Helicity Evolution at Small x

Yuri Kovchegov The Ohio State University work with Dan Pitonyak and Matt Sievert, arXiv:1511.06737 [hep-ph] + in preparation

Outline

- Unpolarized small-x evolution: an overview
- Helicity quark TMD at small-x
- Small-x evolution for the "polarized dipole":
 - New helicity evolution evolution equations at small x
 - Large-N_c limit
 - Large $N_c \& N_f$ limit
- Solution of the large-N_c evolution equations:
 - small-x asymptotics of the g₁ structure function, quark hPDFs and helicity TMDs.

Unpolarized DIS: Small-x Evolution

Dipole picture of DIS

- In the dipole picture of DIS the virtual photon splits into a quark-antiquark pair, which then interacts with the target.
- The total DIS cross section and structure functions are calculated via:



Dipole Amplitude

• The total DIS cross section is expressed in terms of the (Im part of the) forward quark dipole amplitude N:

Dipole Amplitude

• The quark dipole amplitude is defined by

$$N(\underline{x}_1, \underline{x}_2) = 1 - \frac{1}{N_c} \left\langle \operatorname{tr} \left[V(\underline{x}_1) \, V^{\dagger}(\underline{x}_2) \right] \right\rangle$$

• Here we use the Wilson lines along the light-cone direction

$$V(\underline{x}) = \operatorname{P} \exp \left[i g \int_{-\infty}^{\infty} dx^{+} A^{-}(x^{+}, x^{-} = 0, \underline{x}) \right]$$

• In the classical Glauber-Mueller/McLerran-Venugopalan approach the dipole amplitude resums multiple rescatterings:



Dipole Amplitude

 The energy dependence comes in through nonlinear small-x BK/JIMWLK evolution, which resums the long-lived s-channel gluon corrections:



Notation (Large-N_C)



Real emissions in the amplitude squared

(dashed line – all Glauber-Mueller exchanges at light-cone time =0)

Virtual corrections in the amplitude (wave function)



Nonlinear Evolution

To sum up the gluon cascade at large- N_c we write the following equation for the dipole S-matrix:



$$\partial_Y S_{\mathbf{x}_0,\mathbf{x}_1}(Y) = \frac{\alpha_s N_c}{2\pi^2} \int d^2 x_2 \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \left[S_{\mathbf{x}_0,\mathbf{x}_2}(Y) S_{\mathbf{x}_2,\mathbf{x}_1}(Y) - S_{\mathbf{x}_0,\mathbf{x}_1}(Y) \right]$$

Remembering that S= 1-N we can rewrite this equation in terms of the dipole scattering amplitude N.

Nonlinear evolution at large N_c

As N=1-S we write



 $\partial_Y N_{\mathbf{x}_0,\mathbf{x}_1}(Y) = \frac{\alpha_s N_c}{2 \pi^2} \int d^2 x_2 \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \left[N_{\mathbf{x}_0,\mathbf{x}_2}(Y) + N_{\mathbf{x}_2,\mathbf{x}_1}(Y) - N_{\mathbf{x}_0,\mathbf{x}_1}(Y) - N_{\mathbf{x}_0,\mathbf{x}_2}(Y) N_{\mathbf{x}_2,\mathbf{x}_1}(Y) \right]$ Balitsky '96, Yu.K. '99

Helicity Observables

Yu.K., M. Sievert, arXiv:1505.01176 [hep-ph] Yu.K., D. Pitonyak, M. Sievert, arXiv:1511.06737 [hep-ph]

Proton Spin



Our understanding of nucleon spin structure has evolved:

- In the 1980's the proton spin was thought of as a sum of constituent quark spins (left panel)
- Currently we believe that the proton spin is a sum of the spins of valence and sea quarks and of gluons, along with the orbital angular momenta of quarks and gluons (right panel)

Proton Spin Puzzle

- Helicity sum rule:
$$\frac{1}{2} = S_q + L_q + S_g + L_g$$

with the net quark and gluon spin

$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \,\Delta\Sigma(x, Q^2) \qquad S_g(Q^2) = \int_0^1 dx \,\Delta G(x, Q^2)$$

• The helicity parton distributions are (f = G, u, d, s, ...)

$$\Delta f(x, Q^2) \equiv f^+(x, Q^2) - f^-(x, Q^2)$$

with the net quark helicity distribution

$$\Delta \Sigma \equiv \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s}$$

• L_q and L_g are the quark and gluon orbital angular momenta

EIC & Spin Puzzle

- Parton helicity distributions are sensitive to low-x physics.
- EIC would have an unprecedented low-x reach for a spin DIS experiment, allowing to pinpoint the values of quark and gluon contributions to proton's spin:



• ΔG and $\Delta \Sigma$ are integrated over x in the 0.001 < x < 1 interval.

Gluon Polarization

Recent data from RHIC appears to indicate that the net proton spin carried by the gluons S_g is non-zero, and in fact mainly comes from the small- to moderate-x region. EIC would help to measure gluon polarization at small x with unprecedented precision.

$$S_g(Q^2) = \int_0^1 dx \,\Delta G(x, Q^2)$$



Transverse Momentum Distributions (TMDs)

• Here are the quark TMDs in a proton:

Leading Twist TMDs

→ Nucleon Spin

→) Quark Spin



SIDIS on a Spin-Dependent Target

To transfer spin information between the polarized target and the produced quark we either need to exchange quarks in the t-channel, or non-eikonal gluons.

Here's an example of the quark exchange (we work in the $A^+=0$ light cone gauge of the projectile):



This is in addition to the standard handbag diagram which does not evolve under our small-x evolution:



Target Spin-Dependent SIDIS

It is straightforward to include multiple shock wave interactions into the polarized SIDIS cross section:



Polarized Dipole

• Our goal now is to construct a small-x evolution equation for helicity.



Quark Helicity TMD at Small x



• One can show that quark helicity TMD at small-x can be expressed in terms of the polarized dipole operator:

$$g_{1L}(x,k_T) = -\frac{\Sigma}{4\pi^3} \int_{z_i}^1 \frac{dz}{z} \int \frac{d^2 x_\perp d^2 y_\perp d^2 w_\perp}{2(2\pi)^3} e^{-i\underline{k}\cdot(\underline{x}-\underline{y})} \frac{\underline{x}-\underline{w}}{|\underline{x}-\underline{w}|^2} \cdot \frac{\underline{y}-\underline{w}}{|\underline{y}-\underline{w}|^2} \times \sum_{\sigma'} (-\sigma') \left\langle \left\langle \operatorname{tr} \left[V_{\underline{x}} V_{\underline{w}}^{\dagger}(\sigma') \right] + \operatorname{tr} \left[V_{\underline{w}}(\sigma') V_{\underline{x}}^{\dagger} \right] \right\rangle \right\rangle_{\Sigma} (z) \quad \theta \left(\frac{1}{Q^2} - z \, |\underline{x}-\underline{w}|^2 \right) \, \theta \left(\frac{1}{Q^2} - z \, |\underline{y}-\underline{w}|^2 \right).$$

- Here Σ is the proton helicity, while σ' is the anti-quark helicity.
- The double brackets denote target averaging rescaled by energy:

$$\langle \ldots \rangle_{\Sigma} (z) = \frac{1}{z s} \langle \langle \ldots \rangle \rangle_{\Sigma} (z)$$

Quark Helicity PDF at Small x



• Quark hPDF can be easily constructed out of quark helicity TMD at small-x:

$$\Delta q(x,Q^2) = \int d^2 k_T g_{1L}(x,k_T) = -\frac{\Sigma}{4\pi^3} \int_{z_i}^1 \frac{dz}{z} \int_{\rho^2} \frac{d^2 x_\perp d^2 w_\perp}{4\pi} \frac{1}{|\underline{x}-\underline{w}|^2} \theta\left(\frac{1}{Q^2} - z |\underline{x}-\underline{w}|^2\right) \\ \times \sum_{\sigma'} (-\sigma') \left\langle \left\langle \operatorname{tr}\left[V_{\underline{x}} V_{\underline{w}}^{\dagger}(\sigma')\right] + \operatorname{tr}\left[V_{\underline{w}}(\sigma') V_{\underline{x}}^{\dagger}\right] \right\rangle \right\rangle_{\Sigma} (z)$$

• Here and before

$$V_{\underline{w}}(\sigma') = V_{\underline{w}}^{pol}$$

(two notations for the same polarized "Wilson line")

g₁ Structure Function at Small x



• Finally the g₁ structure function at small-x is similarly written as

$$g_{1}(x,Q^{2}) = -\frac{\Sigma}{2\pi^{2} \alpha_{EM}} \int_{z_{i}}^{1} \frac{dz}{z^{2} (1-z)} \int \frac{d^{2}x_{\perp} d^{2}w_{\perp}}{4\pi} \sum_{\sigma,\sigma',f} \sigma \left[\frac{1}{2} \sum_{\lambda} \left| \Psi_{T}^{\gamma^{*} \to q\bar{q}}(\underline{x}-\underline{w},z) \right|^{2} + \left| \Psi_{L}^{\gamma^{*} \to q\bar{q}}(\underline{x}-\underline{w},z) \right|^{2} \right] \\ \times \left\langle \! \left\langle \operatorname{tr} \left[V_{\underline{x}} V_{\underline{w}}^{\dagger}(\sigma') \right] + \operatorname{tr} \left[V_{\underline{w}}(\sigma') V_{\underline{x}}^{\dagger} \right] \right\rangle \! \right\rangle_{\Sigma} \! (z)$$

• All the small-x observables (helicity TMDs and PDFs, and g₁ structure function) are expressed in terms of the same polarized dipole operator:

$$\left\langle \left\langle \operatorname{tr}\left[V_{\underline{x}} V_{\underline{y}}^{\dagger}(\sigma)\right] + \operatorname{tr}\left[V_{\underline{y}}(\sigma) V_{\underline{x}}^{\dagger}\right] \right\rangle \right\rangle_{\Sigma} (z)$$

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Yu.K., D. Pitonyak, M. Sievert, arXiv:1511.06737 [hep-ph]

Polarized Dipole

• Our goal now is to construct a small-x evolution equation for helicity.



Helicity Evolution Ingredients

• Unlike the unpolarized evolution, in one step of helicity evolution we may emit a soft gluon or a soft quark (all in A⁺=0 LC gauge of the projectile):



• When emitting gluons, one gluon is eikonal, while another one is soft, but non-eikonal, as is needed to transfer polarization down the cascade/ladder.

Helicity Evolution: Ladders

• To get an idea of how the helicity evolution works let us try iterating the splitting kernels by considering ladder diagrams (circles denote non-eikonal gluon vertices):



• To get the leading-energy asymptotics we need to order the longitudinal momentum fractions of the quarks and gluons (just like in the unpolarized evolution case) $1 \gg z_1 \gg z_2 \gg z_3 \gg \ldots$

obtaining a nested integral

$$\alpha_s^3 \int_{z_i}^1 \frac{dz_1}{z_1} \int_{z_i}^{z_1} \frac{dz_2}{z_2} \int_{z_i}^{z_2} \frac{dz_3}{z_3} z_3 \otimes \frac{1}{z_3 s} \sim \frac{1}{s} \alpha_s^3 \ln^3 s$$

Helicity Evolution: Ladders



- However, these are not all the logs of energy one can get here. Transverse momentum (or distance) integrals have UV and IR divergences, which lead to logs of energy as well.
- If we order transverse momenta / distances as (Sudakov- β ordering)

$$\underline{\underline{k}_1^2}_{z_1} \ll \underline{\underline{k}_2^2}_{z_2} \ll \underline{\underline{k}_3^2}_{z_3} \ll \dots \qquad z_1 \, \underline{\underline{x}_1^2} \gg z_2 \, \underline{\underline{x}_2^2} \gg z_3 \, \underline{\underline{x}_3^2} \gg \dots$$

we would get integrals like

$$\int_{1/(z_n s)}^{x_{n-1,\perp}^2 z_{n-1}/z_n} \frac{dx_{n,\perp}^2}{x_{n,\perp}^2}$$

also generating logs of energy.

Helicity Evolution: Ladders



• To summarize, the above ladder diagrams are parametrically of the order

$$\frac{1}{s}\alpha_s^3\,\ln^6 s$$

- Note two features:
 - 1/s suppression due to non-eikonal exchange
 - two logs of energy per each power of the coupling!

Resummation Parameter

• For helicity evolution the resummation parameter is different from BK or JIMWLK, which resum powers of leading logarithms (LLA)

$$\alpha_s \ln(1/x)$$

• Helicity evolution resummation parameter is double-logarithmic (DLA):

$$\alpha_s \ln^2 \frac{1}{x}$$

- The second logarithm of x arises due to transverse momentum integration being logarithmic both in UV and IR.
- This was known before: Kirschner and Lipatov '83; Kirschner '84; Bartels, Ermolaev, Ryskin '95, '96; Griffiths and Ross '99; Itakura et al '03; Bartels and Lublinsky '03.

Non-Ladder Diagrams

• Ladder diagrams are not the whole story. The non-ladder diagrams below are also leading-order (that is, DLA).



• Non-ladder soft quark emissions cancel for flavor-singlet observables we are primarily interested in. Non-ladder gluons do not cancel.

Virtual Corrections

- In addition, virtual corrections from the unpolarized LLA evolution have UV divergences, which cancel between real and virtual diagrams. Here the corrections are not cancelled, but are regulated by the cms energy.
- Helicity evolution thus also contains the following types of graphs:



Evolution for Polarized Quark Dipole

One can construct an evolution equation for the polarized dipole:



Evolution for Polarized Quark Dipole



Polarized Gluon Dipole Evolution



Note that at our sub-eikonal level, gluon dipole is a product of two quark dipoles color-wise, but these 'quark' dipoles evolve differently from the polarized dipole made of actual quarks.

Polarized Dipole Evolution in the Large-N_c Limit

In the large-N_c limit the equations close, leading to a closed system of 2 equations:



You friendly "neighborhood" dipole

- There is a new object in the evolution equation **the neighbor dipole**.
- This is specific for the DLA evolution. Gluon emission may happen in one dipole, but, due to transverse distance ordering, may 'know' about another dipole:



• We denote the evolution in the neighbor dipole 02 by $\,\Gamma_{02,\,21}(z')$

Polarized Dipole Evolution in the Large-N_c&N_f Limit

In the large-N_c&N_f limit the equations close too, leading to a closed system of 5 equations:



Initial Conditions

• Initial conditions for all our evolution equations should be given by Bornlevel interactions ("dressed" by multiple rescatterings in the saturation case):



• Note that they could be non-ladder as well:



Small x Asymptotics of the Quark Helicity Distribution

Yu.K., D. Pitonyak, M. Sievert, arXiv:1511.06737 [hep-ph] + in preparation

Small-x Quark Helicity TMD Evolution: Ladders

A part of this evolution equation comes from ladder diagrams:



Interestingly the quark and non-eikonal gluon ladders mix (see the right panel), resulting in a more complicated evolution equation:

Ballpark Estimate: Ladders

• Summing up mixing quark and gluon ladders yields

$$\Delta \Sigma \sim \left(\frac{1}{x}\right)^{\omega_{+}} \qquad \qquad S_q(Q^2) = \frac{1}{2} \int_{0}^{1} dx \,\Delta \Sigma(x, Q^2)$$

with
$$\omega_{+} = \sqrt{\frac{\alpha_{s}}{2\pi N_{c}}} \sqrt{9 N_{c}^{2} - 1} + \sqrt{(1 + 7 N_{c}^{2})^{2} + 16 N_{c} N_{f} (1 - N_{c}^{2})}$$



- The numbers are encouraging (α_s =0.3, N_c=N_f=3): $\Delta \Sigma \sim \left(\frac{1}{x}\right)^{1.46}$
- But: need to include the non-ladder graphs.

Prior Results

- Small-x DLA evolution for the g₁ structure function was first considered by Bartels, Ermolaev and Ryskin (BER) in '96.
- Including the mixing of quark and gluon ladders, they obtained

$$\Delta \Sigma \sim g_1 \sim \left(\frac{1}{x}\right)^{z_s \sqrt{\frac{\alpha_s N_c}{2\pi}}}$$

with $z_s = 3.45$ for 4 quark flavors and $z_s = 3.66$ for pure glue.

$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \, \Delta \Sigma(x, Q^2)$$

• The power is large: it becomes larger than 1 for the realistic strong coupling of the order of $\alpha_s = 0.2 - 0.3$, resulting in polarized PDFs which actually grow with decreasing x fast enough for the integral of the PDFs over the low-x region to be (potentially) large.

Solution of the large-N_c Equations

• We found a numerical solution of the large-N_c evolution equations (linearized, without saturation corrections):

$$G_{01}(z) = G_{01}^{(0)}(z) + \frac{\alpha_s N_c}{2\pi} \int_{z_i}^{z} \frac{dz'}{z'} \int_{\rho'^2}^{x_{10}^2} \frac{dx_{21}^2}{x_{21}^2} \left[\Gamma_{02,21}(z') + 3 G_{21}(z') \right],$$

$$\Gamma_{02,21}(z') = \Gamma_{02,21}^{(0)}(z') + \frac{\alpha_s N_c}{2\pi} \int_{z_i}^{z'} \frac{dz''}{z''} \int_{\rho''^2}^{\min\left\{x_{02}^2, x_{21}^2 z'/z''\right\}} \frac{dx_{32}^2}{x_{32}^2} \left[\Gamma_{03,32}(z'') + 3 G_{23}(z'') \right],$$



$$\eta = \ln \frac{z \, s}{\Lambda^2}$$

$$s_{01} = \ln \frac{1}{x_{01}^2 \Lambda^2}$$



The resulting small-x asymptotics is (about 30% smaller than BER's 3.66 all-N_c pure glue):

$$\Delta q(x,Q^2) \sim g_{1L}(x,k_T) \sim g_1^S(x,Q^2) \sim \left(\frac{1}{x}\right)^{2.34\sqrt{\frac{\alpha_s N_c}{2\pi}}}$$

- For $\alpha_s=0.3\,$ we get $\,\,x\,g_1^S\sim x^{0.11}\,$ --- not too much spin at small x.

- For $\alpha_s=0.4~~{\rm we~get}~~x\,g_1^S\sim x^{-0.02}$ --- possibly some spin at small x.

Conclusions

- We have constructed new DLA evolution equations for the polarized dipole operator, which allow us to find the small-x asymptotics of the quark helicity TMDs and PDFs and of the g₁ structure function.
- Like the B-JIMWLK hierarchy, our equations do not close in general. They close in the large-N_c and large-N_c&N_f limits.
- Solution of the evolution equations at large-N_c appears to give an intercept for helicity distributions which is about 30% smaller than the existing all-N_c one in the literature, but is still large enough to potentially generate a solid amount of spin at small-x. (D. Pitonyak, M. Sievert, YK, in preparation)
- Future work may involve including running coupling and saturation corrections + solving the large-N_c&N_f equations. All are likely to lower the intercept.