



# Can neutrinos explain *everything*?

(besides CMB photons)

Aart Heijboer - Nikhef

Topical lectures on flavour physics and CP violation, March 2022

# outline

- Lecture 1 : neutrinos and oscillations (+CP)
- Lecture 2: neutrino masses & leptogenesis (1h)
- Lecture 3: hand on computer exercise (1h)



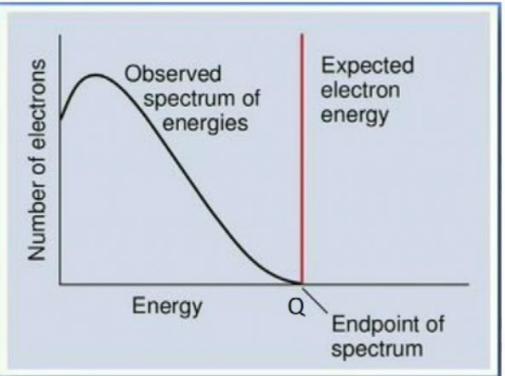
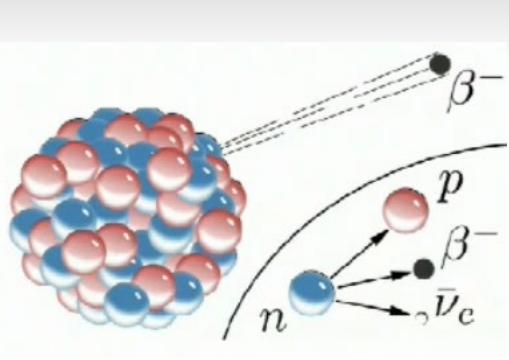
Broad overview  
very loose on technicalities.



References [heavily used and screenshotted]

- [1] : P. Hernández, Lecture notes, arXiv:1708.01046v1
- [2] : PDG: **14. Neutrino Masses, Mixing, and Oscillations**
- [3] : [https://www.youtube.com/watch?v=MI\\_6iNqU3HA](https://www.youtube.com/watch?v=MI_6iNqU3HA) (excellent talk on leptogenesis)

## Niels Bohr



"At the present stage of atomic theory we have no arguments for upholding the concept of energy balance in the case of  $\beta$ -ray disintegrations."

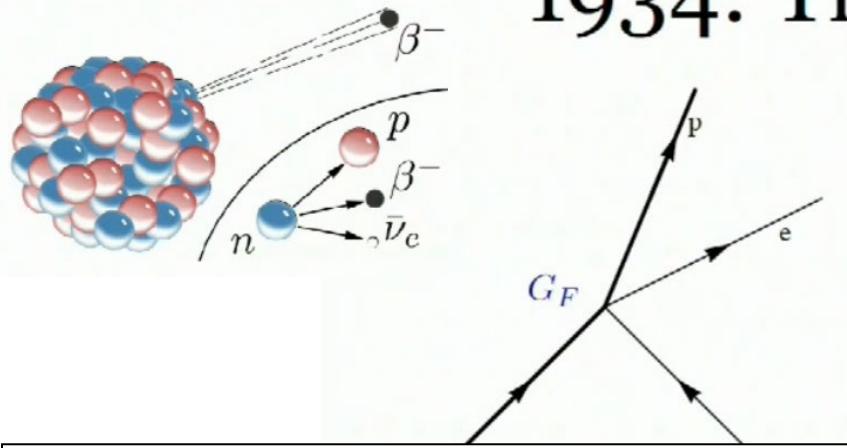
## Wolfgang Pauli



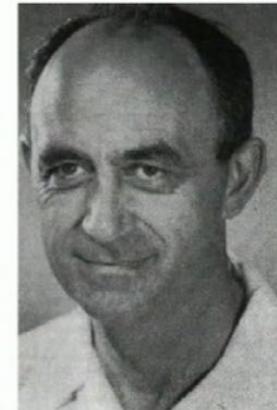
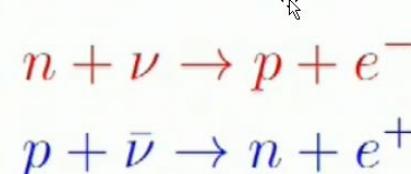
"Desperate remedy...."  
"I do not dare publish this idea...."  
"I admit my way out may look improbable...."  
"Weigh it and pass sentence...."

# neutrinos

1934: Theory of beta decay

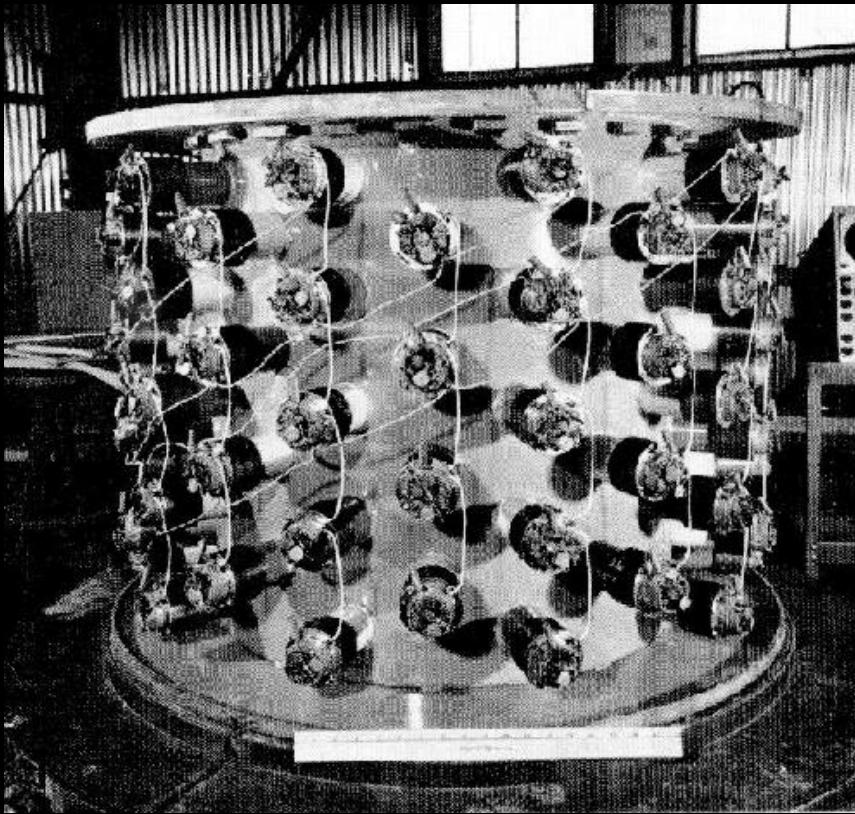


$$G_F^0 = \frac{G_F}{(\hbar c)^3} = \frac{\sqrt{2}}{8} \frac{g^2}{M_W^2 c^4} = 1.1663787(6) \times 10^{-5} \text{ GeV}^{-2}$$

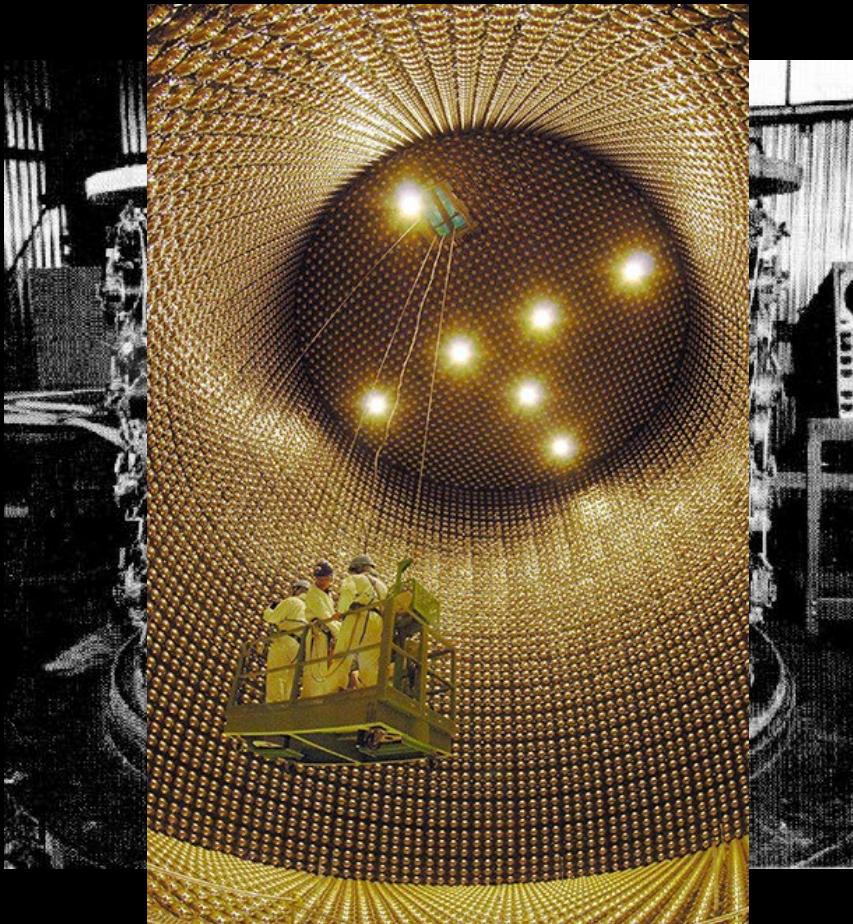


E. Fermi  
(Nobel 1938)

Nature did not publish his article: "contained speculations too remote from reality to be of interest to the reader..."



Reines & Cowan 1956



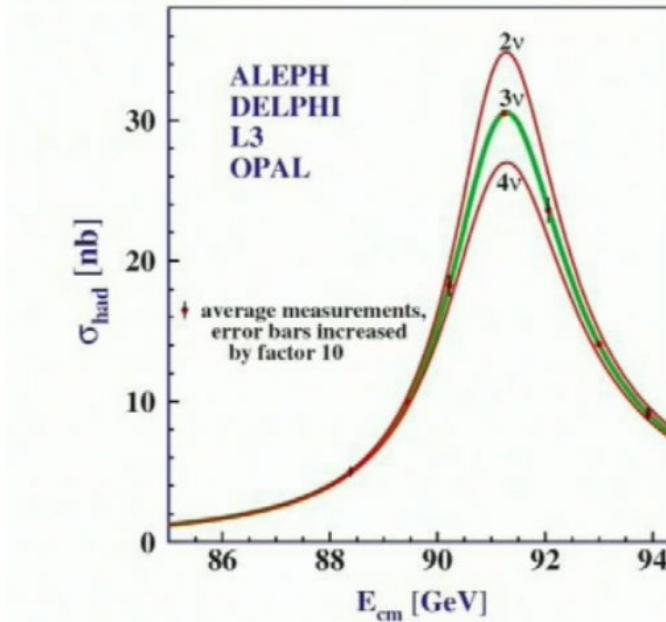
Not much has changed

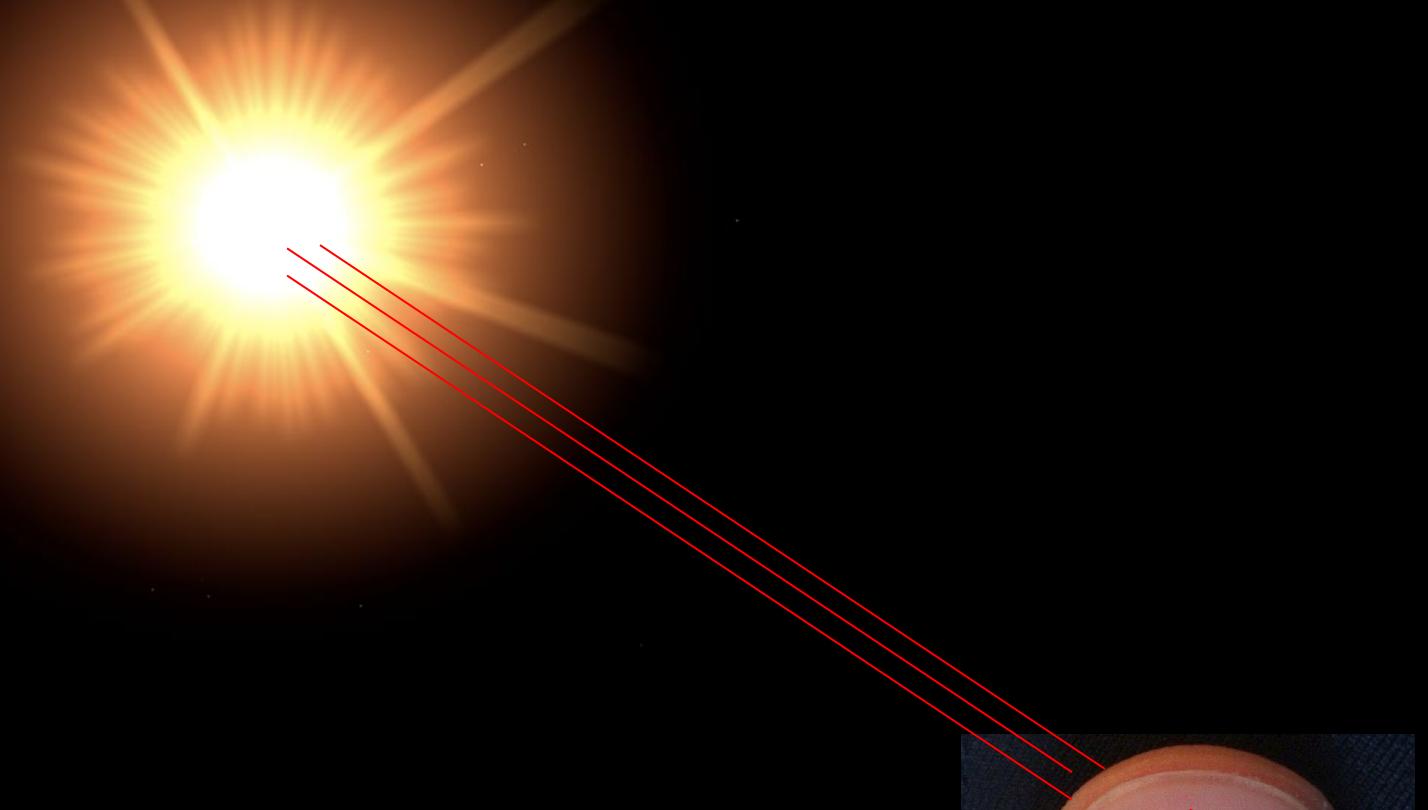
# What we know..

- There are 3 of them
- They have mass (since  $\sim 2000$ )
- They are everywhere.

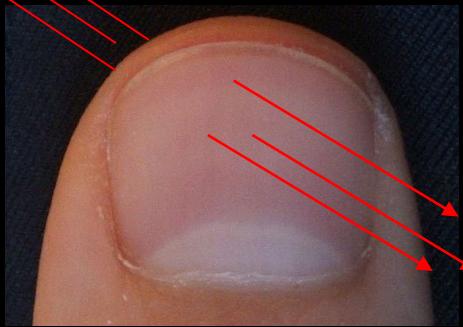
$$e^+ e^- \rightarrow Z^0 \rightarrow f\bar{f}$$

Only three neutrinos  $\rightarrow$  three SM families



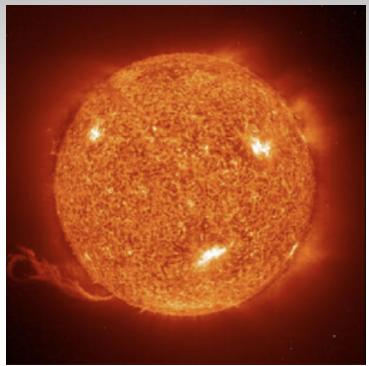


60 000 000 000 neutrinos per  $\text{cm}^2$  per second from the Sun

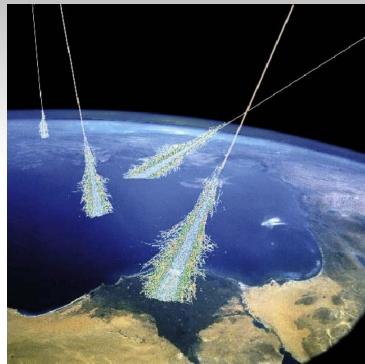


**Block of lead:  
1 in 10000 neutrinos absorbed**

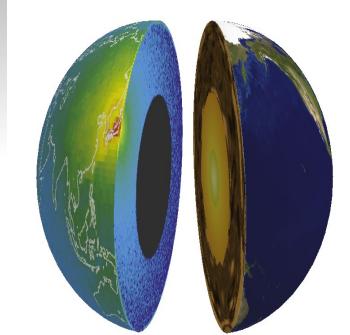




Sun  $5 \times 10^{10}/\text{s}$



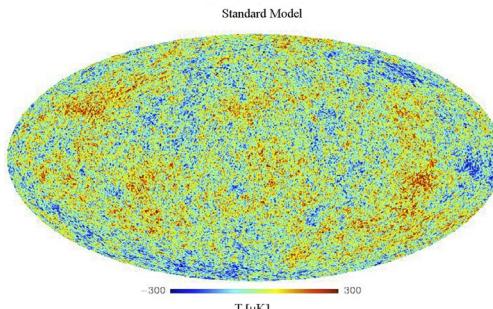
Atmospheric  $0.2 / \text{s}$



Earth  $10^7/\text{s}$



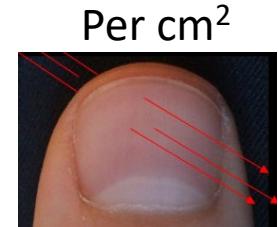
Reactor  $10^9/\text{s}$  (@100m)



Supernova 1987:  $\sim 10^{10}/\text{s}$



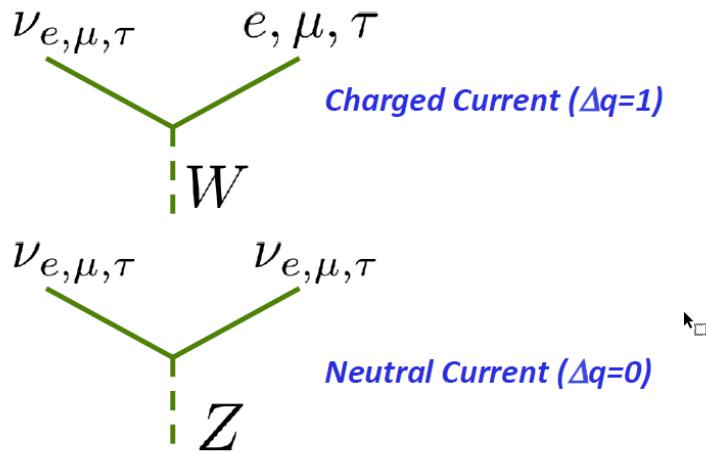
HE cosmic:  $10^{-8}/\text{s}$



Per cm<sup>2</sup>

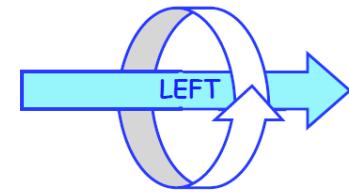
$$\begin{pmatrix} \nu_e \\ e \end{pmatrix} \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix} \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix} \leftarrow q = 0$$

$$\leftarrow q = -1$$



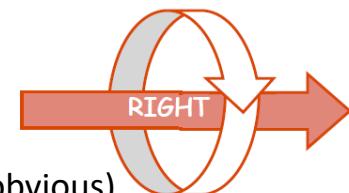
Neutrinos are created in a left-handed (LH) state

$\nu$



Anti-nus are created in a right-handed (RH) state  
(so the P part of CP is pretty obvious)

$\bar{\nu}$

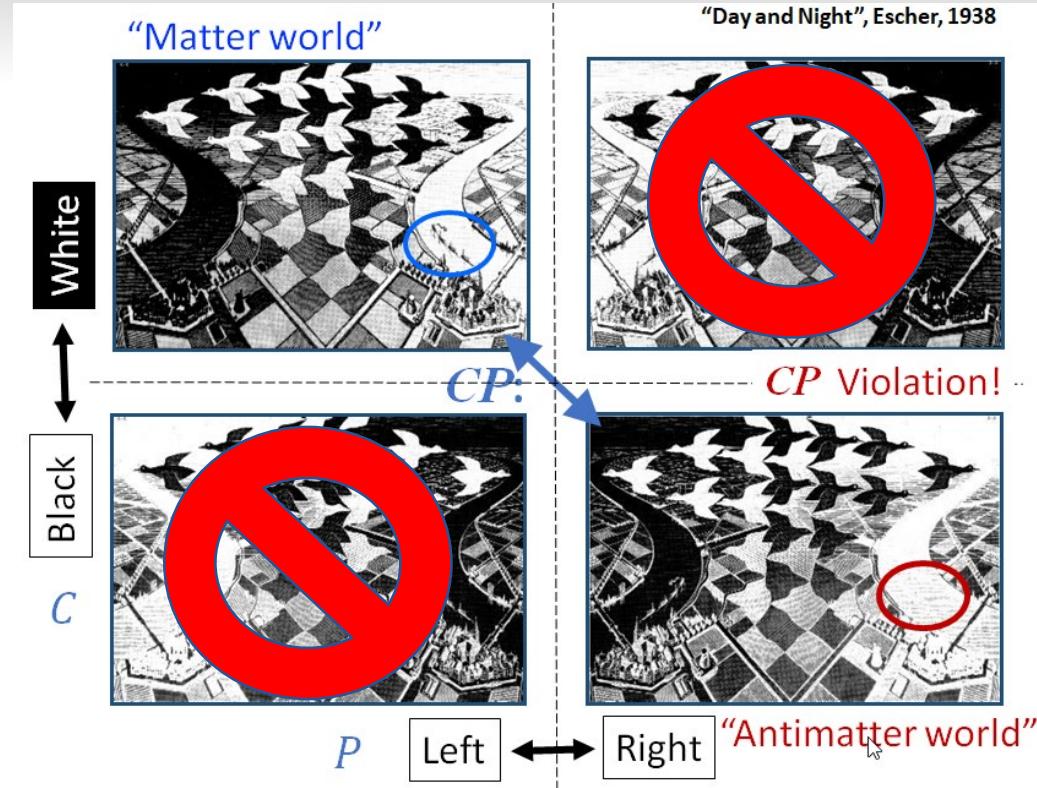


$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{PMNS}(\theta_{12}, \theta_{23}, \theta_{13}, \delta, \dots) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

With neutrinos (only weak interaction):

The P-mirrored version of a *neutrino does not exist.*

The question if the CP-mirrored Interactions are subtly different remains...



# mixing

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1} & 0 \\ 0 & 0 & e^{i\alpha_2} \end{pmatrix}}_{\text{Majorana phases}}$$

$c_{ij} \equiv \cos \theta_{ij}$     $s_{ij} \equiv \sin \theta_{ij}$

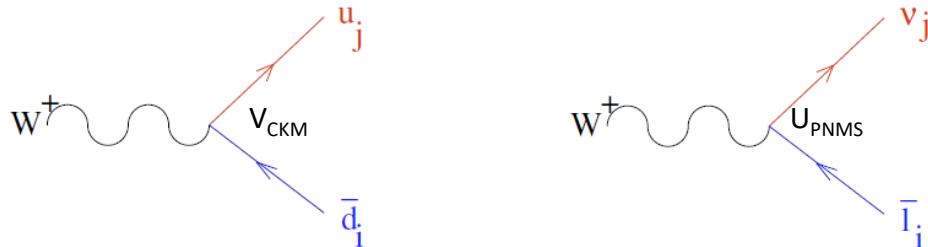
$$\begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{CP}} & c_{23}c_{13} \end{bmatrix}$$

$$UU^\dagger = 1 \quad U \rightarrow U^* \text{ for } \bar{\nu} \quad c_{ij} = \cos \theta_{ij} \quad s_{ij} = \sin \theta_{ij}$$

# mixing

$$\mathcal{L}_{SM} = \frac{g}{\sqrt{2}} \sum_{\alpha} \bar{\nu}_{\alpha} \gamma_{\mu} P_L l_{\alpha} W_{\mu}^{+}$$

$$\mathcal{L}_{CC}^{\text{lepton}} = -\frac{g}{\sqrt{2}} \bar{l}'_i \gamma_{\mu} P_L W_{\mu}^{+} \underbrace{(U_l^{\dagger} U_{\nu})_{ij}}_{U_{\text{PMNS}}} \nu'_j + \text{h.c.}$$



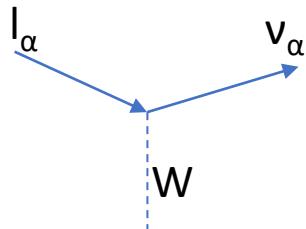
Expressed in mass-basis, the vertex would look just like the quarks.

..and you could do neutrino physics like that.

# oscillations

$$H(t)|\psi(t)\rangle = i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle$$

Neutrinos are treated as plane waves, with equal  $\mathbf{p}$ .  
More rigorous treatments available [1]



$$|\nu_\alpha(t_0)\rangle = \sum_i U_{\alpha i}^* |\nu_i(\mathbf{p})\rangle$$

Decompose into mass eigenstates

$$\hat{H}|\nu_i(\mathbf{p})\rangle = E_i(\mathbf{p})|\nu_i(\mathbf{p})\rangle, \quad E_i(\mathbf{p})^2 = \mathbf{p}^2 + m_i^2.$$

$$|\nu_\alpha(t)\rangle = e^{-i\hat{H}(t-t_0)}|\nu_\alpha(t_0)\rangle = \sum_i U_{\alpha i}^* e^{-iE_i(\mathbf{p})(t-t_0)} |\nu_i(\mathbf{p})\rangle.$$

True, but H  
is hard here

easy for  
mass eigenstates

Probability to find  $\nu_\beta$

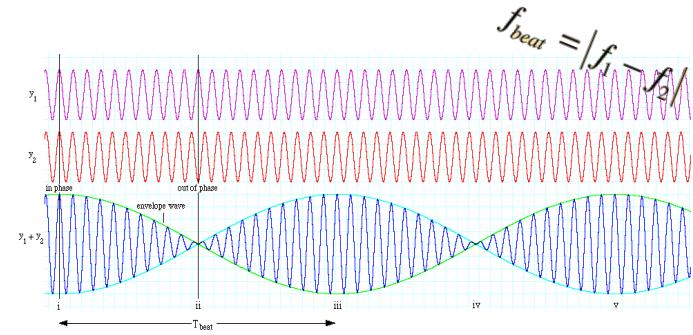
$$P(\nu_\alpha \rightarrow \nu_\beta)(t) = |\langle \nu_\beta | \nu_\alpha(t) \rangle|^2 = \left| \sum_i U_{\beta i} U_{\alpha i}^* e^{-iE_i(\mathbf{p})(t-t_0)} \right|^2$$

# oscillations

$$P(\nu_\alpha \rightarrow \nu_\beta)(t) = |\langle \nu_\beta | \nu_\alpha(t) \rangle|^2 = \left| \sum_i U_{\beta i} U_{\alpha i}^* e^{-i E_i(\mathbf{p})(t-t_0)} \right|^2$$

$$E_i(\mathbf{p}) - E_j(\mathbf{p}) \simeq \frac{1}{2} \frac{m_i^2 - m_j^2}{|\mathbf{p}|} + \mathcal{O}(m^4)$$

In vacuum, at  $E \gg m$

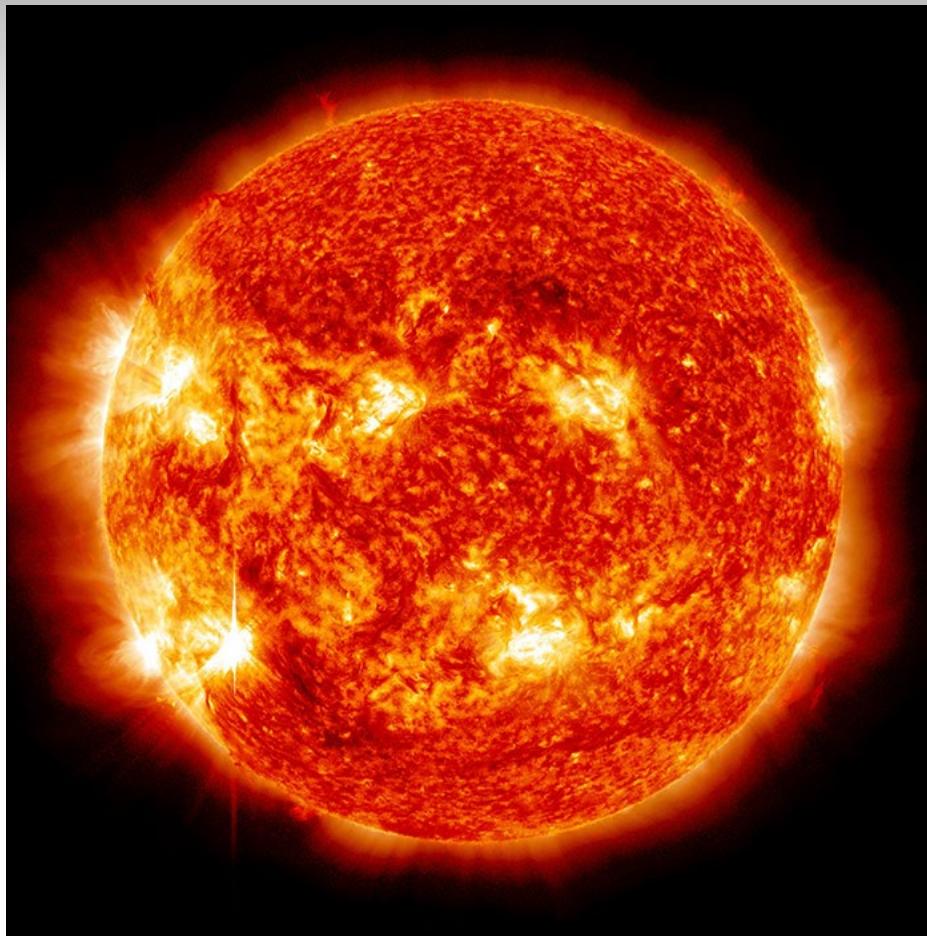


$$P(\nu_\alpha \rightarrow \nu_\beta) = \sum_{i,j} U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* e^{-i \frac{\Delta m_{ji}^2 L}{2|\mathbf{p}|}}$$

Two flavour case

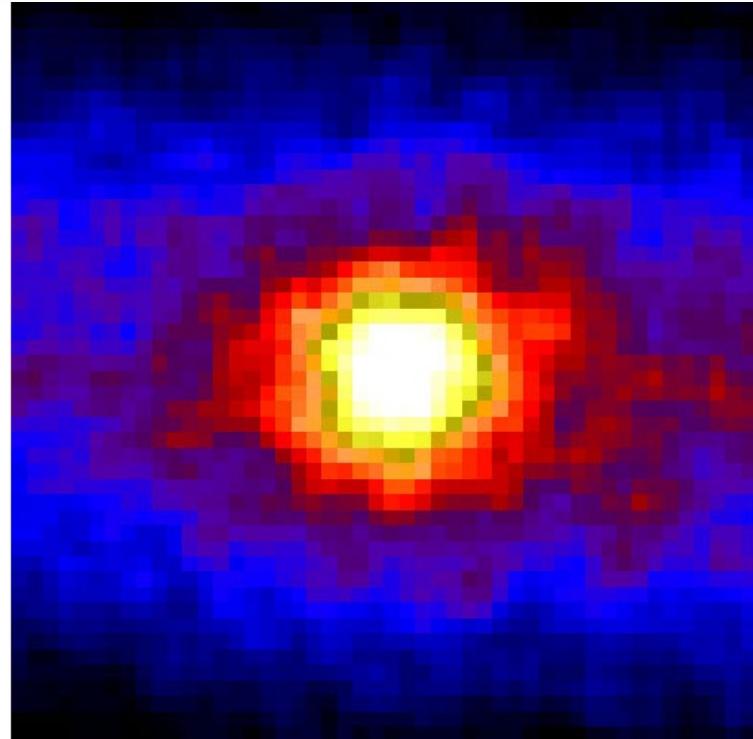
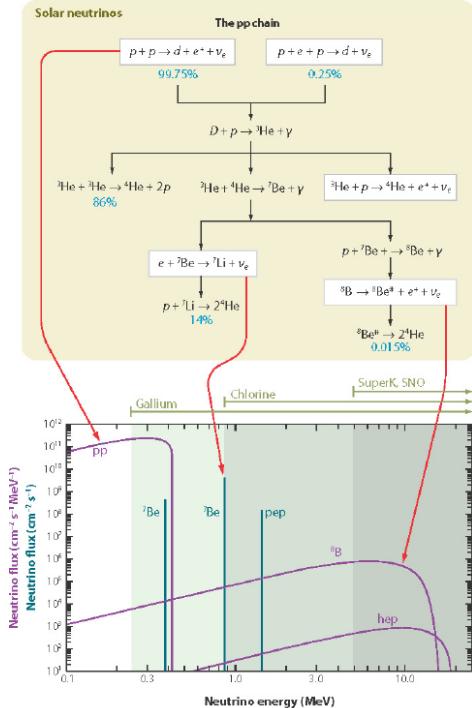
$$P_{\alpha \rightarrow \beta} = \sin^2(2\theta) \sin^2 \left( 1.267 \frac{\Delta m^2 / eV^2 \cdot L / km}{E_\nu / GeV} \right)$$

Always some combination of mixing angles, and oscillations with  $\Delta m^2 L/E$



# Why is the Sun hot?

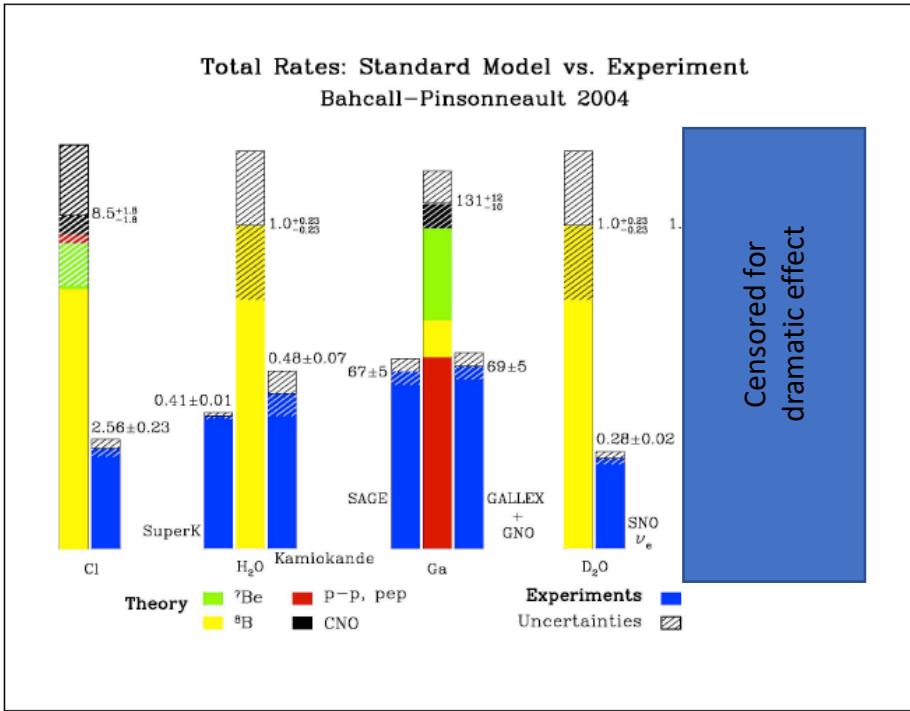
$$\nu_e + e^- \rightarrow \nu_e + e^-.$$



Not enough, though

# “Solar neutrino problem”

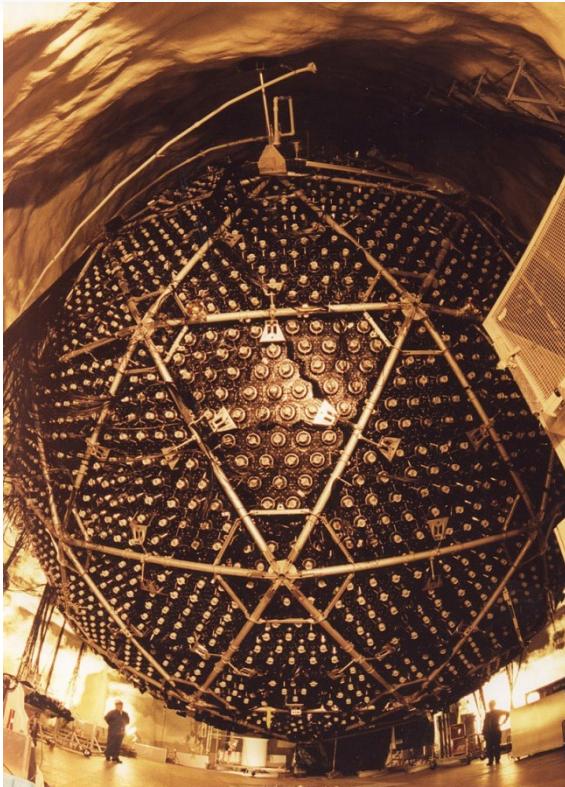
All CC-sensitive results indicated a  $\nu_e$  deficit...



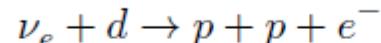
Only 30 to 50% of neutrinos from the Sun are detected! (as  $\nu_e$ )

- Okay, maybe neutrino oscillations, but maybe the Solar model is wrong.
- No direct proof of oscillations (also other exotic explanations)

# Solar neutrino problem (2001)

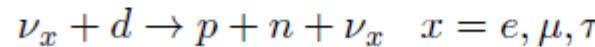


(CC)

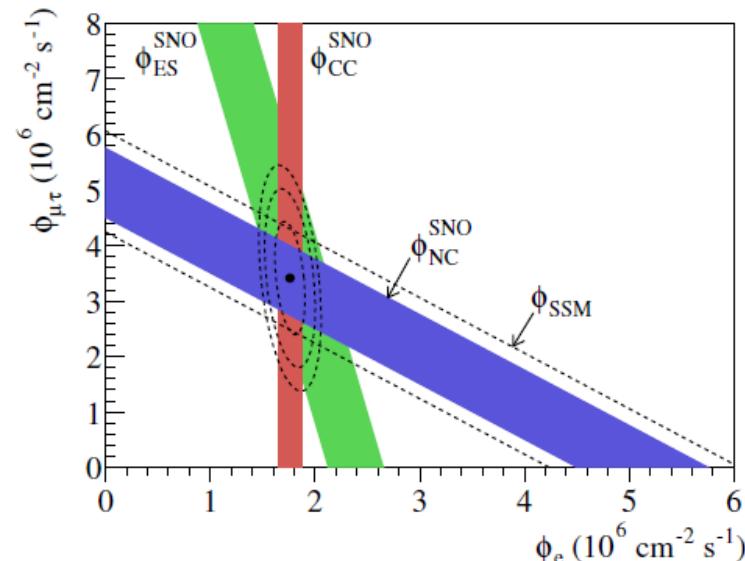


$$E_{\text{thres}} > 5 \text{ MeV}$$

(NC)

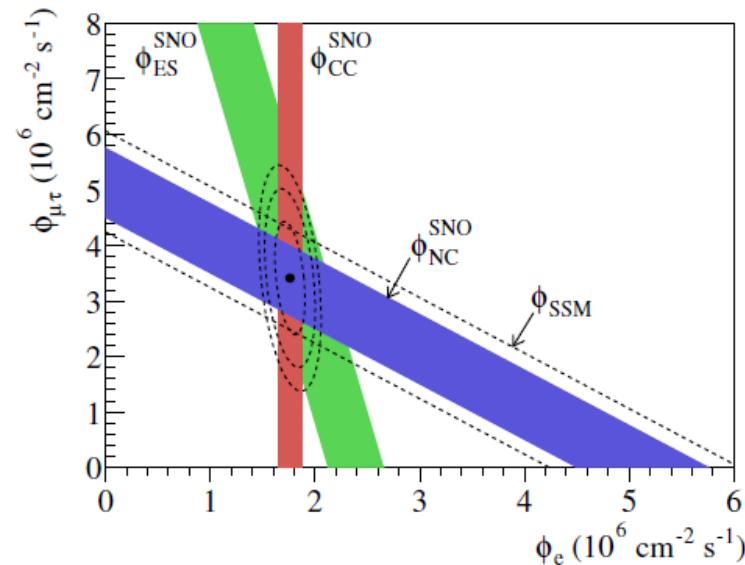
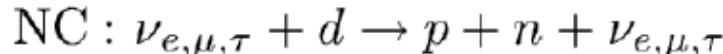
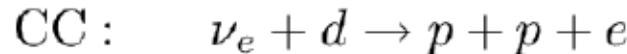


$$E_{\text{thres}} > 2.2 \text{ MeV}$$



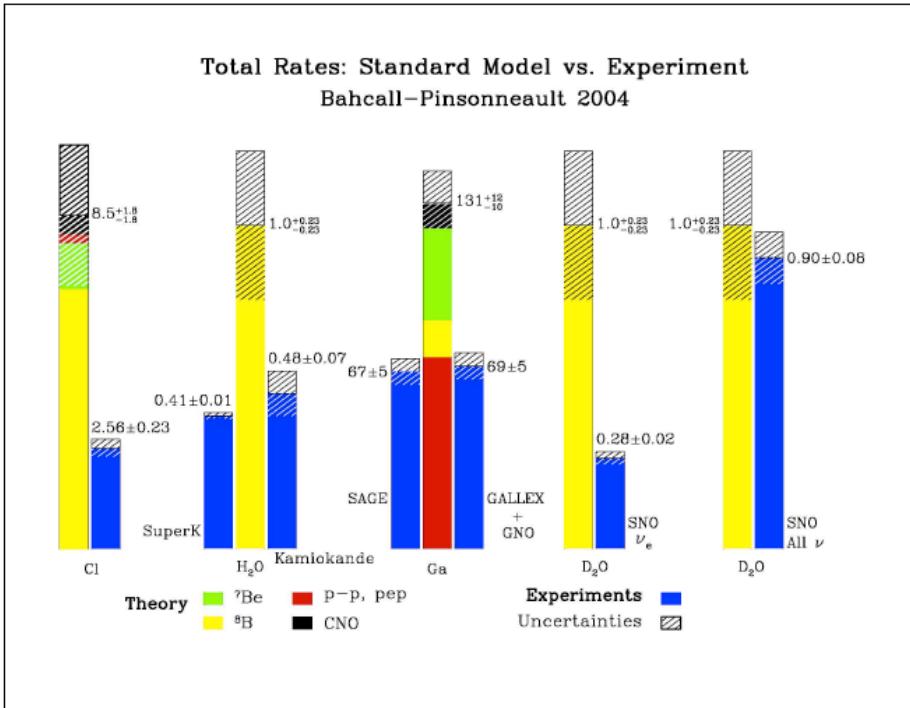
# Solar neutrino problem (2001)

- The sun shines in  $\nu_\tau$  and/or  $\nu_\mu$
- Total flux in perfect agreement with Solar model
- Definite proof of flavour change (oscillations)



# “Solar neutrino problem”

All CC-sensitive results indicated a  $\nu_e$  deficit...



All understood now

A photograph of a sunset over a calm body of water. Two silhouetted figures are seated in the foreground, facing the horizon. The sky is filled with warm orange and yellow hues from the setting sun, which is partially obscured by clouds. A small white arrow points from the text "Understood since 2001" towards the sun.

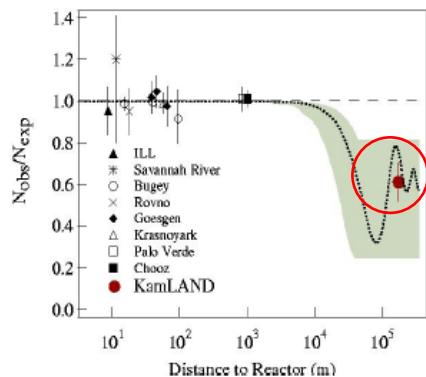
Understood since 2001



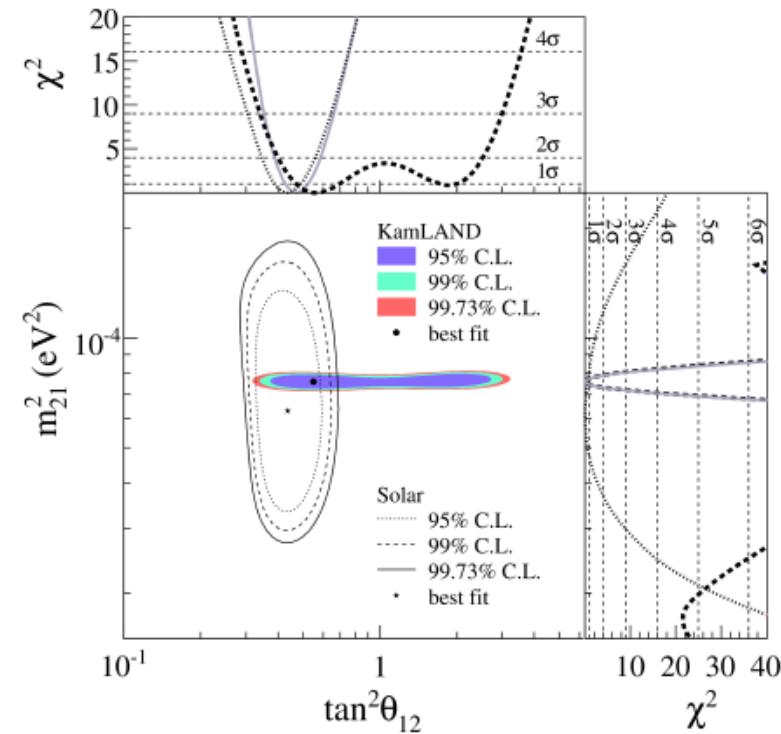
# reactors

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \simeq 1 - \sin^2(2\theta_{13}) \sin^2 \Delta - \cos^4 \theta_{13} \sin^2(2\theta_{12}) \sin^2(\alpha \Delta).$$

2002: electron flavor disappearance observed



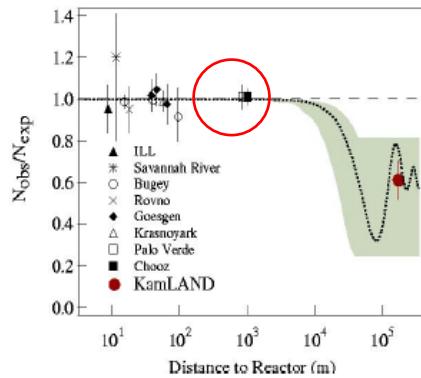
- $\theta_{13}$  is small
- At large distance 2<sup>nd</sup> term kicks in ( $\alpha=0.03$ )
- Kamland @  $\sim 100$  km



# reactors

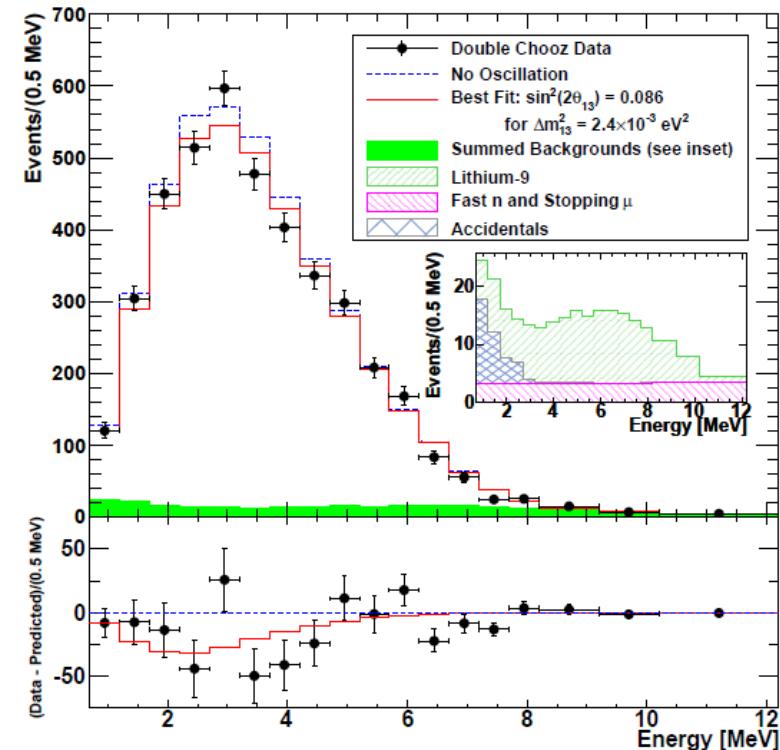
$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \simeq 1 - \sin^2(2\theta_{13}) \sin^2 \Delta - \cos^4 \theta_{13} \sin^2(2\theta_{12}) \sin^2(\alpha \Delta).$$

2002: electron flavor disappearance observed



$\theta_{13} > 0 !$

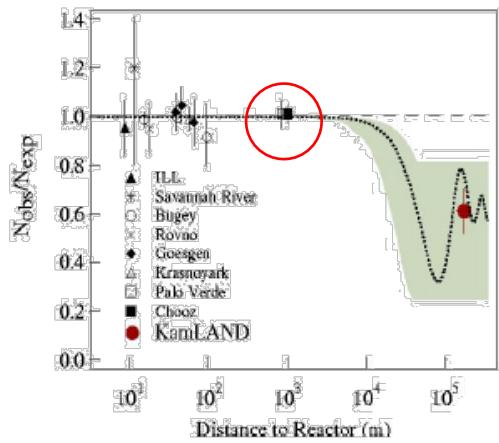
- $\theta_{13}$  is small
- At large distance 2<sup>nd</sup> term kicks in ( $\alpha=0.03$ )
- Kamland @  $\sim 100$  km
- Double Chooz @ 1 km



# At smaller distances..

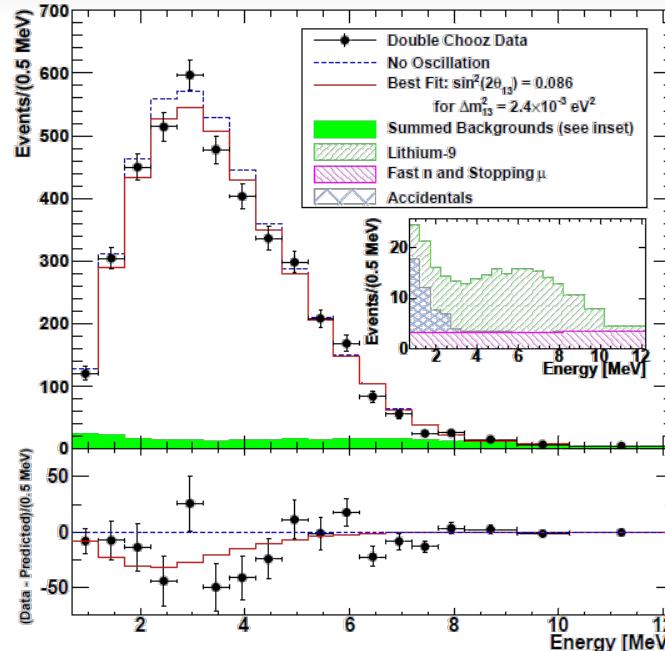
$\theta_{13} > 0 !$

2002: electron flavor disappearance observed

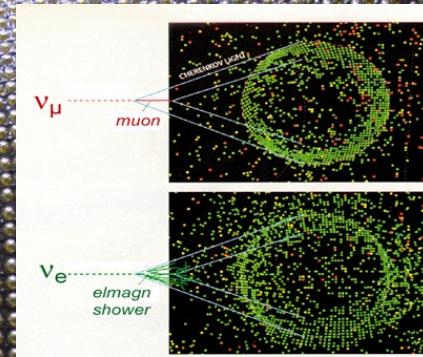
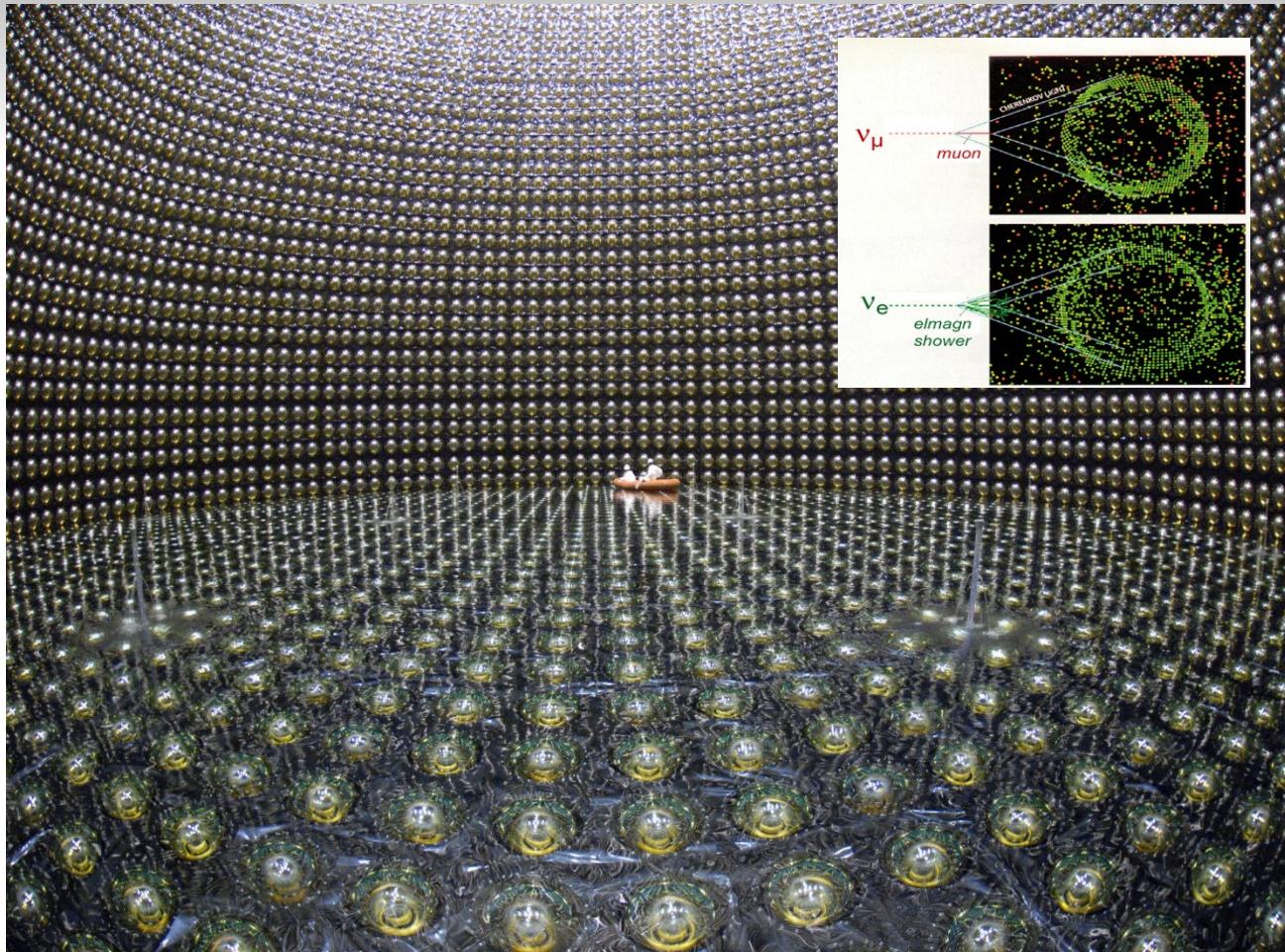


$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \simeq 1 - \sin^2(2\theta_{13}) \sin^2 \Delta - \cos^4 \theta_{13} \sin^2(2\theta_{12}) \sin^2(\alpha \Delta).$$

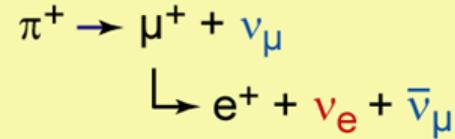
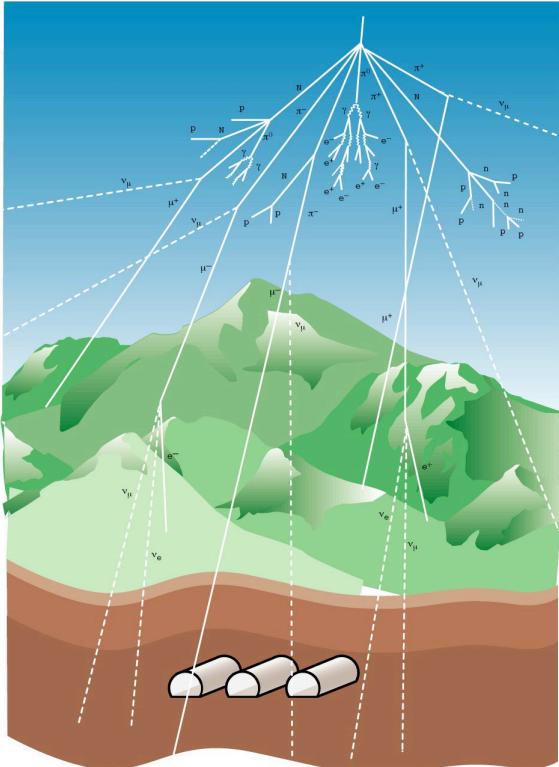
(1<sup>st</sup> term dominant)







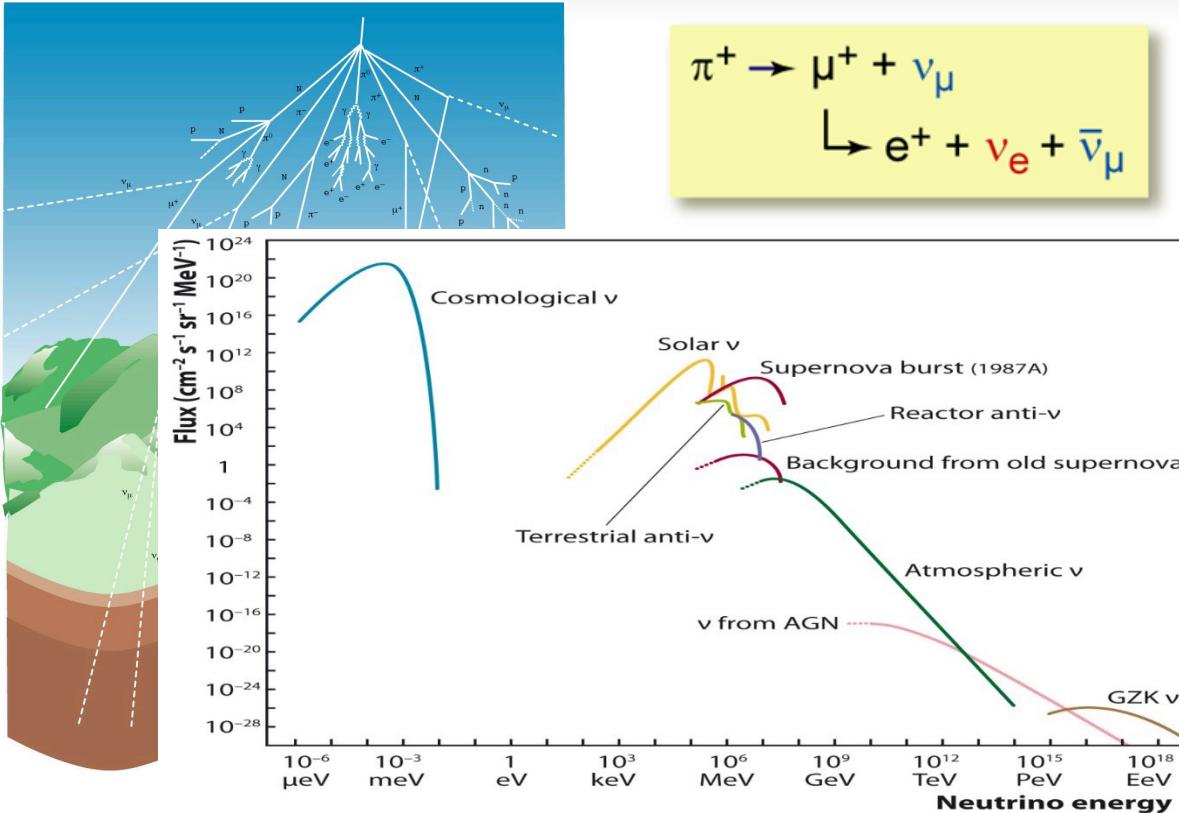
# Atmospheric neutrinos



also:  $\pi^- \mu^- e^-$  decay chain  
decays of kaons  $K^+, K^-$

flavour ratio  $\nu_\mu : \nu_e = 2 : 1$

# Atmospheric neutrinos



# Evidence neutrino oscillations

Muon-neutrinos  
are ‘disappearing’  
At low energies.

Electron neutrinos  
as expected.

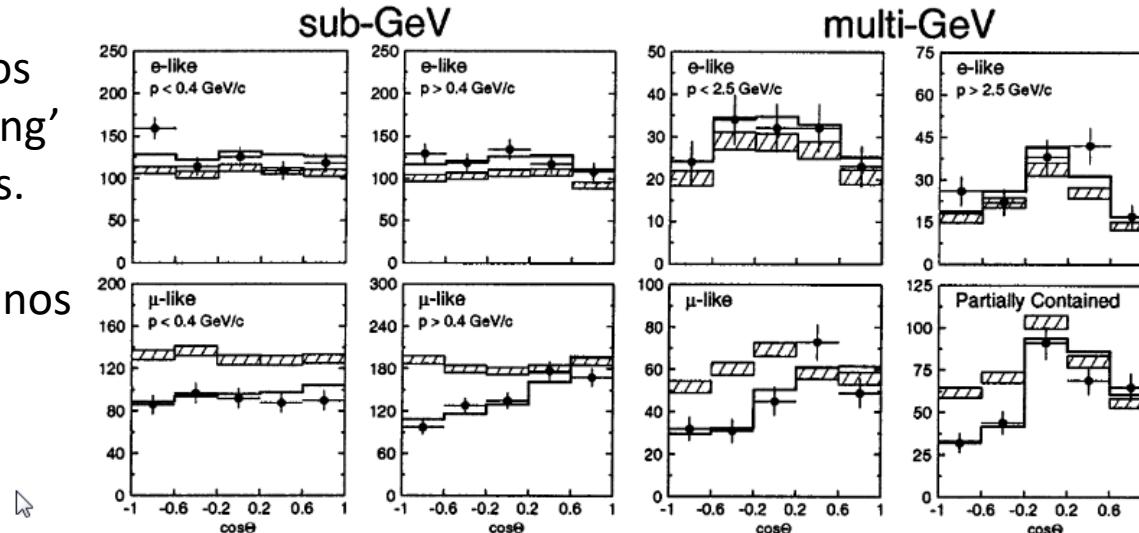
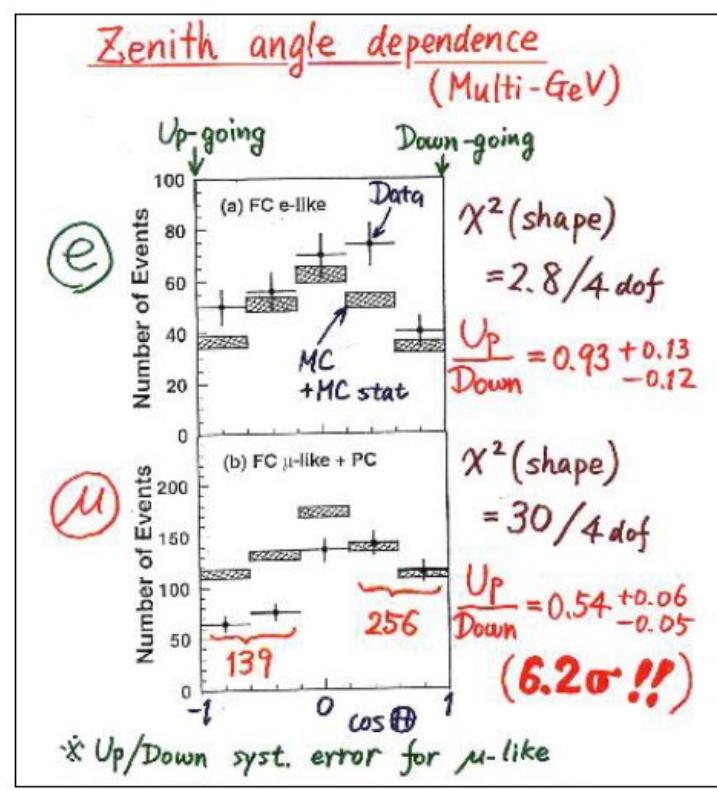
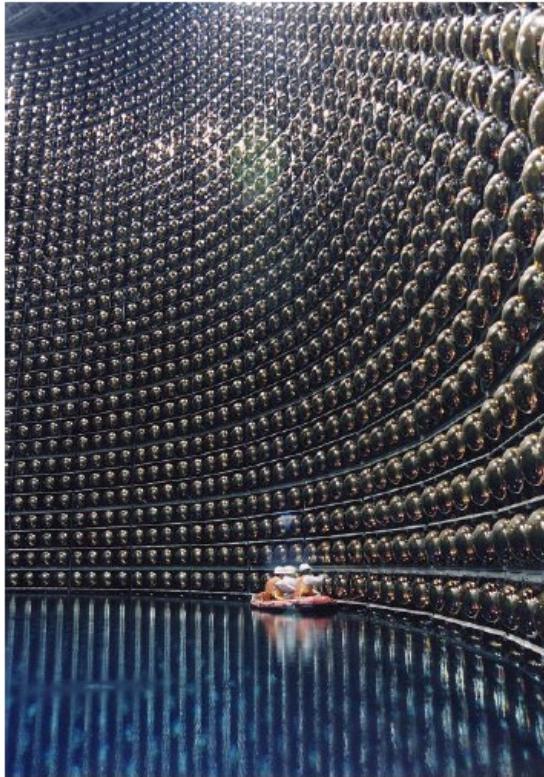


FIG. 3. Zenith angle distributions of  $\mu$ -like and  $e$ -like events for sub-GeV and multi-GeV data sets. Upward-going particles have  $\cos \Theta < 0$  and downward-going particles have  $\cos \Theta > 0$ . Sub-GeV data are shown separately for  $p < 400$  MeV/c and  $p > 400$  MeV/c. Multi-GeV  $e$ -like distributions are shown for  $p < 2.5$  and  $p > 2.5$  GeV/c and the multi-GeV  $\mu$ -like are shown separately for FC and PC events. The hatched region shows the Monte Carlo expectation for no oscillations normalized to the data live time with statistical errors. The bold line is the best-fit expectation for  $\nu_\mu \leftrightarrow \nu_\tau$  oscillations with the overall flux normalization fitted as a free parameter.

E\

Muon-ne  
are 'disap'  
At low en

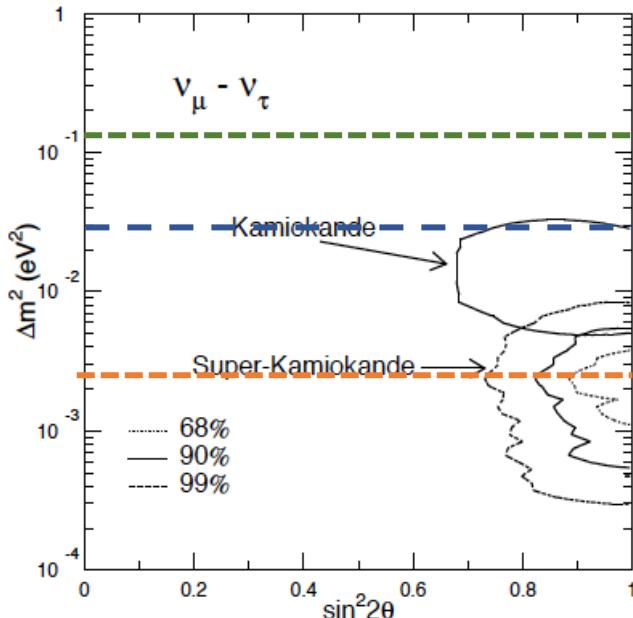
Electron r  
as expcte



(T. Kajita at Neutrino '98, Takayama)

# One-mass-scale approximation

$$P_{\mu\tau} \approx \sin^2(2\theta_{23}) \sin^2(\Delta m^2 L / 4E)$$



This is now called, ‘the large  $\Delta m^2$ ’  
The atmospheric  $\Delta m^2$ , or  
 $\Delta m^2_{31} \approx \Delta m^2_{32}$

Corresponding mixing angle: atmospheric  
mixing angle, or  $\Theta_{23}$

Plot from 1998. Guess the current  
Best-fit value... red, blue or green?

# Oscillations



The Nobel Prize in Physics 2015  
Takaaki Kajita, Arthur B. McDonald

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## The Nobel Prize in Physics 2015

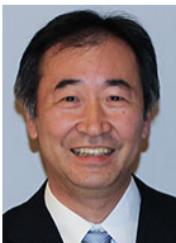


Photo © Takaaki Kajita

Takaaki Kajita

Prize share: 1/2

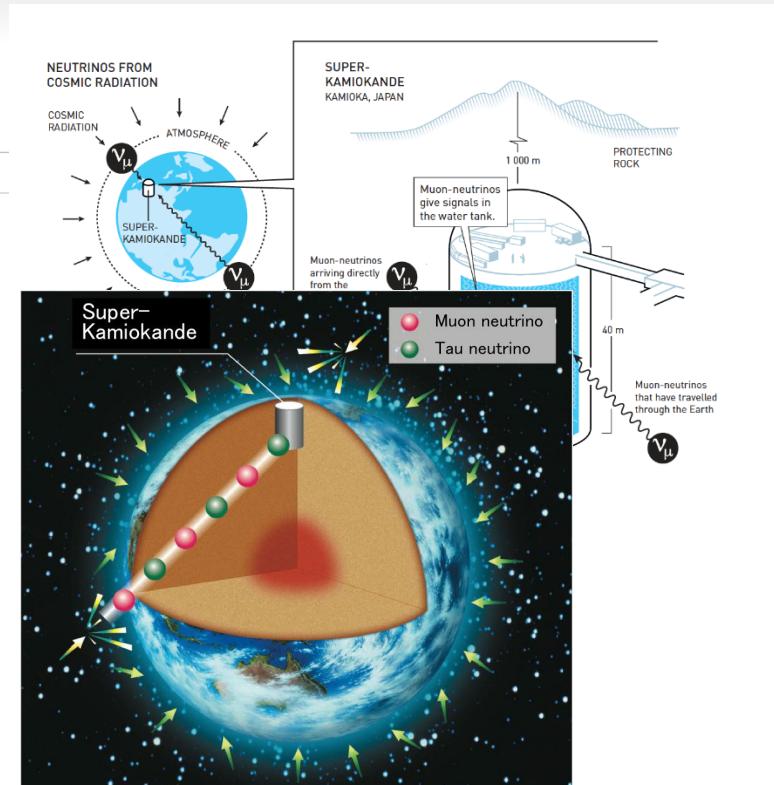


Photo: K. McFarlane,  
Queen's University  
/SNOLAB

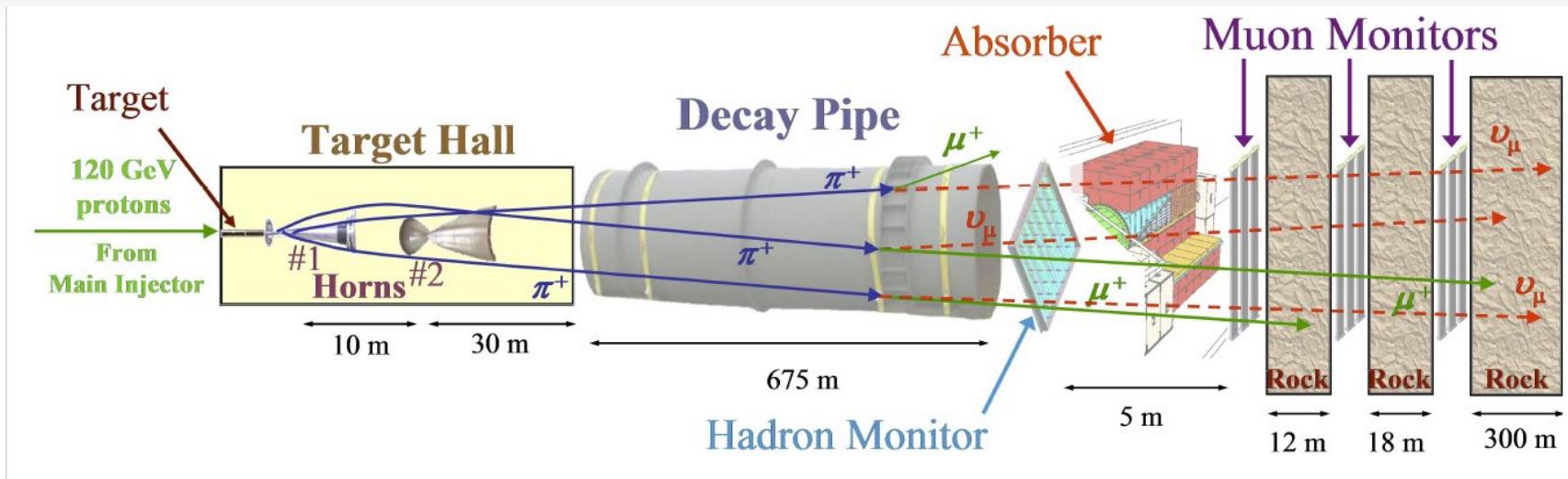
Arthur B. McDonald

Prize share: 1/2

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald "for the discovery of neutrino oscillations, which shows that neutrinos have mass"

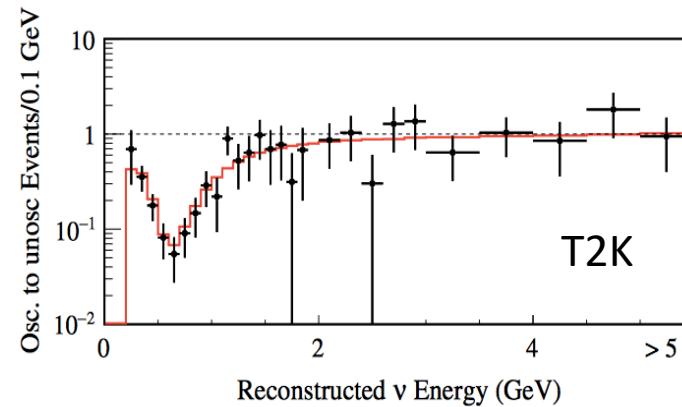
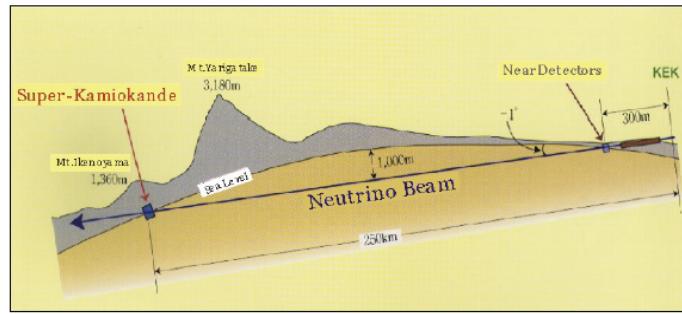
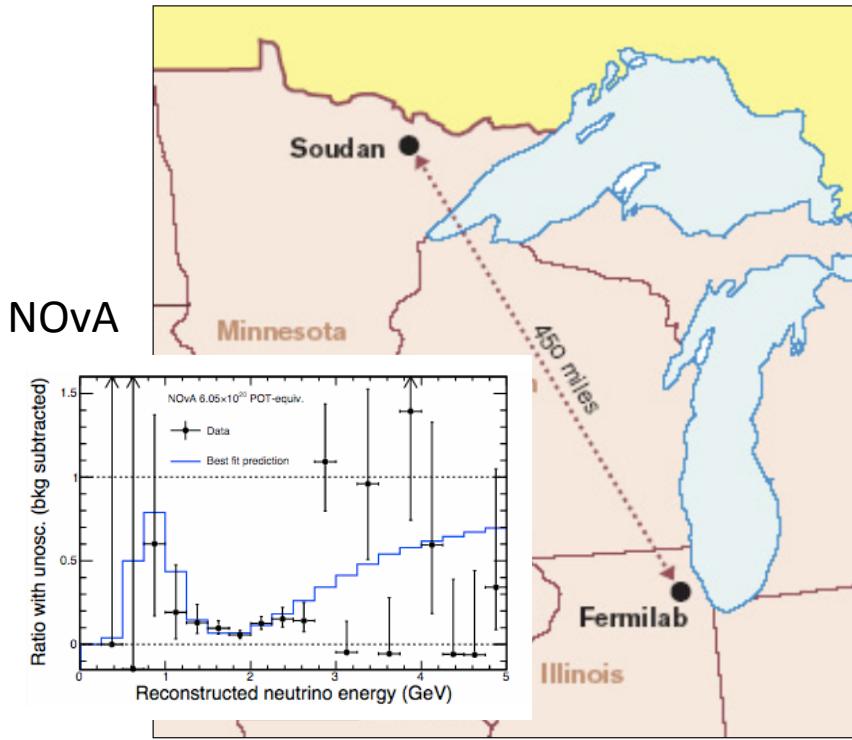


# Confirming ‘atmospheric’ mixing

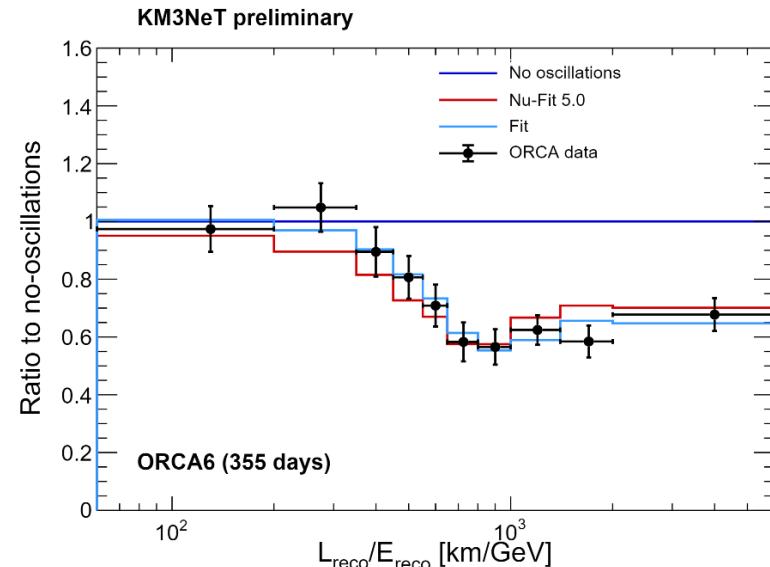
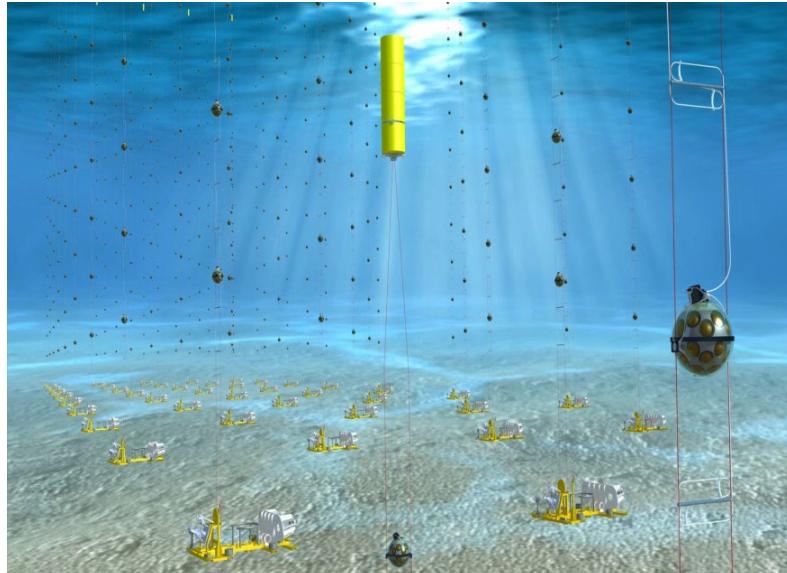


# Confirming ‘atmospheric’ mixing

“Reproducing atmospheric  $\nu_\mu$  physics” in controlled conditions

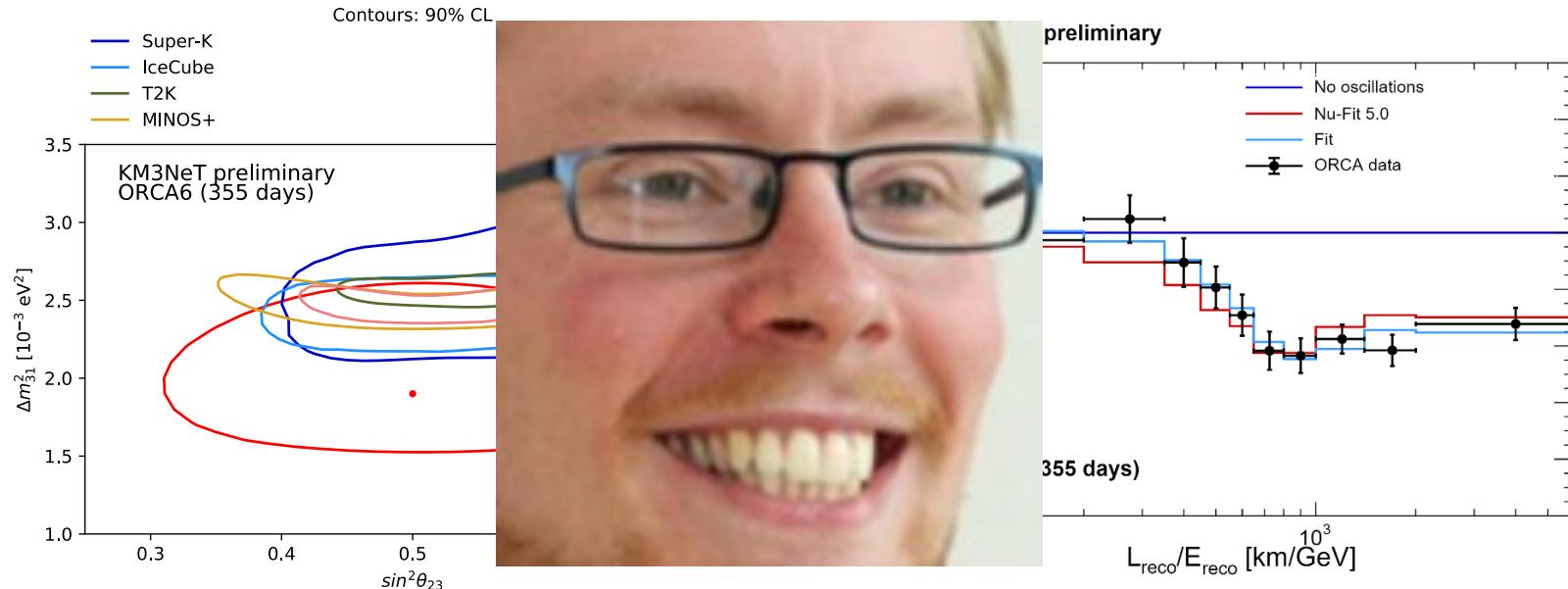


# ... most recent to join the game I think



6 lines of the KM3NeT/ORCA detector running for 1 year  
(completed detector will have 115 lines)

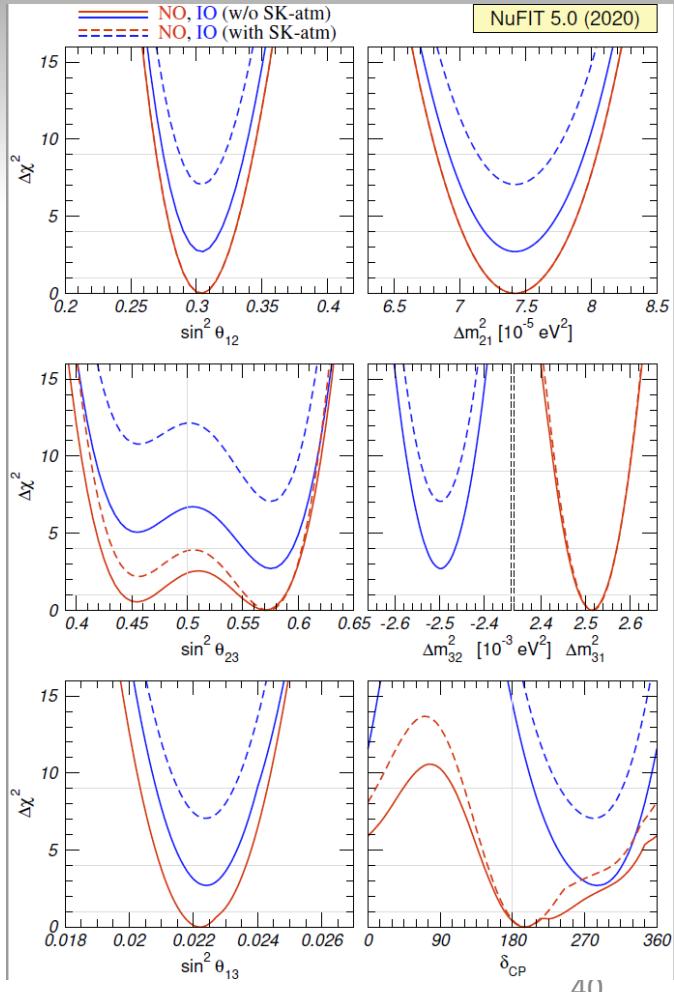
# ... most recent to join the game I think



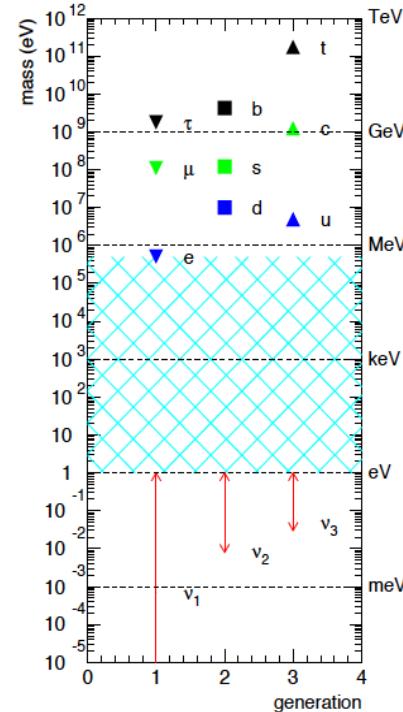
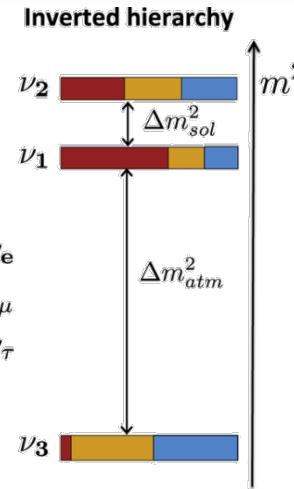
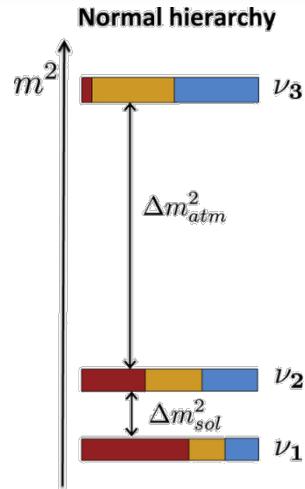
6 lines of the KM3NeT/ORCA detector running for 1 year  
(completed detector will have 115 lines)

# Global fits..

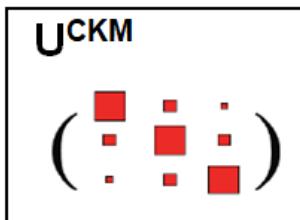
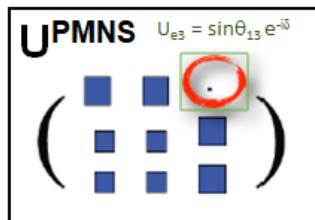
with SK atmospheric data		Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 7.1$ )	
		bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
$\sin^2 \theta_{12}$		$0.304^{+0.012}_{-0.012}$	$0.269 \rightarrow 0.343$	$0.304^{+0.013}_{-0.012}$	$0.269 \rightarrow 0.343$
$\theta_{12}/^\circ$		$33.44^{+0.77}_{-0.74}$	$31.27 \rightarrow 35.86$	$33.45^{+0.78}_{-0.75}$	$31.27 \rightarrow 35.87$
$\sin^2 \theta_{23}$		$0.573^{+0.016}_{-0.020}$	$0.415 \rightarrow 0.616$	$0.575^{+0.016}_{-0.019}$	$0.419 \rightarrow 0.617$
$\theta_{23}/^\circ$		$49.2^{+0.9}_{-1.2}$	$40.1 \rightarrow 51.7$	$49.3^{+0.9}_{-1.1}$	$40.3 \rightarrow 51.8$
$\sin^2 \theta_{13}$		$0.02219^{+0.00062}_{-0.00063}$	$0.02032 \rightarrow 0.02410$	$0.02238^{+0.00063}_{-0.00062}$	$0.02052 \rightarrow 0.02428$
$\theta_{13}/^\circ$		$8.57^{+0.12}_{-0.12}$	$8.20 \rightarrow 8.93$	$8.60^{+0.12}_{-0.12}$	$8.24 \rightarrow 8.96$
$\delta_{CP}/^\circ$		$197^{+27}_{-24}$	$120 \rightarrow 369$	$282^{+26}_{-30}$	$193 \rightarrow 352$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$		$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$		$+2.517^{+0.026}_{-0.028}$	$+2.435 \rightarrow +2.598$	$-2.498^{+0.028}_{-0.028}$	$-2.581 \rightarrow -2.414$



# Conclusions so far..



- All mixing angles are non-zero
  - Each flavour contributes to each mass eigenstate
  - Very different from quarks
- At least two of the masses are non-zero. But tiny wrt quarks
- We know the sign of  $\Delta m_{12}$
- What about CP?



# What about CP? (i.e. the supposed topic of this lecture ;)

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{j>i} \text{Re}[W_{\alpha\beta}^{ij}] \sin^2\left(\frac{\Delta m_{ij}^2 L}{4E_\nu}\right)$$

- 2<sup>nd</sup> term above flips sign.  $\xrightarrow{\text{Im}} 2 \sum_{j>i} \text{Im}[W_{\alpha\beta}^{ij}] \sin\left(\frac{\Delta m_{ij}^2 L}{2E_\nu}\right)$ ,  $W_{\alpha\beta}^{ij} \equiv [U_{\alpha i} U_{\beta i}^* U_{\alpha j}^* U_{\beta j}]$
- Only CP if  $\text{Im} \neq 0 \rightarrow \delta \neq 0$

$$A_{\alpha\beta}^{CP} \equiv \frac{P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)}{P(\nu_\alpha \rightarrow \nu_\beta) + P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)}$$

$$A_{\nu_\alpha \nu_\beta}^{\text{CP(T)-odd}} = \frac{\underbrace{\sin \delta c_{13} \sin 2\theta_{13} \sin 2\theta_{12} \frac{\Delta m_{12}^2 L}{4E_\nu}}_{\text{solar}} \underbrace{\sin 2\theta_{23} \sin^2 \frac{\Delta m_{13}^2 L}{4E_\nu}}_{\text{atmos}}}{P_{\nu_\alpha \nu_\beta}^{\text{CP-even}}}.$$

# What about CP?

(i.e. the supposed topic of this lecture ;)

It was not a-priori obvious CP would be observable.

$\delta$  must not be zero\*  
(and not 180 deg)

$\Theta_{13}$  must not be zero  
(okay since  $\sim 2012!$ )  
..in fact no angle may be 0  
And you need 3 generations, by the way!

must measure in both L/E regimes.  
(both  $\Delta m^2$  must be non-zero)

$$A_{\nu_\alpha \nu_\beta}^{\text{CP(T)-odd}} = \frac{\sin \delta c_{13} \sin 2\theta_{13} \sin 2\theta_{12} \frac{\Delta m_{12}^2 L}{4E_\nu} \sin 2\theta_{23} \sin^2 \frac{\Delta m_{13}^2 L}{4E_\nu}}{P_{\nu_\alpha \nu_\beta}^{\text{CP-even}}}.$$

$\alpha \neq \beta$



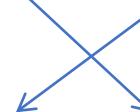
It does not depend on the flavours.

\* I guess that's why they call it the cp-phase....

# Interesting factoid

You can't have CP violation in neutrino disappearance experiments.

CP violation :  $P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$



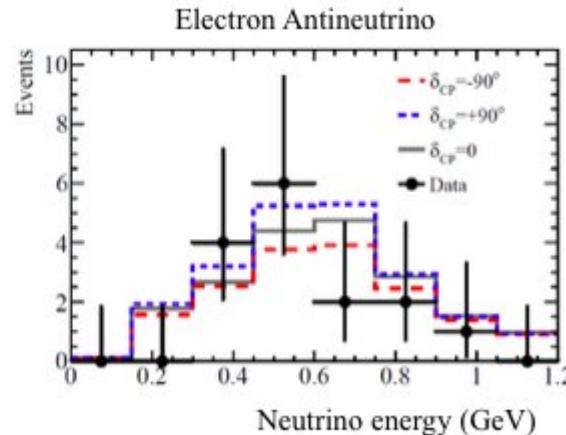
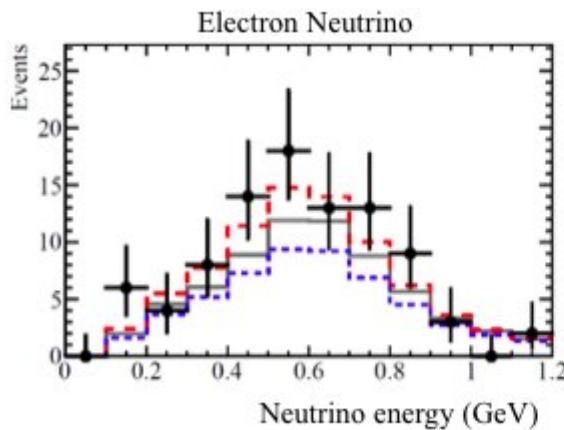
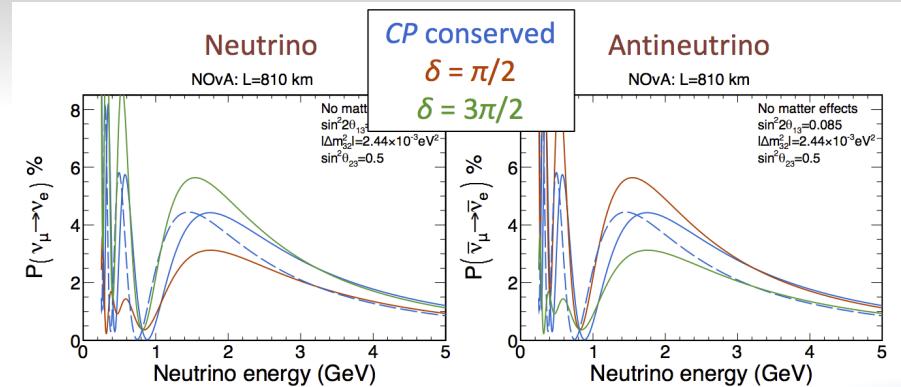
But CPT conservation says :  $P(\nu_\alpha \rightarrow \nu_\beta) = P(\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha)$

If  $\beta=\alpha$ , this is a contradiction.

In other words : disappearance probability is the same when you run it backwards, and therefore it must also be the same under CP.

# Measure $\delta$

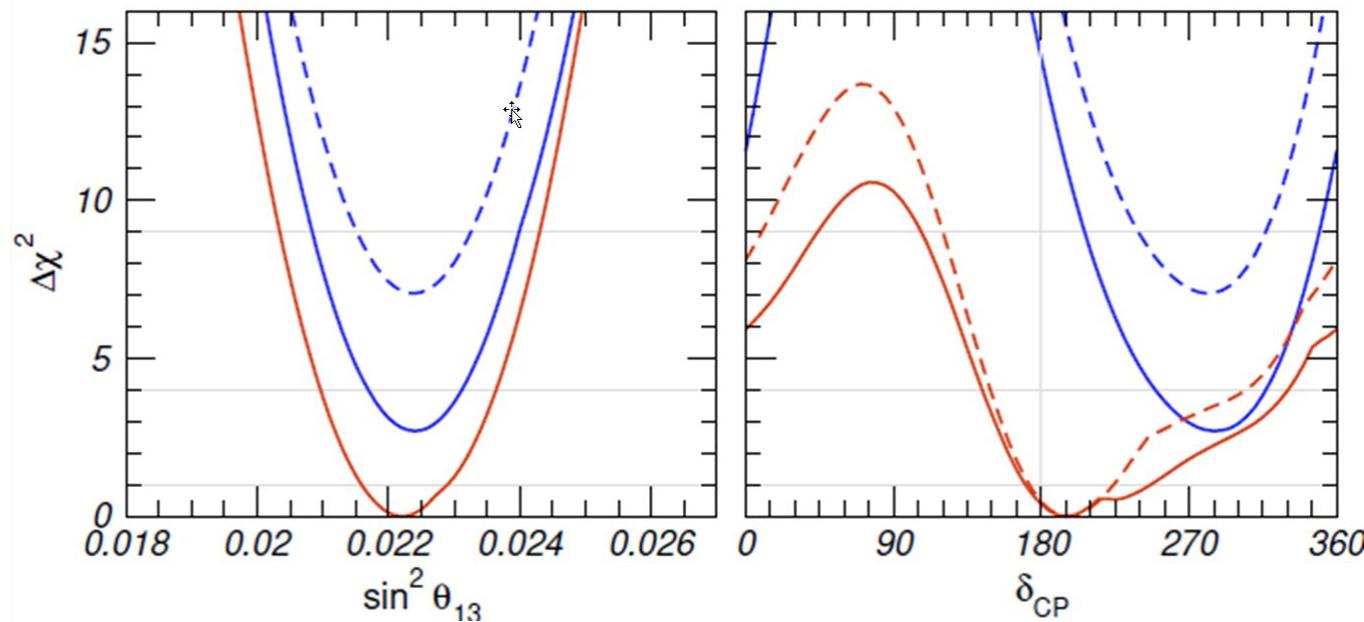
$$P_{\nu_e \nu_\mu}(\bar{\nu}_e \bar{\nu}_\mu) = s_{23}^2 \sin^2 2\theta_{13} \left( \frac{\Delta_{13}}{B_\pm} \right)^2 \sin^2 \left( \frac{B_\pm L}{2} \right) + c_{23}^2 \sin^2 2\theta_{12} \left( \frac{\Delta_{12}}{A} \right)^2 \sin^2 \left( \frac{AL}{2} \right) + \tilde{J} \frac{\Delta_{12}}{A} \sin \left( \frac{AL}{2} \right) \frac{\Delta_{13}}{B_\pm} \sin \left( \frac{B_\pm L}{2} \right) \cos \left( \pm\delta - \frac{\Delta_{13} L}{2} \right),$$



As example, data from T2K.  
(NOvA also in this game)

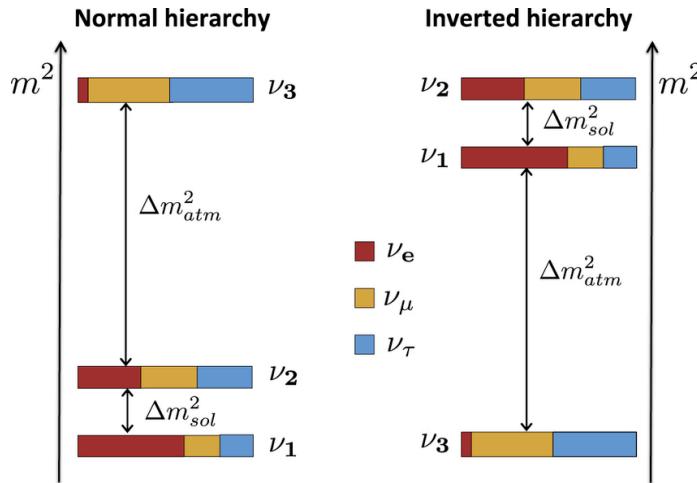
# Global fit (nufit 5.0),

NO, IO (w/o SK-atm)  
 NO, IO (with SK-atm)

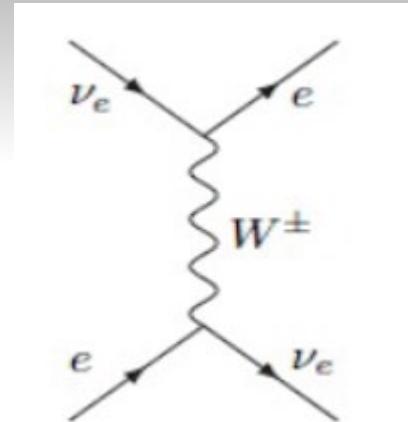


Hint for non-zero  $\delta$ , and if IO, then hint for maximal CP.  
..but significance limited... and would be nice to know the mass-ordering.

# Mass ordering



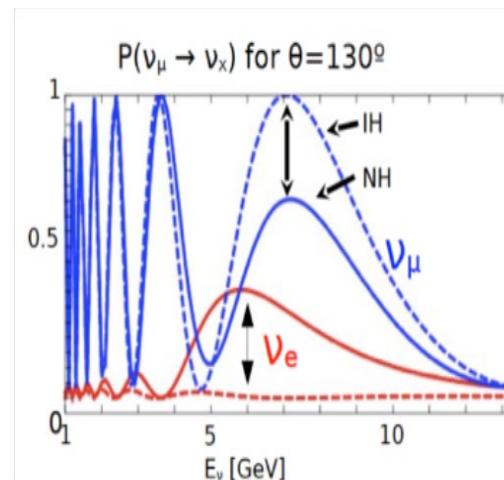
Nb: these matter effects must also  
Be taken into account in the cp-measurements



Matter effects:

The Earth is full of electrons (but no muons or taus).

The electron-



Large effect on oscillations

# How to compute

$$i \frac{\partial}{\partial t} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix} = \mathcal{H}_m(\rho) \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

$$\mathcal{H}_m(\rho) = \begin{bmatrix} E_1 & & \\ & E_2 & \\ & & E_3 \end{bmatrix} + \mathbf{U}^T \begin{bmatrix} A(\rho) & & \\ & 0 & \\ & & 0 \end{bmatrix} \mathbf{U}$$

$$\begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}_{\text{end}} \equiv \mathbf{T} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}_{\text{start}} = e^{-i\mathcal{H}_m L} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}_{\text{start}}$$

for traversing the full Earth:

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix}_{\text{det}} = \mathbf{U} \mathbf{T}_n \mathbf{T}_{n-1} \dots \mathbf{T}_1 \mathbf{U}^T \begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix}_{\text{atm}}$$

square to get probability

Exponentiate complex matrix...

Typically via diagonalization

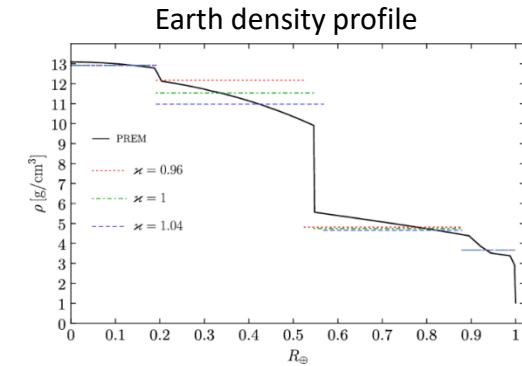
(i.e. find the “effective-mass” eigenstates)

Or some other numerical tool.

- Schrödinger equation for the matter wavefunction
- Free particle basis. Matter contribution (density dependent) simple in flavour basis

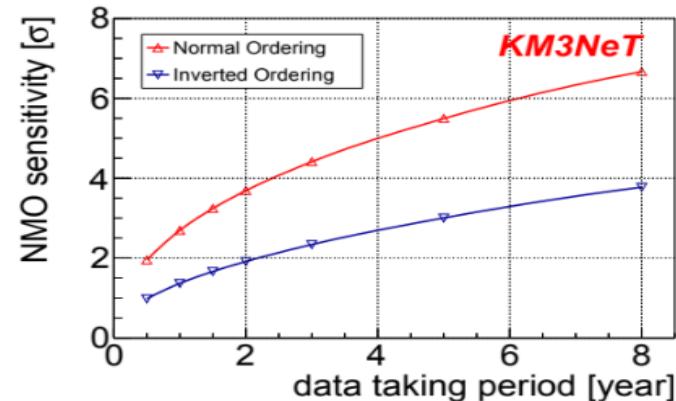
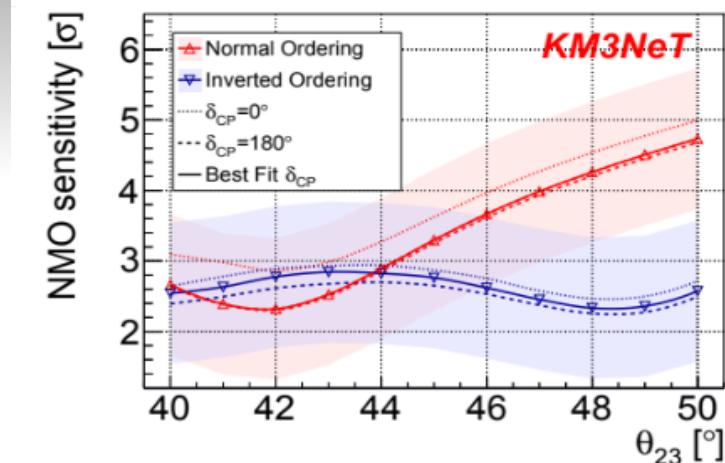
- Propagate states through a layer of constant density (nb: matrix exponentiation)

- Many small layers of constant density. En transform to flavour basis at beginning and end.

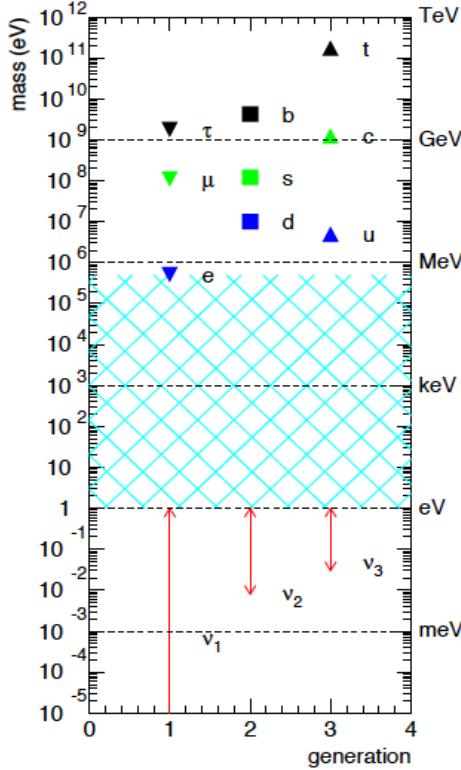


# KM3NeT/ORCA

May definitely measure the mass ordering  
(if we build it fast enough)



# Neutrinos vs Quarks



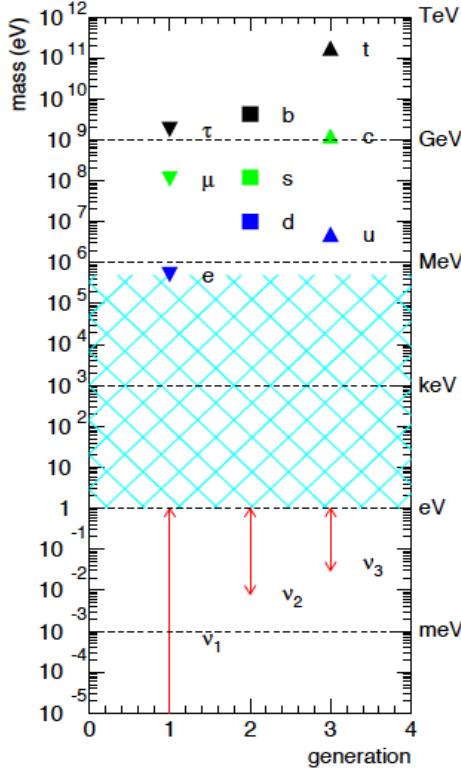
Question for the audience  
(during the break)

*Is it reasonable to think neutrinos  
are massive for the same  
reason as quarks & charged leptons?*



Can neutrinos explain *everything*?  
(besides CMB photons)

# Neutrinos vs Quarks



- Is it reasonable to think neutrinos are massive for the same reason as quarks & charged leptons?

# Adding neutrino masses to SM

Yukawa coupling      Higgs

$$-\mathcal{L}_m^{Dirac} = \bar{L} \lambda \tilde{\Phi} \nu_R + \text{h.c.}$$

$L = (\nu \ l)$  is the lepton doublet

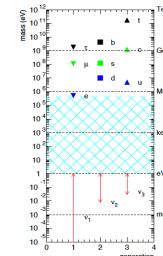
After spontaneous symmetry breaking:

$$-\mathcal{L}_m^{Dirac} \rightarrow -\bar{\nu}_L \lambda \frac{v}{\sqrt{2}} \nu_R + \text{h.c.}$$

$$m_\nu = \lambda \frac{v}{\sqrt{2}}$$

Okay, but...

- A right-handed neutrino that is a ‘singlet under all the gauge groups’. (theorist talk for: “it doesn’t ever do anything”).
- A ‘hierarchy’ problem: why Yukawa couplings so small for neutrinos?



# Adding neutrino masses to SM

$$-\mathcal{L}_m^{Majorana} = \bar{L}\tilde{\phi}\alpha C\tilde{\phi}^T\bar{L}^T + h.c.,$$

After symmetry breaking

$$-\mathcal{L}_m^{Majorana} \rightarrow \bar{\nu}_L \alpha \frac{v^2}{2} C \bar{\nu}_L^T + h.c.,$$

$$\alpha = \frac{\lambda}{\Lambda} \quad | \quad m_\nu = \frac{\lambda}{\Lambda} v^2.$$

$$\nu_L \quad \quad \quad \nu_L^c = C \bar{\nu}_L^T$$

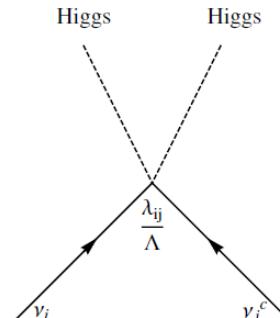


Fig. 12: Weinberg operator.

- $\alpha$  has units of 1/mass
- scale indicative of new physics inside the blob
- High mass scale  $\rightarrow$  low mass neutrinos
- Majorana neutrinos violate L
- Neutrinos are their own anti-particles

# Interesting thought...

What if we did effective field theory, like our quark-minded colleagues?

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{\alpha_i}{\Lambda} O_i^{d=5} + \sum_i \frac{\beta_i}{\Lambda^2} O_i^{d=6} + \dots$$

The only possible d=5 operator  
is the one for Majorana neutrino masses.

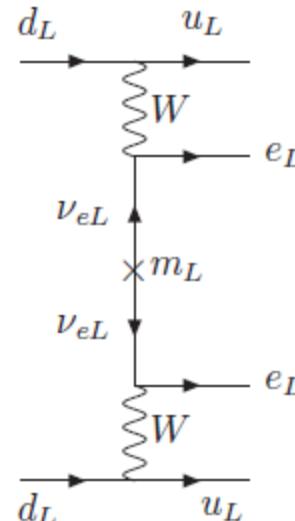
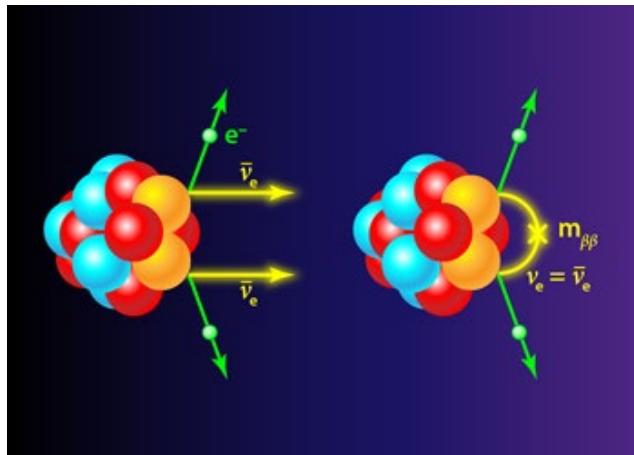
If one were to look for new physics in this way, the  
first\* thing one could hope to find is (majorana) neutrino masses.

*Well....we did!*

# Majorana or not?

Best option: neutrinoless double Beta decay

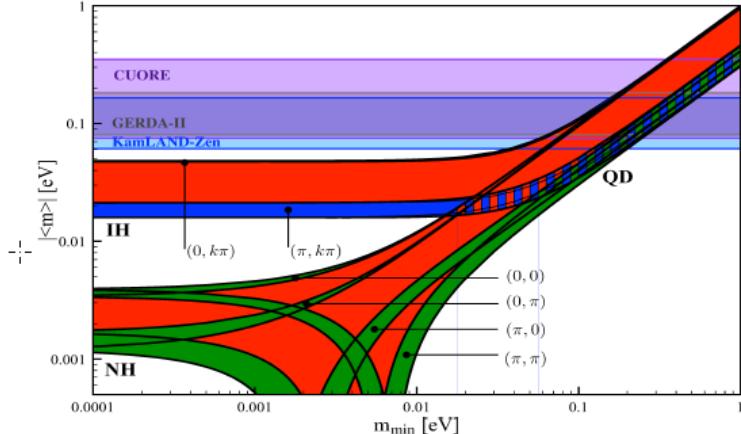
- Possible if, and only if, Majorana



- 1) Make a  $v$
- 2) change helicity because he has mass.
- 3)  $W$  wants to know:
  - helicity correct?
  - ~~- nu/nubar correct~~

$$2\beta0\nu$$

# Experiments looking for $\beta\beta 0\nu$



Many runners in the race to discover  $0\nu\beta\beta$  decay...

TABLE VII. In this table, the main features and performances of some past, present and future  $0\nu\beta\beta$  experiments are listed.

Experiment	Isotope	Technique	Total mass [kg]	Exposure [kg yr]	FWHM @ $Q_{\beta\beta}$ [keV]	Background [counts/keV/kg/yr]	$S^{0\nu}_{(90\% \text{ C.L.})}$ [ $10^{25} \text{ yr}$ ]
<i>Past</i>							
Cuoricino, [179]	$^{130}\text{Te}$	bolometers	40.7 ( $\text{TeO}_2$ )	19.75	$5.8 \pm 2.1$	$0.153 \pm 0.006$	0.24
CUORE-0, [180]	$^{130}\text{Te}$	bolometers	39 ( $\text{TeO}_2$ )	9.8	$5.1 \pm 0.3$	$0.058 \pm 0.006$	0.29
Heidelberg-Moscow, [181]	$^{76}\text{Ge}$	Ge diodes	11 ( $^{76}\text{Ge}$ )	35.5	$4.23 \pm 0.14$	$0.06 \pm 0.01$	1.9
IGEX, [182, 183]	$^{76}\text{Ge}$	Ge diodes	8.1 ( $^{76}\text{Ge}$ )	8.9	$\sim 4$	$\lesssim 0.06$	1.57
GERDA-I, [167, 184]	$^{76}\text{Ge}$	Ge diodes	17.7 ( $^{76}\text{Ge}$ )	21.64	$3.2 \pm 0.2$	$\sim 0.01$	2.1
NEMO-3, [185]	$^{100}\text{Mo}$	tracker + calorimeter	6.9 ( $^{100}\text{Mo}$ )	34.7	350	0.013	0.11
<i>Present</i>							
EXO-200, [186]	$^{136}\text{Xe}$	LXe TPC	175 ( $^{76}\text{Xe}$ )	100	$89 \pm 3$	$(1.7 \pm 0.2) \cdot 10^{-3}$	1.1
KamLAND-Zen, [187, 188]	$^{136}\text{Xe}$	loaded liquid scintillator	348 ( $^{76}\text{Xe}$ )	89.5	$244 \pm 11$	$\sim 0.01$	1.9
<i>Future</i>							
CUORE, [189]	$^{130}\text{Te}$	bolometers	741 ( $\text{TeO}_2$ )	1030	5	0.01	9.5
GERDA-II, [174]	$^{76}\text{Ge}$	Ge diodes	37.8 ( $^{76}\text{Ge}$ )	100	3	0.001	15
LUCIFER, [190]	$^{82}\text{Se}$	bolometers	17 ( $Zn^{82}\text{Se}$ )	18	10	0.001	1.8
MAJORANA D., [191]	$^{76}\text{Ge}$	Ge diodes	44.8 ( $^{76}\text{nat Ge}$ )	100 <sup>a</sup>	4	0.003	12
NEXT, [192, 193]	$^{136}\text{Xe}$	Xe TPC	100 ( $^{76}\text{Xe}$ )	300	$12.3 - 17.2$	$5 \cdot 10^{-4}$	5
AMoRE, [194]	$^{100}\text{Mo}$	bolometers	200 ( $\text{Ca}^{am}\text{MoO}_4$ )	295	9	$1 \cdot 10^{-4}$	5
nEXO, [195]	$^{130}\text{Xe}$	LXe TPC	4780 ( $^{76}\text{Xe}$ )	12150 <sup>b</sup>	58	$1.7 \cdot 10^{-5}$ <sup>b</sup>	66
PandaX-III, [196]	$^{130}\text{Xe}$	Xe TPC	1000 ( $^{76}\text{Xe}$ )	3000 <sup>c</sup>	$12 - 76$	0.001	11 <sup>c</sup>
SNO+, [197]	$^{130}\text{Te}$	loaded liquid scintillator	2340 ( $^{76}\text{Te}$ )	3980	270	$2 \cdot 10^{-4}$	9
SuperNEMO, [198, 199]	$^{82}\text{Se}$	tracker + calorimeter	100 ( $^{82}\text{Se}$ )	500	120	0.01	10

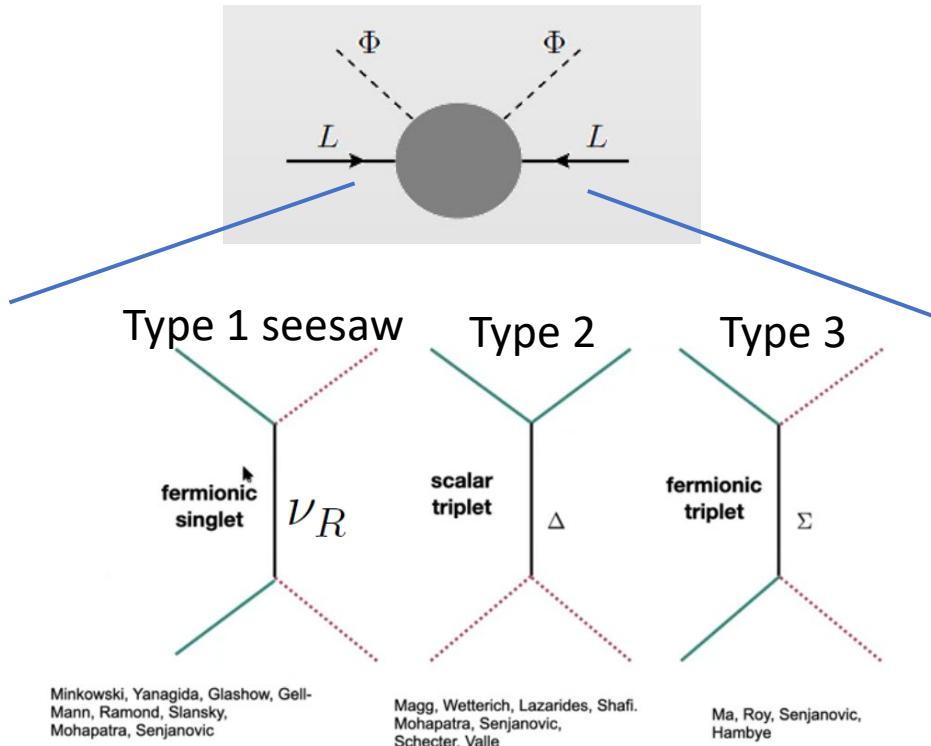
<sup>a</sup>our assumption (corresponding sensitivity from Fig. 14 of Ref. [191]).

<sup>b</sup>we assume 3 tons fiducial volume.

<sup>c</sup>our assumption by rescaling NEXT.

from 1601.07512

# Seesaw mechanism



- Suppose the new physics is due to a right-handed neutrino  $\nu_R$
- Give it both a Yukawa coupling to the left-handed leptons, and Majorana mass term...

# Seesaw mechanism

A ‘normal’ Dirac mass with  $\lambda \sim 1.$  generated by Yukawa coupling.

$$\mathcal{L}_{m_\nu} = -M_D (\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L) - \frac{1}{2} M_R (\bar{\nu}_R^c \nu_R + \bar{\nu}_L^c \nu_L^c).$$

$$m_\nu = \lambda \frac{v}{\sqrt{2}}.$$

$$L = \frac{1}{\sqrt{2}} (\nu_L + \nu_L^c);$$

$$R = \frac{1}{\sqrt{2}} (\nu_R + \nu_R^c).$$

This is the 1-neutrino case.

For 3 generations  
M are matrices that can violate CP.

$$\mathcal{L}_{m_\nu} = -(\bar{L} \quad \bar{R}) \begin{pmatrix} 0 & M_D \\ M_D & M_R \end{pmatrix} \begin{pmatrix} L \\ R \end{pmatrix}$$

Eigenvalues

$$M_R \text{ and } M_D^2/M_R,$$

A Majorana mass for the New, right handed neutrino.



If  $M_R$  is large, then the left-handed mass-eigenstates are very small!

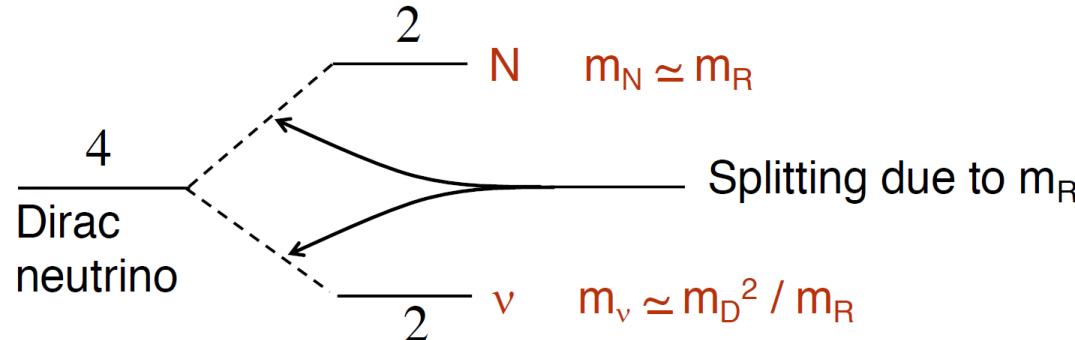
Sees

## What Happened?

A 'normal' Dirac mass  
with  $\lambda \sim 1.$  generated by  
Yukawa coupling.

$$m_\nu = \lambda \frac{v}{\sqrt{2}}.$$

The Majorana mass term split a **Dirac neutrino** into **two Majorana neutrinos**.



If  $N$

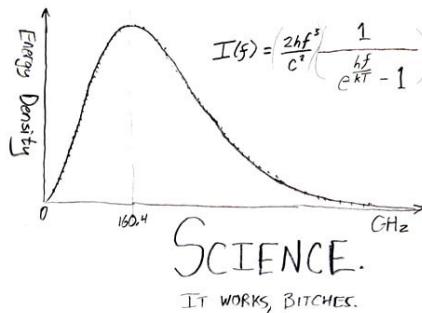
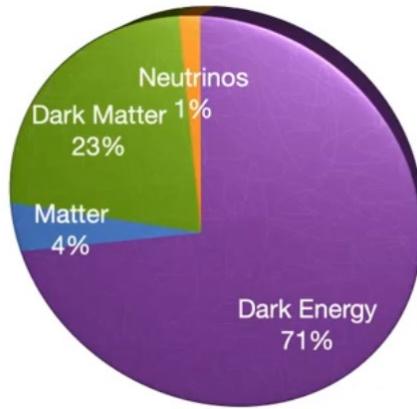
$$4 = 2 + 2$$

Boris Kayser

for the  
d neutrino.

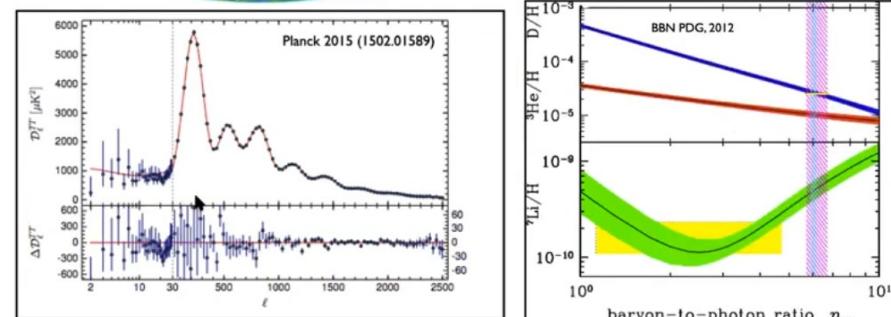


# (Lepto)genesis



Baryon to photon ratio. Extremely well measured  
From both CMB and Big Bang Nucleosynthesis.

$$\eta_B = (6.02 - 6.18) \times 10^{-10}$$



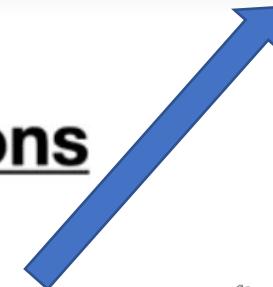
$$\eta_{CMB} = (6.23 \pm 0.17) \times 10^{-10}$$

$$\eta_{BBN} = (6.08 \pm 0.06) \times 10^{-10}$$

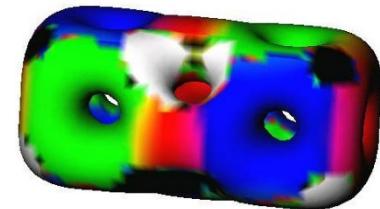
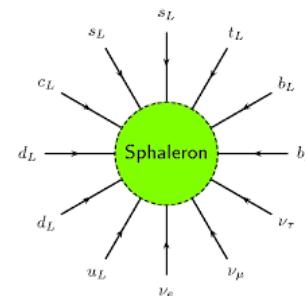
Buzzword: “Sphalerons”

## Sakharov's Conditions

- Baryon number violation
- C & CP-violation
- Departure from thermal equilibrium

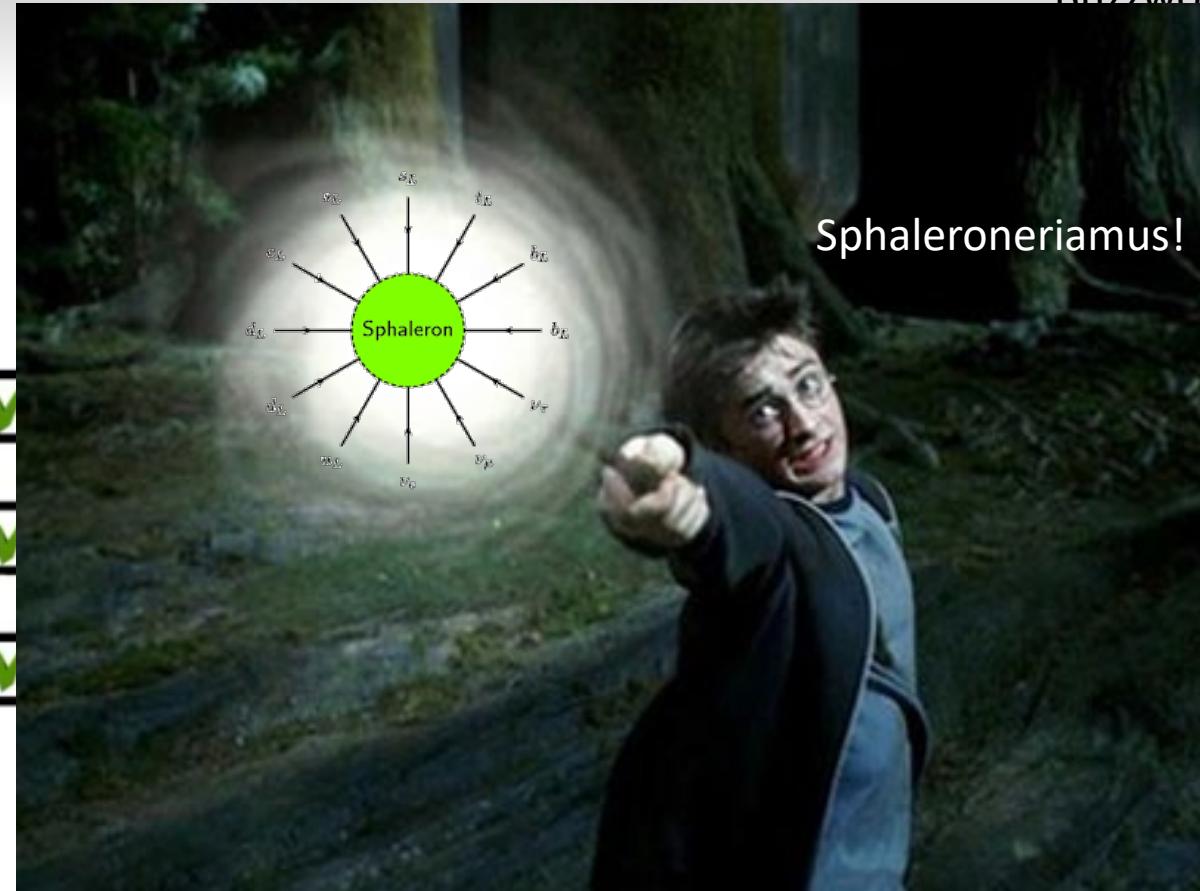


Non-perturbative SM process that  
Conserves B-L  
(but not B and not L)



These can convert a lepton asymmetry into a Baryon one.

Buzzword: “sphalerons”



Perturbative SM process that  
gives B-L  
Sphaleroneriamus! (at B and not L)

convert a lepton asymmetry  
in one.

# Leptogenesis

- Dynamically generate lepton asymmetry, by *producing N* in the early universe
- They decay into leptons
- Lepton-asymmetry converted to Baryon asymmetry by SM sphaleron process
- All this while the Universe is cooling.
- See if this yields the correct Bayon number
- Can be made to work, with various variations, for a huge mass-range for the right-handed neutrinos.

## Mass RHN

$$\mathcal{O}(10^{12}) \text{ GeV}$$

Fukugida & Yanagida *Phys.Lett. B17* 45-47 (1986) Buchmuller, Di Bari & Plumacher *New J.Phys. 6* 105 (2004) Barbieri, Creminelli, Strumia & Tetradi *Nucl.Phys. B575* 61-77 (2000)

$$\mathcal{O}(10^6) \text{ GeV}$$

Racker, Rius & Pena *JCAP 1207* 030 (2013) Moffat, Petcov, Pascoli, Schulz & Turner *Phys.Rev. D98* no. 1, 015036 (2018)

$$\mathcal{O}(10^3) \text{ GeV}$$

Pilaftsis & Underwood *Nucl.Phys. B692* 303-345 (2004) Abada, Aissaoui, Losada *Nucl.Phys. B728* 55-66 (2005)

$$\mathcal{O}(1) \text{ GeV}$$

Akhmedov, Rubakov & Smirnov *Phys.Rev.Lett. 81* 1359-1362 (1998) Asaka & Shaposhnikov *Phys.Lett. B620* 17-26 (2005) Asaka, Eijima & Ishida *JHEP 1104* 011(2011)

high-scale  
leptogenesis

intermediate  
scale leptogenesis

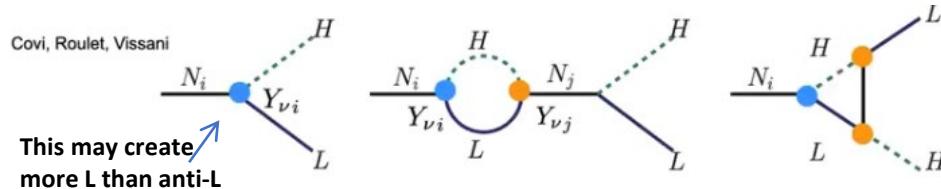
resonant  
leptogenesis

leptogenesis via  
oscillations

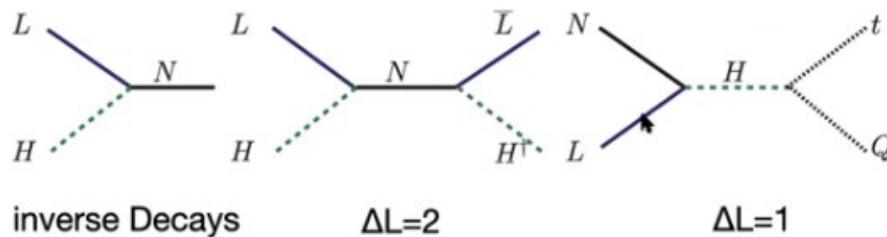
# Leptogenesis

N = right handed heavy neutrino, which is its own anti-particle. M>T  
L = (normal) Leptons  
H = Higgs

Processes creating leptons...



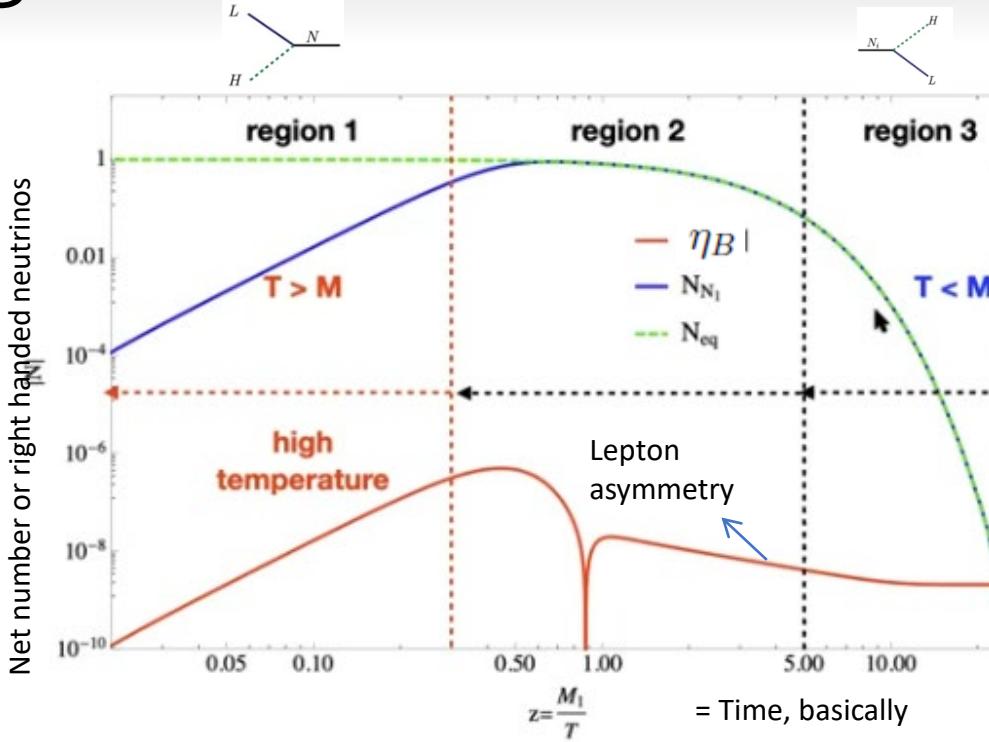
Competing with 'destroying' leptons ...



- Set of coupled differential equations.
- Starting with no N.
- Evolve while T goes down.
- See what happens



# leptogenesis



$$\eta_B \equiv \frac{N_B}{N_\gamma^{\text{rec}}} = a_{\text{sph}} \frac{N_{B-L}}{N_\gamma^{\text{rec}}} = \frac{28}{79} \frac{1}{27} N_{B-L} = 0.013 N_{B-L}$$

Compare with  
Observed  
Asymmetry  $\eta_B$

(not order of magnitude,  
But the actual, measured  
number, within errors)

# leptogenesis

Okay, but we know nothing about these right handed neutrinos

arra

$$Y_\nu = \frac{1}{v} U_{\text{PMNS}} \sqrt{m} R^T \sqrt{M}$$

low-energy scale: 3 phases, 3 mixing angles and 3 masses

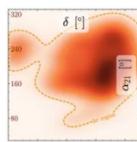
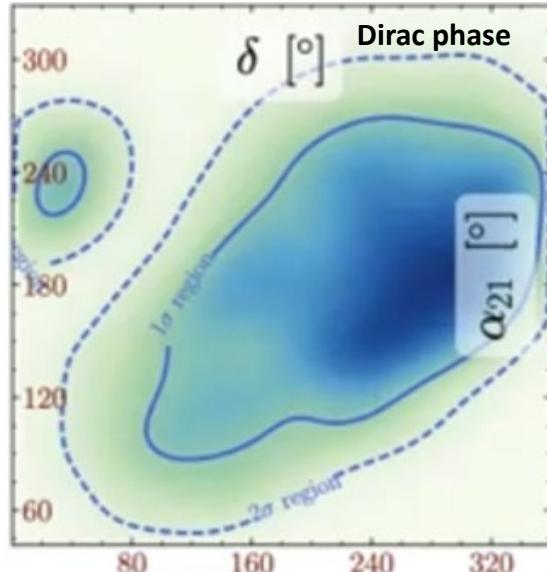
high-energy scale: 3 phases, 3 mixing angles and 3 masses

18 parameters in total; of which 6 are known (low-E angles + masses)

One fun question: What if all the CPV is from the low-energy sector?

# leptogenesis

$$M_1 = 3.16 \times 10^6 \text{ GeV}, M_2 = 3.5M_1, M_3 = 3.5M_2$$



<- for inverse ordering, looks different but same conclusion

Here, at PeV masses for the RHN

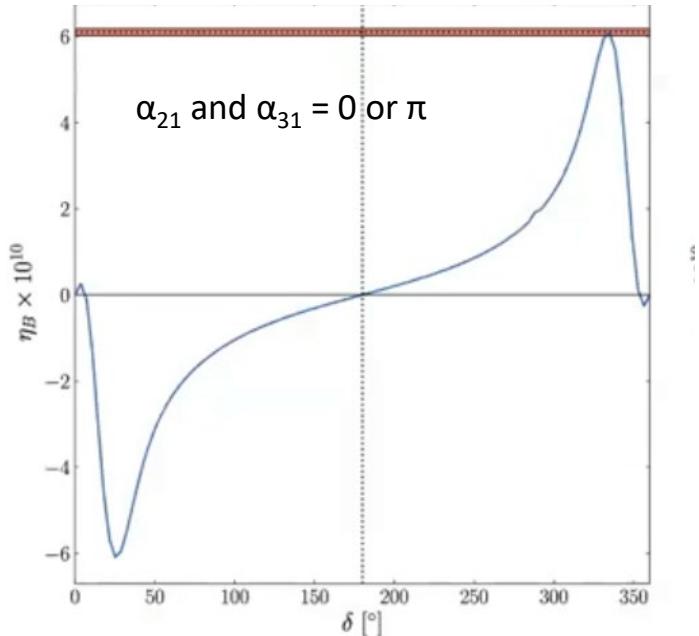
- Assume two phases
- Scan all other parameters (mixing angles of the RHNs) to yield correct Baryon asymy. (while conserving CP there).
- See for which region it can work

⇒ It works!

While there is absolutely no reason (afaik) to suspect the CP conservation at high scale, it is ‘nice’ to know it is not needed. The low-scale CP phases can be measured(!)

# leptogenesis

$$M_1 = 7.0 \times 10^8 \text{ GeV}, M_2 = 3.5M_1, M_3 = 3.5M_2$$

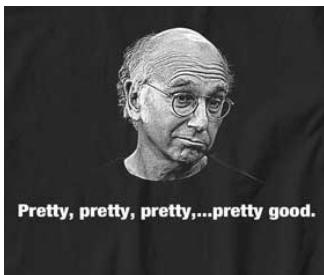


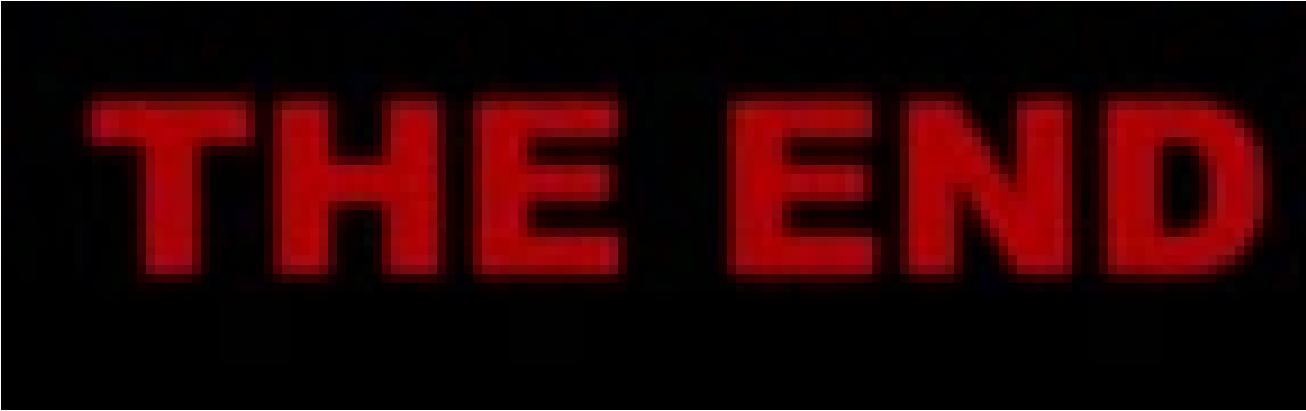
Can even do it with just the Dirac phase!  
(which we are already measuring and which  
will be measured by Dune).

And with just the Majorana phases it works too.

# In conclusion

- Leptogenesis, building on seesaw mechanism:
  - Rather minimal assumptions  
Every theory of massive neutrinos need RHN and no reason not to write general mass terms...  
All the rest follows.
  - *Explains why the normal neutrinos are light*
  - *Explains the matter-anti-matter asymmetry in the Universe*
  - *predicts/assumes Majorana particles -> testable.*
  - Measuring CPV in normal neutrinos would lend a lot of, let's say, “psychological support”





**THE END**

# mixing

$$-\mathcal{L}_m^{\text{Majorana}} = \frac{1}{2} \overline{\nu_L^i} (M_\nu)_{ij} \nu_L^{cj} + \overline{l_L^i} (M_l)_{ij} l_R^j + \text{h.c.}$$

$$M_\nu = U_\nu^\dagger \text{Diag}(m_1, m_2, m_3) U_\nu^*$$

mass eigenstates     $\nu'_L = U_\nu \nu_L$  ‘flavour’ eigenstates



# Questions

Why do neutrino's behave so  
differently from quarks?

(do they get mass the same way??)

So what if neutrinos violate CP?  
(Leptogenesis?)

(why do we not even know)  
Which neutrino is the heaviest?

Do neutrinos point to new Physics?