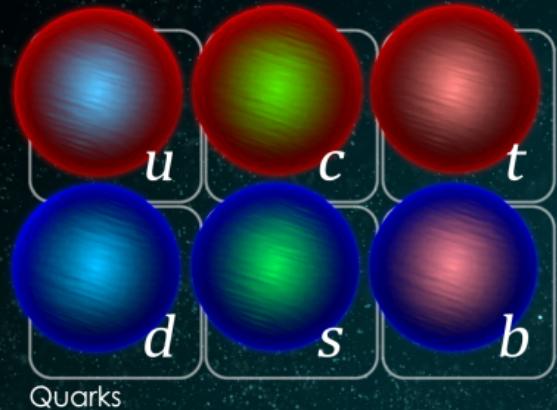


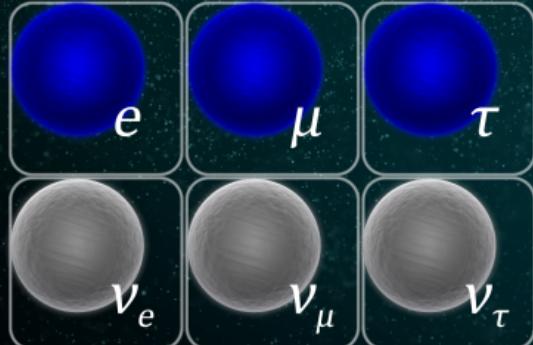
# Measuring the atmospheric $\nu_\tau$ -appearance with KM3NeT-ORCA

Bouke Jung

# Choose your character!



Quarks



Leptons



Higgs boson

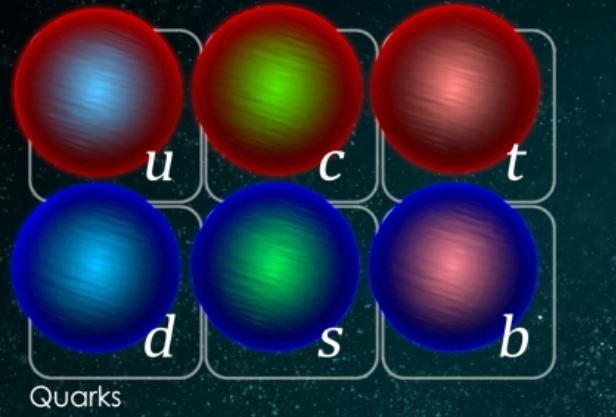


Forces

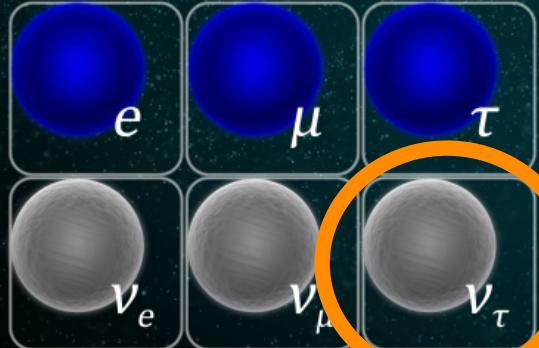


ACCELERATING SCIENCE

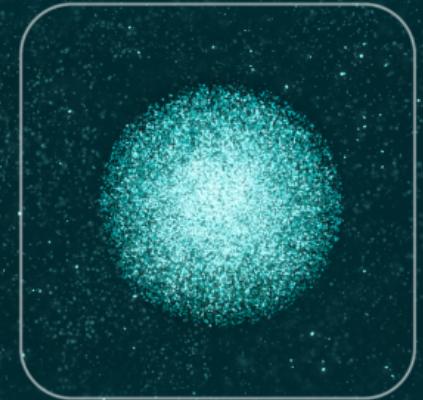
# Choose your character!



Quarks



Leptons



Higgs boson



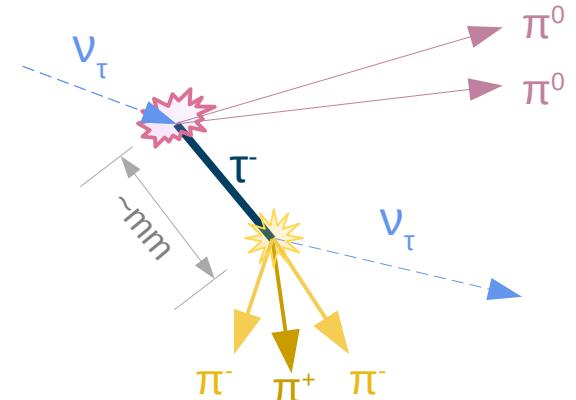
ACCELERATING SCIENCE



- Only  $\sim 20$   $\nu_\tau$  identifications so far
  - 9 by DONuT ([arXiv:0711.0728](#))
  - 10 by OPERA ([arXiv:1804.04912](#))
  - 1-2 astrophysical  $\nu_\tau$  by IceCube ([arXiv:2011.03561](#))

**Identifying  $\nu_\tau$  is extremely hard!**

- Large  $\tau$ -production threshold:  $E_\nu > 3.5$  GeV
  - Oscillation maximum @ 2000-3000 km  
→ requires very high  $\nu$ -beam intensities
- Missing final-state energy
  - Challenging to reconstruct



# Atmospheric $\nu_\tau$ inference



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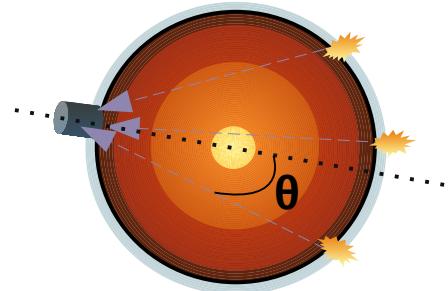
Can also use statistical inference

Exploit atm. flux + oscillations

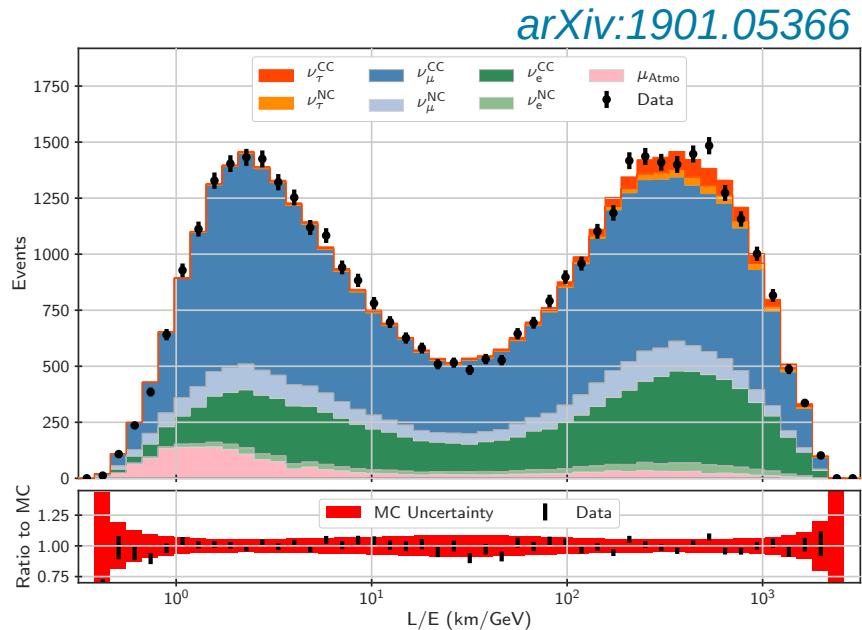
- Super-K ([arXiv:1711.09436](https://arxiv.org/abs/1711.09436))
- IceCube ([arXiv:1901.05366](https://arxiv.org/abs/1901.05366))
- KM3NeT (arXiv:soon...)

Separate challenges:

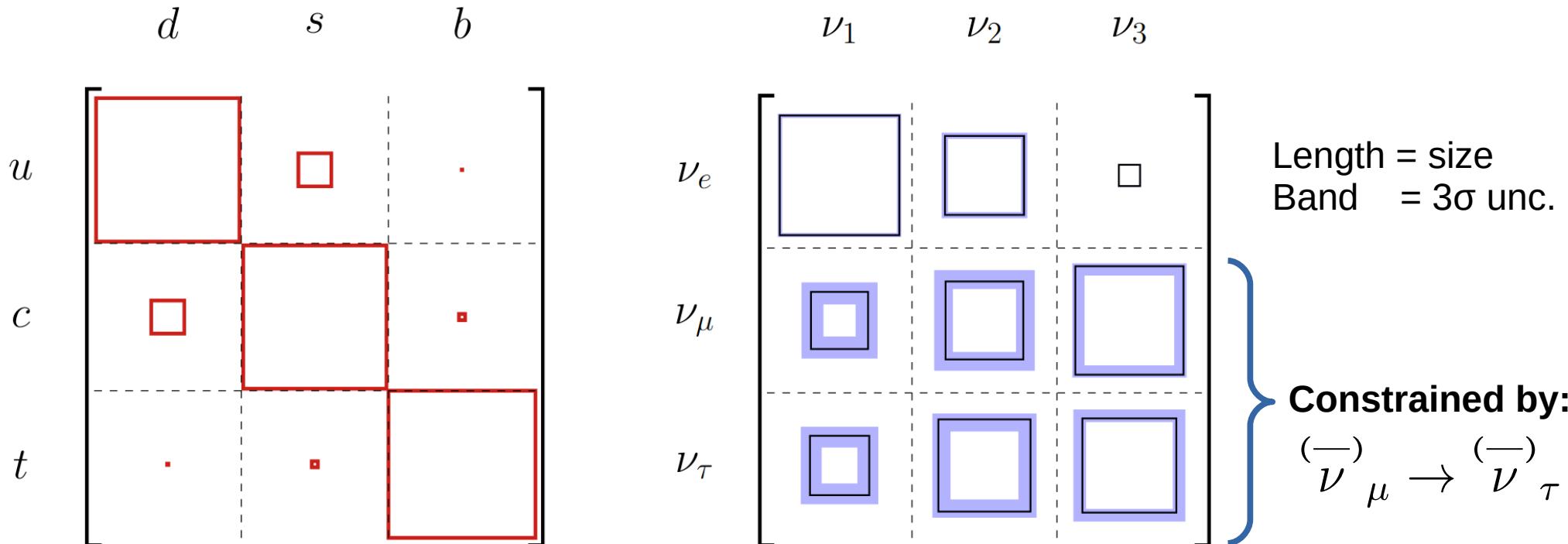
- Large cross-section uncertainties (~21%)
- Large uncertainties in unoscillated  $\nu_\tau$ -flux
  - depends on  $D_s$  production rate  
→ hadronic interaction models



Probe many oscillation baselines with one experiment



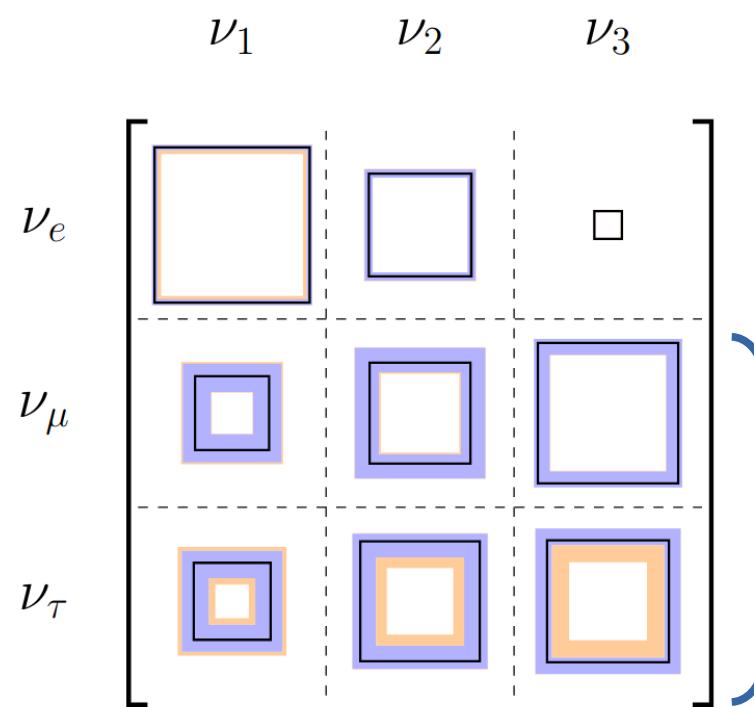
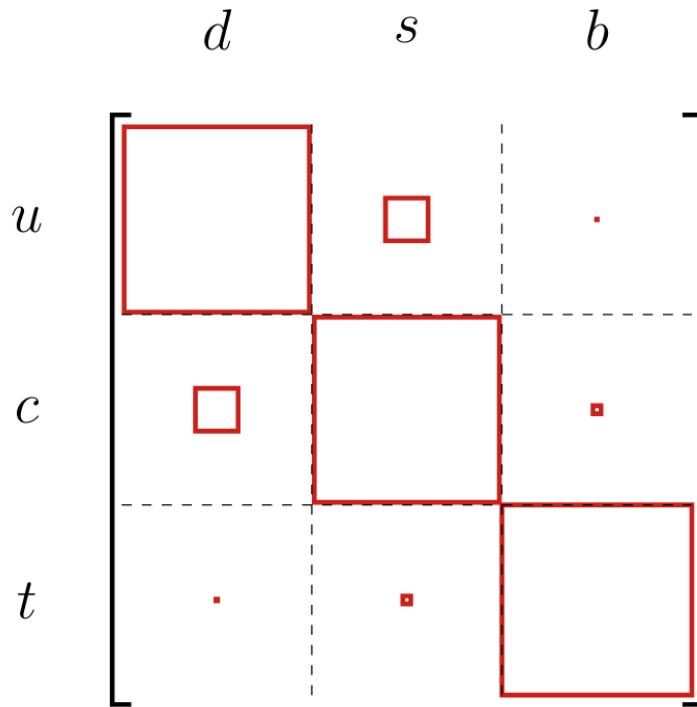
# Why measure $\nu_\tau$ ?



Steffen Hallmann, Ph.D. dissertation (2021)

# Why measure $v_\tau$ ?

If we let go of unitarity constraints...



Length = size  
Band =  $3\sigma$  unc.

Constrained by:  
 $(-\nu)_\mu \rightarrow (-\nu)_\tau$

Steffen Hallmann, Ph.D. dissertation (2021)

# Non-unitarity: sterile neutrinos

Also theory-motivated reasons for testing this:

- Are there sterile neutrinos beyond the SM?

Standard PMNS

$$U_{\text{PMNS}}^{\text{Extended}} = \begin{pmatrix} \overbrace{\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}}^{\text{Standard PMNS}} & \cdots & U_{en} \\ \vdots & \vdots & \vdots \\ U_{s_n 1} & U_{s_n 2} & U_{s_n 3} & \cdots & U_{s_n n} \end{pmatrix}$$

# The KM3NeT detector



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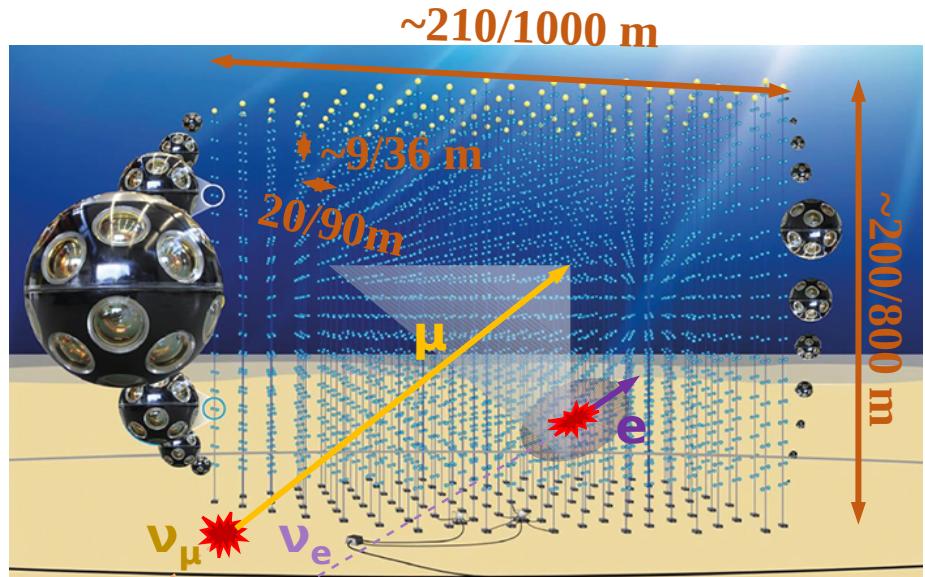
2 large-volume water cherenkov arrays

## ARCA

- Focus: origin of cosmic neutrinos
- 3.5 km depth; 100 km off-shore from Capo Passero (Sicily)
- **Large, sparse grid → high energy (> TeV)**

## ORCA

- Focus: atmospheric neutrino oscillations
- 2.5 km depth; 40 km off-shore from Toulon (France)
- **Small, dense grid → low energy (GeV)**



Detect Cherenkov photons induced by  $\nu$ -interactions

Main infrastructure:

- **115 Detection Units**
- **18 Digital Optical Modules**
- **31 Hamamatsu R12199-02 PMTs**

per building block  
per DU  
per DOM

**64.170  
PMTs  
per  
block**

## Goal:

- 2 blocks for ARCA
- 1 block for ORCA

# Measuring $\nu_\tau$ @ KM3NeT



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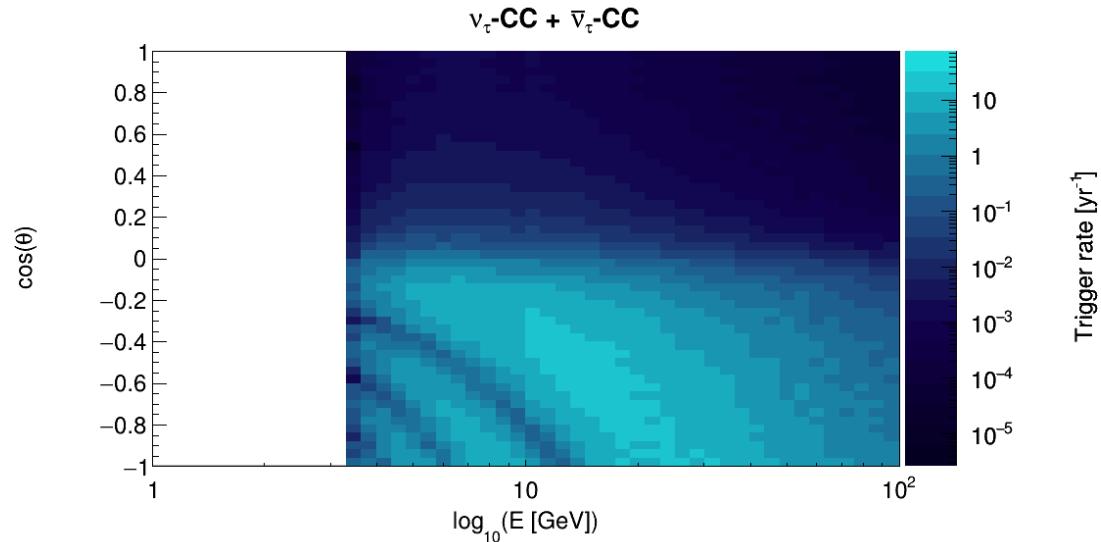
Nikhef



Numbers from [arXiv:2203.05591](https://arxiv.org/abs/2203.05591)

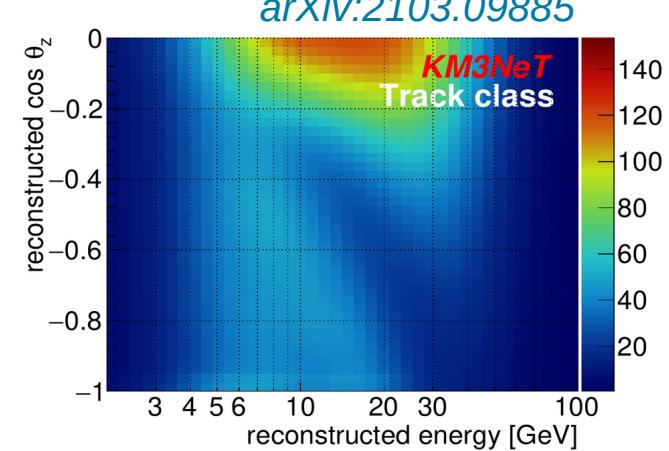
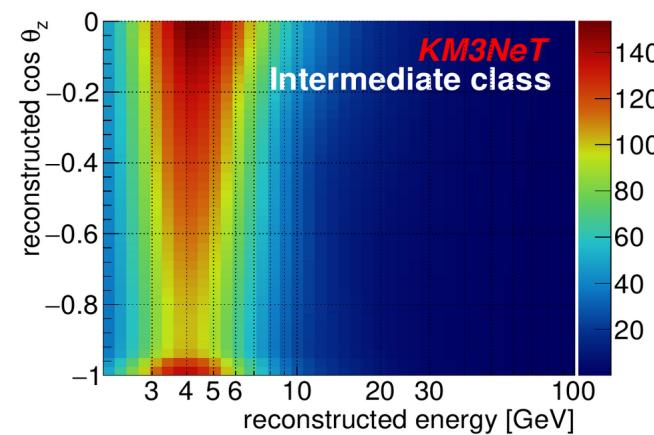
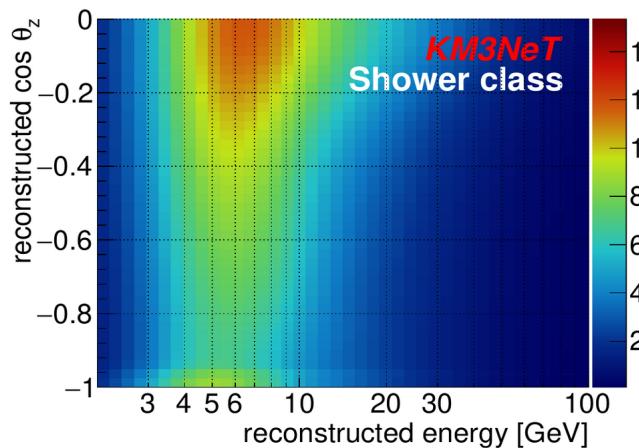
	DONuT (final)	OPERA (final)	Super-K (5326 d)	IceCube Deepcore (1006 d)	DUNE (TauOptimized)	KM3NeT
(anti-) $\nu_\tau$ -CC	9	10	291	1804	O(100) / yr	>3000 / yr

KM3NeT will provide  
largest  $\nu_\tau$ -sample to date!



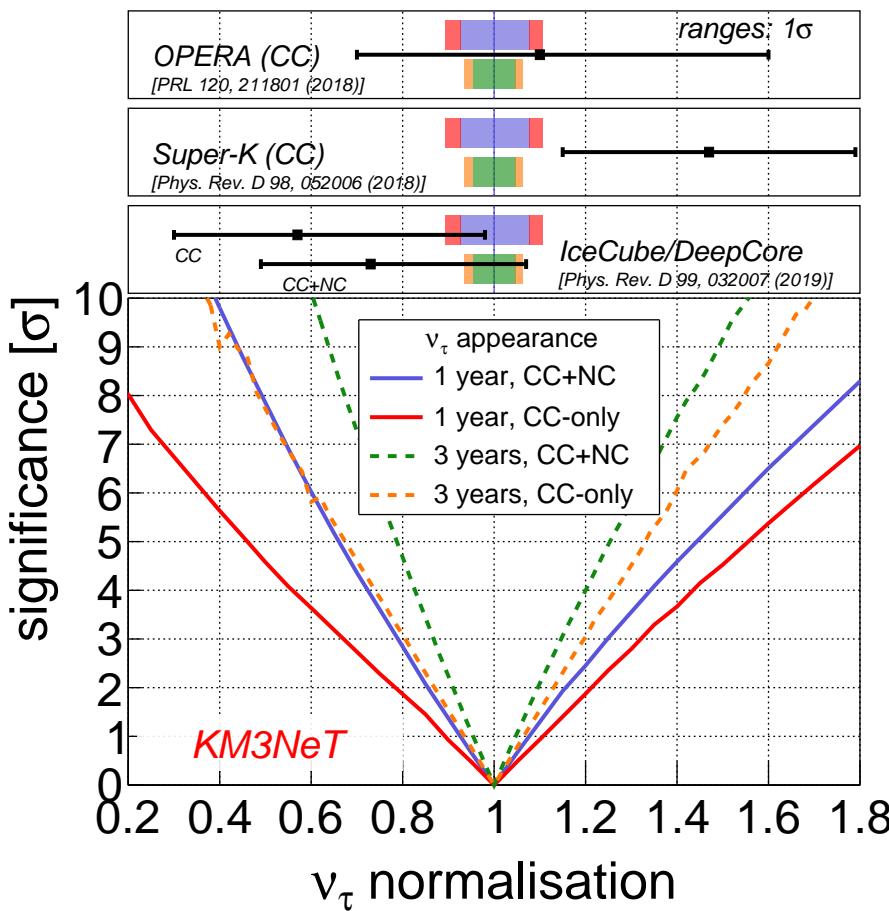
Based on log-likelihood ratio for the Poisson-statistics in each bin in reconstructed ( $E$ ,  $\cos\theta$ )

- Compute Asimov dataset (bin statistics = expectation)
- Loop over fixed  $\nu_\tau$ -normalisations (= ratio alternative / expected  $\nu_\tau$  event-rate)
- Fit  $\nu_\tau$ -normalisation over assumed data by  
minimizing log-likelihood ratio as function of the nuisance parameters

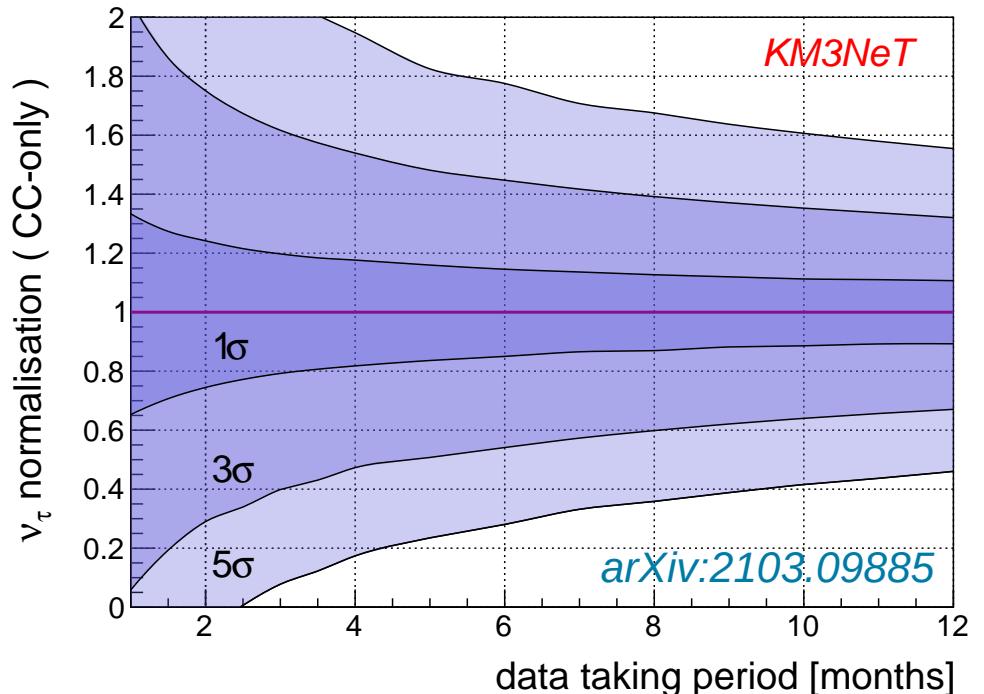


[arXiv:2103.09885](https://arxiv.org/abs/2103.09885)

# KM3NeT $\nu_\tau$ -sensitivity



(anti-) $\nu_\tau$ -event rate  
**variation >20% excluded @  $3\sigma$**   
**after 3 years of datataking**

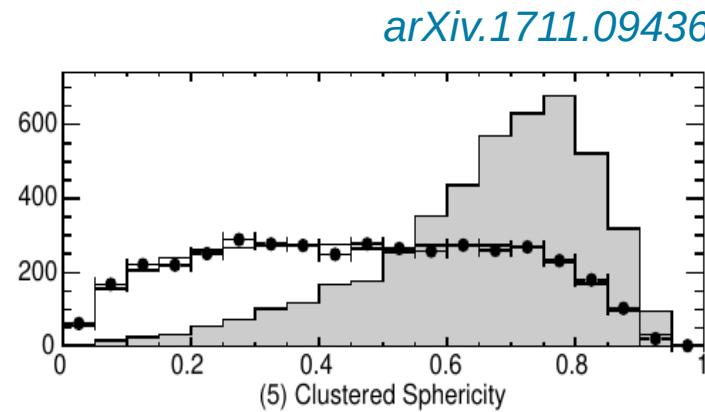


# Potential improvements

Current fitting frameworks only allow for fits in terms of E and  $\cos\theta$

But there are many other potentially interesting observables:

- Observed – expected hit times
- Hit distances
- Measures of isotropicness
  - Thrust
  - Hit sphericity
  - Fox-Wolfram moments

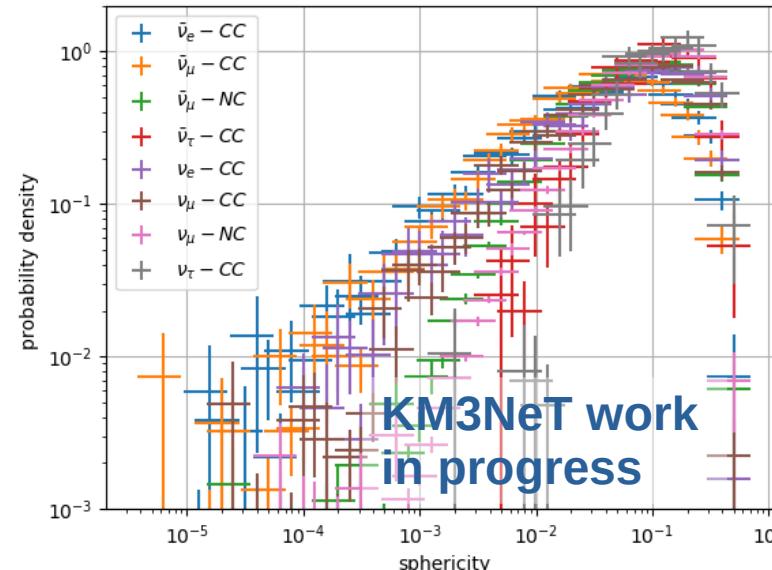


# Potential improvements

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Template fits could offer a flexible way to expand the number of input variables

- Generate set of template histograms for given
  - Interaction cross-sections
  - Fluxes
  - Oscillation probabilities
  - ...
- Determine chi-square
  - Plot landscapes
  - Fit best parameter settings

# Template fitting



Template fits could offer a flexible way to expand the number of input variables

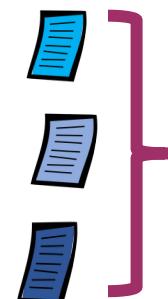
- Generate set of template histograms for given

**Computationally expensive!**

- Interaction cross-sections
- Fluxes
- Oscillation probabilities
- ...

- Determine chi-square

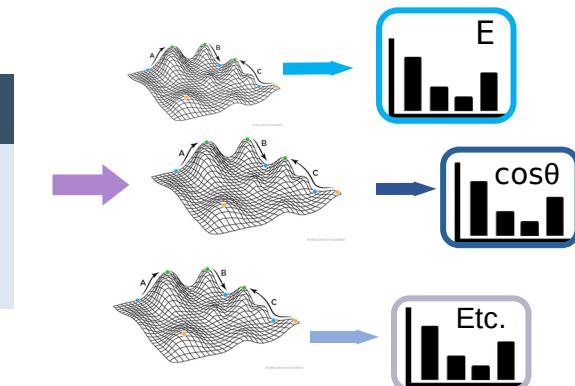
- Plot landscapes
- Fit best parameter settings



Index	$p_1$	...	$T_{h1}$	...
0	0.23	...	5.4	...
1	0.21	...	7.2	...
...	...	...	...	...

**Reweighting offers solution**

- Reuse existing MC  
(instead of generating from scratch)



Measuring  $\nu_\tau$ -appearance is important!

- For constraining the bottom PMNS rows
- For testing unitarity → sterile neutrinos?

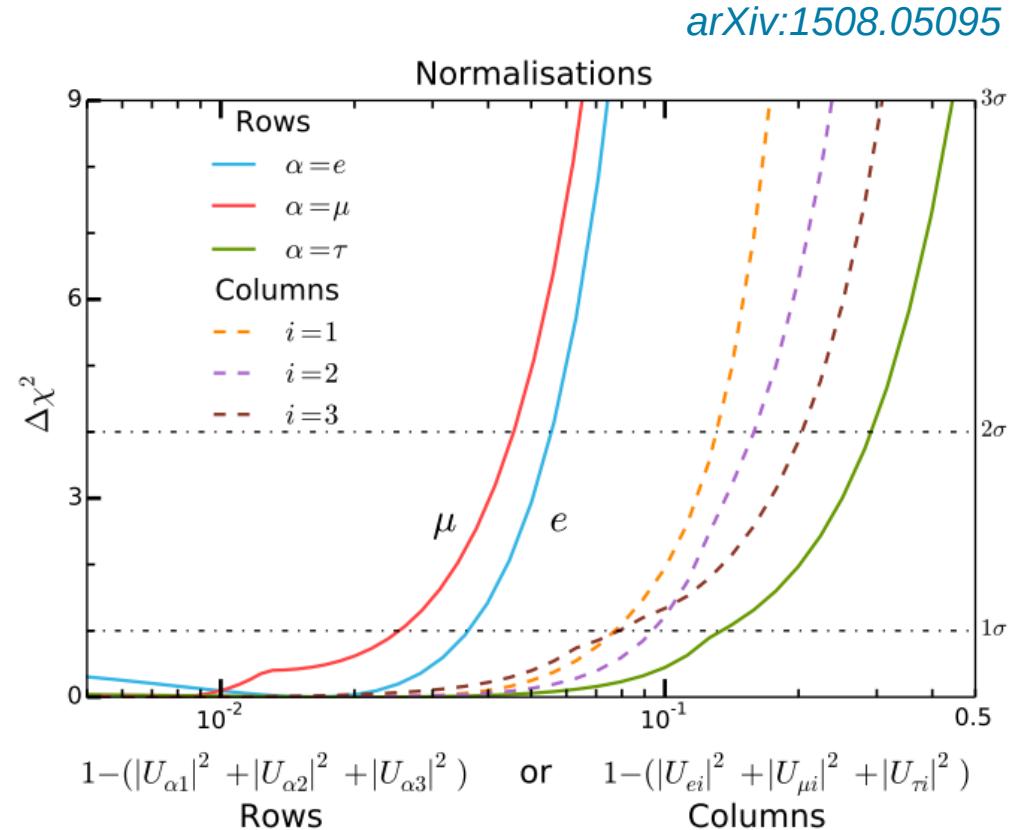
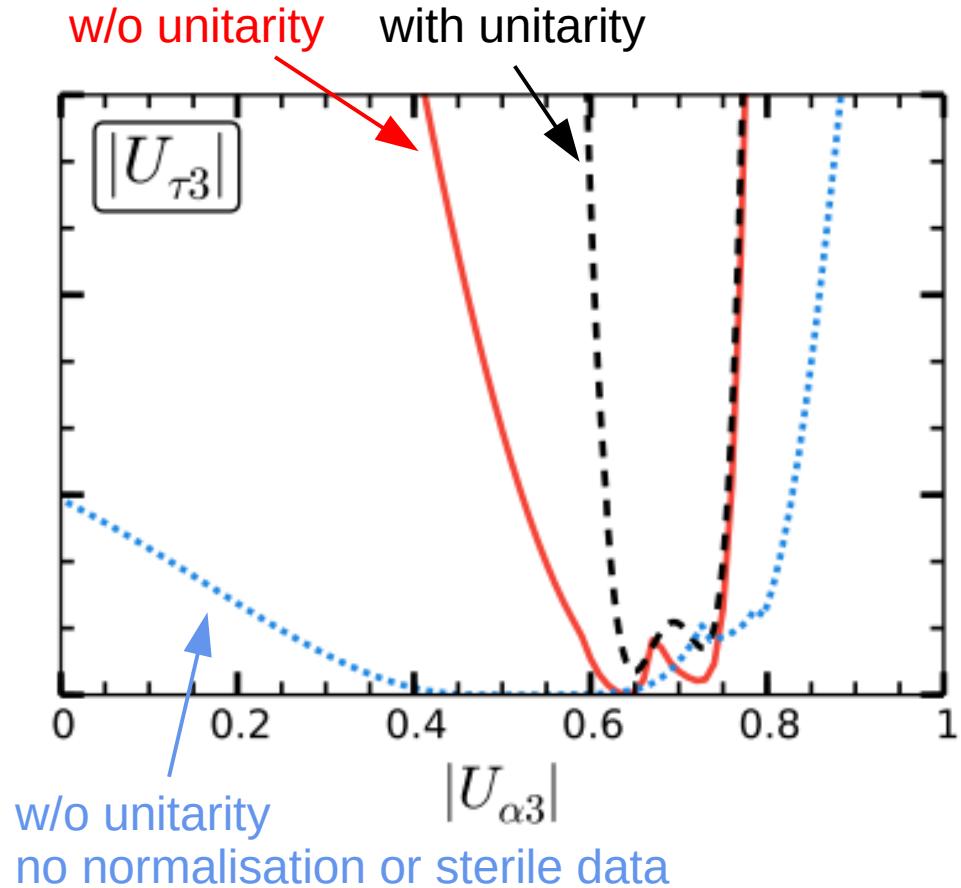
**KM3NeT will measure the  $\nu_\tau$ -appearance with unprecedented precision**

- > 3000 (anti-) $\nu_\tau$  per year for ORCA-115 (more than any experiment before!)
- $\nu_\tau$ -normalisation constrained to < 20% in 3 years!

Template fitting procedure underway to further enhance sensitivity  
and to facilitate some studies on systematics

# EXTRA

# Introduction: theory



# Reweighting example

