

Leptogenesis

Juraj Klarić

Neutriverse, December 1st 2022



 **UCLouvain**

Overview

Introduction

The seesaw mechanism

The *low-scale* leptogenesis mechanisms

- Resonant leptogenesis

- Leptogenesis through Neutrino Oscillations

The parameter space of leptogenesis

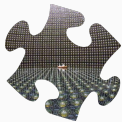
Leptogenesis with 3 RHNs

From discovery to tests

Introduction

Some puzzles for physics beyond the Standard Model

Neutrino masses



The Baryon Asymmetry of the Universe

$$n_B/n_\gamma = 6.05(7) \times 10^{-10}$$

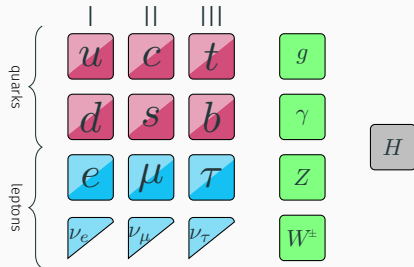
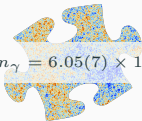


Image credits: Kamioka Observatory, ICRR, U. Tokyo; ESA and the Planck Collaboration

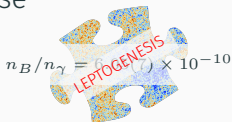
Some puzzles for physics beyond the Standard Model

Neutrino masses



[Minkowski 1977...]

The Baryon Asymmetry of the Universe



[Fukugita/Yanagida '86...]

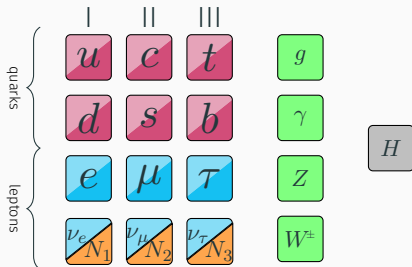


Image credits: Kamioka Observatory, ICRR, U. Tokyo; ESA and the Planck Collaboration

The seesaw mechanism

The neutrino masses

- the observed neutrino masses are surprisingly small

$$m_\nu \lesssim 1 \text{ eV}$$

- if the masses are even partly Dirac \rightarrow right-handed neutrinos (RHN) exist

$$\mathcal{L} \supset \frac{1}{2} \overline{\nu_L} m_D \nu_R$$

- RHN are SM gauge singlets
- they can be their own antiparticles \rightarrow they *can*¹ have a Majorana mass term M_M
- the full mass matrix:

$$\mathcal{L} \supset \frac{1}{2} \begin{pmatrix} \overline{\nu_L} & \overline{\nu_R^c} \end{pmatrix} \begin{pmatrix} 0 & m_D \\ m_D^T & M_M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$$

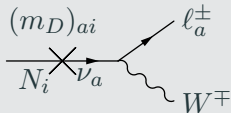
¹“Everything not forbidden is compulsory.” - Murray Gell-Mann

The seesaw mechanism and the Majorana neutrino mixing

Active neutrino masses

$$m_\nu = -m_D M_M^{-1} m_D^T$$

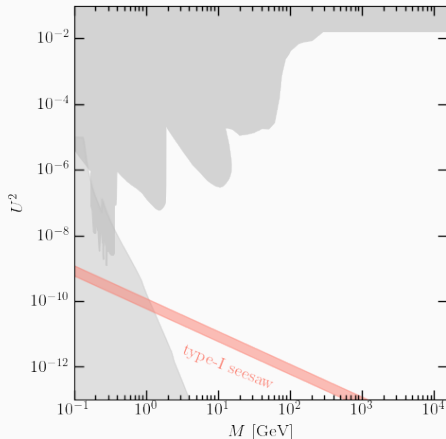
HNL mixing



$$U_{ai}^2 \equiv |(m_D M_M^{-1})_{ai}|^2$$

$$U^2 = \sum_{a,i} U_{ai}^2$$

$$U^2 \gtrsim m_\nu / M$$



[figure adapted from Snowmass WPs 2203.08039 and 2203.05502]

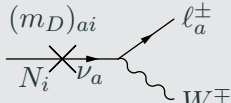
[see talks by Vedran and Matthias]

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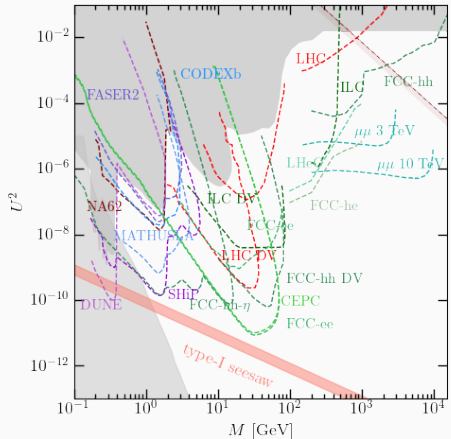
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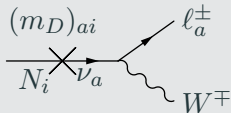
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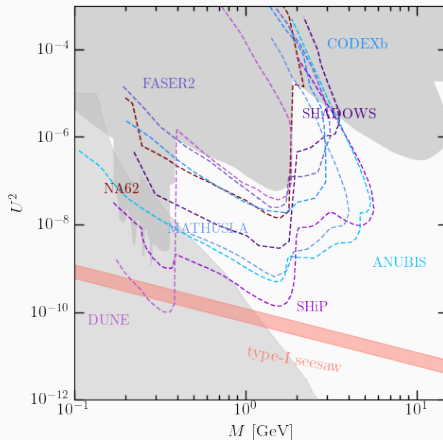
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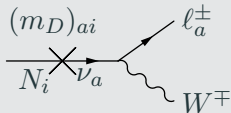
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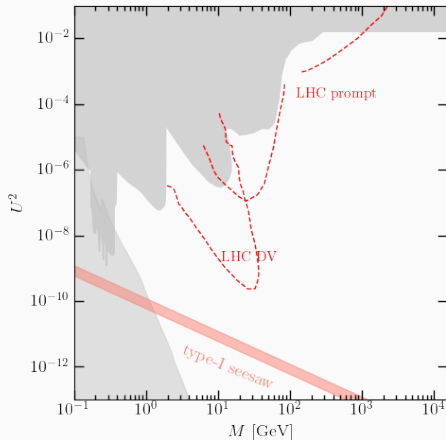
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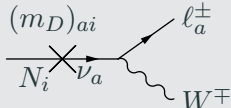
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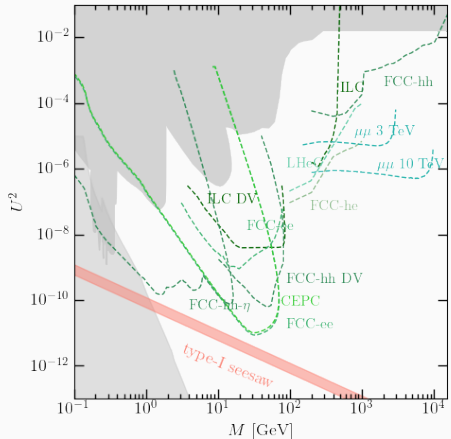
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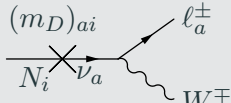
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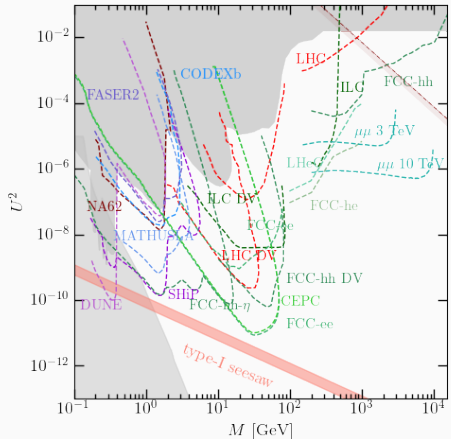
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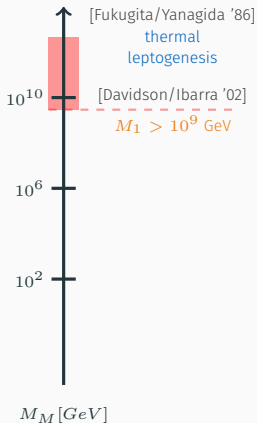


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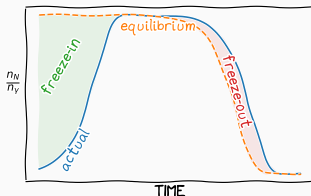
The *low-scale* leptogenesis mechanisms

Leptogenesis mechanisms



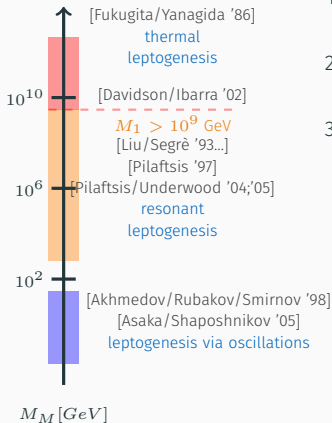
Sakharov conditions

1. Baryon number violation
sphaleron processes
2. C and CP violation
RHN decays and oscillations
3. Deviation from thermal equilibrium
freeze-in and freeze-out of RHN



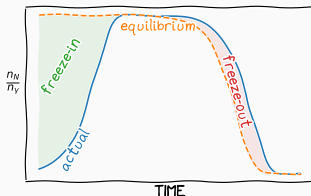
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Leptogenesis mechanisms



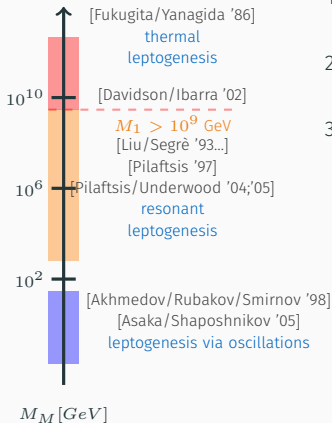
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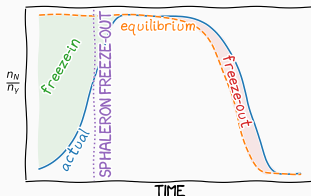
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- leptogenesis works in a wide range of RHN masses

Leptogenesis mechanisms



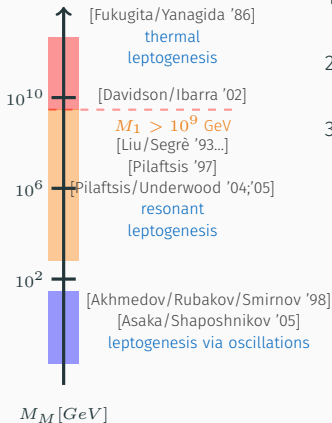
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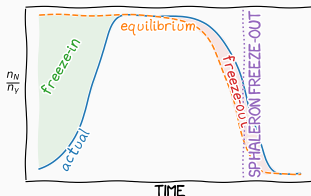
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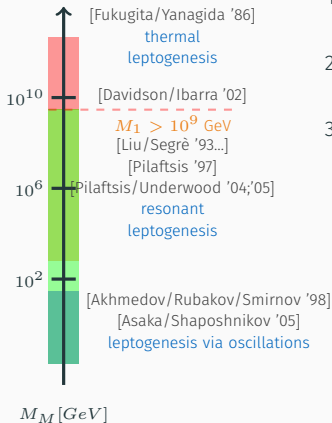
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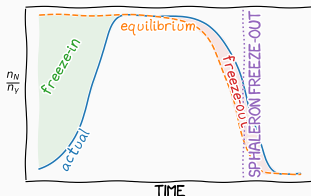
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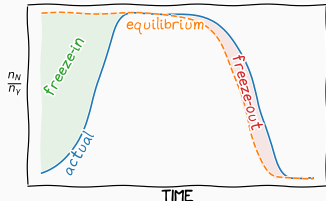
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- for hierarchical RHN $M_1 \gtrsim 10^9 \text{ GeV}$
- leptogenesis works in a wide range of RHN masses
- how are the low-scale mechanisms connected?

Thermal leptogenesis

- the BAU is mainly produced in the decays of RHN
- as the universe expands, cools down to $T \leq M_M$ the RHN become non-relativistic and begin to decay



The lepton asymmetries follow the equation

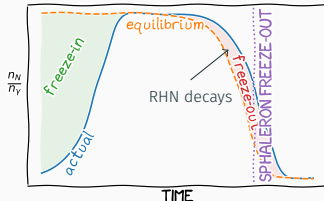
$$\frac{dY_{\ell_a}}{dz} = -\epsilon_a \frac{\Gamma_N}{Hz} (Y_N - Y_N^{\text{eq}}) - W_{ab} Y_{\ell_b}$$

The key quantity determining the BAU is the decay asymmetry

$$\epsilon_a \equiv \frac{\Gamma_{N \rightarrow \ell_a} - \Gamma_{N \rightarrow \bar{\ell}_a}}{\Gamma_{N \rightarrow \ell_a} + \Gamma_{N \rightarrow \bar{\ell}_a}}$$

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Resonant leptogenesis

- for hierarchical neutrinos, the decay asymmetry is limited by the Davidson-Ibarra bound

$$|\epsilon| \lesssim \frac{3M_1 m_\nu}{8\pi v^2}$$

[Davidson/Ibarra 2002]

- however, if we carefully look at the diagrams

$$\Gamma_{N \rightarrow \ell \bar{\phi}} \sim \left| \text{---} + \text{---} + \text{---} \right|^2$$

we find that the wave-function diagram becomes enhanced for $M_2 \rightarrow M_1$

$$\epsilon = \frac{1}{8\pi} \frac{\text{Im}(F^\dagger F)_{12}^2}{(F^\dagger F)_{11}} \frac{M_1 M_2}{M_1^2 - M_2^2}$$

[Kuzmin 1970]

In the context of *leptogenesis*:

[Liu/Segrè/Flanz/Paschos/Sarkar/Weiss/Covi/Roulet/Vissani/Pilaftsis/Underwood/Buchmüller/Plumacher...]

This enhancement is known as **resonant leptogenesis**.

Resonant Leptogenesis and RHN oscillations

- the decay asymmetry ϵ appears divergent for $M_2 \rightarrow M_1$
- this divergence is unphysical, it needs to be regulated

$$\epsilon = \frac{1}{8\pi} \frac{\text{Im}(F^\dagger F)_{12}^2}{(F^\dagger F)_{11}} \frac{M_1 M_2}{M_1^2 - M_2^2 + A^2}$$

- in the degenerate limit perturbation theory breaks down

$$\Gamma_N \supset \text{---} \text{<} + \text{---} \text{--}\bigcirc \text{<} + \text{---} \text{--}\bigcirc\text{--}\bigcirc \text{<} + \dots$$

- to resolve this we have to go beyond the S -matrix formalism, RHN are unstable particles \rightarrow no asymptotic states!

Evolution equations for resonant leptogenesis

- another way of describing the same process is to use density matrix equations
- instead of number densities, we include correlations of the RHN flavours:

RHN density matrix

$$\frac{dn}{dz} = -i[H, n] - \frac{1}{2}\{\Gamma, n - n^{\text{eq}}\}$$

Active lepton equations

$$\frac{dY_\ell}{dz} = S_\ell(n) - WY_\ell$$

- Density matrix of the RHN

$$n = \begin{pmatrix} n_{11} & n_{12} \\ n_{21} & n_{22} \end{pmatrix}$$

- Effective Hamiltonian H of the RHN $\sim M^2/T + Y^2 T$
- Production rate $\Gamma \sim Y^2 T$
- Source term S_ℓ of the active neutrinos
- Washout term W

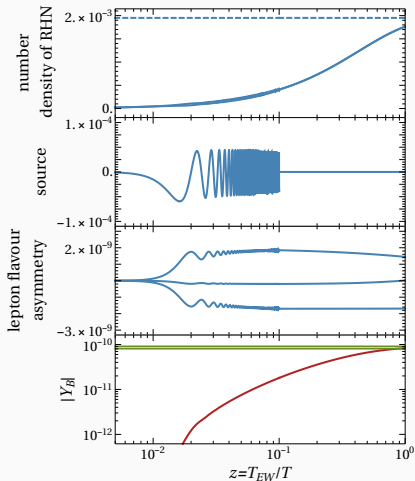
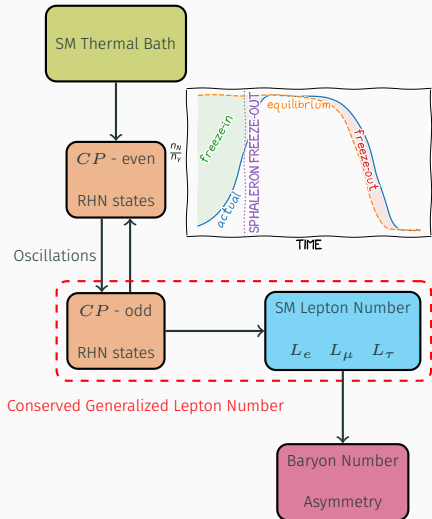
Resonant leptogenesis - summary

- resonant leptogenesis allows RHN below 10^9 GeV
 - can be relaxed down to $\sim 10^7$ GeV with flavour effects
- we run into conceptual problems for $M_2 \rightarrow M_1$
- these issues can be resolved with non-perturbative methods
 - resonant leptogenesis can be described through RHN oscillations

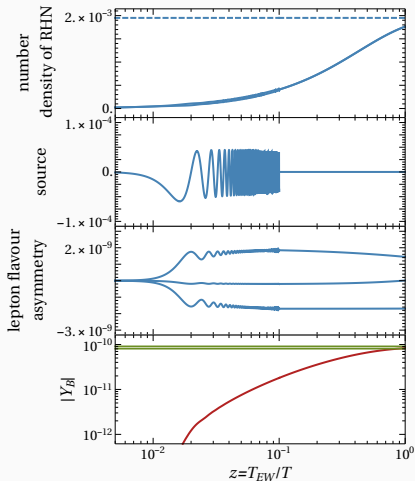
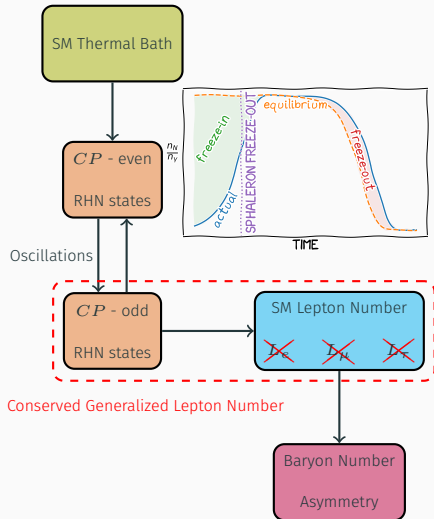
Issues:

- existing studies typically assume non-relativistic RHN and neglect relativistic effects
- non-thermal initial conditions still require solving the full density matrix equations
- RHN decays require $M \gtrsim T \rightarrow$ not clear what happens for $M \lesssim 130$ GeV

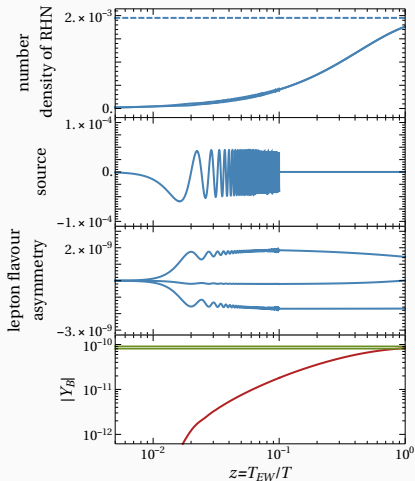
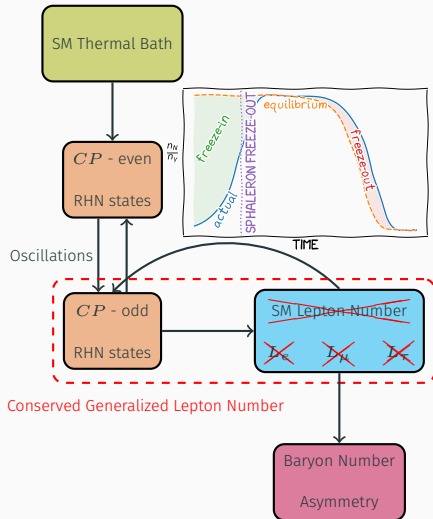
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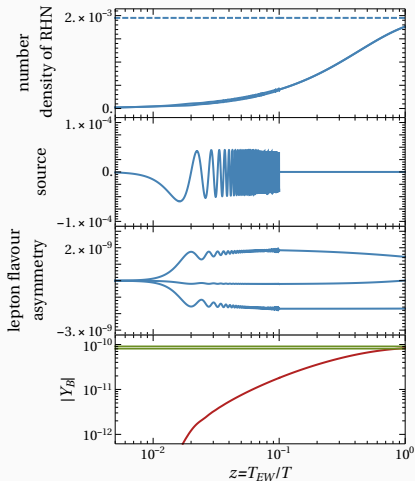
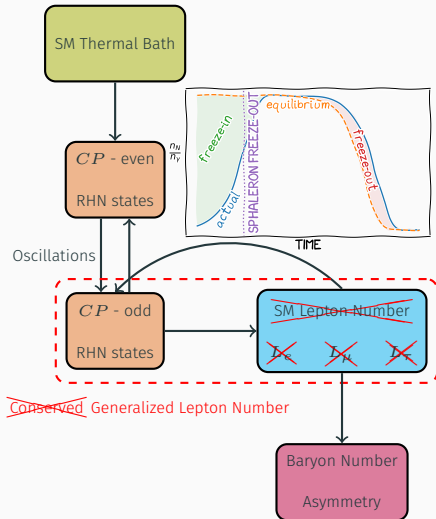
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Leptogenesis through Neutrino Oscillations



Leptogenesis through Neutrino Oscillations



System of kinetic equations

$$\begin{aligned}i \frac{dn_{\Delta\alpha}}{dt} &= -2i \frac{\mu_\alpha}{T} \int \frac{d^3k}{(2\pi)^3} \text{Tr} [\Gamma_\alpha] f_N (1 - f_N) + i \int \frac{d^3k}{(2\pi)^3} \text{Tr} [\tilde{\Gamma}_\alpha (\bar{\rho}_N - \rho_N)] , \\i \frac{d\rho_N}{dt} &= [H_N, \rho_N] - \frac{i}{2} \left\{ \Gamma, \rho_N - \rho_N^{eq} \right\} - \frac{i}{2} \sum_\alpha \tilde{\Gamma}_\alpha \left[2 \frac{\mu_\alpha}{T} f_N (1 - f_N) \right] , \\i \frac{d\bar{\rho}_N}{dt} &= -[H_N, \bar{\rho}_N] - \frac{i}{2} \left\{ \Gamma, \bar{\rho}_N - \rho_N^{eq} \right\} + \frac{i}{2} \sum_\alpha \tilde{\Gamma}_\alpha \left[2 \frac{\mu_\alpha}{T} f_N (1 - f_N) \right] ,\end{aligned}$$

- equations very **similar** to those used for resonant leptogenesis
- notably there are twice as many equations for the RHN \rightarrow helicity taken into account ($\rho_N, \rho_{\bar{N}}$)
- temperature dependence of the equilibrium distributions often **neglected**

Leptogenesis through Neutrino Oscillations - differences

Compared to resonant leptogenesis, there exist a few important differences:

- initial conditions are crucial, all BAU is generated during RHN **equilibration**
- it is important to distinguish between the **helicities** of the RHN, as it carries an approximately conserved lepton number
- the decay of the RHN equilibrium distribution can typically be neglected $Y_N^{\text{eq}} \approx 0$

Rates for leptogenesis

- one of the major challenges is to estimate the coefficients H_N and Γ_N
- unlike resonant leptogenesis, where it is often assumed that the rates are dominated by RHN decays, the main contribution comes from thermal effects



[Ghiglieri/Laine 2017]

Two main types of rates:

Fermion number conserving

$$\Gamma_+ \sim Y^2 T \sim H$$

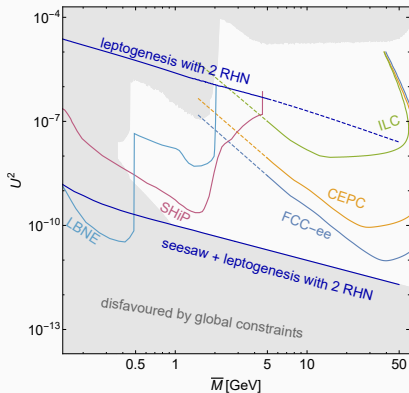
Fermion number violating

$$\Gamma_- \sim Y^2 \frac{M^2}{T} \ll H$$

[Ghiglieri/Laine 2017, Eijima/Shaposhnikov 2017]

The parameter space of leptogenesis

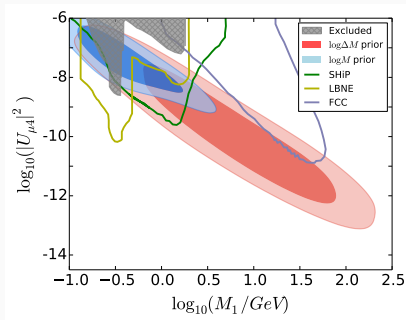
Parameter space of low-scale leptogenesis



[Drewes/Garbrecht/Gueter/JK '16]

- several systematic studies over the past years
- leptogenesis is **within reach** of future experiments
- why do they often stop around $\mathcal{O}(50)$ GeV?

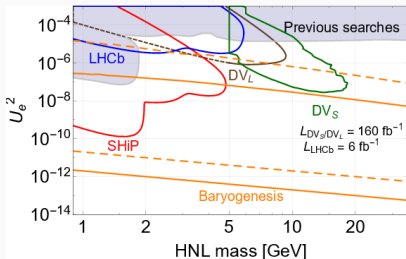
Parameter space of low-scale leptogenesis



prior dependent Bayesian study
[Hernández/Kekic/López-Pavón/Racker/Salvado '16]

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Parameter space of low-scale leptogenesis



including the FNV and FNC rates

[Eijima/Shaposhnikov/Timiryasov '18]

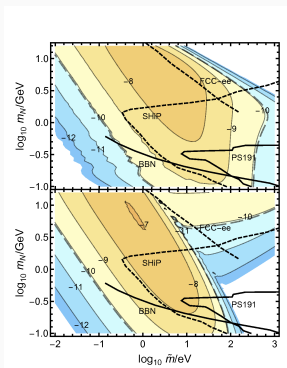
[Boiarska et. al. '19]

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What lies beyond $\mathcal{O}(50)$ GeV?

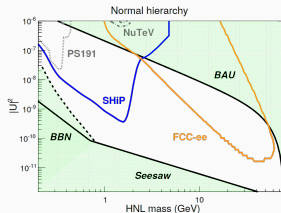
Resonant leptogenesis

- early estimates lead to successful leptogenesis for $\mathcal{O}(200)$ GeV [Pilaftsis/Underwood '05]
- Higgs decay leptogenesis mechanism proposed in [Hambye/Teresi '16; '17]



Leptogenesis via oscillations

- for $M_M > M_W$ new channels open up
- large equilibration rates for both FNV and FNC processes
- generically we have $\Gamma_N/H \gtrsim 30$ for $T \sim 150$ GeV, $M \sim 80$ GeV
- early estimate [Blondel/Graverini/Serra/Shaposhnikov 2014]



- Baryogenesis window closes at $M_M \sim 80$ GeV?

A quantitative study is necessary!

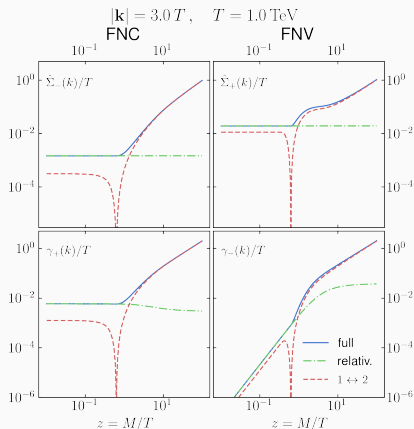
Study of the parameter space

- we use a single set of equations for both leptogeneses
 - for $M \gg T$ we recover resonant leptogenesis
 - for $M \ll T$ we recover leptogenesis via oscillations
- we separate the **freeze-in** and **freeze-out** regimes
 - for thermal initial conditions **freeze-out** is the only source of BAU: “resonant” leptogenesis dominates
 - for vanishing initial conditions with $Y_N^{eq} \rightarrow 0$ **freeze-in** is the only source of BAU: LG via oscillations dominates
- biggest challenge: **rates!**
 - so far estimates of the rates only exist for $M \ll T$ and $M \gg T$
 - we combine the two by *extrapolating* the relativistic rate and adding it to the non-relativistic decays
- we perform a comprehensive numerical scan over the parameters between $100 \text{ MeV} < M_M < 10 \text{ TeV}$

Extrapolating the rates to the non-relativistic regime

- helicity-dependent rates unknown outside of the relativistic regime
- we extrapolate the relativistic rate
- combine this result with the $1 \leftrightarrow 2$ rate

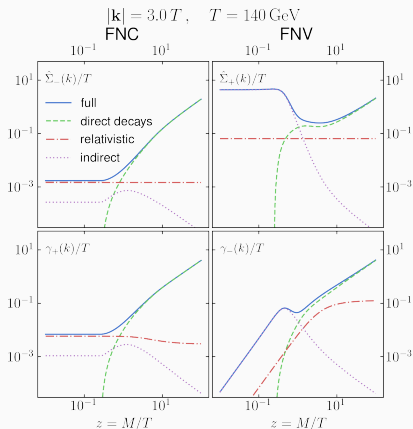
Symmetric phase of the SM:



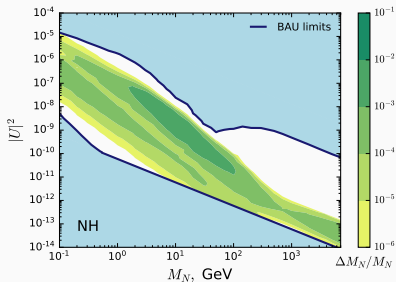
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- helicity-dependent rates unknown outside of the **relativistic regime**
- we extrapolate the relativistic rate
- combine this result with the $1 \leftrightarrow 2$ rate
- in the **broken phase** the situation is more involved
- large FNV contribution from **mixing with light neutrinos**
- indirect contribution is enhanced when $M_N \sim g^2 T$

Broken phase of the SM:



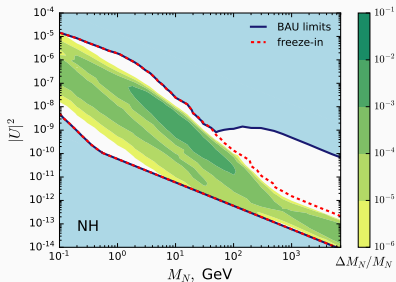
Results



- the baryogenesis window remains open!
- two main contributions to the BAU, from freeze-in and freeze-out
- there is significant overlap of the two regimes

- in resonant leptogenesis freeze-out (HNL decays) dominates, we can start with thermal initial conditions $Y_N(0) = Y_N^{\text{eq}}$
- leptogenesis via oscillations is freeze-in dominated, $Y_N(0) = 0$, we set the “source” term to $dY_N^{\text{eq}}/dz \rightarrow 0$ by hand
- success is not guaranteed:
for different phases the overlap can be much smaller

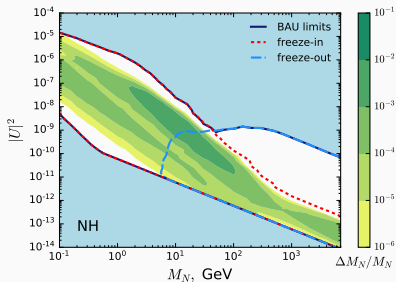
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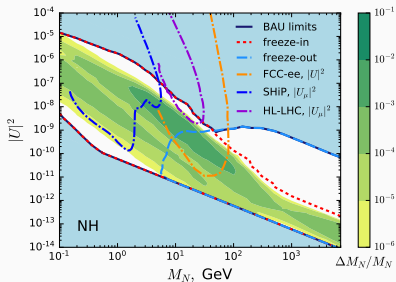
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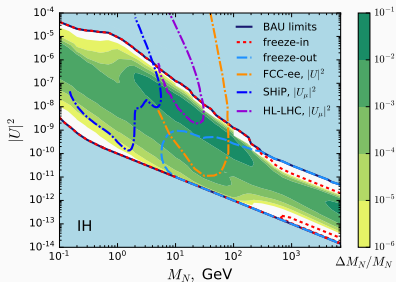
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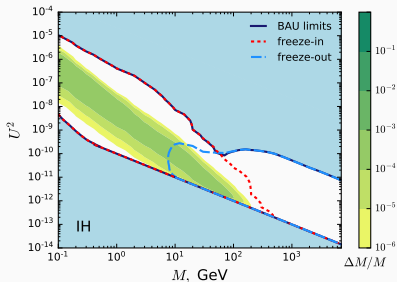
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Leptogenesis with 3 RHNs

How is $3 \neq 2$?: Dimensionality of the parameter space

$$F = \frac{i}{v} U_\nu \sqrt{m_\nu^{\text{diag}}} \mathcal{R} \sqrt{M_M}$$

[Casas, Ibarra 2001]

2 Heavy Neutrinos (ν MSM)

+ 2 RHN masses

2 parameters

3 Heavy Neutrinos

+ 3 RHN masses

3 parameters

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2 Heavy Neutrinos (ν MSM)

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- + 1 *complex* ($\times 2$) angle

3 parameters

3 Heavy Neutrinos

- + 3 RHN masses
- + 3 *complex* ($\times 2$) angles

6 parameters

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- + 2 **light neutrino masses**

5 parameters

3 Heavy Neutrinos

- + 3 RHN masses
- + 3 *complex* ($\times 2$) angles
- + 2 + 1 **light neutrino masses**

9 parameters

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- + 2 RHN masses
- + 1 *complex* ($\times 2$) angle
- + 2 light neutrino masses
- + 3 PMNS angles
- + 1 *CP* phase δ
- + 1 Majorana phase α

11 (6 free) parameters

3 Heavy Neutrinos

- + 3 RHN masses
- + 3 *complex* ($\times 2$) angles
- + 2 + 1 light neutrino masses
- + 3 PMNS angles
- + 1 *CP* phase δ
- + 2 Majorana phases $\alpha_{1,2}$

18 (13 free) parameters

Large mixing angles and approximate B-L symmetry

- large U^2 require cancellations between different entries of the Yukawa matrices F
- this cancellation can be associated with an approximate lepton number symmetry

[Shaposhnikov hep-ph/0605047, Kersten Smirnov

0705.3221, Moffat Pascoli Weiland 1712.07611]

- symmetry broken by small parameters $\epsilon, \epsilon', \mu, \mu'$

Pseudo-Dirac pairs

$$N_s = \frac{N_1 + iN_2}{\sqrt{2}}, N_w = \frac{N_1 - iN_2}{\sqrt{2}}$$

B-L parametrisation

$$M_M = \bar{M} \begin{pmatrix} 1 - \mu & 0 & 0 \\ 0 & 1 + \mu & 0 \\ 0 & 0 & \mu' \end{pmatrix}$$

$$F = \frac{1}{\sqrt{2}} \begin{pmatrix} F_e(1 + \epsilon_e) & iF_e(1 - \epsilon_e) & F_e\epsilon'_e \\ F_\mu(1 + \epsilon_\mu) & iF_\mu(1 - \epsilon_\mu) & F_\mu\epsilon'_\mu \\ F_\tau(1 + \epsilon_\tau) & iF_\tau(1 - \epsilon_\tau) & F_\tau\epsilon'_\tau \end{pmatrix}$$

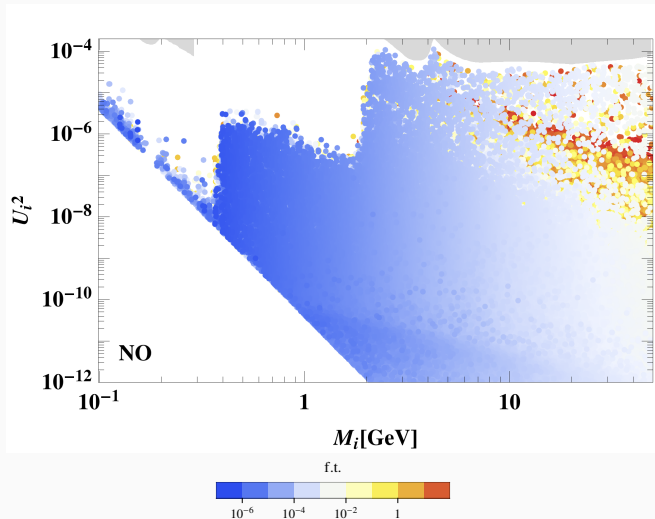
Fine tuning

- if present, symmetries are manifest to all orders in p.t.
- in the case of a large B-L breaking, radiative corrections can cause large neutrino masses
- we can use the size of radiative corrections to the light neutrino masses to quantify tuning

Fine Tuning

$$f.t.(m_\nu) = \sqrt{\sum_{i=1}^3 \left(\frac{m_i^{\text{loop}} - m_i^{\text{tree}}}{m_i^{\text{loop}}} \right)^2}$$

Results: Leptogenesis with 3 RHN (Normal Ordering)



[Abada/Arcadi/Domcke/Drewes/JK/Lucente 1810.12463]

How is $3 \neq 2$? Leptogenesis

- asymmetry can be generated even without washout

[Akhmedov/Rubakov/Smirnov hep-ph/9803255]

- large hierarchy in the washout is possible

[Canetti/Drewes/Garbrecht 1404.7144]

- level crossing between the heavy neutrinos

[Abada/Arcadi/Domcke/Drewes/JK/Lucente 1810.12463]

How is $3 \neq 2$? Leptogenesis

- asymmetry can be generated even without washout

[Akhmedov/Rubakov/Smirnov hep-ph/9803255]

- Sakharov II: CP
 - more CP phases than in the case with two RHN

- large hierarchy in the washout is possible

[Canetti/Drewes/Garbrecht 1404.7144]

- Sakharov III: non-equilibrium

- level crossing between the heavy neutrinos

[Abada/Arcadi/Domcke/Drewes/JK/Lucente 1810.12463]

- Sakharov II: CP

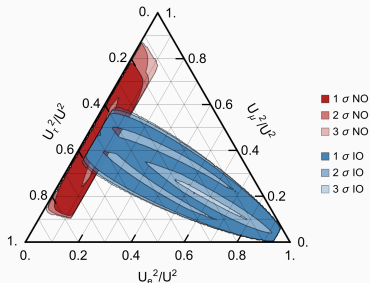
Hierarchy in the washout

- lepton asymmetry can survive washout if hidden in a particular flavor
- washout suppression

$$\mathfrak{f} \equiv \frac{\Gamma_a}{\Gamma} \sim \frac{U_a^2}{U^2}$$

- for 2 RHN $\mathfrak{f} > 5 \times 10^{-3}$
- for 3 RHN $\mathfrak{f} \ll 1$ possible

2 RHNs:



[Snowmass White Paper 2203.08039]

[Drewes/Garbrecht/Gueter/JK 1609.09069]

[Caputo/Hernandez/Lopez-Pavon/Salvado 1704.08721]

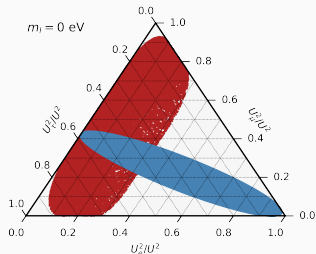
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[Drewes/Georis/JK 230x.xxxx]

[Chrzaszcz/Drewes/Gonzalo/Harz/Krishna-
murthy/Weniger 1908.02302]

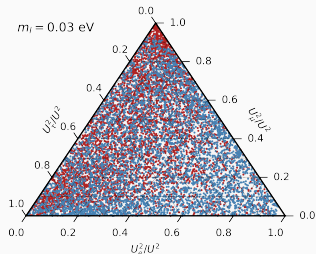
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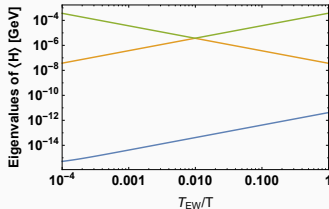
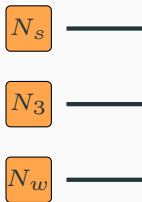
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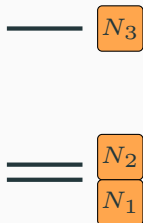
Enhancement due to level crossing

- in the $B - L$ symmetric limit two heavy neutrinos form a pseudo-Dirac pair
- the “3rd” heavy neutrino can be heavier than the pseudo-Dirac pair
- for $T \gg T_{EW}$, the pseudo-Dirac pair also has a thermal mass

$T \gg T_{EW}$

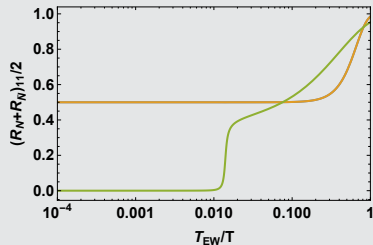


$T \ll T_{EW}$

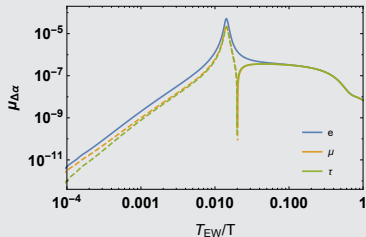


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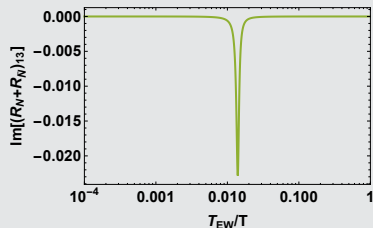
Heavy Neutrino Densities



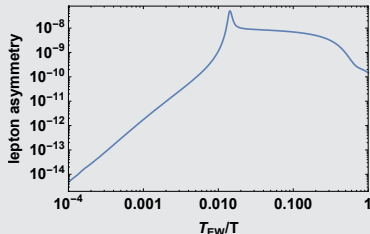
Lepton flavour asymmetries



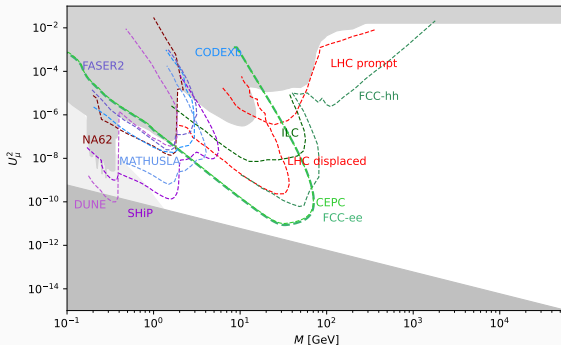
Heavy Neutrino correlations



Lepton number asymmetry



Results: Beyond the EW scale with 3 RHNs

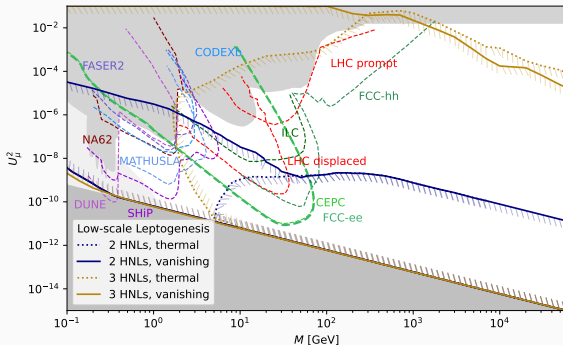


[Snowmass White Paper 2203.08039]

leptogenesis lines from [Drewes/Georis/JK 2106.16226]

- for experimentally accessible heavy neutrino masses, all U^2 are allowed
- both freeze-in and freeze-out leptogenesis already testable at existing experiments
- the maximal value of U^2 depends on m_1

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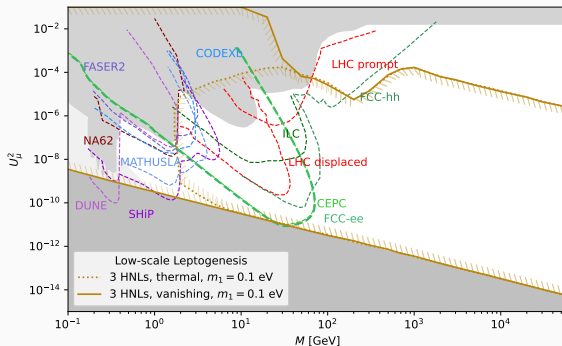


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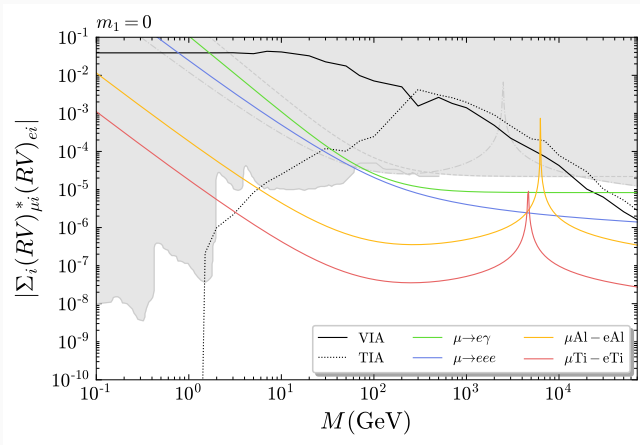


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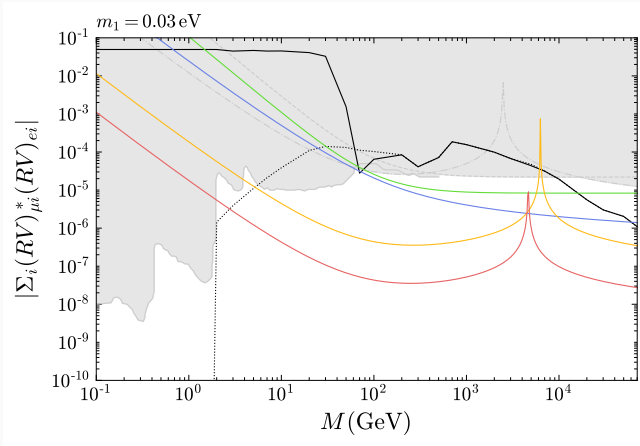
Indirect probes: Charged LFV



[Granelli/JK/Petcov 2206.04342]

- parameters space in the TeV region already severely constrained by cLFV observables
- future $\mu \rightarrow e$ conversion experiments can probe a large part of the $N = 3$ parameter space

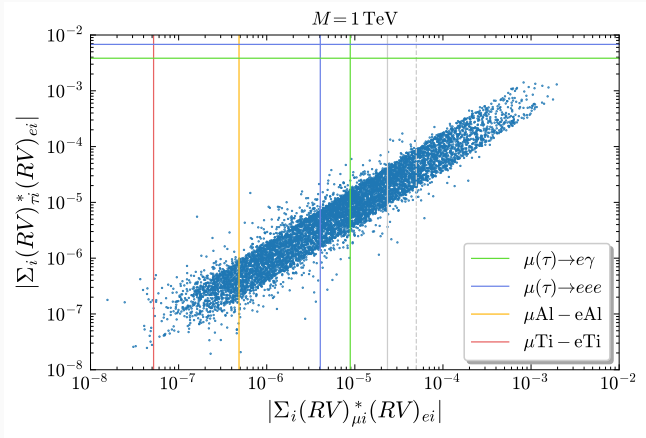
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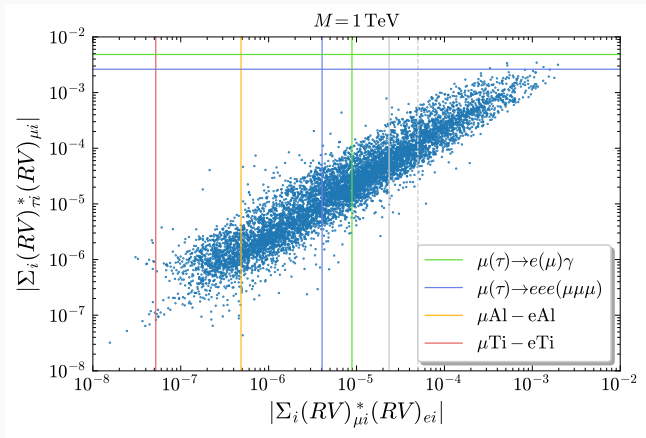
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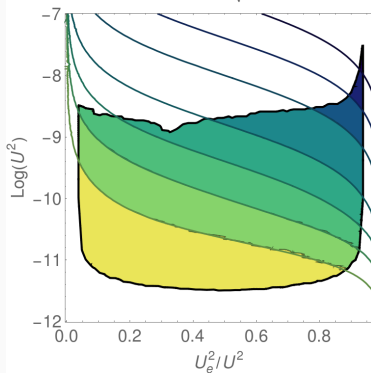
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From discovery to tests

Measuring flavor ratios at experiments

$M_N = 30 \text{ GeV @ FCC-ee}$

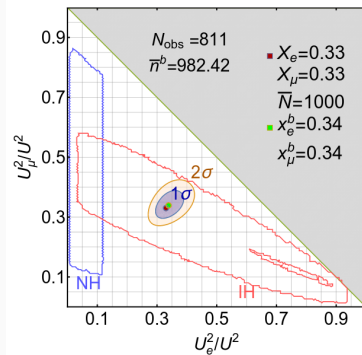
IO, FCC-ee at $\sqrt{s}=90 \text{ GeV}$



[Antusch/Cazzato/Drewes/Fischer/Garbrecht/Gueter/JK

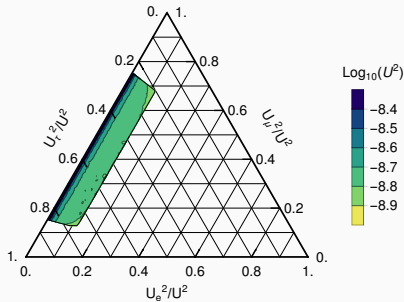
1710.03744]

$M_N = 1 \text{ GeV @ SHiP}$



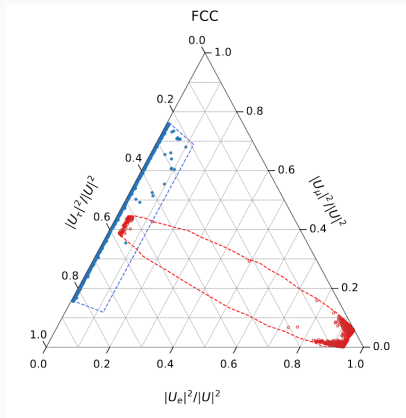
[Snowmass HNL WP 2203.08039]

Flavor constraints from leptogenesis



[Antusch/Cazzato/Drewes/Fischer/Garbrecht/Gueter/JK

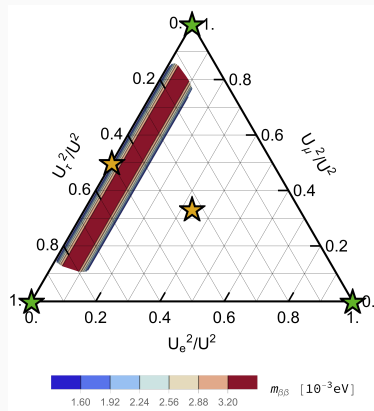
1710.03744]



$$\Delta M/M = 10^{-2}$$

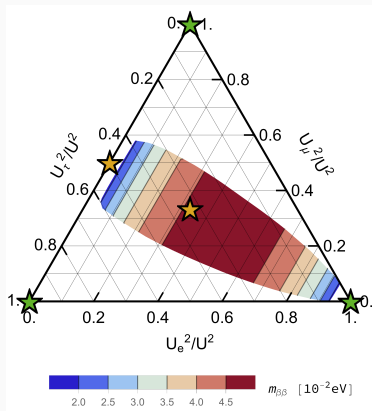
[Hernandez/Lopez-Pavon/Rius/Sandner 2207.01651]

Complementarity with neutrinoless double beta decay



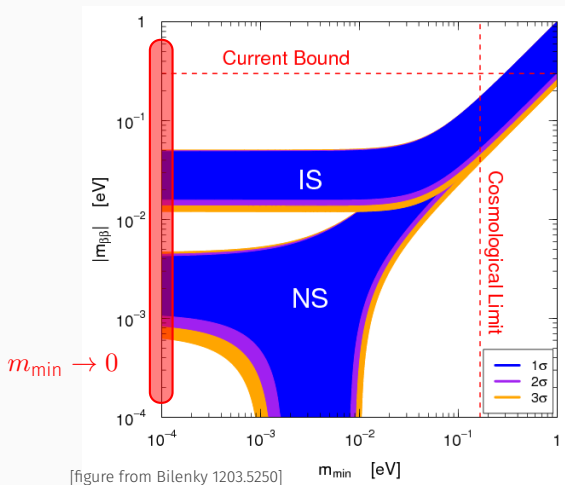
- $m_{\beta\beta}$ is a complementary probe of the flavor mixing ratios for $M_N \gg 100 \text{ MeV}$
- excluding $m_{\beta\beta}$ limits allowed flavour ratios

Complementarity with neutrinoless double beta decay

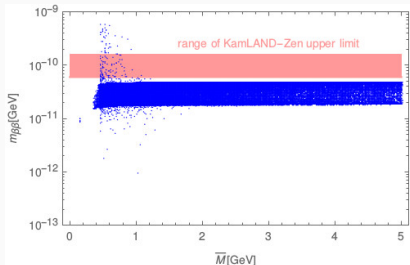


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Leptogenesis and neutrinoless double β decay



HNL contribution to neutrinoless double β decay

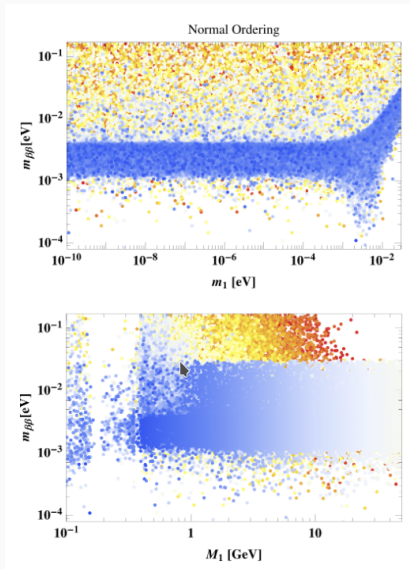


[Eijima/Drewes 1606.06221,

Hernández/Kekic/López-Pavón/Salvado 1606.06719]

- RHN can contribute to $m_{\beta\beta}$
- large mass splitting is required to have an observable effect (not always compatible with leptogenesis)
- some leptogenesis scenarios can already be excluded by current results

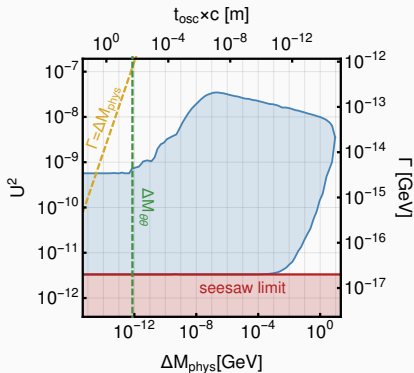
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Measuring the mass splitting in model with 2 HNLs

Normal Ordering:



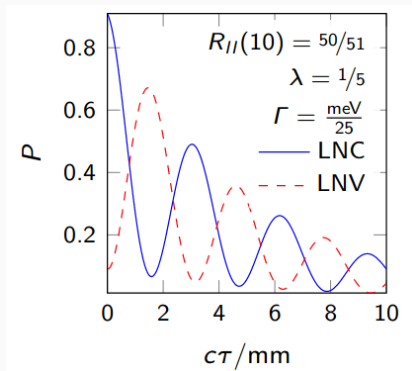
$$M = 30 \text{ GeV}$$

[Antusch/Cazzato/Drewes/Fischer/Garbrecht/Gueter/JK

1710.03744]

- large range of ΔM consistent with leptogenesis
- energy resolution of planned experiments - $\Delta M/M \sim \mathcal{O}(\text{few}\%)$
- Higgs vev contribution to RHN mass difference $\Delta M_{\theta\theta}$ practically implies lower limit on the mass splitting

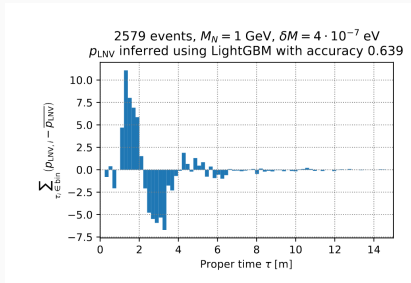
Measuring the mass splitting in model with 2 HNLs



[Antusch/Hajer/Roszkopp 2210.10738]

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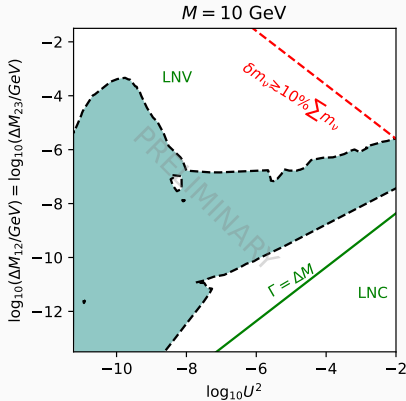
Measuring the mass splitting in model with 2 HNLs



[Tastet/Timiryasov 1912.05520]

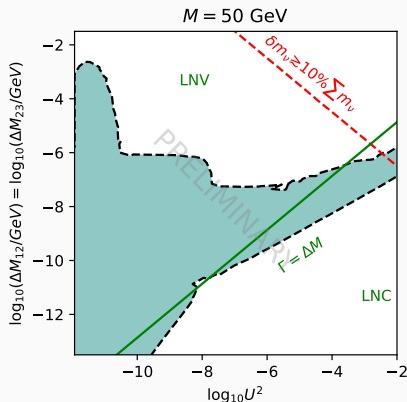
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Mass splittings with 3 HNLs



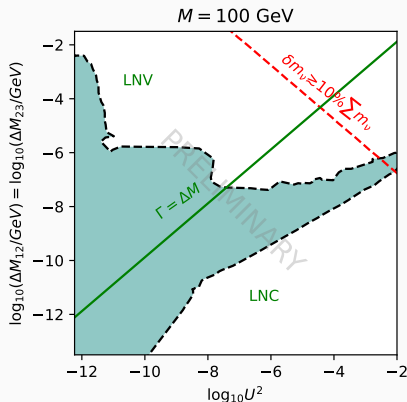
- benchmark with fixed $U_{\alpha I}^2/U^2$
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Mass splittings with 3 HNLs



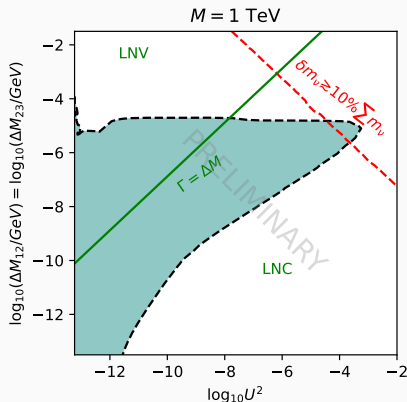
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Conclusions

- resonant leptogenesis and leptogenesis through neutrino oscillations are really **two regimes of the same mechanism**
- freeze-out is already **possible for GeV-scale** RHNs
- freeze-in remains **important at the TeV-scale** and beyond
- leptogenesis is a viable baryogenesis mechanism for **all heavy neutrino masses** above the $\mathcal{O}(100)$ MeV scale
- leptogenesis is testable at planned future experiments
 - there is synergy between **high-energy** and **high-intensity** experiments!
 - together they will cover a large portion of the low-scale leptogenesis parameter space

Thank you!