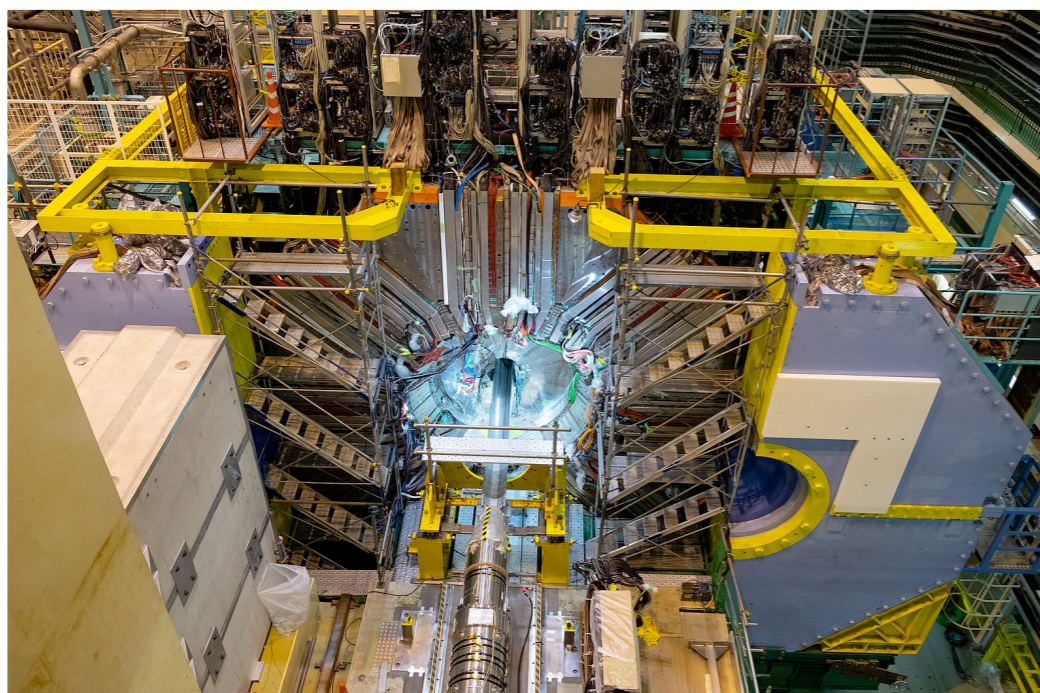
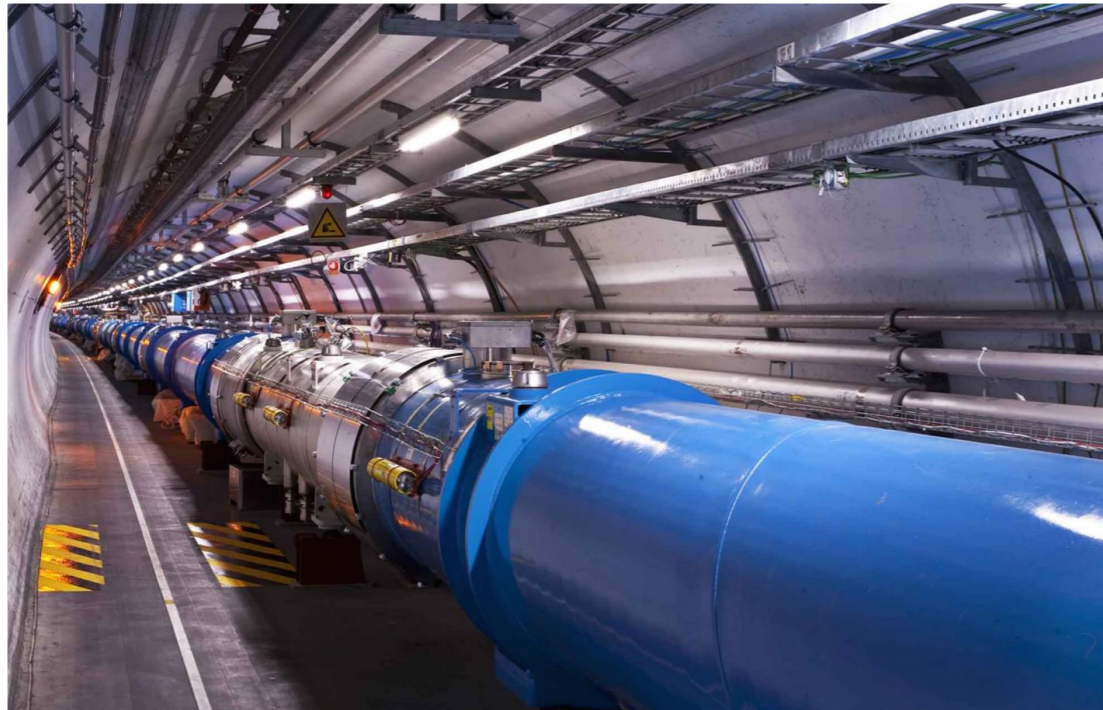


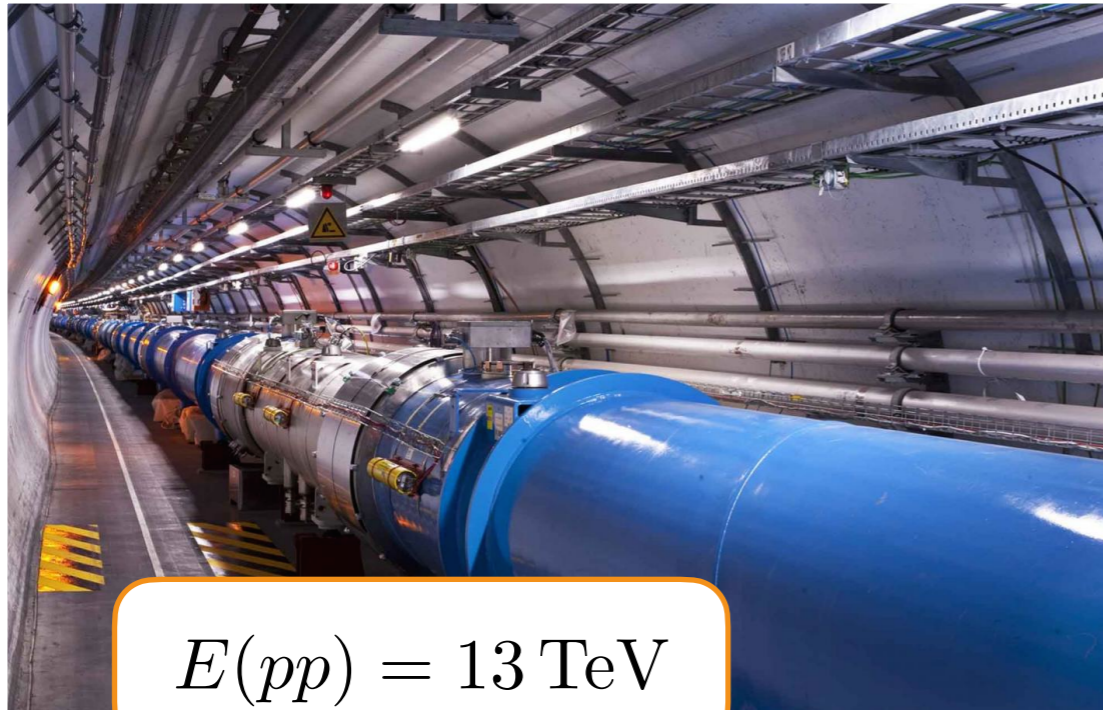
EFFECTIVE FIELD THEORIES

Susanne Westhoff
Radboud University

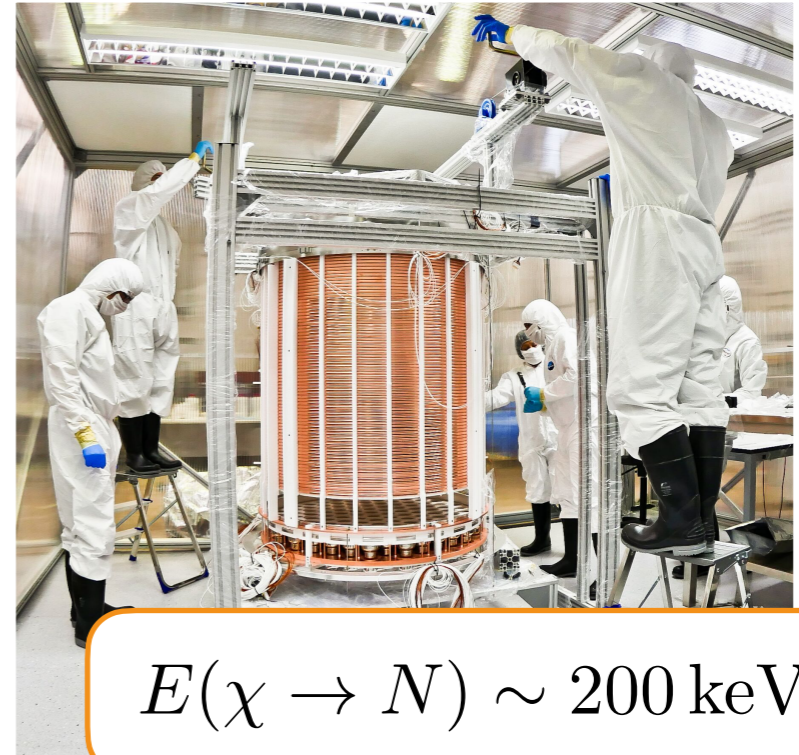
Home of the fundamental physics



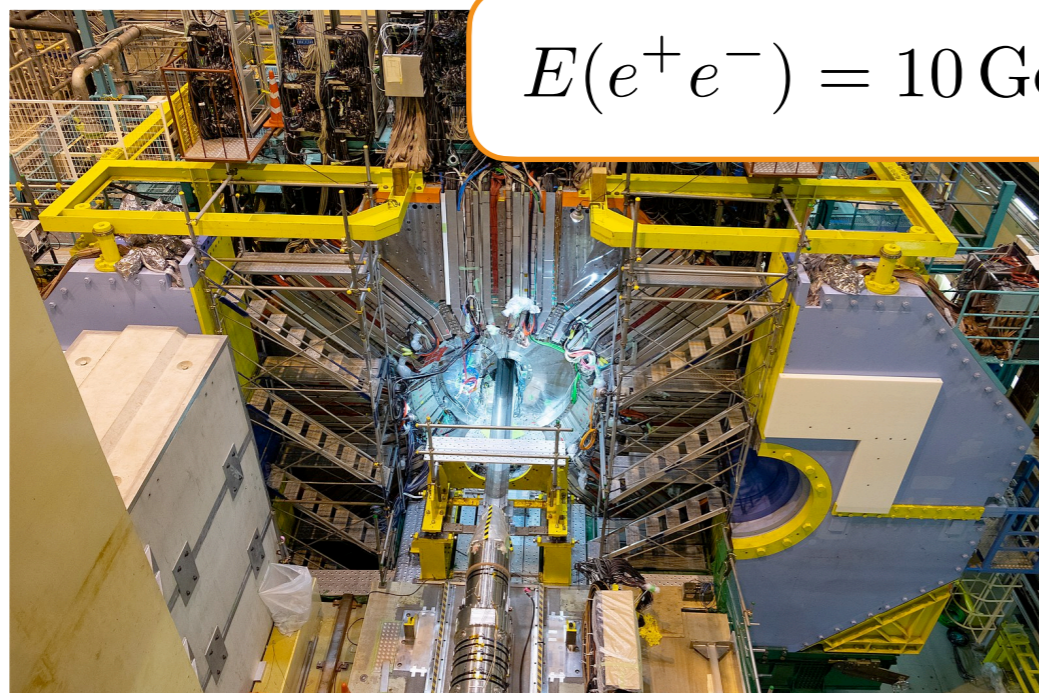
Physics at different scales



$$E(pp) = 13 \text{ TeV}$$



$$E(\chi \rightarrow N) \sim 200 \text{ keV}$$

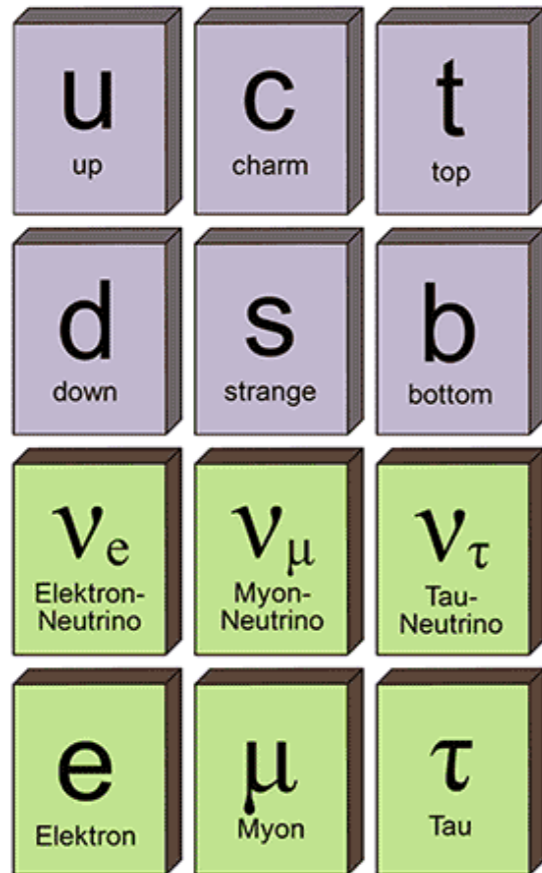


$$E(e^+e^-) = 10 \text{ GeV}$$



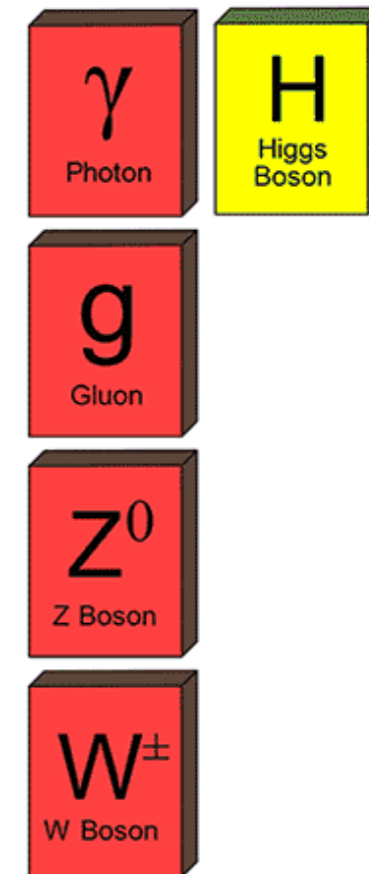
Standard Model interactions

matter particles

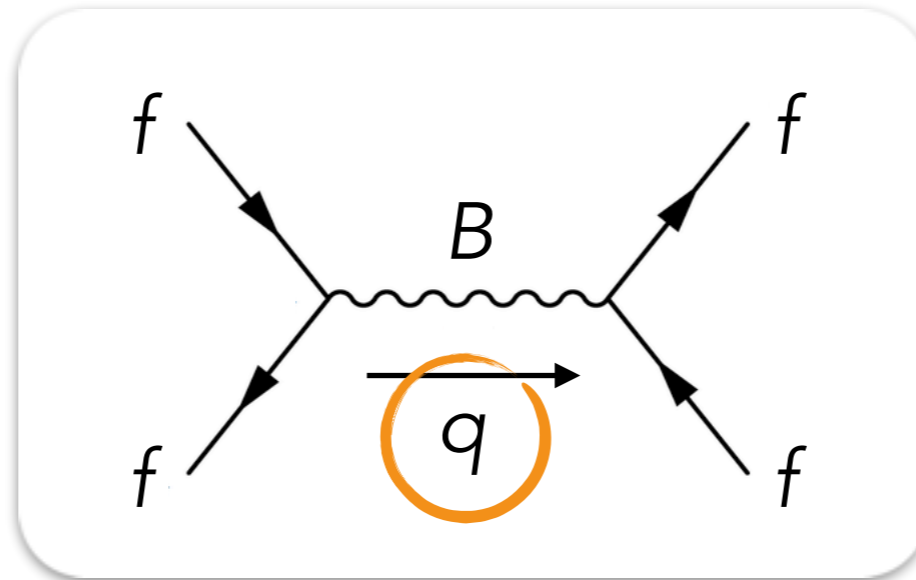


fermions

force carriers



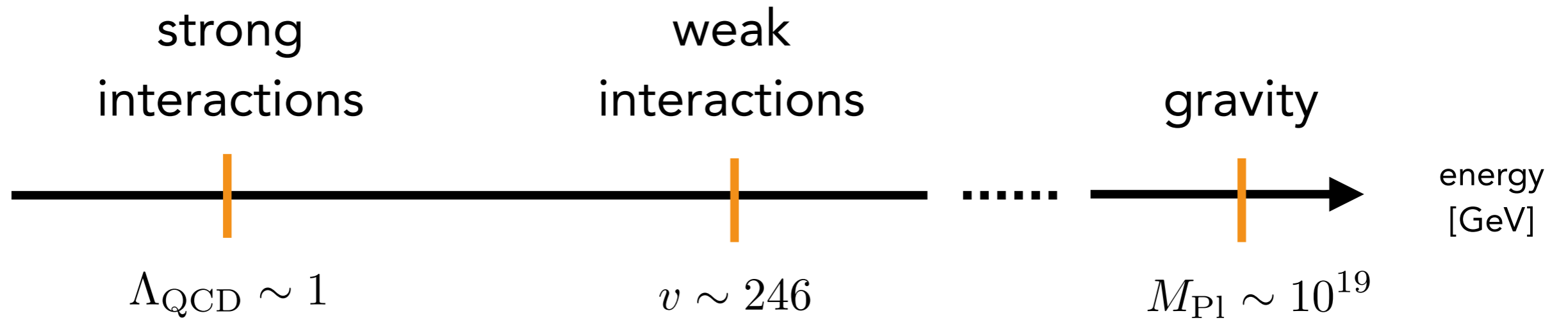
bosons



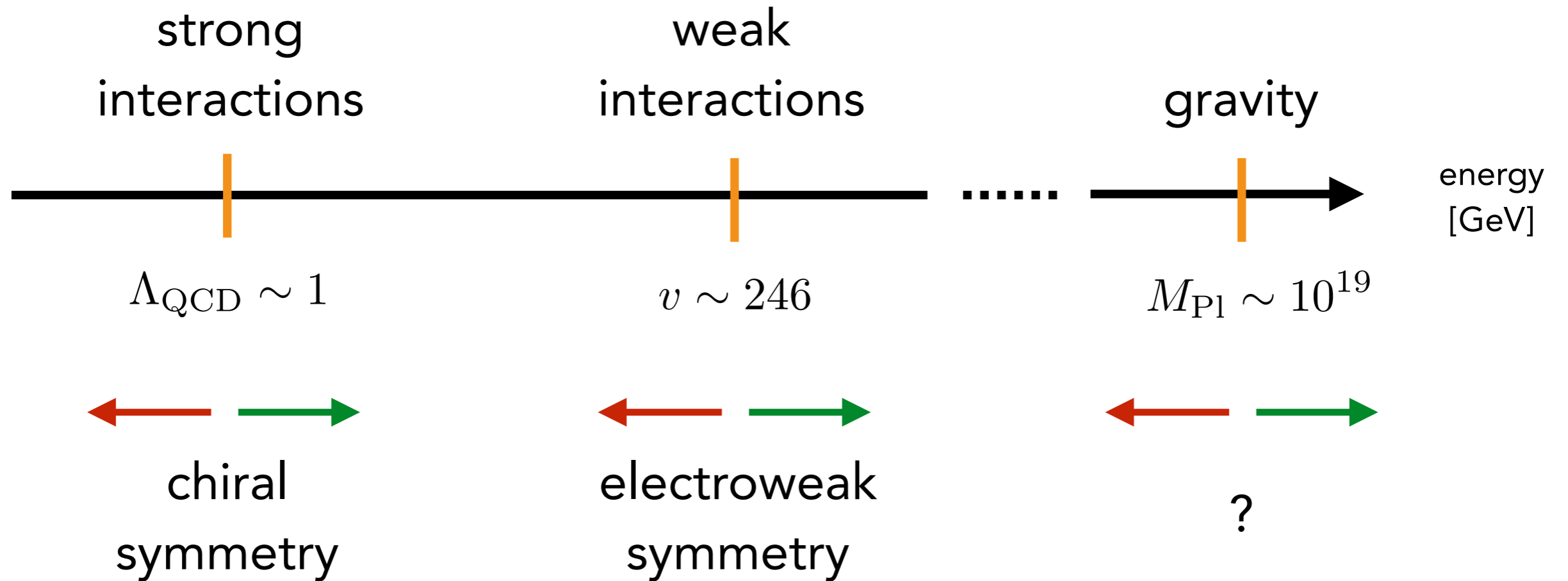
gauge symmetry

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$

Interactions across the scales



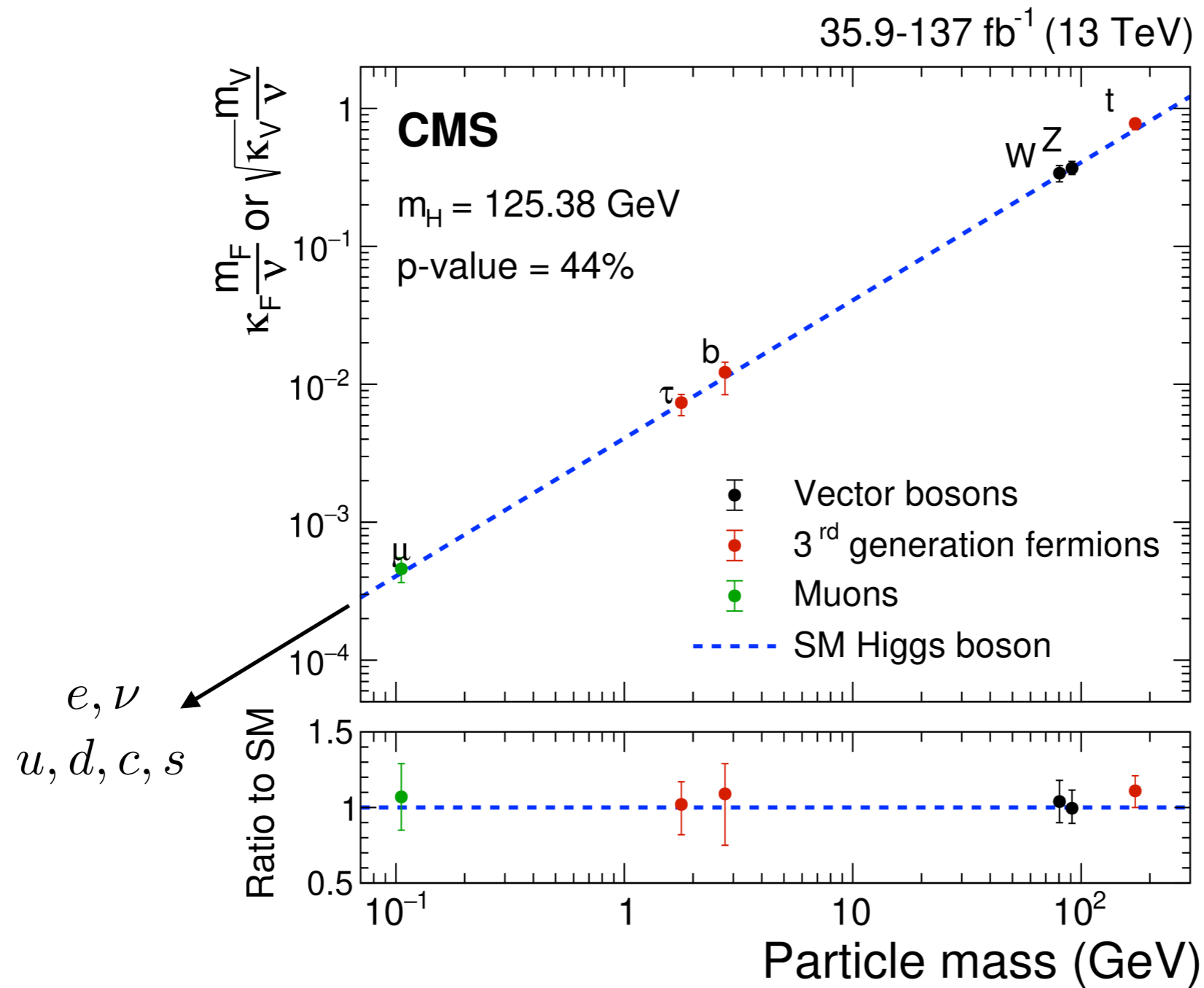
Interactions across the scales



symmetry breaking affects physics at low energies

electrodynamics: unbroken symmetry \rightarrow no scale

Particles across the scales

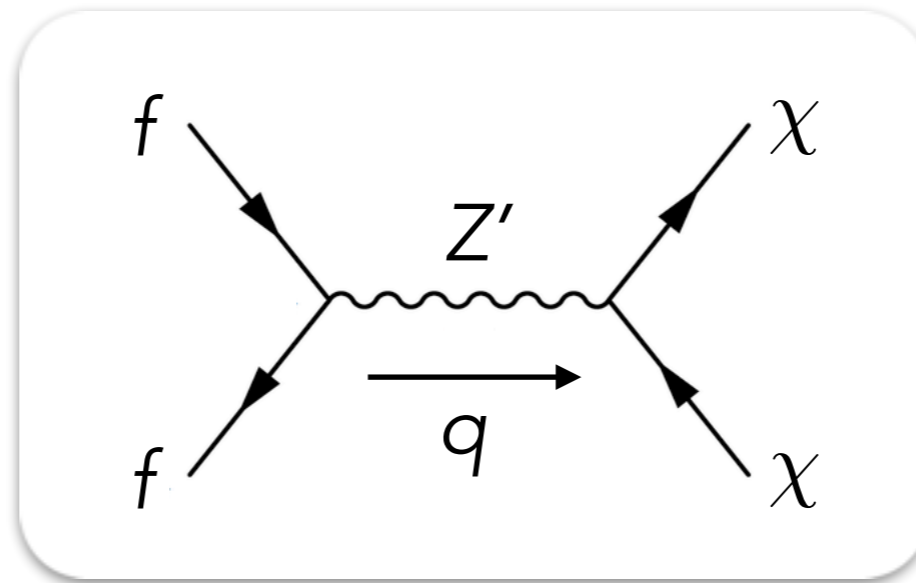
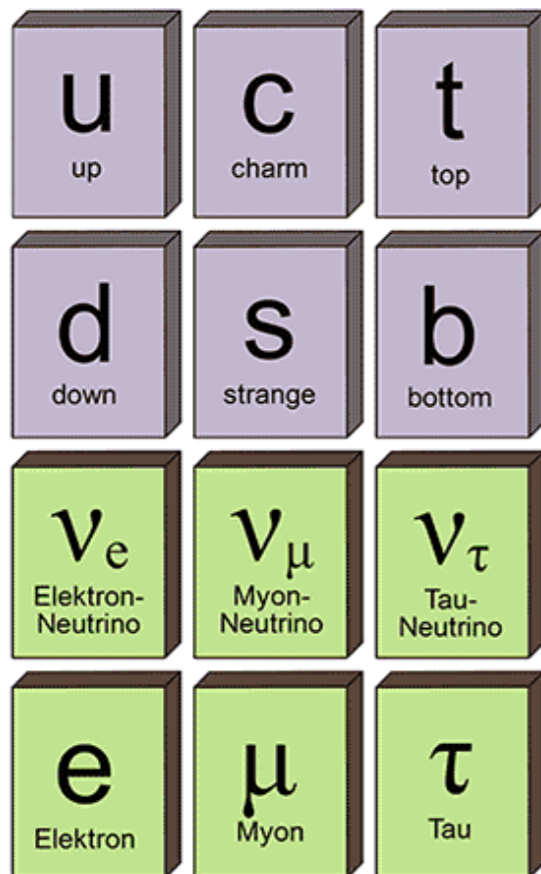


$$m_f = y_f \frac{v}{\sqrt{2}}$$

multiple mass scales - origin unknown

New interactions - a dark matter example

visible matter



$$U(1)', \mathbb{Z}_2$$

dark matter



mediator

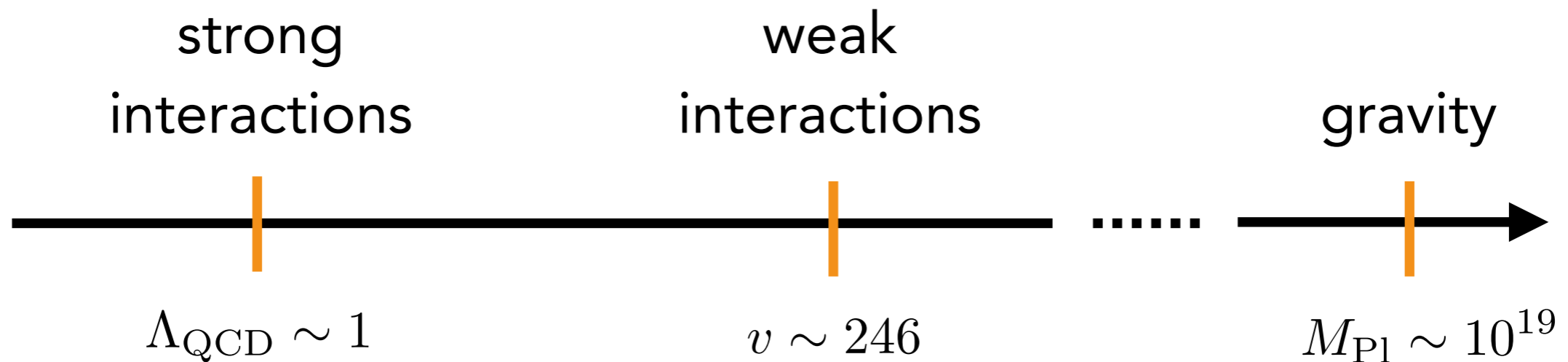


new symmetries? new scales? origin?

Effective Field Theories

in particle physics

- describe particle interactions involving multiple scales
- virtual effects of heavy particles at low energies



Effective Field Theories

beyond the particle zoo

- superconductivity: BCS theory
- gravity: radiation emission from binary stars
- condensed matter
- ...

Effective Field Theories

in particle physics

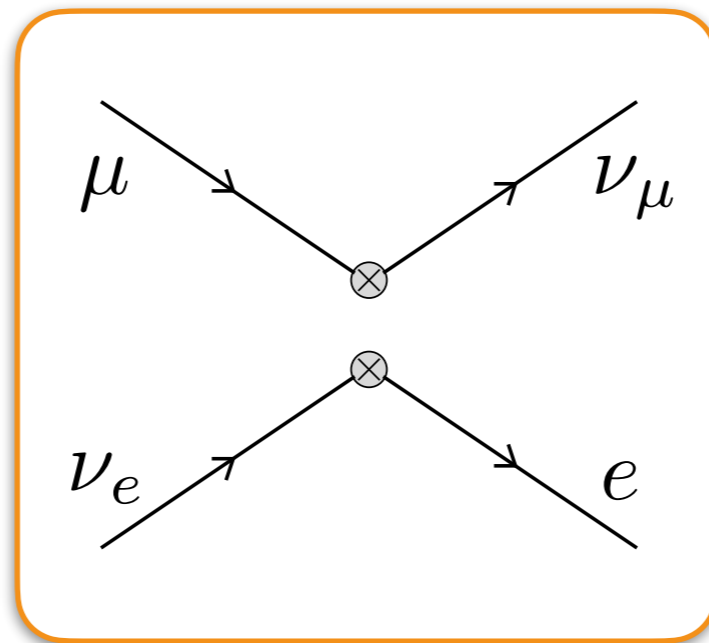
Part I: Basics

Part II: Standard Model Effective Theories

Part III: Dark Matter Effective Field Theory

Part I

Basics of Particle EFT



Literature

M. Neubert, 2005: Effective Field Theory and Heavy Quark Physics

D. Kaplan, 2005: Five Lectures on Effective Field Theory


A. Manohar 2018: Introduction to Effective Field Theories

H. Georgi, 1993: Effective Field Theory

Quantum Field Theory

classical mechanics

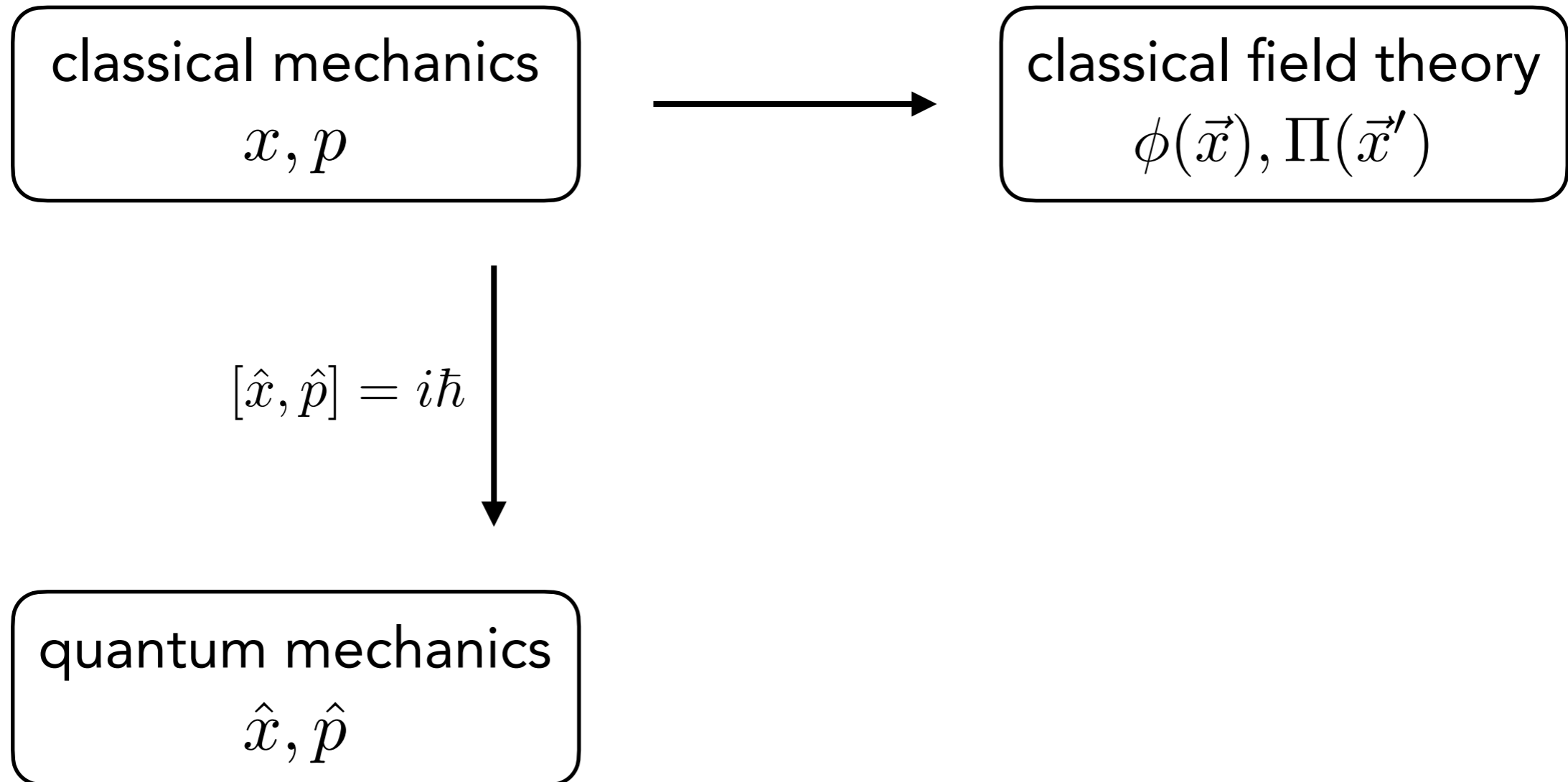
$$x, p$$

$$[\hat{x}, \hat{p}] = i\hbar$$


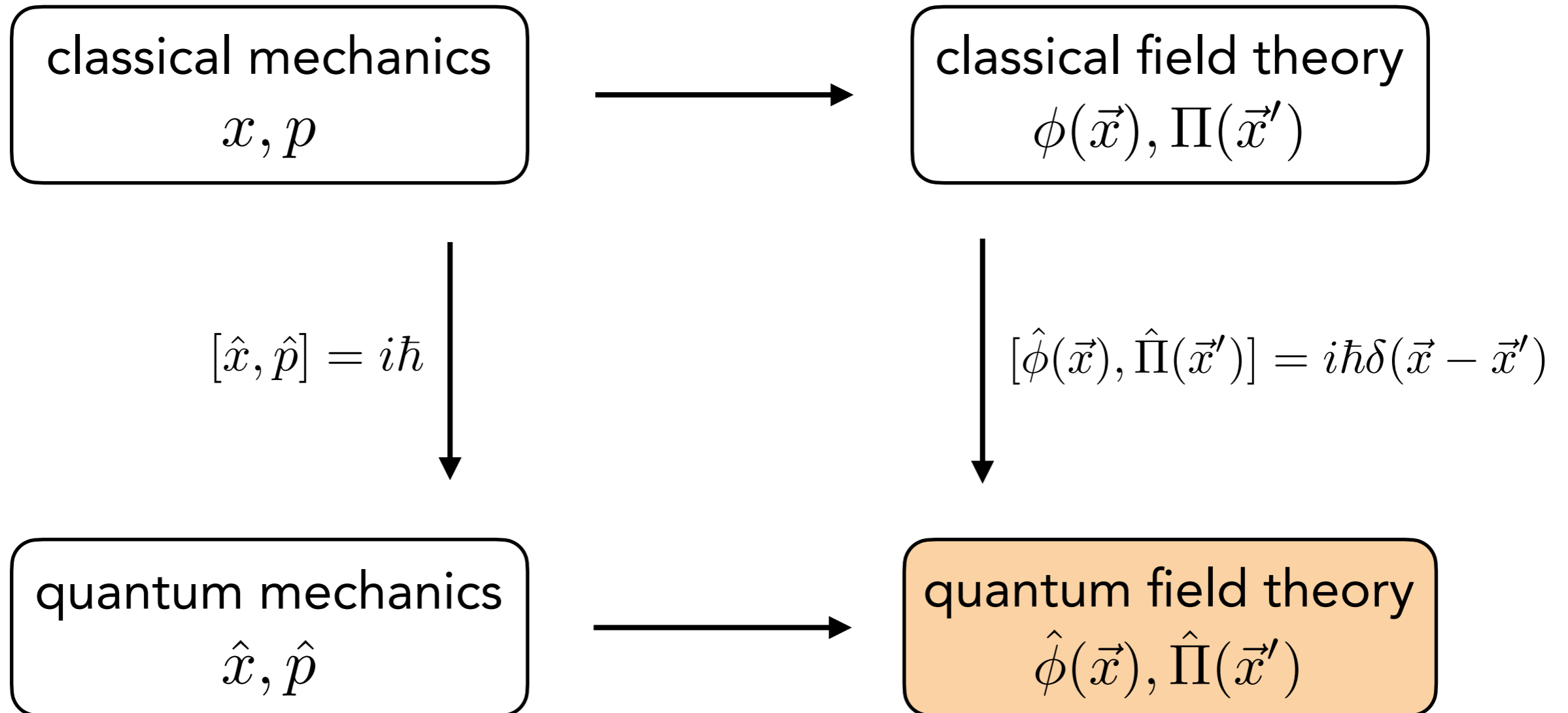
quantum mechanics

$$\hat{x}, \hat{p}$$

Quantum Field Theory



Quantum Field Theory



Goal: describe particle interactions - scattering, decay.

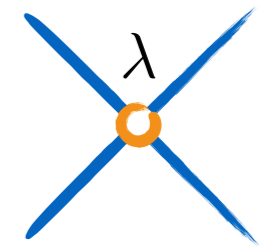
Particle interactions in a nutshell

• action

$$\mathcal{S}(\phi) = \int d^4x \mathcal{L}(\phi(x))$$

fields: $\{\phi\}$

Lagrangian: $\mathcal{L}(\phi) = \mathcal{L}_0 + \mathcal{L}_{\text{int}} = -\frac{m^2}{2}\phi^2 - \frac{\lambda}{4!}\phi^4 + \dots$



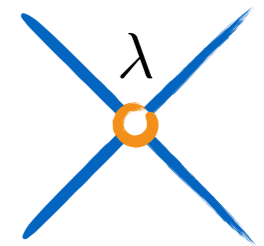
Particle interactions in a nutshell

• action

$$\mathcal{S}(\phi) = \int d^4x \mathcal{L}(\phi(x))$$

fields: $\{\phi\}$

Lagrangian: $\mathcal{L}(\phi) = \mathcal{L}_0 + \mathcal{L}_{\text{int}} = -\frac{m^2}{2}\phi^2 - \frac{\lambda}{4!}\phi^4 + \dots$



• scattering matrix

$$S = \mathbf{1} + i\mathbf{T}$$

transition amplitude: $(\mathbf{T})_{fi} = \langle f | T \left[\int d^4x \mathcal{L}_{\text{int}}(\phi(x)) \right] | i \rangle$

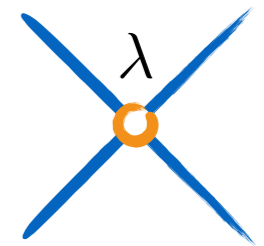
Particle interactions in a nutshell

• action

$$\mathcal{S}(\phi) = \int d^4x \mathcal{L}(\phi(x))$$

fields: $\{\phi\}$

Lagrangian: $\mathcal{L}(\phi) = \mathcal{L}_0 + \mathcal{L}_{\text{int}} = -\frac{m^2}{2}\phi^2 - \frac{\lambda}{4!}\phi^4 + \dots$



• scattering matrix

$$S = \mathbf{1} + i\mathbf{T}$$

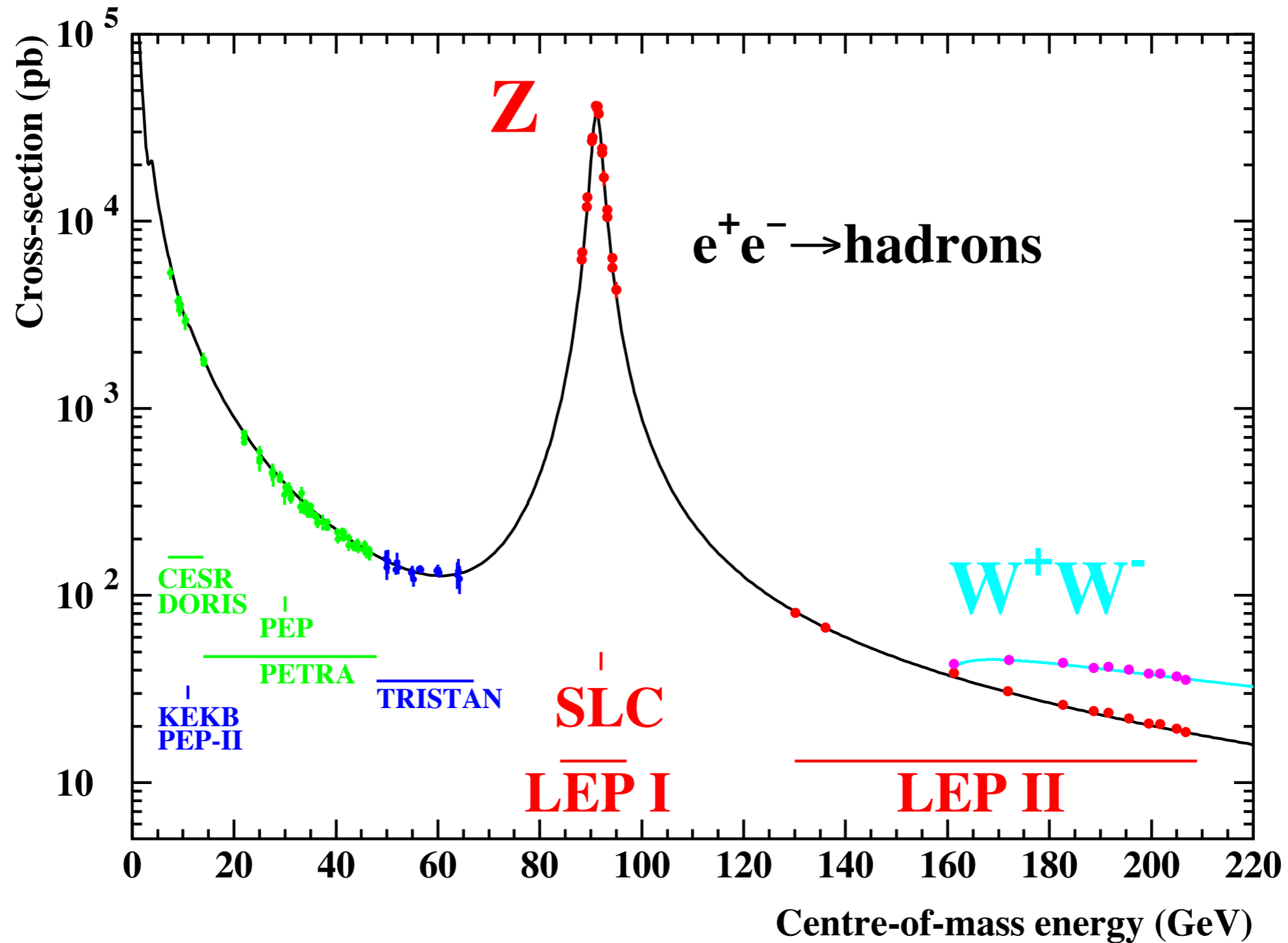
transition amplitude: $(\mathbf{T})_{fi} = \langle f | T \left[\int d^4x \mathcal{L}_{\text{int}}(\phi(x)) \right] | i \rangle$
 $= \mathcal{M}_{fi} \delta^{(4)}(\{k_i\} - \{k_f\})$,matrix element'

• cross section

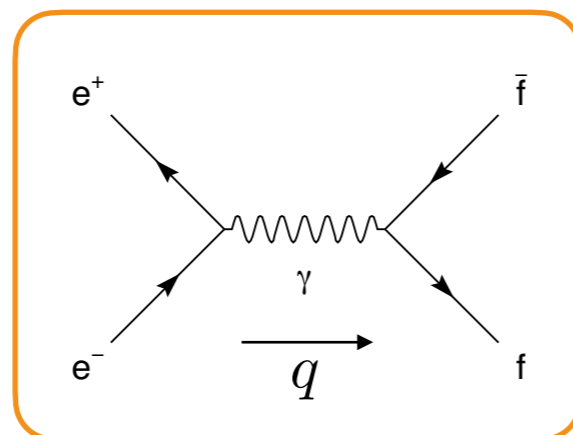
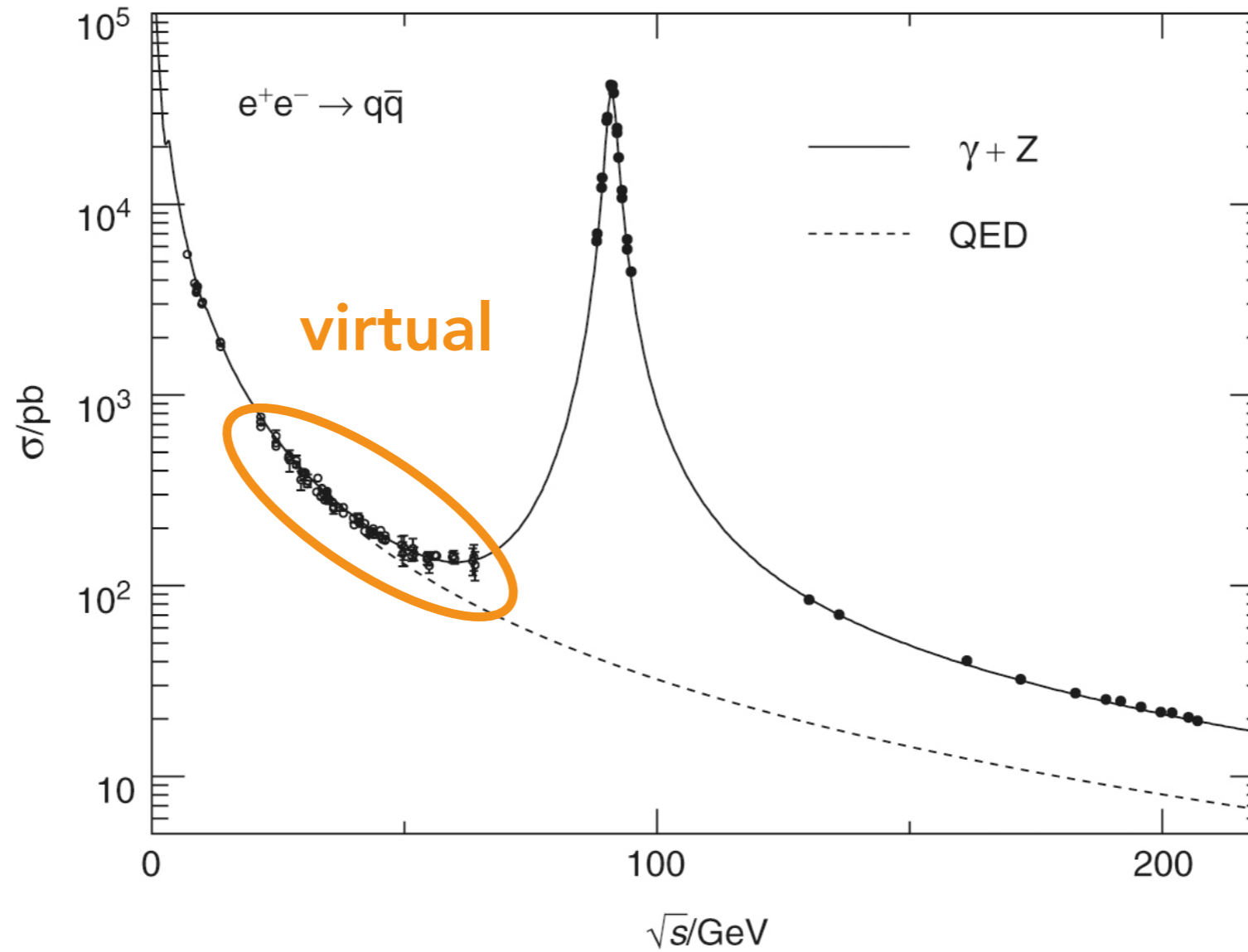
$$\sigma_{ij \rightarrow f} = \frac{1}{2E_i E_j |v_i - v_j|} \int d\Pi_n |\mathcal{M}(k_i, k_j \rightarrow \{k_f\})|^2$$

Why care about *effective* field theory?

Electroweak production of hadrons

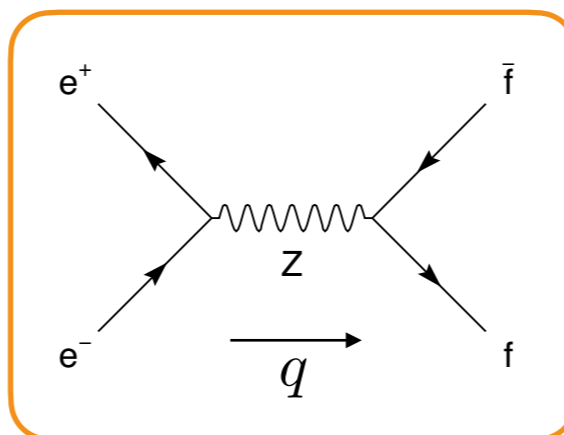
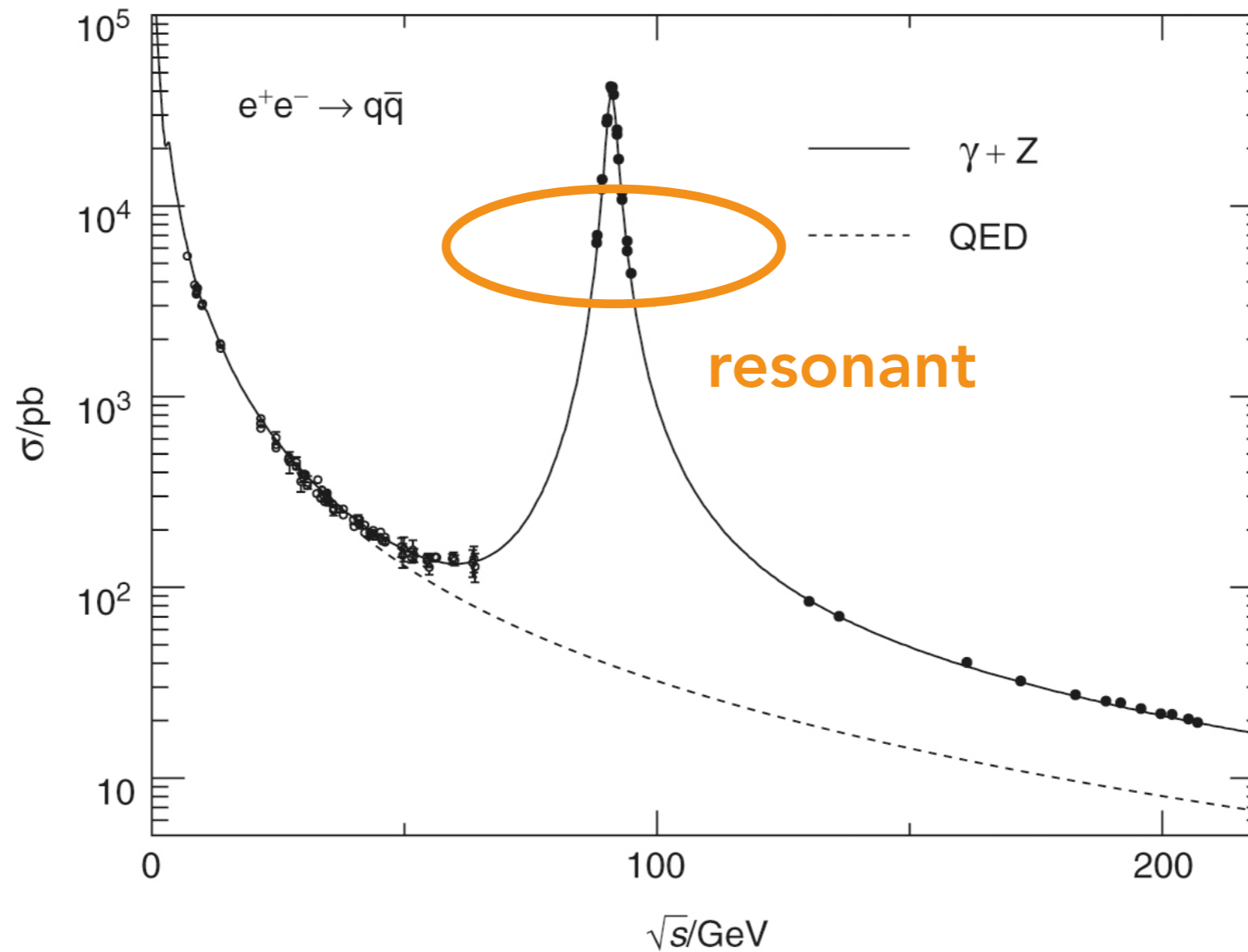


Electrodynamics



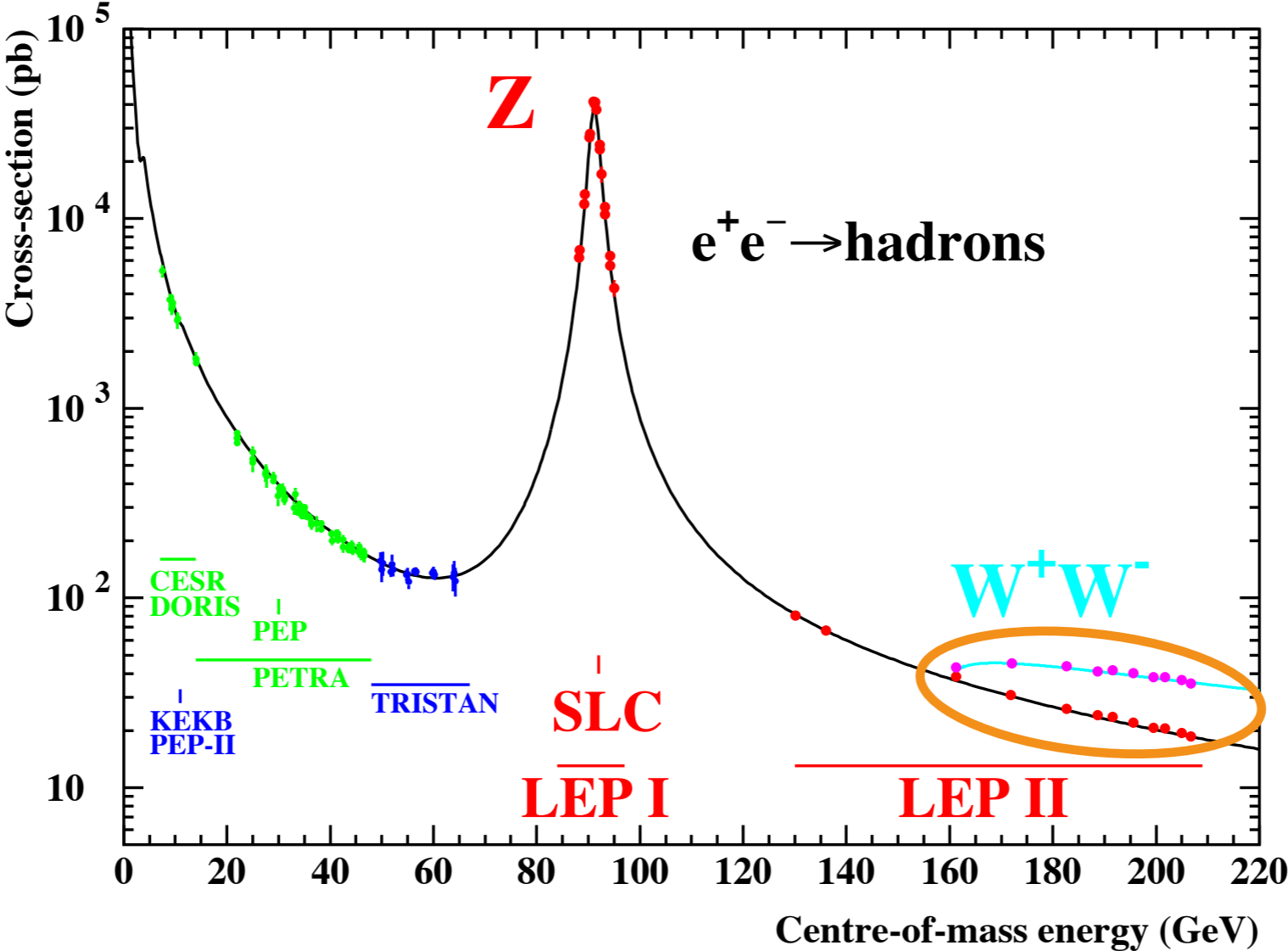
$$\mathcal{M} \sim \frac{1}{q^2}$$

Weak interactions

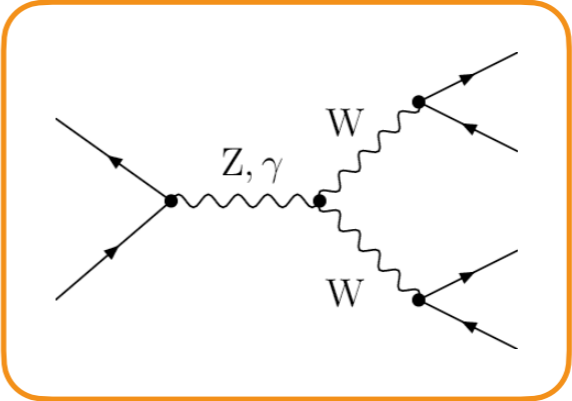


$$\mathcal{M} \sim \frac{1}{q^2 - m_Z^2 + im_Z\Gamma_Z}$$

W pair production

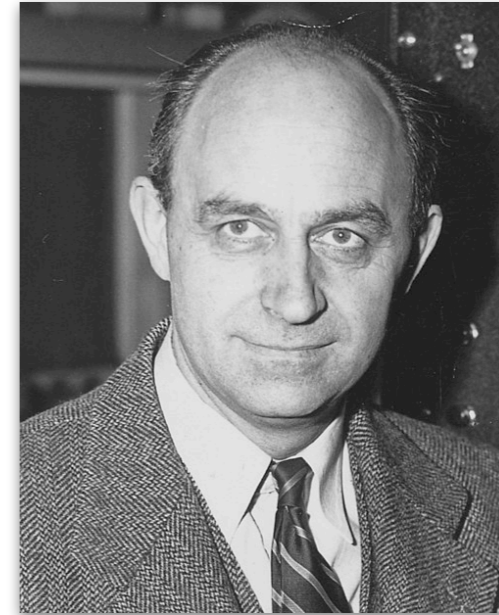
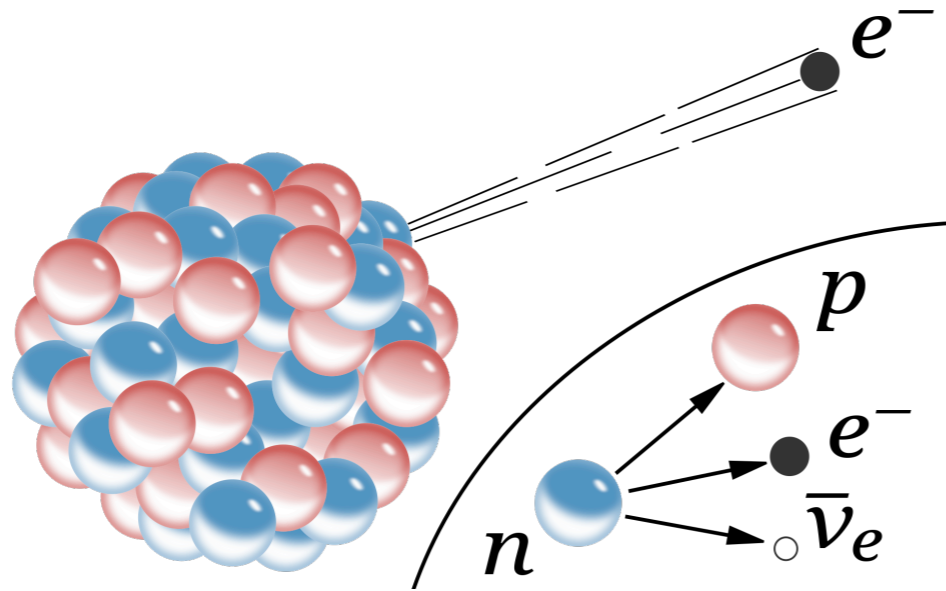


$$q^2 > (2m_W)^2 :$$



Fermi's theory of weak interactions

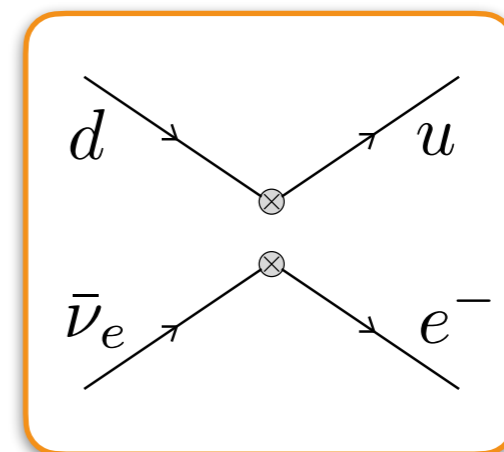
Beta decay:



Enrico Fermi

Fermi constant:

$$G_F = 1.166 \times 10^{-5} \text{ GeV}^{-2}$$

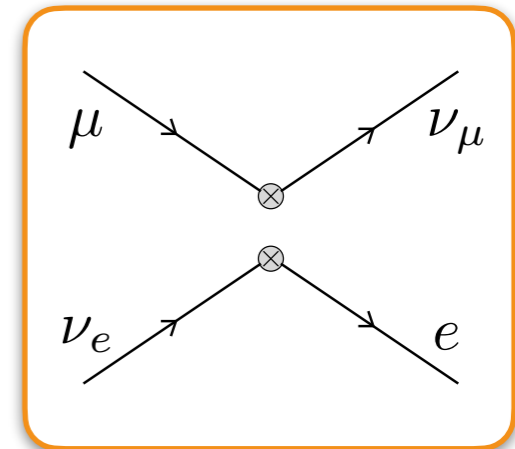


Muon Decay

$$\mu^- \rightarrow \nu_\mu e^- \bar{\nu}_e$$

Four-fermion coupling:

$$\mathcal{M}_{\text{eff}} = -i \frac{4G_F}{\sqrt{2}} (\bar{e}_L \gamma^\rho \nu_L) (\bar{\nu}_L \gamma_\rho \mu_L)$$



Muon Decay

$$\mu^- \rightarrow \nu_\mu e^- \bar{\nu}_e$$

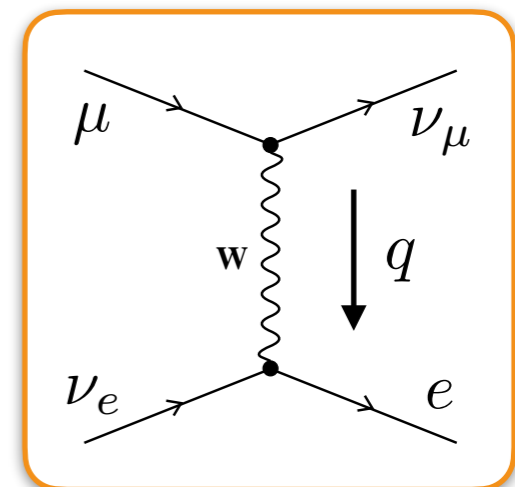
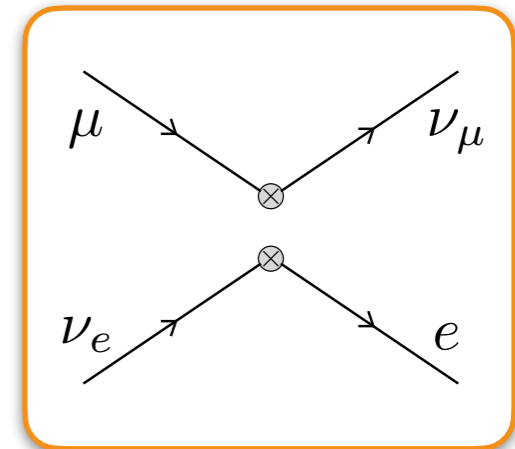
Four-fermion coupling:

$$\mathcal{M}_{\text{eff}} = -i \frac{4G_F}{\sqrt{2}} (\bar{e}_L \gamma^\rho \nu_L) (\bar{\nu}_L \gamma_\rho \mu_L)$$

- $q^2 \ll m_W^2$: $\frac{1}{q^2 - m_W^2} \approx -\frac{1}{m_W^2} \left[1 + \frac{q^2}{m_W^2} + \dots \right]$

Weak interaction:

$$\mathcal{M} = i \frac{g^2}{2} (\bar{e}_L \gamma^\rho \nu_L) \frac{g_{\rho\sigma}}{q^2 - m_W^2} (\bar{\nu}_L \gamma^\sigma \mu_L)$$

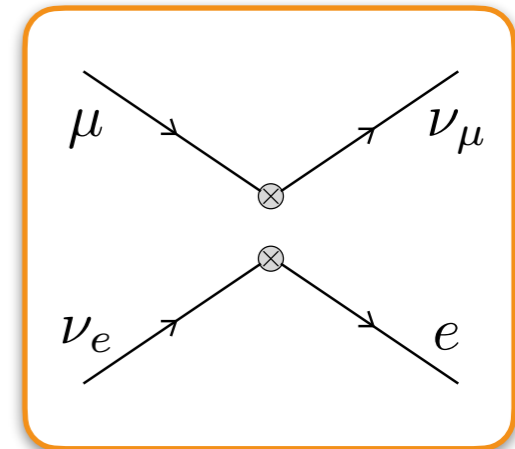


Muon Decay

$$\mu^- \rightarrow \nu_\mu e^- \bar{\nu}_e$$

Four-fermion coupling:

$$\mathcal{M}_{\text{eff}} = -i \frac{4G_F}{\sqrt{2}} (\bar{e}_L \gamma^\rho \nu_L) (\bar{\nu}_L \gamma_\rho \mu_L)$$

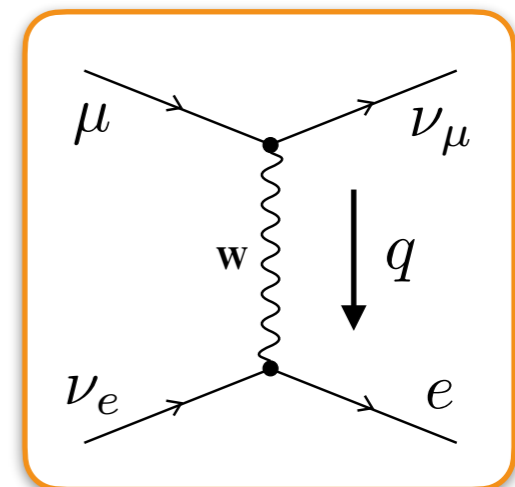


,matching':

$$G_F = \frac{\sqrt{2}g^2}{8m_W^2}$$

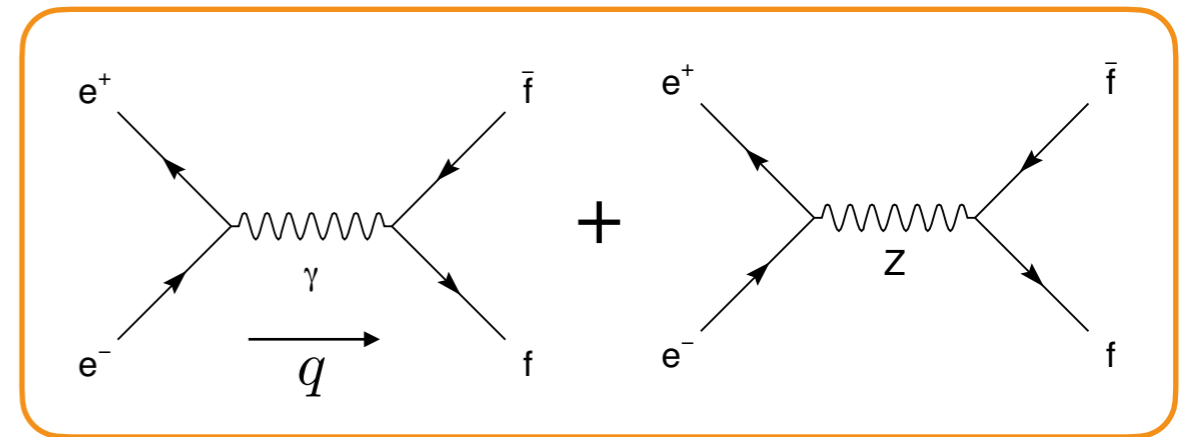
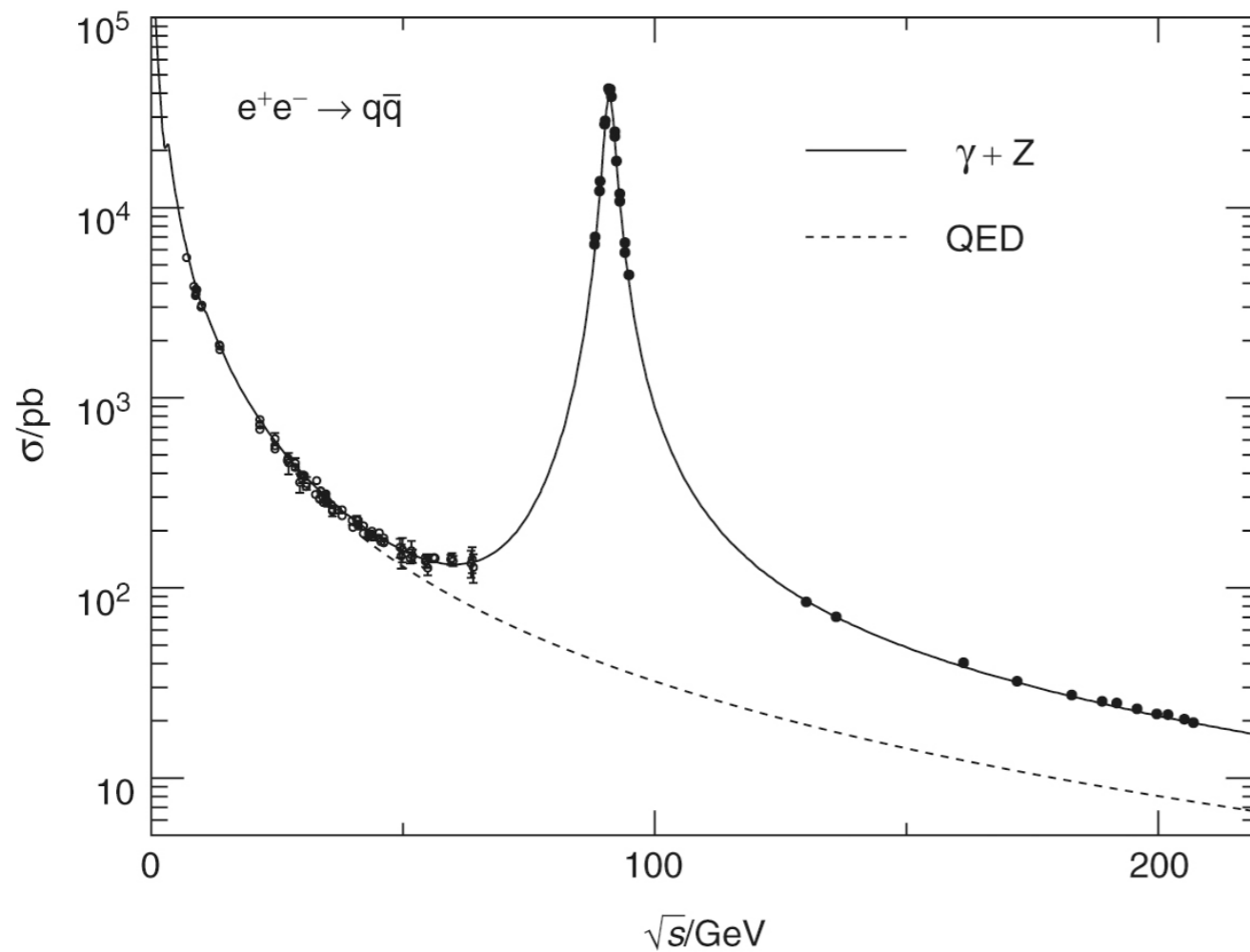
Weak interaction:

$$\mathcal{M} = i \frac{g^2}{2} (\bar{e}_L \gamma^\rho \nu_L) \frac{g_{\rho\sigma}}{q^2 - m_W^2} (\bar{\nu}_L \gamma^\sigma \mu_L)$$



Your turn: Be Fermi

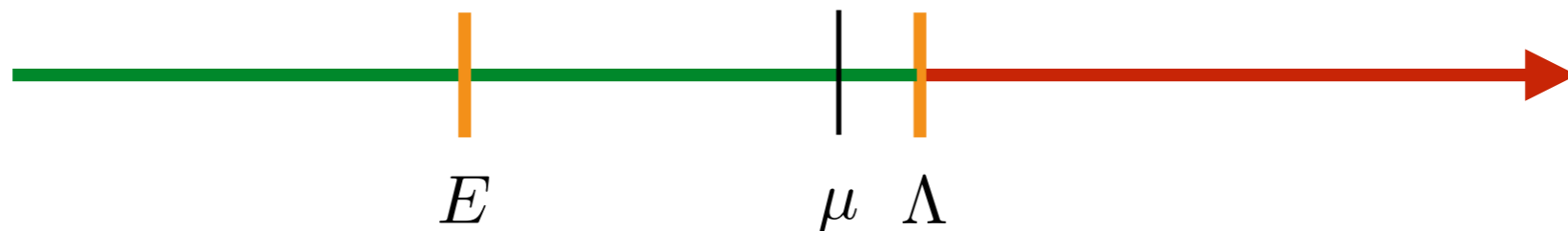
What is Fermi's theory for $e^+e^- \rightarrow q\bar{q}$
at energies $q^2 \ll m_Z^2$?



Effective Field Theory

approximation of full theory at experimentally relevant scales

- valid up to **cutoff scale** $\mu < \Lambda$



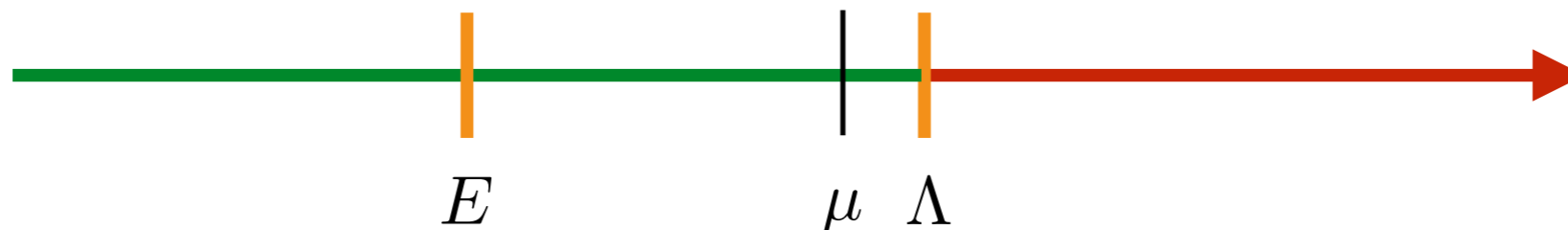
action in full theory:

$$\mathcal{S}(\phi) = \mathcal{S}(\phi_{E < \mu}, \phi_{E > \mu})$$

Effective Field Theory

approximation of full theory at experimentally relevant scales

- valid up to **cutoff scale** $\mu < \Lambda$



action in full theory:

$$\mathcal{S}(\phi) = \mathcal{S}(\phi_{E < \mu}, \phi_{E > \mu})$$

remove high ,frequencies': $\mathcal{S}_\mu(\phi_{E < \mu}) = \int d^4x \mathcal{L}_{\text{eff}}(x)$

- effective Lagrangian**

$$\mathcal{L}_{\text{eff}}(x) = \sum_i \frac{C_i}{\Lambda^{\gamma_i}} O_i(\phi_{E < \mu}(x))$$

Operator expansion

$$\mathcal{L}_{\text{eff}}(x) = \sum_i \frac{C_i}{\Lambda^{\gamma_i}} O_i(\phi_{E < \mu}(x))$$

O_i : **local operators** with mass dimension $\gamma_i + 4$

C_i : **Wilson coefficients**

Operator expansion

$$\mathcal{L}_{\text{eff}}(x) = \sum_i \frac{C_i}{\Lambda^{\gamma_i}} O_i(\phi_{E < \mu}(x))$$

O_i : **local operators** with mass dimension $\gamma_i + 4$

C_i : **Wilson coefficients**

• $\gamma_i > 0$: $[O_i] > 4 \longrightarrow \mathcal{L}_{\text{eff}} = \sum_i \frac{C_i}{\Lambda} O_i^{(5)} + \sum_j \frac{C_j}{\Lambda^2} O_j^{(6)} + \dots$

power counting:

$$[\mathcal{L}] \stackrel{!}{=} 4 \longrightarrow [F] = \frac{3}{2}, [B] = 1, [V_{\mu\nu}] = 2, [\partial_\mu] = 1$$

examples: $[(\bar{q}\gamma_\mu q)(\bar{\ell}\gamma^\mu \ell)] = 6$ $[(\bar{Q}H\sigma_{\mu\nu}q)F^{\mu\nu}] = 6$

Operator expansion

$$\mathcal{L}_{\text{eff}}(x) = \sum_i \frac{C_i}{\Lambda^{\gamma_i}} O_i(\phi_{E < \mu}(x))$$

O_i : **local operators** with mass dimension $\gamma_i + 4$

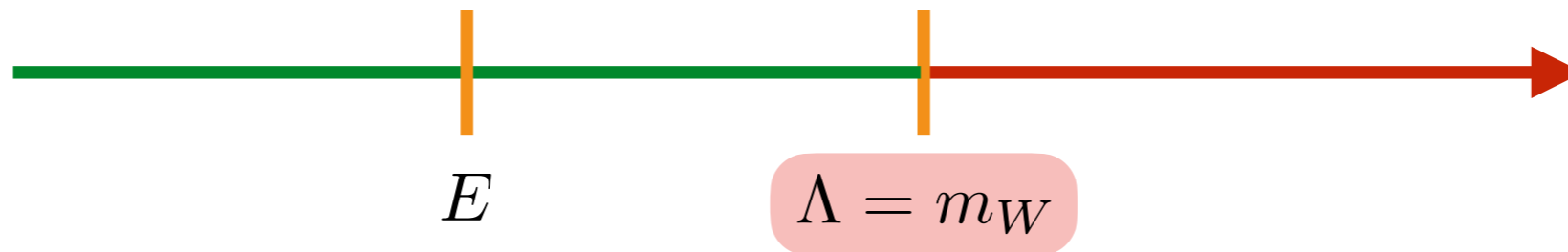
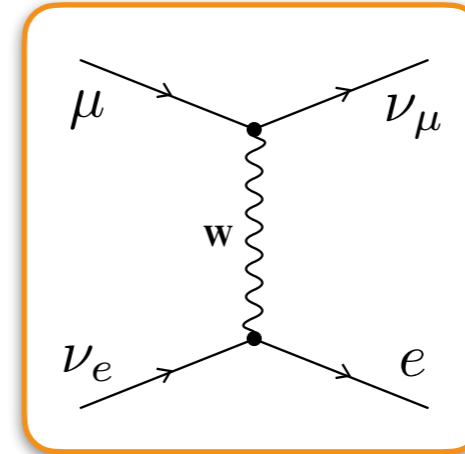
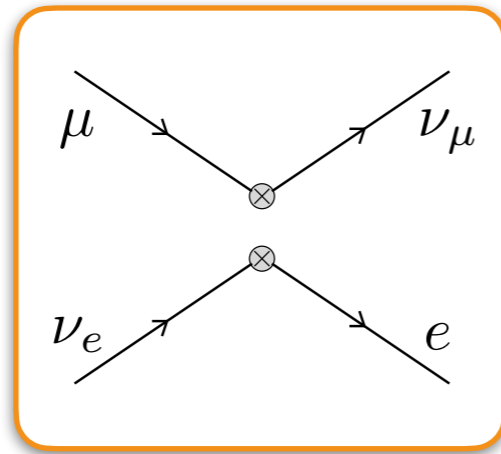
C_i : **Wilson coefficients**

• $\gamma_i > 0$: $[O_i] > 4 \longrightarrow \mathcal{L}_{\text{eff}} = \sum_i \frac{C_i}{\Lambda} O_i^{(5)} + \sum_j \frac{C_j}{\Lambda^2} O_j^{(6)} + \dots$

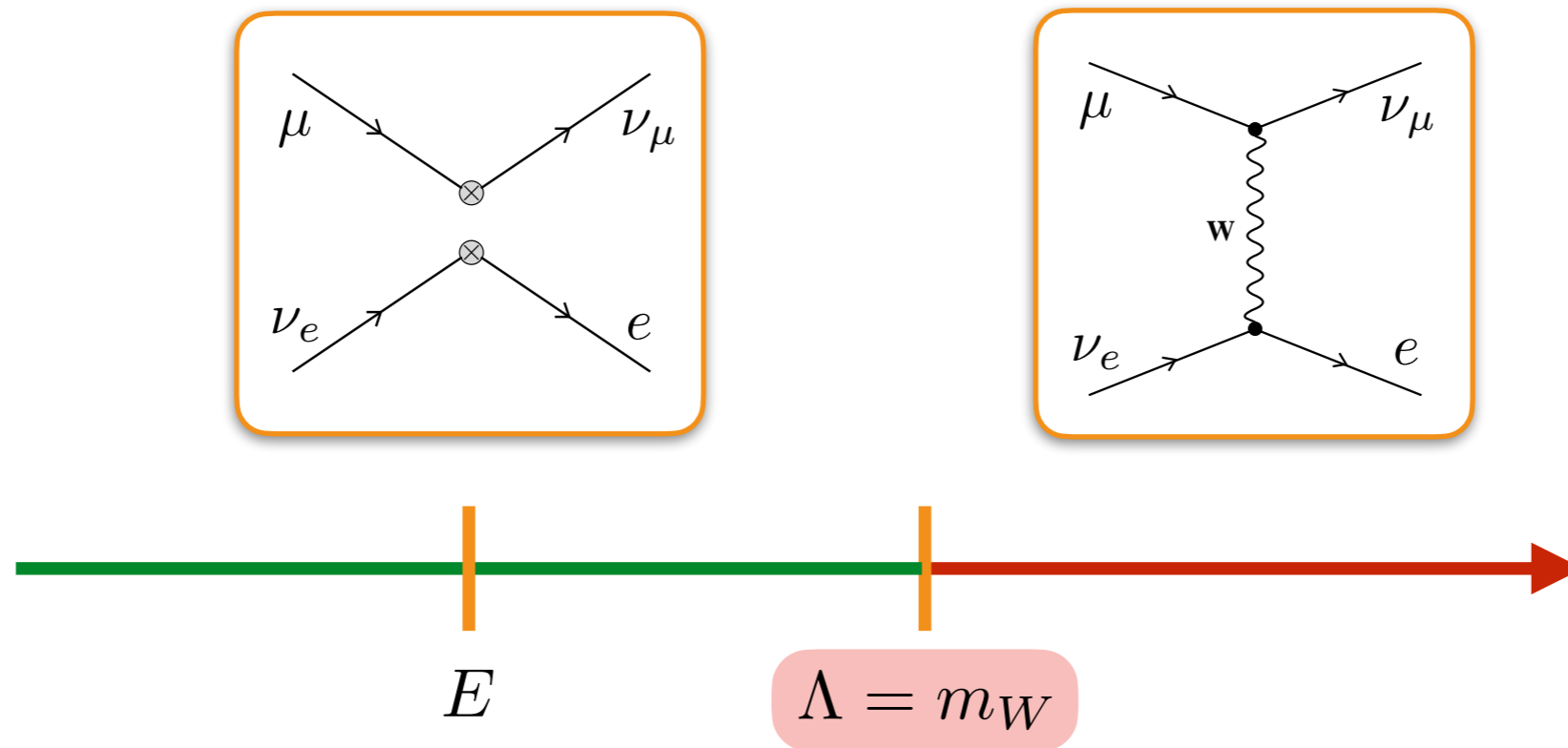
• in observables: $\sigma \sim C_i \left(\frac{E}{\Lambda}\right)^{\gamma_i} + \mathcal{O}(C_i^2)$

Operator effects are suppressed for $E \ll \Lambda$.

Interpretation: Fermi's theory



Interpretation: Fermi's theory



- effective Lagrangian

$$\mathcal{L}_{\text{eff}} = \sum_i \frac{C_i}{\Lambda^2} O_i^{(6)} + \dots = -\frac{g^2}{2m_W^2} (\bar{e}_L \gamma^\rho \nu_L) (\bar{\nu}_L \gamma_\rho \mu_L) + \dots$$

- weak effective interactions at low energies $E = m_\mu$:

$$\Gamma(\mu \rightarrow \nu_\mu e \bar{\nu}_e) \sim \left(\frac{m_\mu^2}{m_W^2} \right)^2 \longrightarrow G_F \cdot \text{GeV}^2 \ll 1$$

Summary Part I

Main features of an effective theory:

- good **approximation** of full theory at energies $E \ll \Lambda$
- **effective Lagrangian** describes particle interactions

$$\mathcal{L}_{\text{eff}} = \sum_i \frac{C_i}{\Lambda^{\gamma_i}} O_i$$

- series of **local operators** O_i with expansion parameter E/Λ
- operators respect **symmetries** of the full theory

Any effective theory is only valid up to cut-off.