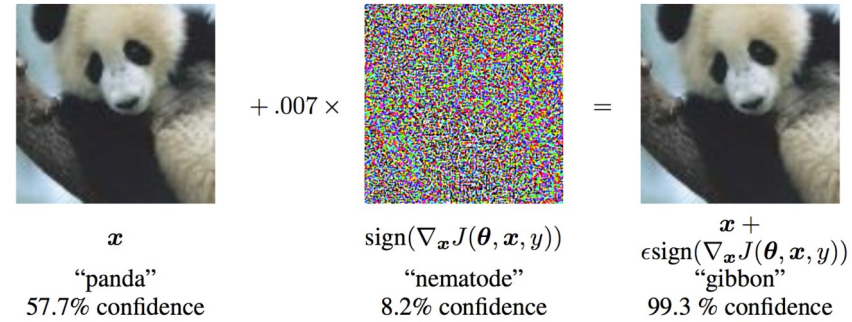
2840 CNN models ( $100 - 10^5$  trainable parameters up to 6 layers, 512 channels, 4 activation functions)

Favoni, Ai, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

# Adversarial attacks



Adversarial attack:

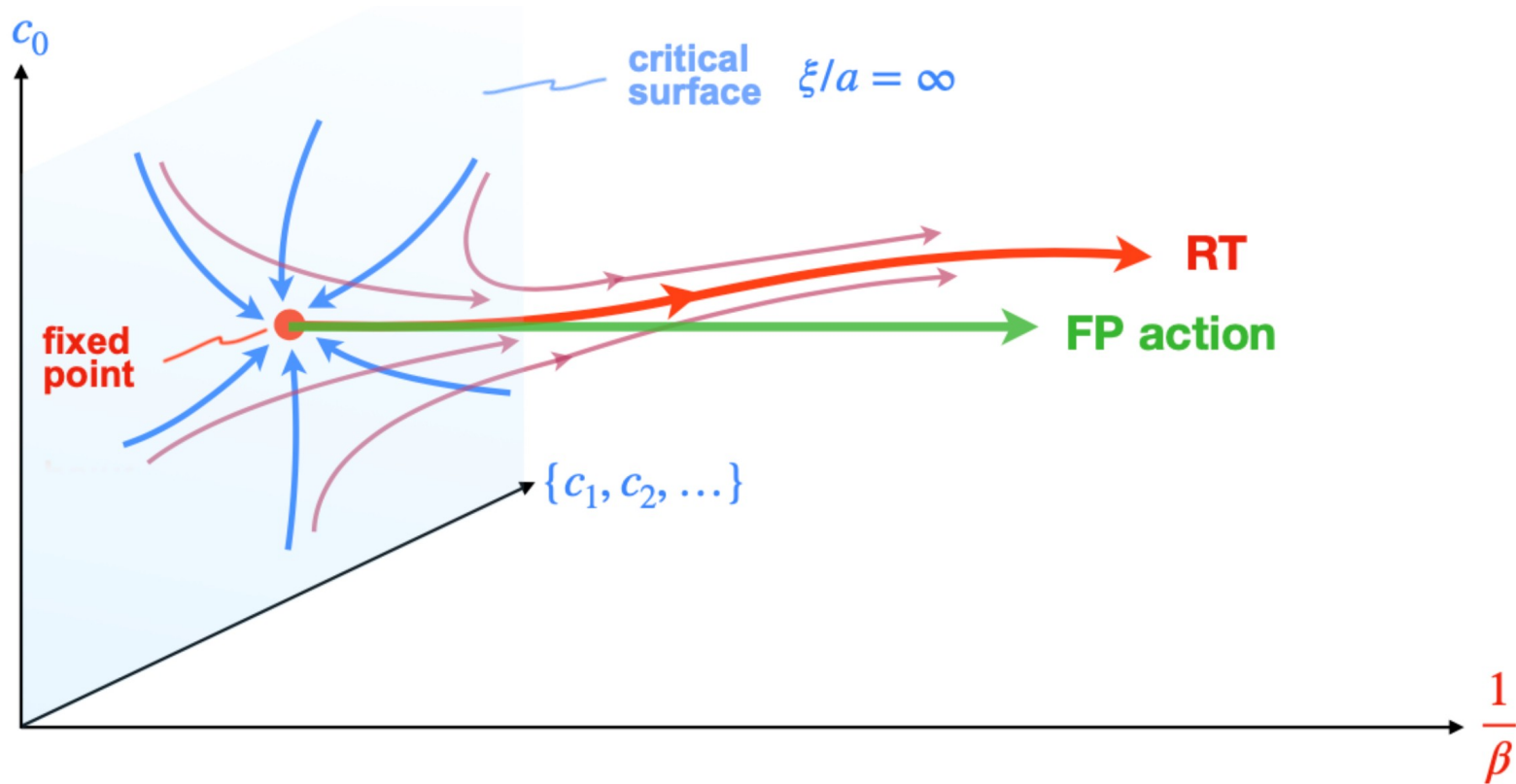


From Goodfellow, Shlens, Szegedy [ICLR 2015](#)

L-CNNs are insensitive to random or adversarial gauge transformations

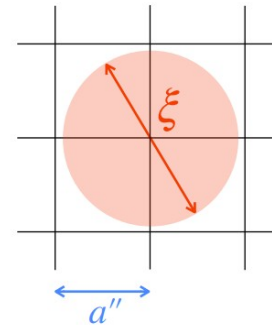
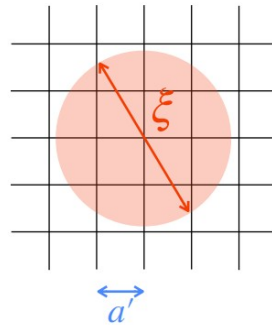
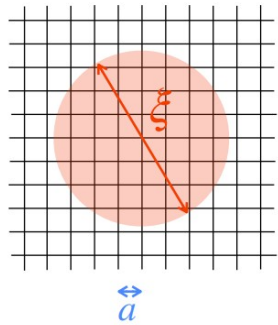
Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

# Fixed point action



# Renormalization group transformation

Critical slowing down,  
topological freezing ...



... Large lattice artifacts



Introduce a (real space) renormalization group transformation (RGT)

$$\exp \{-\beta' A'[V]\} = \int \mathcal{D}U \exp \{-\beta (A[U] + T[U, V])\}$$

Blocking kernel

The effective action  $\beta' A'[V]$  is described

by infinitely many couplings  $\{c'_\alpha\}$

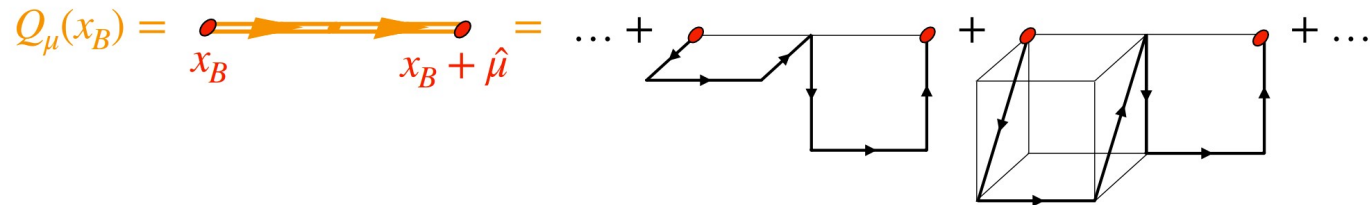
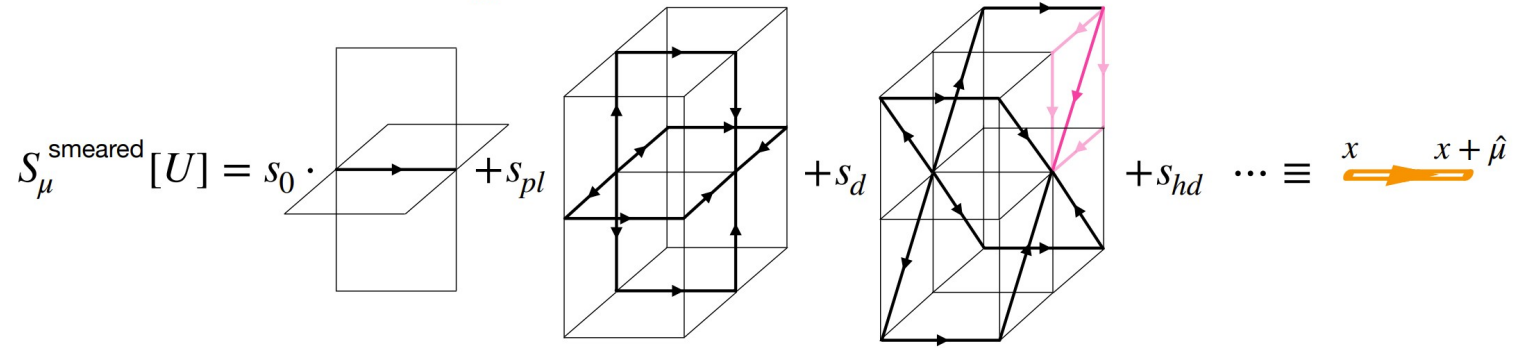
The fixed point is the saddle point in the classical limit  $\beta \rightarrow \infty$ , which can be found by a minimization condition.

P. Hasenfratz, F. Niedermayer,  
Nucl.Phys.B 414 (1994) 785



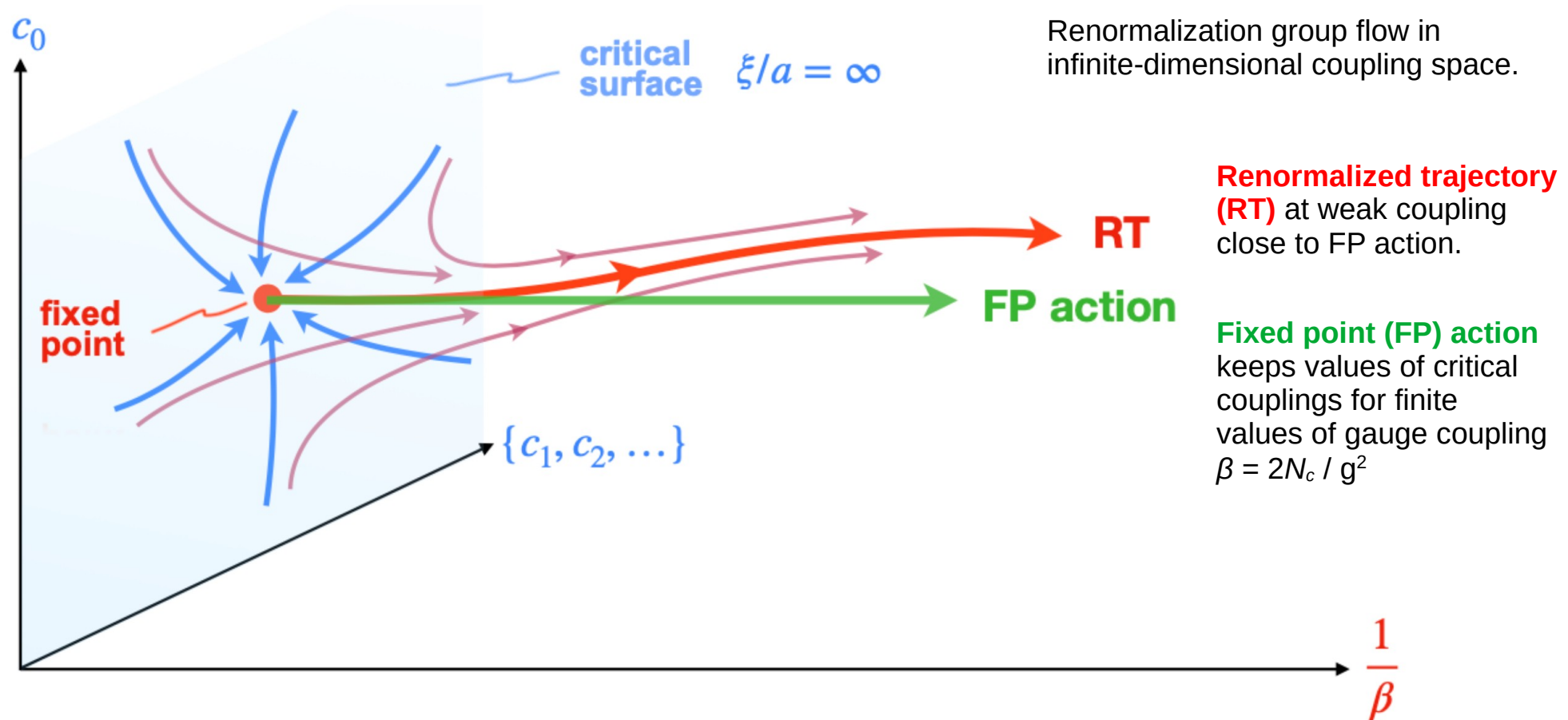
# Blocking kernel

$$T[U, V] = -\frac{\kappa}{N_c} \sum_{x_B, \mu} \left\{ \text{ReTr} \left( V_\mu(x_B) \cdot Q_\mu^\dagger(x_B) \right) - \mathcal{N}_\mu^\beta \right\}$$



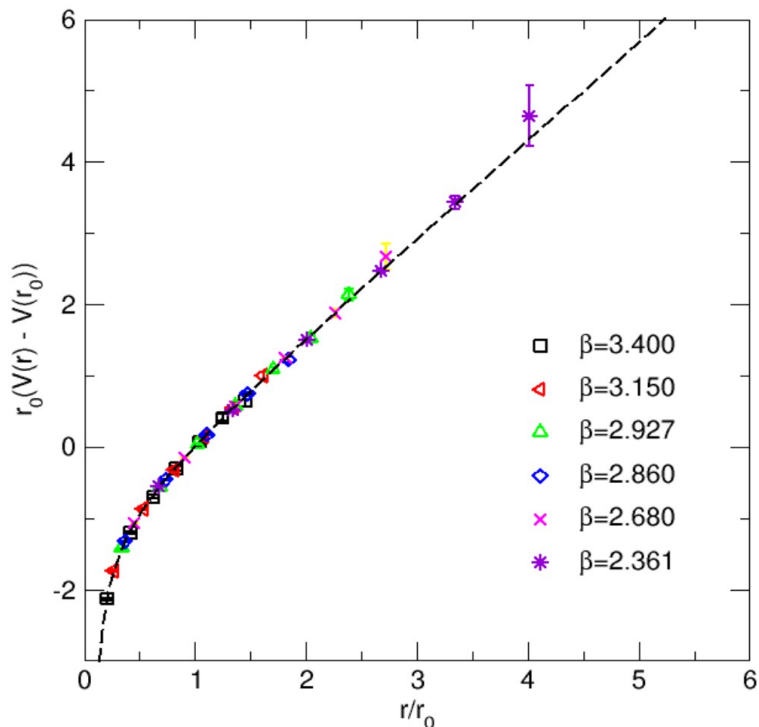
Choice of blocking kernel determines how couplings are modified across scales.

# Renormalization group transformation and Fixed point action



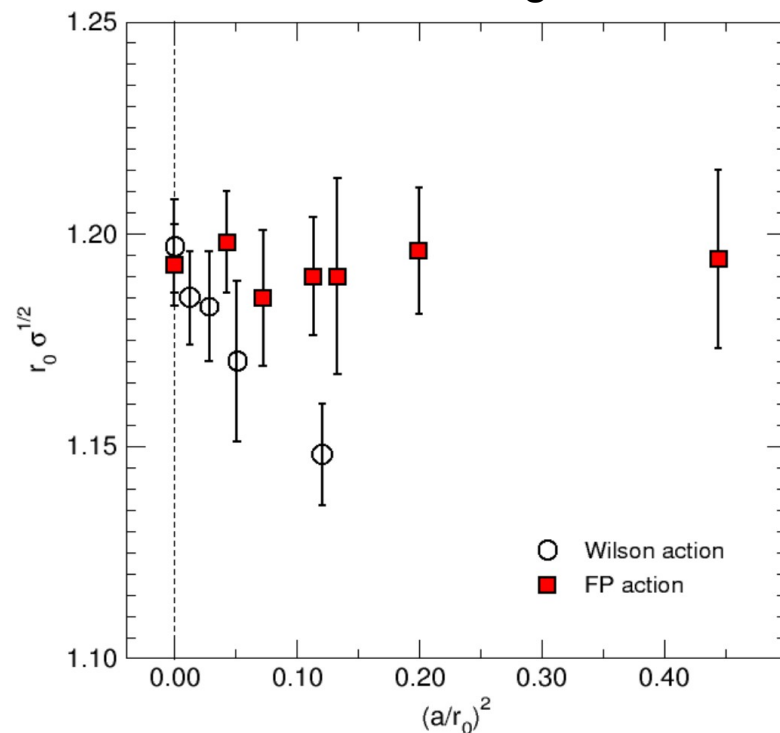
# Fixed point action using older parametrizations

## Static quark-antiquark potential



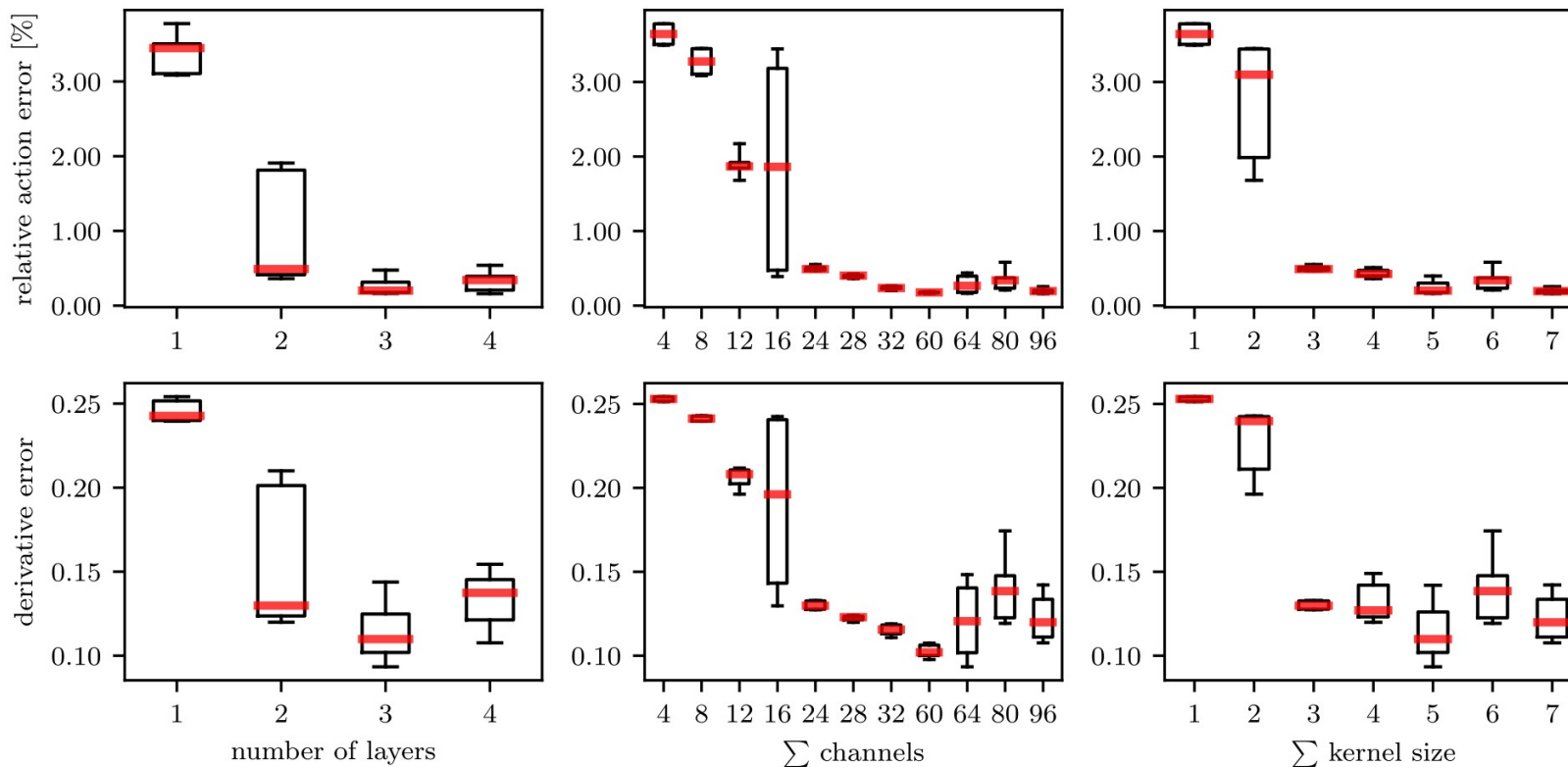
$$\text{fit to } V(r) = V_0 - \frac{\alpha}{r} + \sigma r$$

## Extraction of string tension $\sigma$



Niedermayer, Rufenacht, Wenger, Nucl.Phys.B 597 (2001) 413, hep-lat/0007007

# Scan through various architectures



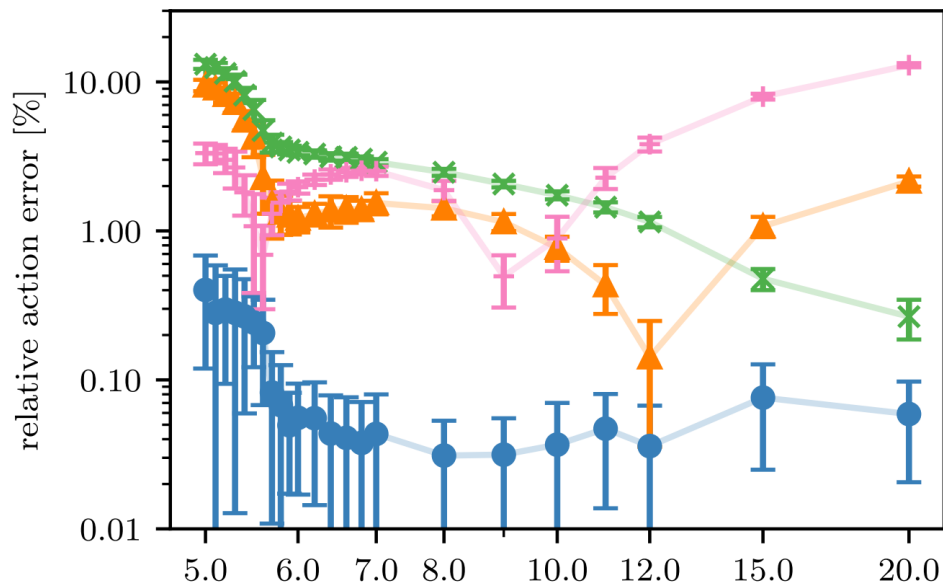
Supervised learning from coarse configurations and corresponding minimized action values on fine configurations.

Also use derivatives of fixed point action for learning.

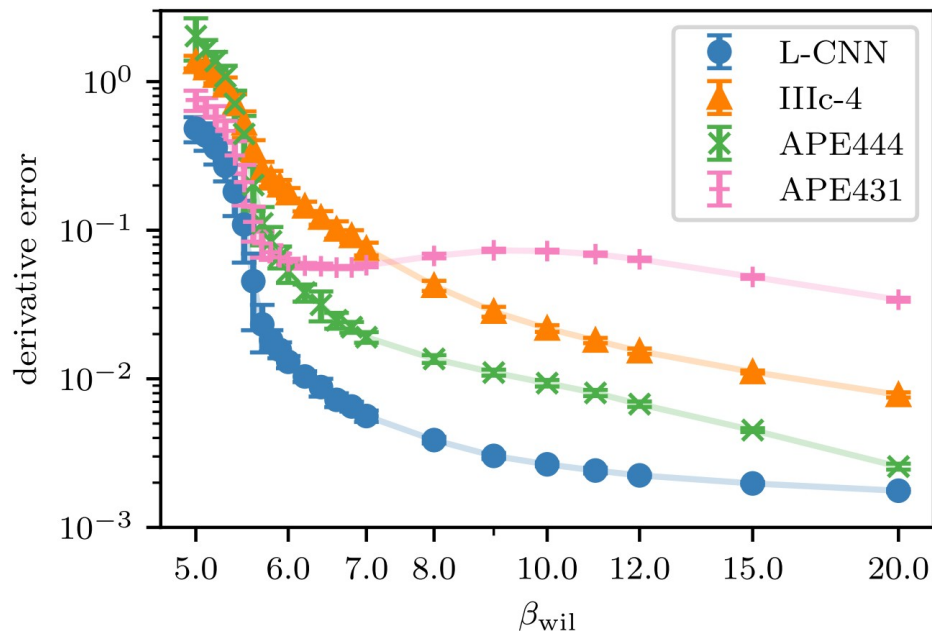
Train 130 models of various sizes for  $4^4$  lattice, SU(3) gauge group, and  $\beta_{\text{wil}} \in [5,10]$ .

Holland, AI, Müller, Wenger, arXiv:2401.06481

# Learning the fixed point action with L-CNNs



Best model: L-CNN with  
3 layers with 12, 24, 24 channels  
and kernel size 2, 2, 1.

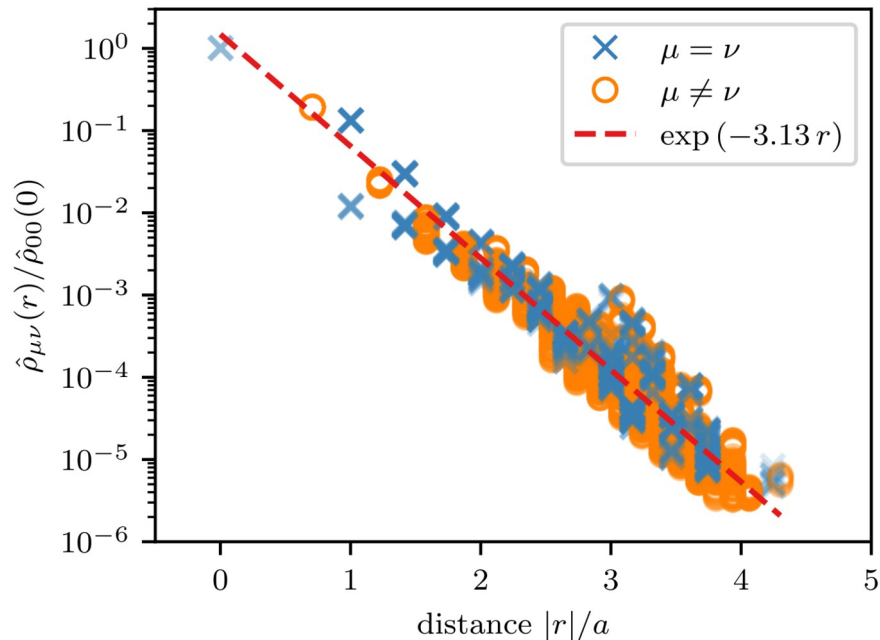


L-CNN superior to older parametrizations  
of FP action.

Holland, AI, Müller, Wenger  
arXiv:2401.06481

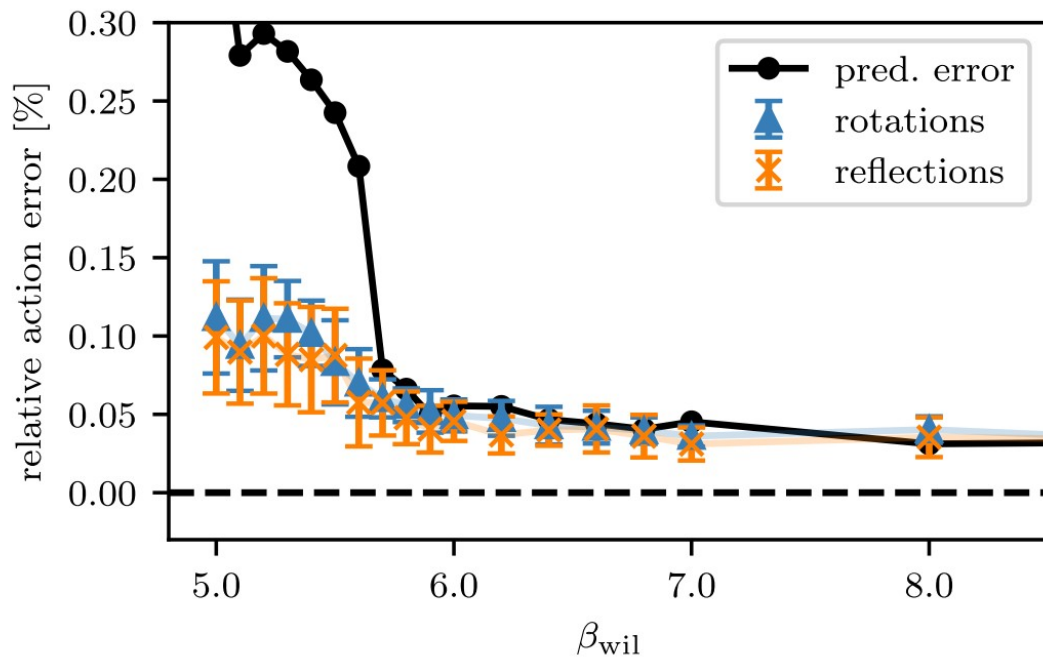
# Properties of the learned FP action

## Locality measurement



Couplings of learned fixed point actions decrease exponentially with separation.

## Rotation and reflection error

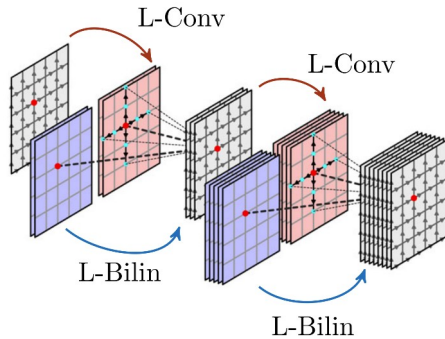


Learned symmetry errors are smaller than or comparable to prediction errors.

Holland, Al, Müller, Wenger  
arXiv:2401.06481

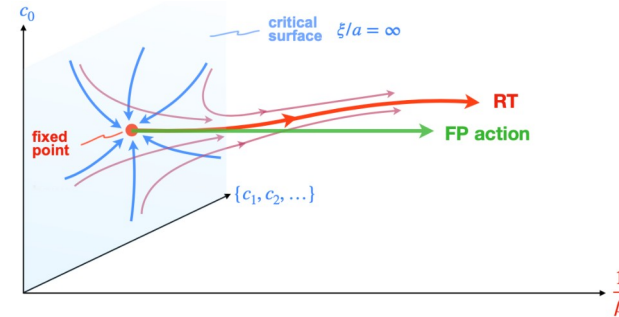
# Summary

## Lattice gauge equivariant convolutional neural networks (L-CNNs)



Favoni, AI, Müller, Schuh,  
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## Learning fixed-point actions



Holland, AI, Müller, Wenger  
arXiv:2401.06481

- Fixed-point actions may enable calculations at coarser lattice spacing
- L-CNNs achieve higher accuracy than previous hand-crafted parametrizations
- Outlook: apply learned FP action to Monte Carlo simulations

Open source: <https://gitlab.com/openpixi/lge-cnn>



# Backup

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# Machine learning the fixed point action

---

To obtain the training data for supervised machine learning, first generate ensembles of coarse configurations  $V$ .

For a given coarse configuration  $V$ , the **fixed point action values** are determined by minimizing configurations  $U, U', \dots$

$$A^{\text{FP}}[V] = \min_{\{U\}} \{A^{\text{FP}}[U] + T[U, V]\} = \min_{\{U', U\}} \{A^{\text{FP}}[U'] + T[U', U] + T[U, V]\}$$

Use additional information for training obtained from **derivatives of the fixed point action**:

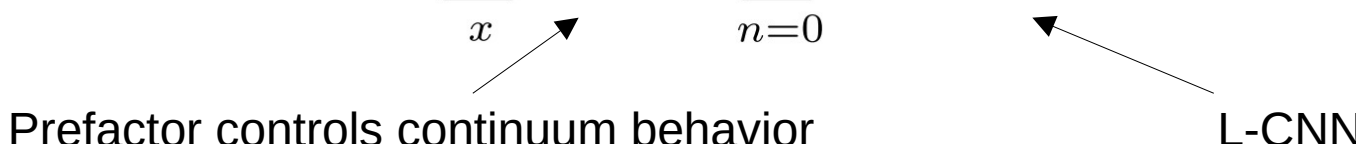
$$\frac{\delta A^{\text{FP}}[V]}{\delta V_{x,\mu}^a} = \frac{\delta T[U, V]}{\delta V_{x,\mu}^a} = -\kappa \text{Re Tr}(it^a V_{x,\mu} Q_{x,\mu}^\dagger) \quad Q_{x,\mu}^\dagger = Q_{x,\mu}^\dagger[U]$$


yields  $D$  [link directions]  $\times$   $(N^2 - 1)$  [colors]  $\times$   $L^D$  [lattice sites] data per configuration.

# Fixed point action using L-CNNs

---

Parametrize **action model** in particular way:

$$\mathcal{A}^{\text{L-CNN}}[V] = \sum_x \mathcal{A}_x^{\text{pre}}[V] \sum_{n=0}^{\infty} b^{(n)} (N_x[V] - N_x[\mathbb{1}])^n$$


Prefactor controls continuum behavior

L-CNN

**Loss function** combines action values and its derivatives  $\mathcal{L} = w_1 \mathcal{L}_1 + w_2 \mathcal{L}_2$

$$\mathcal{L}_1 = \frac{1}{L^4 N_{\text{cfg}}} \sum_{i=1}^{N_{\text{cfg}}} |\mathcal{A}^{\text{FP}}[V_i] - \mathcal{A}^{\text{L-CNN}}[V_i]|,$$

$$\mathcal{L}_2 = \frac{1}{32L^4 N_{\text{cfg}}} \sum_{i=1}^{N_{\text{cfg}}} \sum_{x,\mu} \text{Tr} [(D_{x,\mu}^{\text{FP}}[V_i] - D_{x,\mu}^{\text{L-CNN}}[V_i])^2]$$

Technical remark: derivatives of L-CNNs are obtained through backpropagation

# Continuous formulation of L-CNNs

---

Define a continuous version of a gauge equivariant convolution:

$$[\psi * \mathcal{W}]^a(\mathbf{x}) = \sum_b \int_{\mathbb{R}^D} d\mathbf{y}^D \psi^{ab}(\mathbf{y} - \mathbf{x}) U_{\mathbf{x} \rightarrow \mathbf{y}} W^b(\mathbf{y}) U_{\mathbf{x} \rightarrow \mathbf{y}}^\dagger$$

with kernel components  $\psi^{ab} : \mathbb{R}^D \rightarrow \mathbb{R}$

and parallel transporter  $U_{\mathbf{x} \rightarrow \mathbf{y}} = \mathcal{P} \exp \left\{ i \int_0^1 ds \frac{dx^\nu(s)}{ds} A_\nu(x(s)) \right\}$

that map  $\mathcal{W} = (W^1, \dots, W^m)$  objects to new objects

in a gauge equivariant manner:

$$[\psi * T_\Omega \mathcal{W}]^a(\mathbf{x}) = T_\Omega [\psi * \mathcal{W}]^a(\mathbf{x})$$

Similarly define continuous bilinear layer, trace layer, ...

Discretize this to obtain previous formulation.

Compatible with G-CNNs.

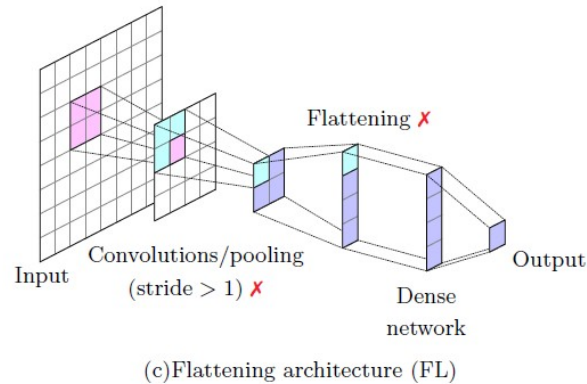
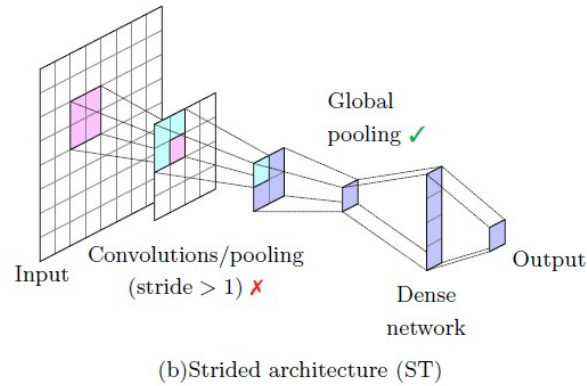
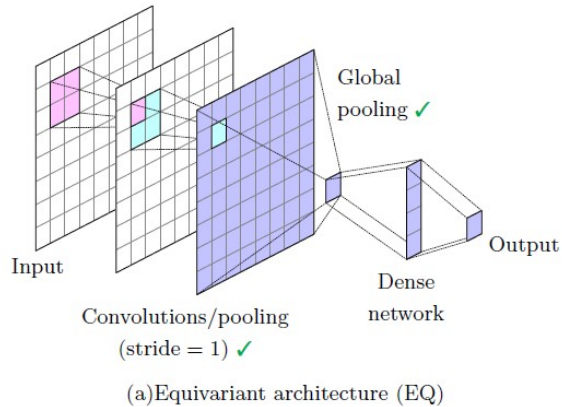
Generalizable to vectors and tensors.

[Aronsson, Müller, Schuh, arxiv:2303.11448](#)

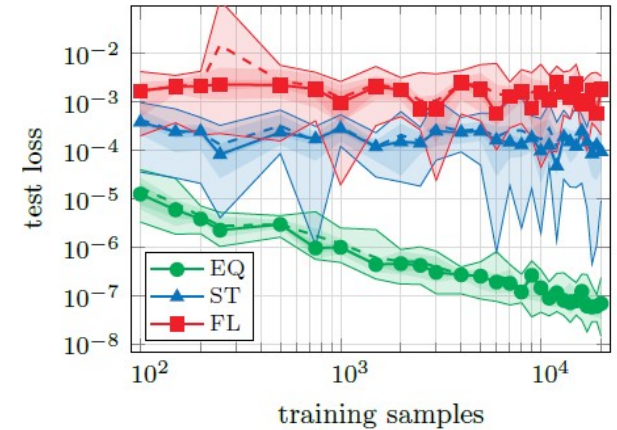
# Comparison of architecture types

For fair comparison, best architectures for each type have been obtained by an Optuna optimization (scanning through various kernel sizes, number of layers, number of channels, ...)

Best architectures are retrained 10 times and evaluated on the validation set.



Test regression tasks on observables of a scalar field model in 2 dimensions:



Bulusu, Favoni, AI, Müller, Schuh, Phys. Rev. D 104 (2021) 074504