

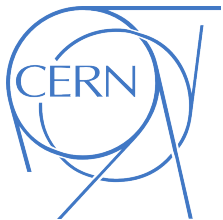
# Wash-in leptogenesis



Valerie Domcke  
CERN/EPFL

Nikhef colloquium,  
12.03.2020

mainly based on [arxiv:2011.09347](https://arxiv.org/abs/2011.09347)  
in collaboration with  
Kohei Kamada, Kyohei Mukaida, Kai  
Schmitz, Masaki Yamada



# introduction: baryogenesis



$$\frac{n_B - n_{\bar{B}}}{n_B + n_{\bar{B}}} \sim 10^{-9}$$

in the very early Universe



particles  $\gg$  anti-particles

$$\frac{n_B}{n_\gamma} \sim 10^{-9}$$

Sakherov '67

Sakherov conditions:  
(assuming CPT conservation)

- B violation
- C and CP violation
- departure from thermal equilibrium

# introduction: baryogenesis

## GUT baryogenesis

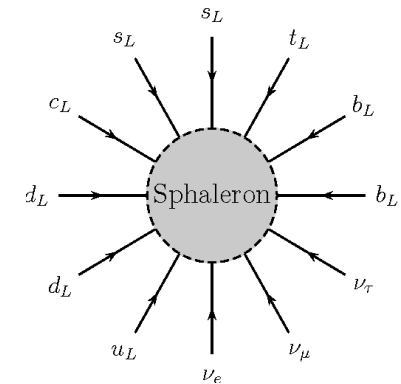
unification of forces

Yoshimura '78, Dimopoulos, Susskind '78

$$\begin{array}{ccc} \cancel{CP} \cancel{B+L} & & \\ X & \xrightarrow{y_t} & SM \end{array}$$

B + L asymmetry

But: non-perturbative sphaleron processes  
wash out B+L asymmetry [Kuzmin, Rubakov, Shaposhnikov '85](#)



# introduction: baryogenesis

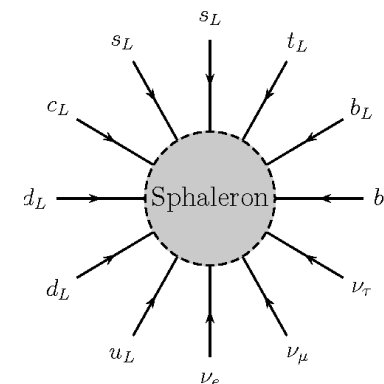
## GUT baryogenesis

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$$X \xrightarrow[\text{y}_t]{\cancel{CP} \cancel{B+L}} \text{SM} \quad \text{B + L asymmetry}$$

But: non-perturbative sphaleron processes wash out B+L asymmetry [Kuzmin, Rubakov, Shaposhnikov '85](#)



## Leptogenesis

neutrino masses

Fukugita, Yanagida '86

$$N_R \xrightarrow[\text{y}_N]{\cancel{CP} \cancel{L}} \ell\phi, \bar{\ell}\bar{\phi} \quad \text{B - L asymmetry}$$

- $N_R$  need to remain out of equilibrium ( $\rightarrow$  upper bound on  $y_N$ )
- sufficient CP violation requires  $M_N > 10^9$  GeV

[Davidson, Ibarra '02,](#)  
[Buchmüller, Bari, Plümacher '02](#)

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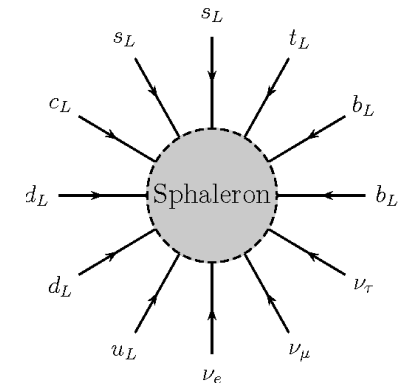
## GUT baryogenesis

unification of forces

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## Leptogenesis

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[Davidson, Ibarra '02,](#)  
[Buchmüller, Bari, Plümacher '02](#)

This talk: combine these two ideas

# Outline

- SM interactions and conserved charges
- Wash-in leptogenesis
- initial conditions: GUT baryogenesis & axion inflation
- (spontaneous baryogenesis)

# thermal bath: equilibrium solutions

## particle species

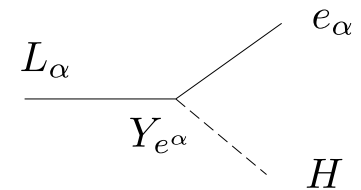
asymmetries described by chemical potentials  $n_i - n_{\bar{i}} = \mu_i T^2/6$

in the SM:  $i = \{e_\alpha, L_\alpha, u_\alpha, d_\alpha, Q_\alpha, H\}$

## interactions

eg lepton Yukawa:

$$\mu_{L_\alpha} - \mu_{e_\alpha} - \mu_H = 0 \quad \text{iff} \quad \Gamma_{Y_{e^\alpha}}/H \gg 1$$



## conserved quantities

# particle species - # (linearly independent) interactions = # conserved charges

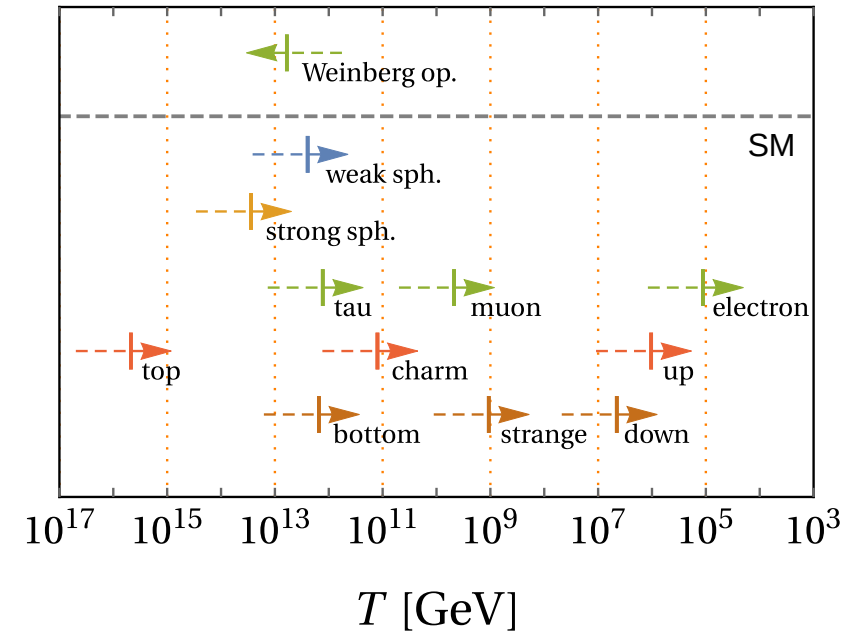
eg SM @ 1 TeV :  $16 - 12 = 4$  :  $B/3 - L_\alpha, Y$

(lepton flavour, hypercharge)

# SM interactions and conserved charges

VD, Ema, Mukaida, Masaki '20

- exactly conserved charges:  $B/3 - L_\alpha, Y$   
(lepton flavour, hypercharge)
- in the early Universe, SM interactions cannot keep up with expansion



→ additional approximately conserved charges:

	$T$ [GeV]	$y_e$	$y_{ds}$	$y_d$	$y_s$	$y_{sb}$	$y_\mu$	$y_c$	$y_\tau$	$y_b$	WS	SS	$y_t$
(v)	$(10^5, 10^6)$	$q_e$	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
(iv)	$(10^6, 10^9)$	$q_e$	$q_{2B_1 - B_2 - B_3}$	$q_{u-d}$	✓	✓	✓	✓	✓	✓	✓	✓	✓
(iii)	$(10^9, 10^{11-12})$	$q_e$	$q_{2B_1 - B_2 - B_3}$	$q_{u-d}$	$q_{d-s}$	$q_{B_1 - B_2}$	$q_\mu$	✓	✓	✓	✓	✓	✓
(ii)	$(10^{11-12}, 10^{13})$	$q_e$	$q_{2B_1 - B_2 - B_3}$	$q_{u-d}$	$q_{d-s}$	$q_{B_1 - B_2}$	$q_\mu$	$q_{u-c}$	$q_\tau$	$q_{d-b}$	$q_B$	✓	✓
(i)	$(10^{13}, 10^{15})$	$q_e$	$q_{2B_1 - B_2 - B_3}$	$q_{u-d}$	$q_{d-s}$	$q_{B_1 - B_2}$	$q_\mu$	$q_{u-c}$	$q_\tau$	$q_{d-b}$	$q_B$	$q_u$	✓

# conserved charges + # equilibrated interactions = # particle species = 16



# wash-in leptogenesis

initial condition + SM + right-handed neutrinos ( $M_N \gtrsim 100 \text{ TeV}$ )

$$B + L \neq 0 \quad \cancel{B + L} \quad \cancel{L}$$

→ 'wash-in' leptogenesis  $B - L \neq 0$

- RHN can thermalize
- $M_N$  as low as  $\sim 100 \text{ TeV}$  possible

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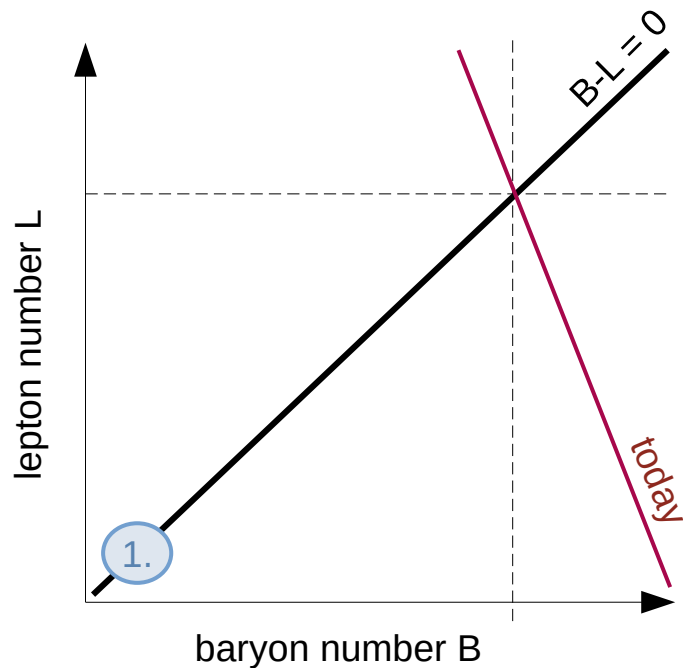
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1. initial condition (see later)

# wash-in leptogenesis

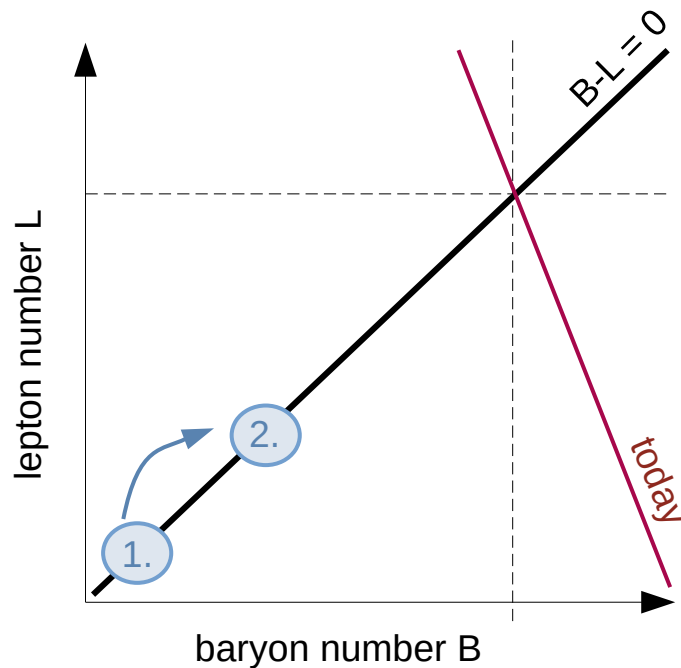
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1. initial condition (see later)
2. equilibrium including SM interactions.

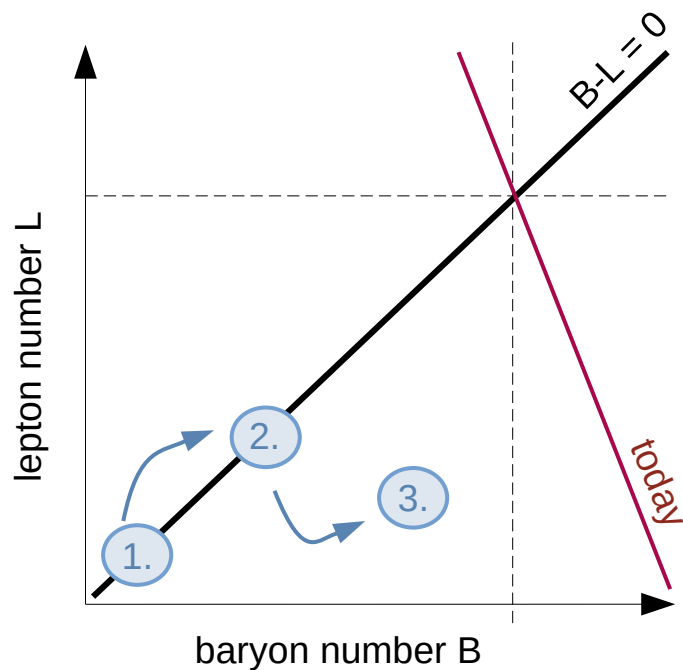
$B+L$  not fully erased due to  $q_e \neq 0$

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$B + L \neq 0$       ~~$B + L$~~       ~~$L$~~

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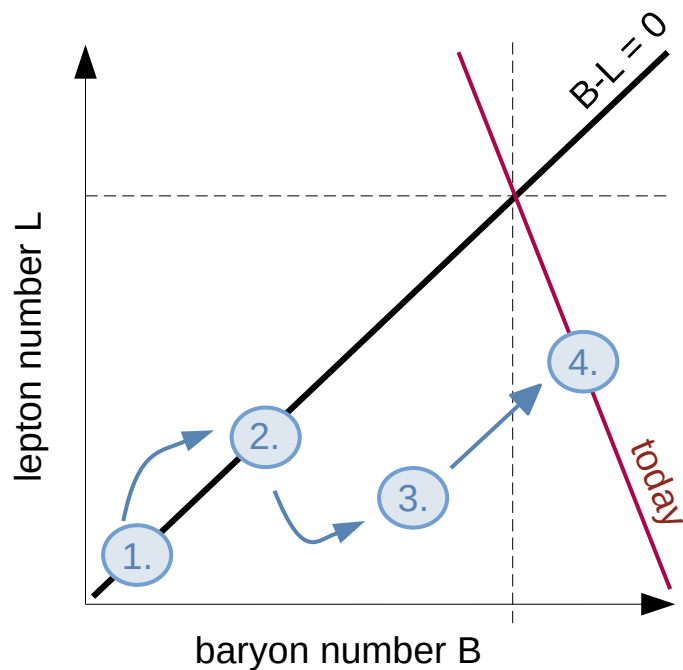
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 $B+L$  not fully erased due to  $q_e \neq 0$
3. equilibrium including RH neutrino

# wash-in leptogenesis

initial condition + SM + right-handed neutrinos ( $M_N \gtrsim 100$  TeV)

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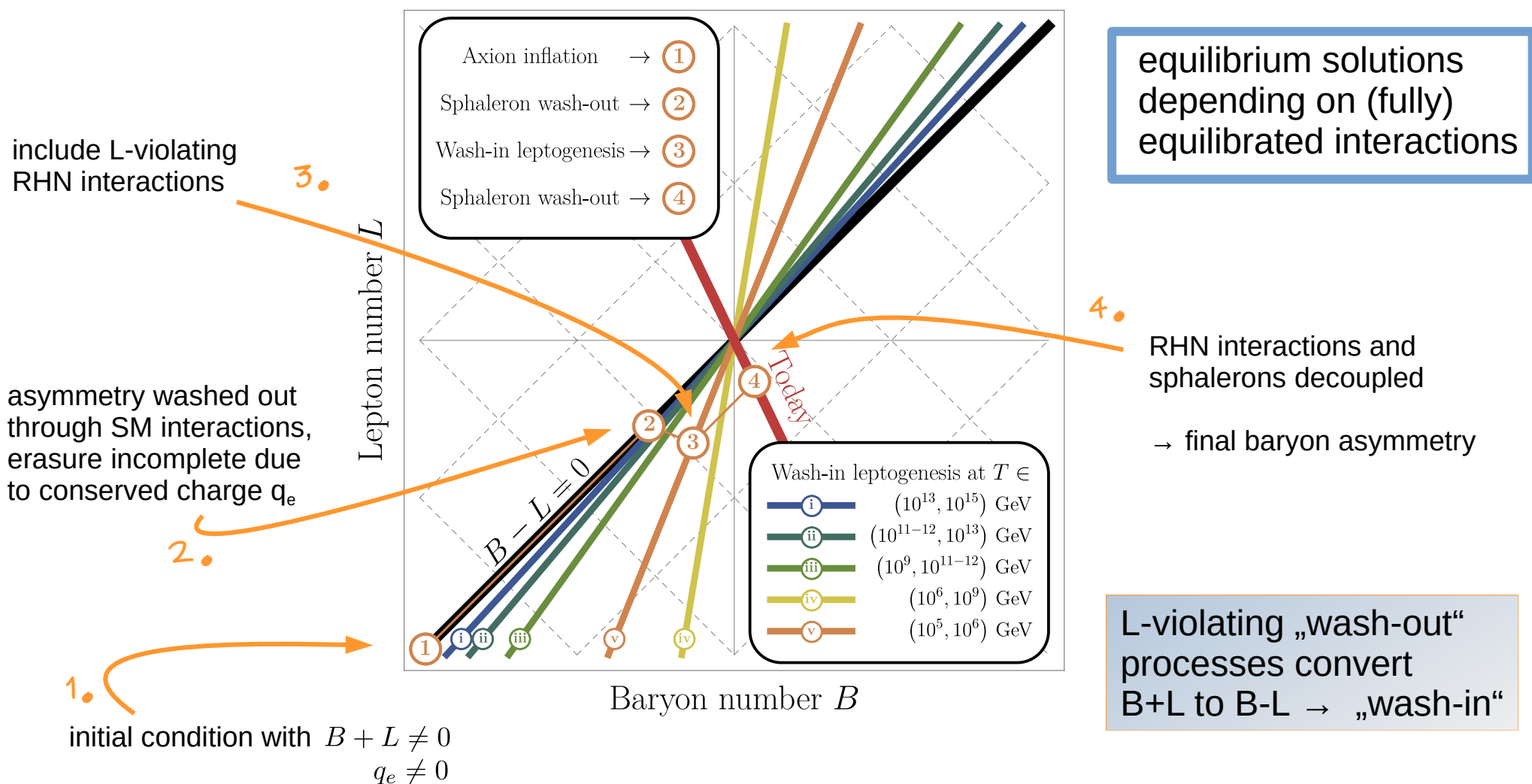
- RHN can thermalize
- $M_N$  as low as  $\sim 100$  TeV possible

1. initial condition (see later)
2. equilibrium including SM interactions.  
 $B+L$  not fully erased due to  $q_e \neq 0$
3. equilibrium including RH neutrino
4. RH neutrino and sphalerons decouple

# wash-in leptogenesis

wash-in leptogenesis with  $M_R \sim 10^{5...6}$  GeV (schematic) :

see also  
Cambell et al `93, Cline et al `94,  
Fukugita & Yanagida `02



# B-L asymmetry for strong washout

see also VD, Ema, Mukaida, Masaki '20

if the RHN is fully equilibrated, the B-L asymmetry (or any other charge) is obtained by solving an algebraic system of equations: (#)

$$q_{B-L}^{eq} = \sum_{C \neq \Delta_\alpha} x_C q_C \quad \text{with } C \text{ labelling conserved charges,} \quad \frac{q_B}{s} \Big|_{\text{today}} = \frac{12}{37} \frac{q_{B-L}^{eq} + q_{B-L}^{th}}{s}$$

	$T_{B-L}$ [GeV]	Index $\alpha$	$\mu_e$	$\mu_{2B_1-B_2-B_3}$	$\mu_{u-d}$	$\mu_{d-s}$	$\mu_{B_1-B_2}$	$\mu_\mu$	$\mu_{u-c}$	$\mu_\tau$	$\mu_{d-b}$	$\mu_B$	$\mu_u$	$\mu_{\Delta_\perp}$
(v)	$(10^5, 10^6)$	$e, \mu, \tau$	$-\frac{3}{10}$											
(iv)	$(10^6, 10^9)$	$e, \mu, \tau$	$-\frac{3}{17}$	0	$-\frac{7}{17}$									
(iii)	$(10^9, 10^{11-12})$	$\parallel \tau, \tau$	$\frac{142-225P_\tau}{247}$	0	$-\frac{123}{247}$	$-\frac{82}{247}$	$\frac{123}{494}$	$\frac{142-225P_\tau}{247}$						$\frac{225}{247}$
(ii)	$(10^{11-12}, 10^{13})$	$\parallel$	$-\frac{23P+7}{-23P+7}$	1	$-\frac{3}{5}$	$-\frac{1}{6}$	$-\frac{3}{494}$	$-\frac{23P+7}{-23P+7}$	$\frac{3}{10}$	$-\frac{23P+7}{30}$	$-\frac{4}{15}$	$\frac{23}{90}$		$\frac{23}{30}$
(i)	$(10^{13}, 10^{15})$	$\parallel$	$-\frac{30}{-3P+1}$	$\frac{5}{6}$	$-\frac{5}{6}$	$-\frac{1}{4}$	$-\frac{1}{4}$	$-\frac{30}{-3P+1}$	$\frac{10}{4}$	$-\frac{30}{-3P+1}$	$-\frac{1}{3}$	$\frac{1}{6}$	$\frac{1}{3}$	$\frac{3}{4}$

toolkit to convert any set of primordial asymmetries to final baryon asymmetry using RHN

- 
- (#) • SM interactions taken to be fully equilibrated or fully decoupled
  - only one relevant RHN
  - projection operators  $P, P_\tau$  are model dependent and encode the flavour decomposition of primordial asymmetries with respect to RHN wash-out
  - flavour coherence / decoherence taken into account

# B-L asymmetry for mild washout

Solve Boltzmann equations for RHN interactions:

$$-(\partial_t + 3H) q_{\Delta_\alpha} = \varepsilon_{1\alpha} \Gamma_1 (n_{N_1} - n_{N_1}^{\text{eq}}) - \sum_{\beta} \gamma_{\alpha\beta}^w \frac{\mu_{\ell_\beta} + \mu_\phi}{T}$$

$$q_{\Delta_\alpha}^{\text{win}} = \sum_{\beta} (\delta_{\alpha\beta} - E_{\alpha\beta}) q_{\Delta_\beta}^{\text{eq}} + \sum_{\beta} E_{\alpha\beta} q_{\Delta_\beta}^{\text{ini}} \frac{s}{s_{\text{ini}}}$$

$$E = \exp(-w K_1 P C)$$

$3\pi/4$   
for MB  
statistics

$\Gamma_1/H$   
decay  
parameter

$\Gamma_{\alpha\beta}^w = P_{\alpha\beta} \Gamma_w$   
flavour  
structure (RH)

$\mu_{\ell_\alpha} + \mu_\phi = -C_{\alpha\beta} \mu_{\Delta_\beta}$   
flavour  
coupling (LH)

- RHN as dynamical dof, with decays and inverse decays (vs Weinberg operator)
- including charged lepton flavour effects

reduces to equilibrium solution for  $\Gamma_1 \gg H$



# pros and cons of wash-in leptogenesis

- ✓ works for  $M_R \gtrsim 100$  TeV (equilibration of electron Yukawa)
- ✓ no CP violation in RHN sector required
- ✓ can be straightforwardly applied to various models generating primordial asymmetries
- ✗ not a complete model itself, requires non-trivial initial conditions

depending on distribution of initial asymmetries

CP violation provided by initial conditions

eg GUT baryogenesis

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- SM interactions and conserved charges
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- initial conditions: GUT baryogenesis & axion inflation
- (spontaneous baryogenesis)

# GUT baryogenesis

see also Fukugita & Yanagida '02

eg SU(5) unification

$$H^c \rightarrow \bar{Q}_3 \bar{Q}_3, t\tau, Q_3 \ell_\tau, \bar{t}\bar{b}$$

$$B - L = 0, \quad B + L \neq 0$$

→ wash-in leptogenesis works for  $M_R \gtrsim 10^{11}$  GeV (tau Yukawa equilibration)

projection operators

direction of  $N_1$  washout:

$$N_1 \rightarrow \ell\phi$$

$$h_{\parallel} \ell_{\parallel} = h_1^e \ell_e + h_1^\mu \ell_\mu + h_1^\tau \ell_\tau, \quad h_{\parallel\tau} \ell_{\parallel\tau} = h_1^e \ell_e + h_1^\mu \ell_\mu$$

$$h_{\parallel}^2 = |h_1^e|^2 + |h_1^\mu|^2 + |h_1^\tau|^2,$$

$$h_{\parallel\tau}^2 = |h_1^e|^2 + |h_1^\mu|^2$$

initial asymmetries:

$$\bar{e} = c_e e + c_\mu \mu + c_\tau \tau, \quad \bar{e}_\tau = c_e^\tau e + c_\mu^\tau \mu$$

projection operators:

$$P = |h_1^e c_e^* + h_1^\mu c_\mu^* + h_1^\tau c_\tau^*|^2 / |h_{\parallel}|^2, \quad P_\tau = |h_1^e c_e^{\tau*} + h_1^\mu c_\mu^{\tau*}|^2 / |h_{\parallel\tau}|^2$$

# „axion“ inflation

a minimal setup for SM + inflation:

axion with scalar potential
(hyper charge) U(1) gauge field
massless (SM) fermions
axion gauge field coupling

$$\mathcal{L} = \sqrt{-g} \left[ \frac{1}{2} \partial^\mu \phi \partial_\mu \phi - V(\phi) \right] - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \sum_\alpha \bar{\psi}_\alpha (i \partial \cdot \gamma - g Q A \cdot \gamma) \psi_\alpha + \frac{\alpha \phi}{4\pi f_a} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

after chiral fermion rotation:
shift-symmetric coupling to  $\phi$

$$\underline{(\partial_\mu \phi) \bar{\psi} \gamma^\mu \gamma^5 \psi}$$

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→ axion – hypercharge coupling leads to exponential helical gauge field production (ignoring fermion backreaction for the moment):

$$\frac{d^2}{d\tau^2} A_{\pm}(\tau, k) + \left[ k^2 \pm 2k \frac{\xi}{\tau} \right] A_{\pm}(\tau, k) = 0, \quad \xi = \frac{\alpha \dot{\phi}}{2H f_a}$$

Turner, Widrow '88  
Garretson, Field, Carroll '92

# fermion production in axion inflation

VD, Mukaida '18

## helical gauge field production

- one gauge field helicity acquires tachyonic mass
- parallel E & B fields, constant & homogeneous on scales  $\ll H^{-1}$

## (chiral) fermion production

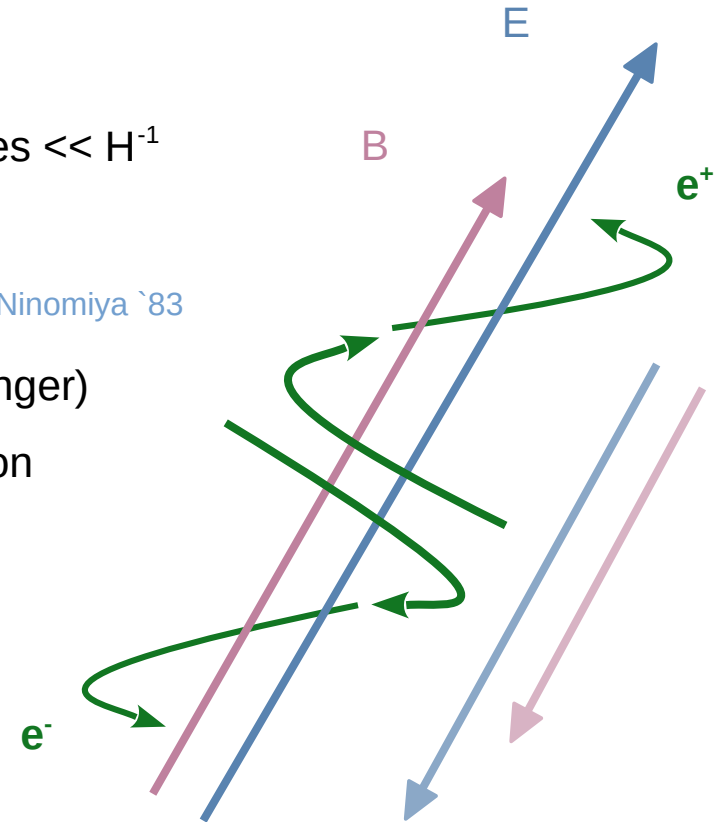
Nielsen, Ninomiya '83

- fermion production in constant E,B background (Schwinger)
- asymmetric production consistent with anomaly equation

## backreaction on gauge field production

- fermions are accelerated in gauge field background
- induced current inhibits gauge field production

$$\square A^\nu - \partial_\mu \left( \frac{\alpha\phi}{\pi f_\alpha} \tilde{F}^{\mu\nu} \right) - gQ J_\psi^\nu = 0$$



dual production of helical gauge fields and chiral fermions

# wash-in leptogenesis after axion inflation

VD, Mukaida `18; VD, von Harling, Morgante, Mukaida `19

chemical potentials for all SM particles according to their hypercharge:

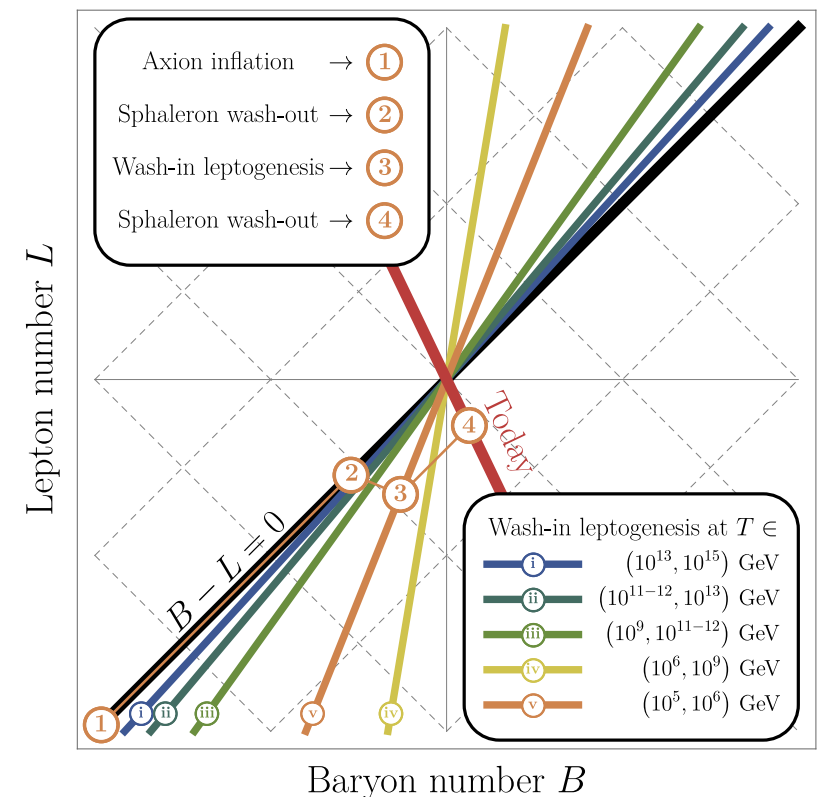
$$\frac{\mu_i}{T} = \pm 3g_i(Q_i^Y)^2 \alpha_Y \frac{(\mathbf{A}_Y \cdot \mathbf{B}_Y)_{\text{rh}}}{\pi T^3}$$

includes in particular RH electron

→ wash-in leptogenesis works for  $M_R \gtrsim 100$  TeV

$$\frac{\mu_{B-L}^{\text{win}}}{T} = \frac{9}{10} \alpha_Y \frac{(\mathbf{A}_Y \cdot \mathbf{B}_Y)_{\text{rh}}}{\pi T^3}$$

- additional contribution from baryogenesis from decaying helical hypermagnetic fields possible, see [VD, von Harling, Morgante, Mukaida `19](#)
- here we assume  $\mu_e/T$  to be small, avoiding anomalous violation through the chiral plasma instability



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- SM interactions and conserved charges
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- (spontaneous baryogenesis)



# spontaneous baryogenesis

## wash-in leptogenesis

initial asymmetries  
in conserved charges



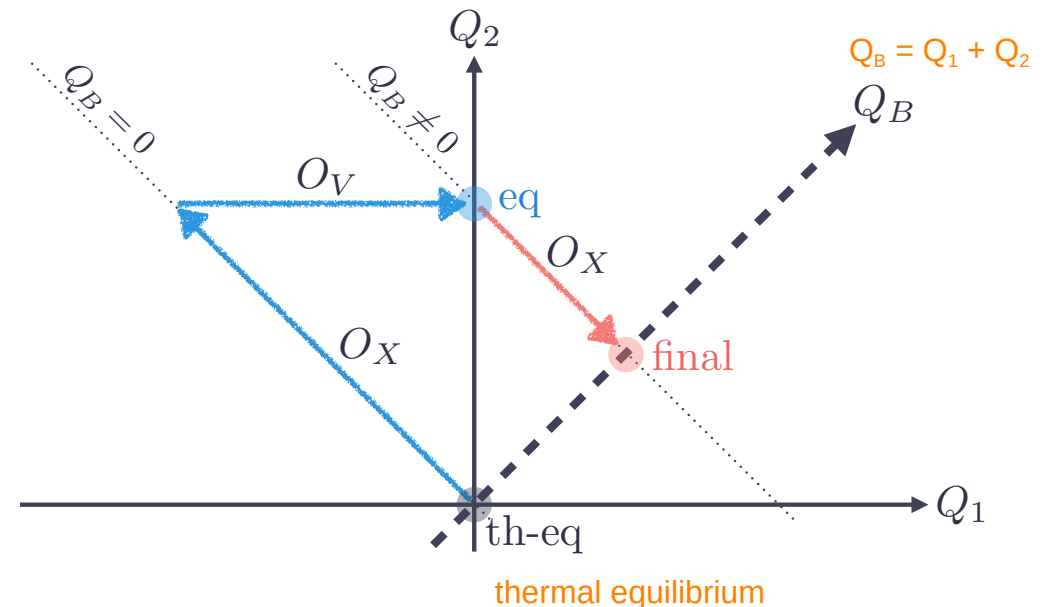
## spontaneous baryogenesis

chemical potentials induced  
by rolling axion field

Cohen, Kaplan '87, '88

- rolling of axion needs to happen when B,L violating processes are active (eg Weinberg operator, sphalerons)
- due to SM equilibration processes, no direct axion coupling to these processes needed, eg
  - ✓ any generic axion couplings
  - ✓ only axion-gluon coupling  
see also Co, Harigaya '19
- formalism inherently invariant under chiral fermion rotations

VD, Ema, Mukaida, Yamada '20



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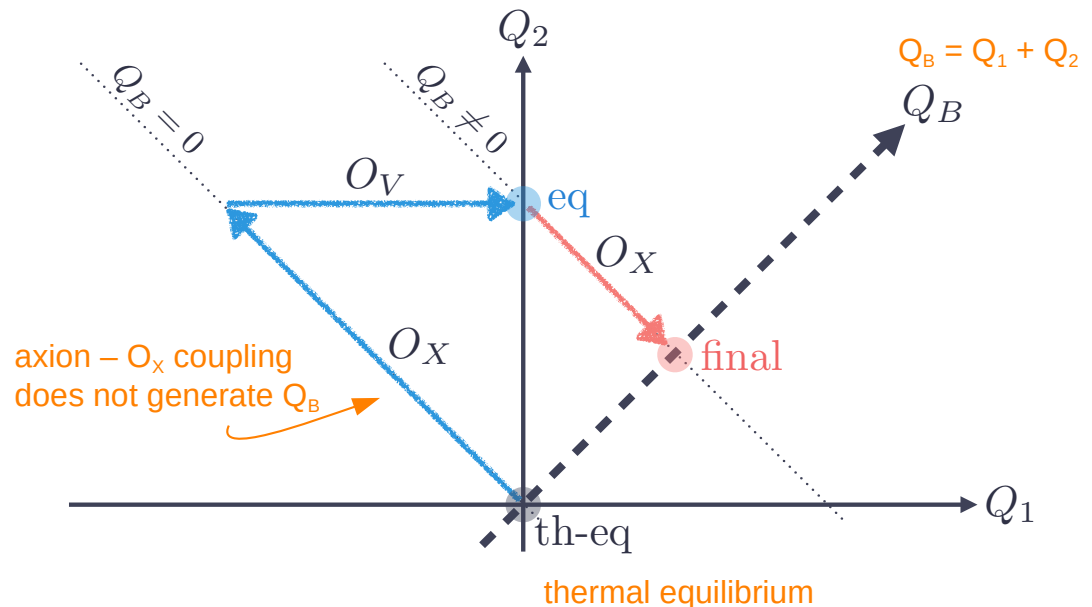
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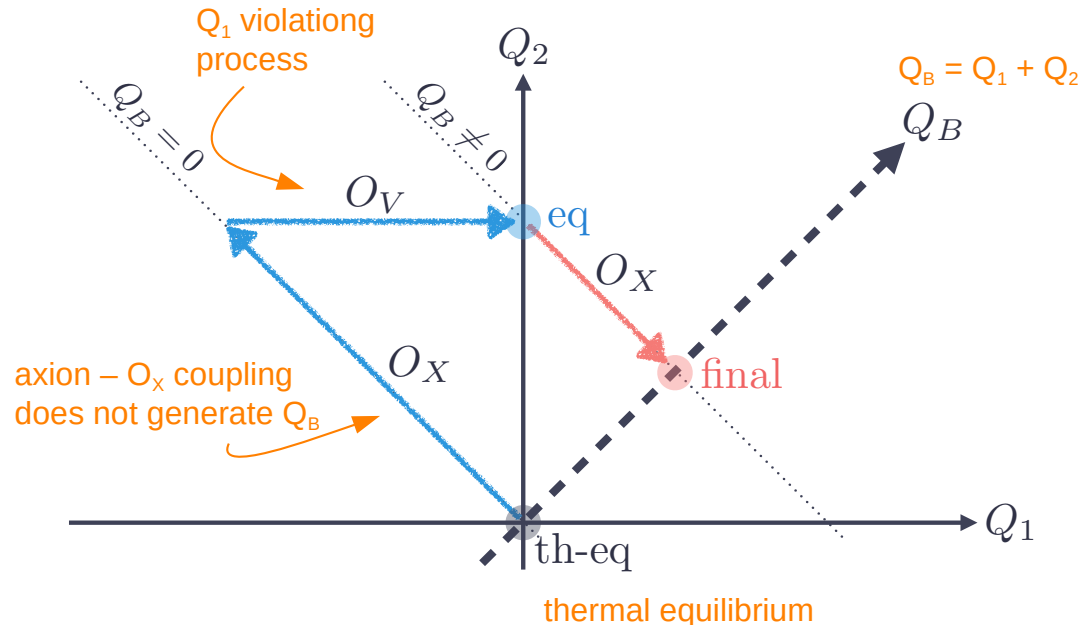
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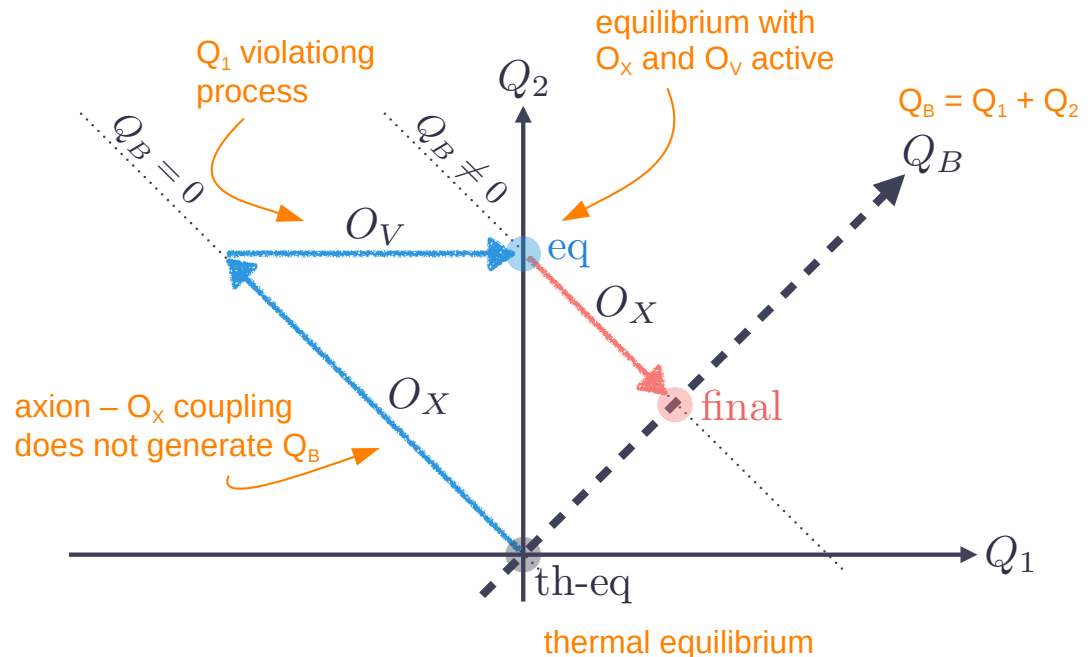
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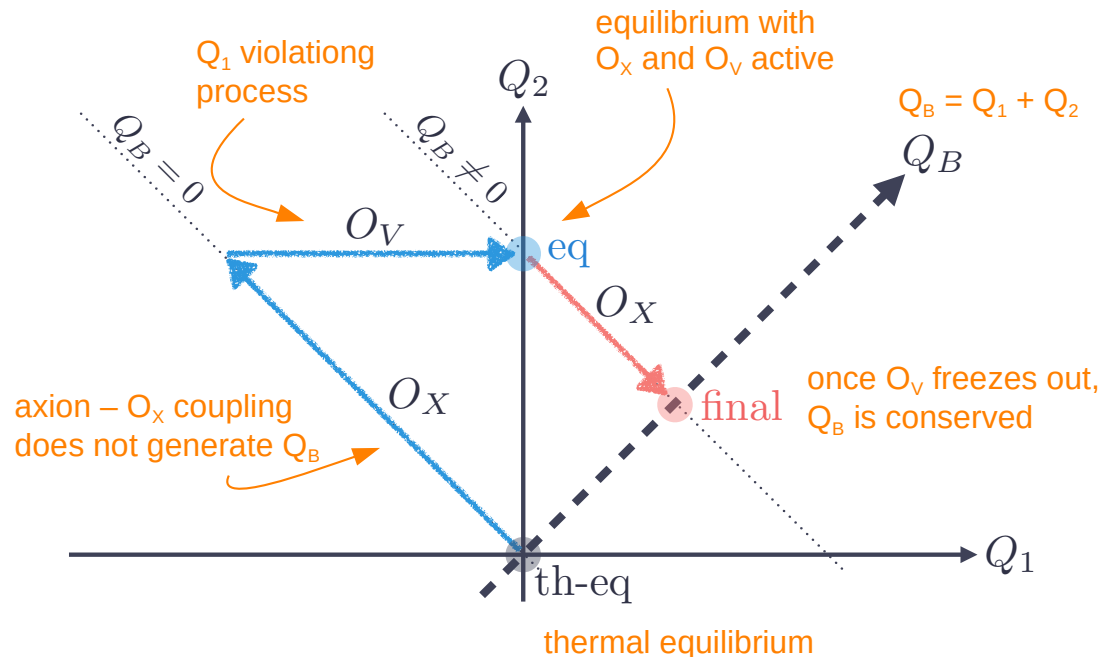
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see also [Co, Harigaya '19](#)

- formalism inherently invariant under chiral fermion rotations

[VD, Ema, Mukaida, Yamada '20](#)



# conclusions

- right-handed neutrinos are a minimal extension of the SM, elegantly explaining neutrino masses
- they may be responsible for the observed baryon asymmetry via standard thermal leptogenesis
- they also interfere with other baryogenesis mechanisms in a non-trivial way, rescuing eg GUT baryogenesis
- we provide an explicit toolkit to apply to a wide range of models and temperature regimes



## Overview

## Registration

## Participant List

## Videoconference Rooms

This virtual Zoom workshop aims at discussing the transition to a sustainable future in the field of high-energy physics (HEP), in particular, changes in our travel culture, based on some of the crucial lessons we learned during 2020: Online formats can be a viable alternative to traditional in-person meetings and enable broader participation and inclusion of previously underrepresented groups of researchers. At the same time, efficient communication and networking can be challenging in online formats. The workshop will therefore bring together various perspectives to develop a balanced and deliberate approach to our post-pandemic travel culture and its connection to the questions of climate action, sustainability, and social justice. The workshop will take place from 3 to 7 pm CEST on Monday through Wednesday. The program will consist of impulse talks, panel discussions, a best-practice examples session, and asynchronous flash talks accompanied by a discussion forum on Mattermost: [mattermost.web.cern.ch/sustainable-hep](https://mattermost.web.cern.ch/sustainable-hep) (not open yet). All talks will be recorded and made available to the participants for the duration of the workshop, so as to allow for participation from all time zones.

### Impulse talk: Monday, June 28th

**Kenneth Hiltner** (English and Environmental Studies, University of California, Santa Barbara)

### Panel 1: Monday, June 28th

#### The Challenge for Institutions

- Susann Görlinger (ETH Zurich)
- Jan Louis (DESY, University of Hamburg)
- Rob Myers (Perimeter Institute)
- ...

### Panel 2: Tuesday, June 29th

#### Social-Justice Dimension of Online Formats

- Clifford Johnson (University of Southern California)
- Prince Osei (African Institute for Mathematical Sciences, Quantum Leap Africa)
- Fernando Quevedos (University of Cambridge)
- Sumati Surya (Raman Research Institute)

### Best-Practice Examples:

- Rachel Grange (ETH Zurich)
- Shaun Hotchkiss (University of Auckland)
- Rogerio Rosenfeld (ICTP São Paulo)
- Michael Spannowsky (University of Durham)
- ...

registration open @  
<https://indico.cern.ch/event/1004432/>

### Organizers:

Niklas Beisert (ETH Zurich)  
Valerie Domcke (CERN/EPFL)  
Astrid Eichhorn (CP3 Origins)  
Kai Schmitz (CERN)