



Searching for exotic neutrinos at LHCb

Valeriia LUKASHENKO

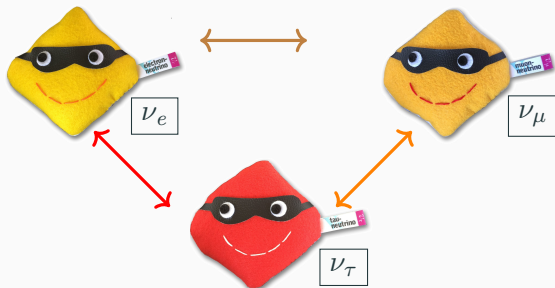
01 November 2019

b-physics group

Nikhef

Introduction

Neutrinos portrait



- Before 1998 : assumed to be massless and left-handed.
- In 1998 : neutrino oscillation evidence \Rightarrow neutrinos are massive.

Neutrino mixing matrix

$$\text{Flavour states} \longrightarrow \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \overbrace{\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}}^{\text{Mixing matrix}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \longleftarrow \text{Mass states}$$

Pontecorvo-Maki-Nakagawa-Sakata matrix

- Mixing between different neutrino flavour can be described by the PMNS matrix
- In the Standard Model neutrinos are massless and left-handed
- Massive neutrinos \Rightarrow adjust the Standard Model accordingly, but how?

Dirac VS Majorana



Paul Dirac

- Particle \neq anti-particle :

$$\psi \neq \psi^C$$

- No lepton number violation.



Ettore Majorana

- Particle = anti-particle :

$$\psi = \psi^C$$

- $\Delta L = 2 \Rightarrow$ lepton number violation.

Neutrinos and existential crisis



ν_μ

$\bar{\nu}_\mu$

Dirac VS **Majorana**

Neutrino mass and seesaw type I mechanism

$$-L_{mass} = \begin{bmatrix} \bar{N}_1 & \bar{N}_2 \end{bmatrix} \begin{bmatrix} m_L & m_D \\ m_D & m_R \end{bmatrix} \begin{bmatrix} N_1 \\ N_2 \end{bmatrix}$$

where N_1, N_2 are general neutrino states.

Neutrino mass and seesaw type I mechanism

$$-L_{mass} = \begin{bmatrix} \bar{N}_1 & \bar{N}_2 \end{bmatrix} \begin{bmatrix} \cancel{m_L}^0 & m_D \\ m_D & m_R \end{bmatrix} \begin{bmatrix} N_1 \\ N_2 \end{bmatrix}$$

Neutrino mass and seesaw type I mechanism

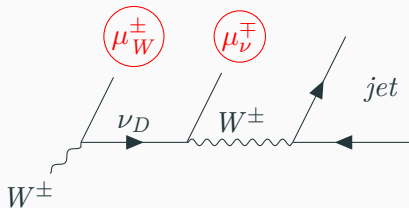
$$-L_{mass} = \begin{bmatrix} \nu_L & N_R \end{bmatrix} \begin{bmatrix} -m_D^2/m_R & 0 \\ 0 & m_R \end{bmatrix} \begin{bmatrix} \nu_L \\ N_R \end{bmatrix}$$

where ν_L is a light neutrino, N_R is a heavy neutrino.

Neutrino mass and seesaw type I mechanism

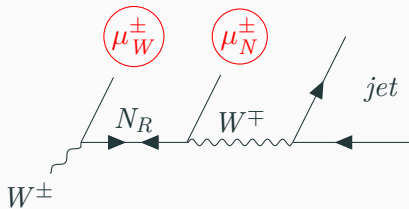
$$-L_{mass} = \begin{bmatrix} \nu_L & N_R \end{bmatrix} \begin{bmatrix} -m_D^2/m_R & 0 \\ 0 & m_R \end{bmatrix} \begin{bmatrix} \nu_L \\ N_R \end{bmatrix}$$

Difference between Majorana and Dirac signal



Opposite charge μ

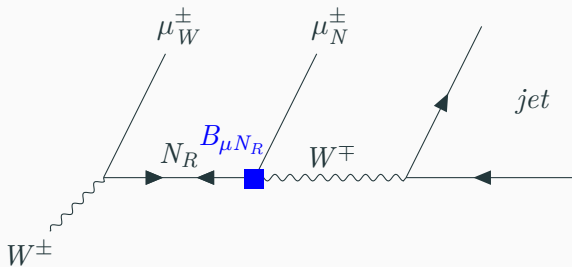
Dirac



Same charge μ

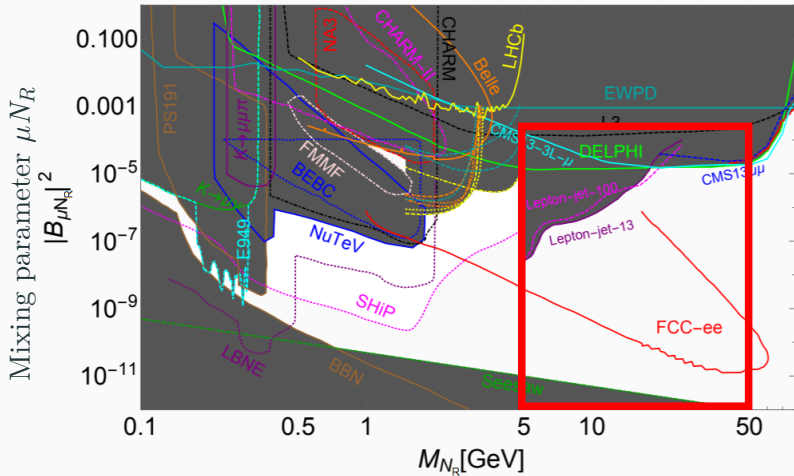
Majorana

Parameter of interest



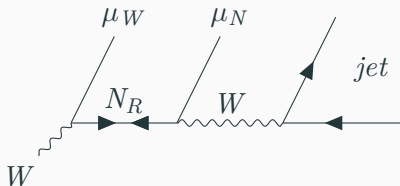
Majorana

Current state of mixing parameter and our phase space



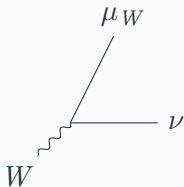
Grey area is excluded. [1805.00070]

A strategy to measure mixing parameter



Signal channel

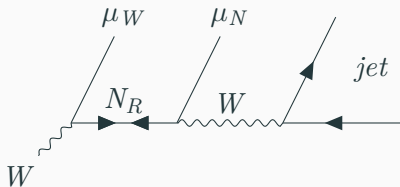
$$= \varepsilon_{sig} \mathcal{L} \sigma_W BR(W \rightarrow \mu\nu) BR(N_R \rightarrow \mu jet) |B_{\mu N_R}|^2$$



Normalization channel

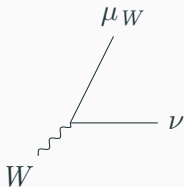
$$= \varepsilon_{nor} \mathcal{L} \sigma_W BR(W \rightarrow \mu\nu)$$

A strategy to measure mixing parameter



Signal channel

$$= \varepsilon_{sig} \mathcal{L} \sigma_W BR(W \rightarrow \mu\nu) BR(N_R \rightarrow \mu jet) |B_{\mu N_R}|^2$$

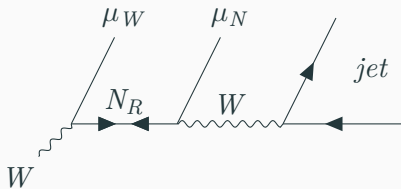


Normalization channel

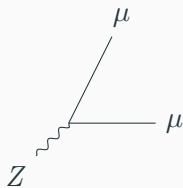
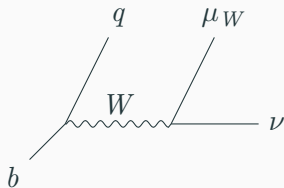
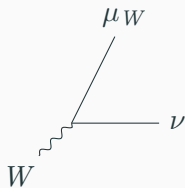
$$= \varepsilon_{nor} \mathcal{L} \sigma_W BR(W \rightarrow \mu\nu)$$

$$BR(N_R \rightarrow \mu jet) |B_{\mu N_R}|^2 = \frac{N_{sig} \varepsilon_{nor}}{N_{nor} \varepsilon_{sig}}$$

Majorana signal and backgrounds



$$W \rightarrow \mu\mu qq'$$



Electroweak

$$W \rightarrow \mu\nu$$

$$W \rightarrow \tau\nu$$

Semileptonic

$$b \rightarrow q\mu\nu$$

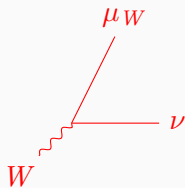
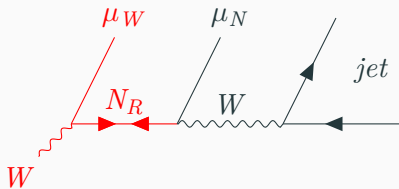
$$c \rightarrow q\mu\nu$$

Electroweak

$$Z \rightarrow \mu\mu$$

$$Z \rightarrow \tau\tau$$

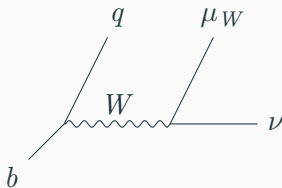
Majorana signal and backgrounds



$$W \rightarrow \mu\nu$$

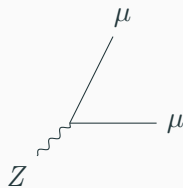
$$W \rightarrow \tau\nu$$

$$W \rightarrow \mu\mu qq'$$



$$b \rightarrow q\mu\nu$$

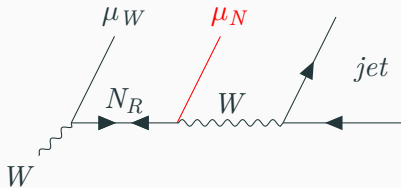
$$c \rightarrow q\mu\nu$$



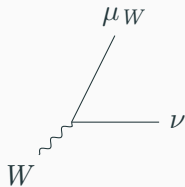
$$Z \rightarrow \mu\mu$$

$$Z \rightarrow \tau\tau$$

Majorana signal and backgrounds

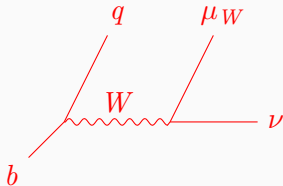


$$W \rightarrow \mu\mu qq'$$



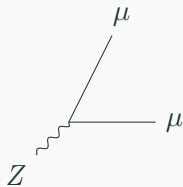
$$W \rightarrow \mu\nu$$

$$W \rightarrow \tau\nu$$



$$b \rightarrow q\mu\nu$$

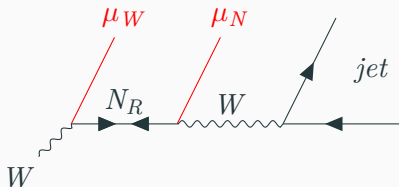
$$c \rightarrow q\mu\nu$$



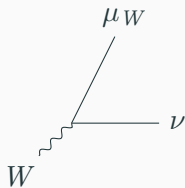
$$Z \rightarrow \mu\mu$$

$$Z \rightarrow \tau\tau$$

Majorana signal and backgrounds

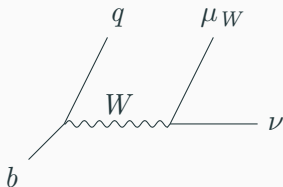


$$W \rightarrow \mu\mu qq'$$



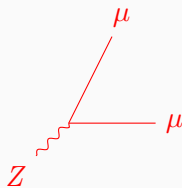
$$W \rightarrow \mu\nu$$

$$W \rightarrow \tau\nu$$



$$b \rightarrow q\mu\nu$$

$$c \rightarrow q\mu\nu$$

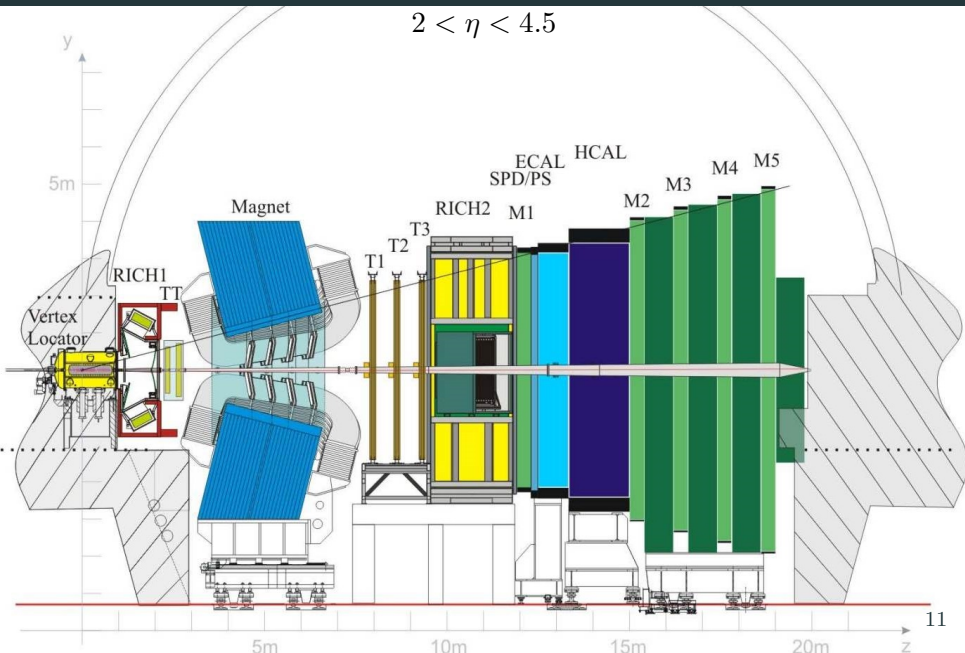


$$Z \rightarrow \mu\mu$$

$$Z \rightarrow \tau\tau$$

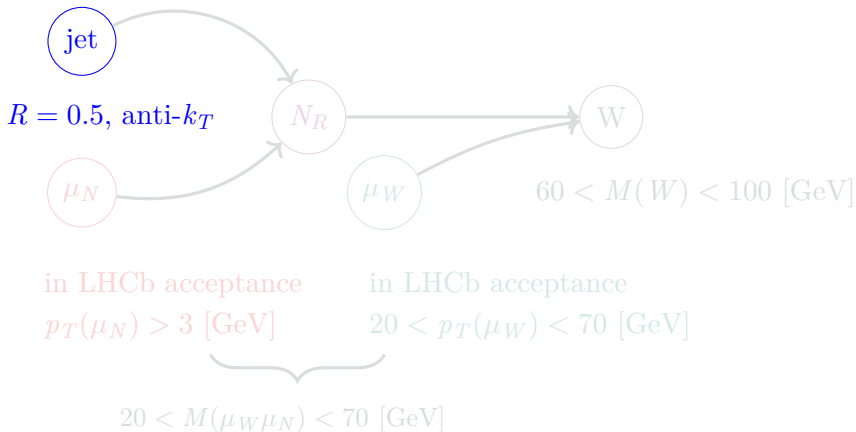
LHCb detector : an extremely quick overview

$$2 < \eta < 4.5$$



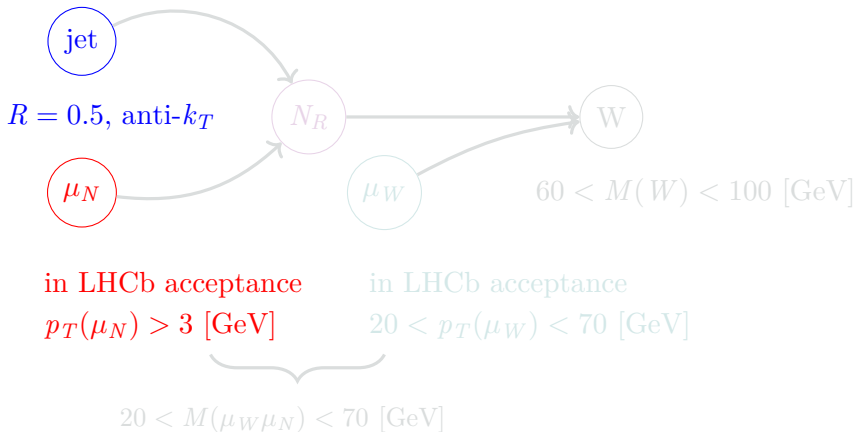
Reconstruction and Selection

Reconstruction & preselection

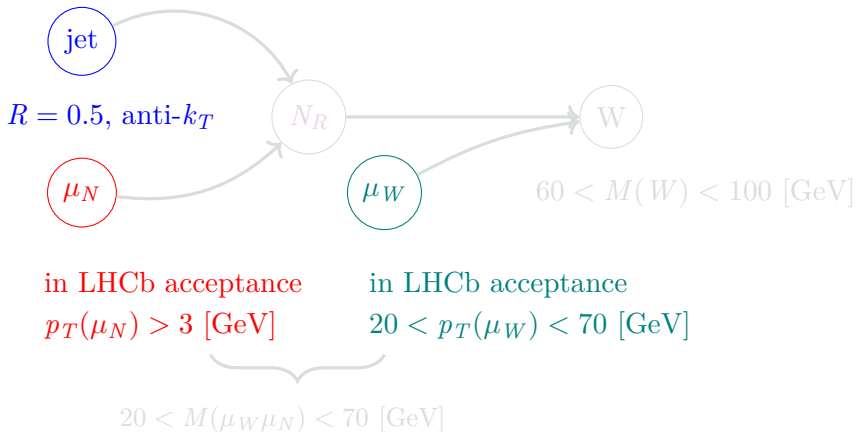


* $R = \sqrt{\Delta\eta^2 + \Delta\phi^2}$

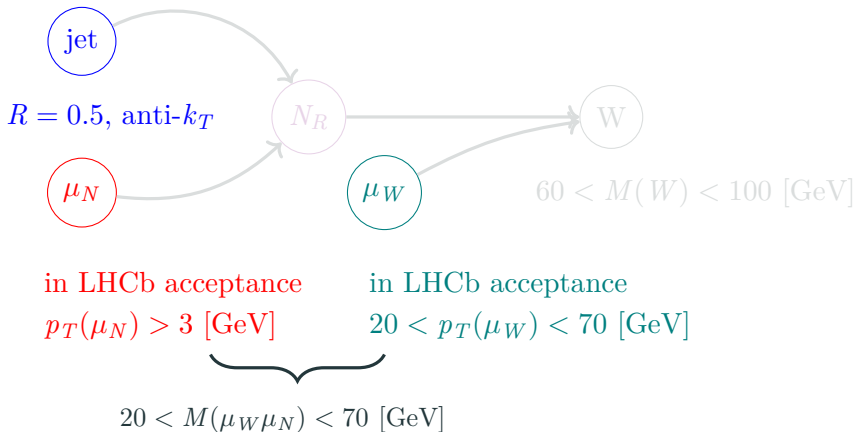
Reconstruction & preselection



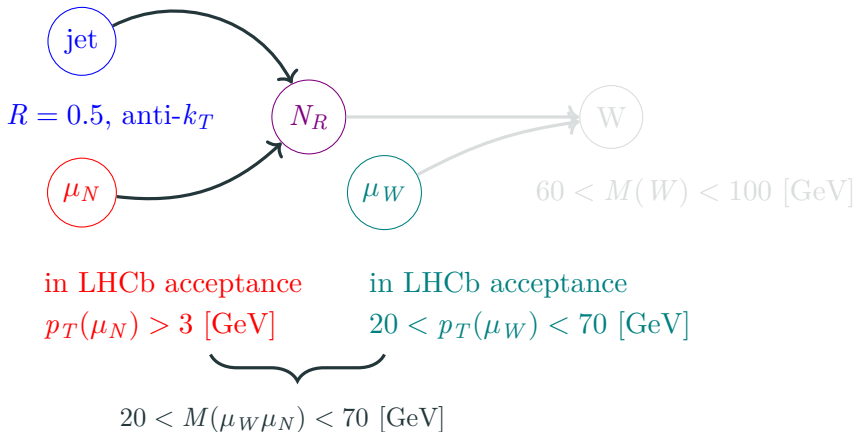
Reconstruction & preselection



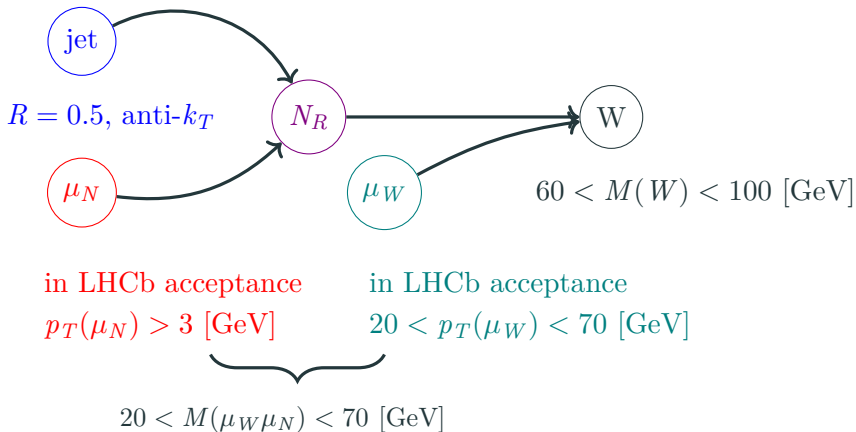
Reconstruction & preselection



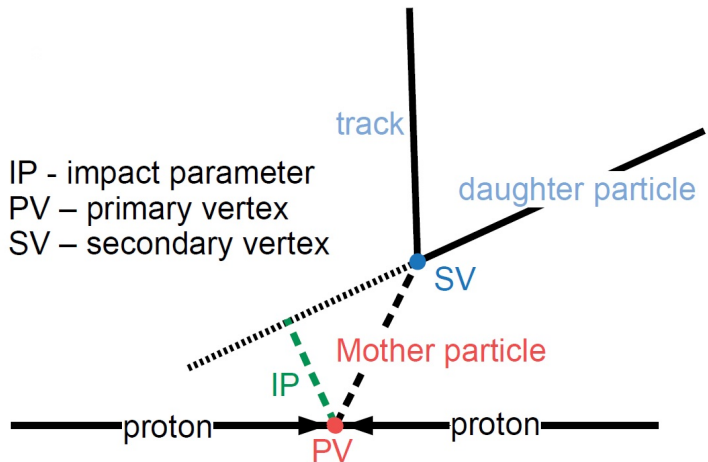
Reconstruction & preselection



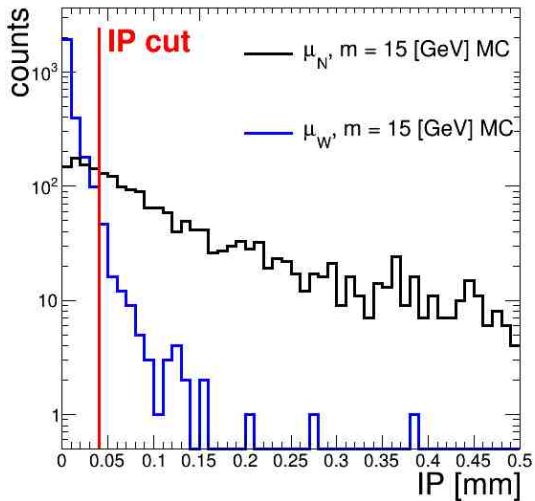
Reconstruction & preselection



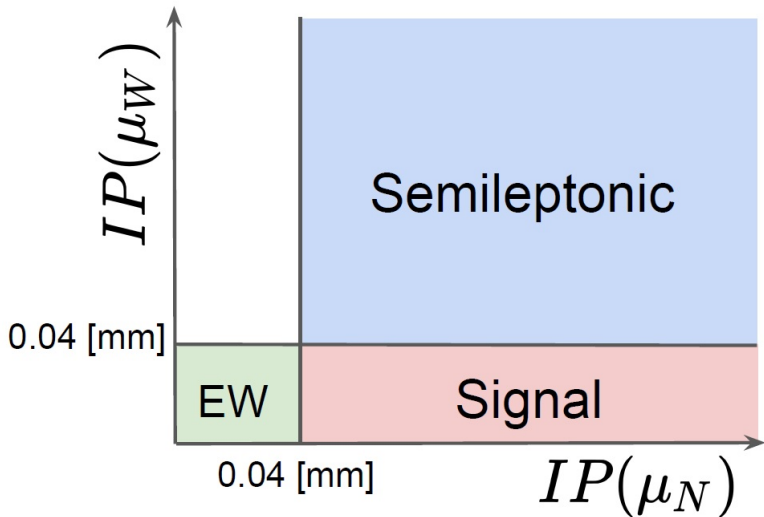
Impact parameter cut



Impact parameter cut : signal selection



Impact parameter cut : control regions

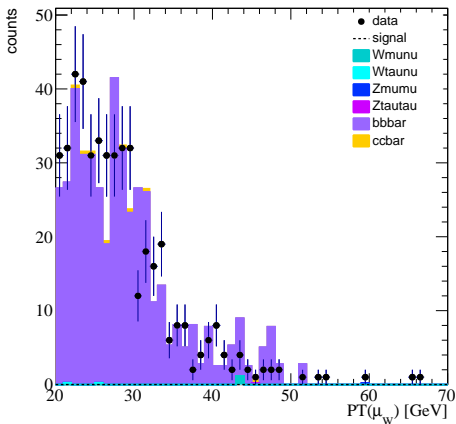


A boost with Boosted decision tree classifiers

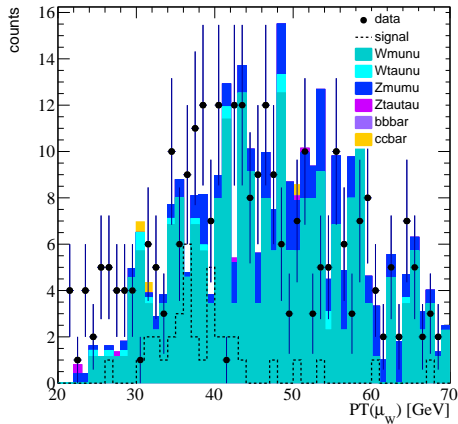
μ_W classifier	identification hypothesis for μ_W	like/not-like μ_W ✓/✗
μ_N classifier	identification hypothesis for μ_N	like/not-like μ_N ✓/✗
global classifier	explore kinematics of event	signal/background

	signal	Semileptonic	EW
μ_W classifier	✓	✗	✓
μ_N classifier	✓	✗	✗
global classifier	signal	background	background

Understanding backgrounds : $PT(\mu_W)$

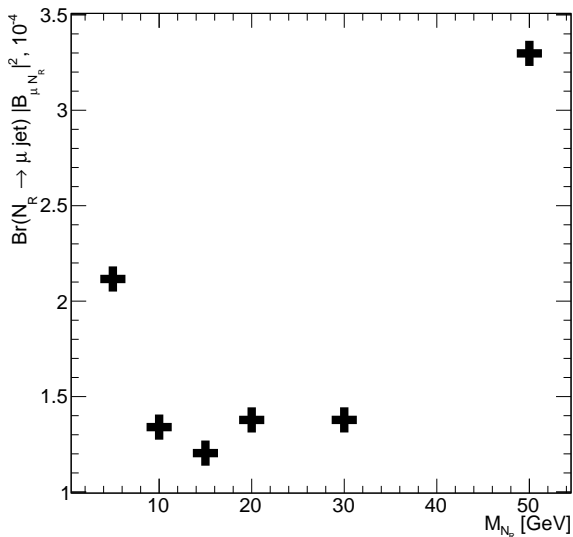


Semileptonic region



EW region

Expected limit



Expected limit as a function of a heavy neutrino mass

Conclusion and outlook

1. Expected limit has arrived : 10^{-4}
2. Space for improvements for this analysis
3. How competitive are we ?

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2. Space for improvements for this analysis
3. How competitive are we ?

1. Expected limit has arrived : 10^{-4}
2. Space for improvements for this analysis
3. How competitive are we ?

Thank you for attention!

Backup

μ_N candidates
isMuon
$2 < \eta < 4.5$
$p_T(\mu_N) > 3 \text{ GeV}$
$\frac{ (q/p) }{\sigma(q/p)} > 10$
μ_W candidates
isMuon, $p_T > 20 \text{ GeV}$
$2 < \eta < 4.5$
$20 < p_T(\mu_W) < 70 \text{ GeV}$
$(E_{ECAL} + E_{HCAL})/p < 4\%$
$P(\chi^2) > 0.01$
NumTThits > 0
$\frac{ (q/p) }{\sigma(q/p)} > 10$
$20 < M(\mu_W \mu_N) < 70 \text{ GeV}$

Table 1 – Candidate preselection.

Full preselection : jet, N_R , W

jet candidates
$R = 0.5$ $p_T > 10$ GeV 1 track $p_T > 1.2$ GeV, all tracking stations fraction of charged particles $> 10\%$ maximum p_T of a track > 1.2 GeV
N candidates
$M(N) < 80$ GeV $p_T(N) > 10$ GeV
W candidates
$60 < M(W) < 100$ GeV

Table 2 – Candidate preselection.

$$W \rightarrow \mu\nu$$

μ_W is applied

$$IP(\mu_W) < 0.04$$

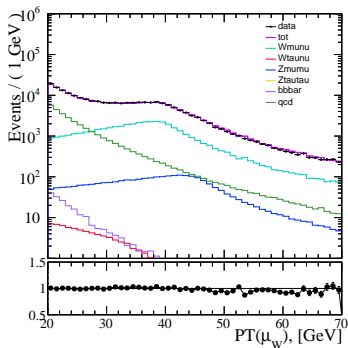
μ_W -like

stripping line	description
Stripping21	$p_T > 20$ GeV, isMuon
trigger line	description
L0MuonDecision Hlt1SingleMuonHighPTDecision Hlt2SingleMuonHighPTDecision	nSPDhits < 600, $p_T > 1.5$ GeV. L0Muon, $p_T > 4.8$ GeV, $p > 8$ GeV, $\chi^2/ndf < 4$ $p_T > 10$ GeV.

Table 3 – Stripping and trigger lines cuts.

Normalization channel fit yield

1. Normalization channel yields are taken from a fit of p_T spectra with MC shapes.
2. Fits are performed in bins of η : 8 bins in total.



W^- p_T spectrum
($2.0 < \eta < 2.25$)

Total efficiency

	total
Signal 5 [GeV]	$0.10 \pm 0.01 \pm 0.01 \%$
Signal 10 [GeV]	$0.15 \pm 0.01 \pm 0.02 \%$
Signal 15 [GeV]	$0.17 \pm 0.01 \pm 0.02 \%$
Signal 20 [GeV]	$0.15 \pm 0.01 \pm 0.02 \%$
Signal 30 [GeV]	$0.15 \pm 0.01 \pm 0.02 \%$
Signal 50 [GeV]	$0.06 \pm 0.01 \pm 0.01 \%$
Normalization	$8.80 \pm 0.89 \pm 0.26 \%$

Full list of systematics

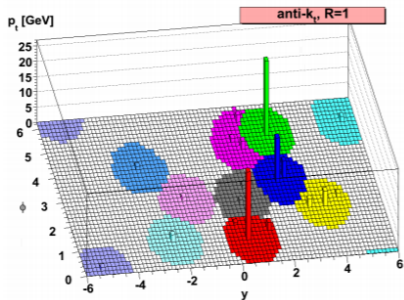
Correction	Signal 15 [GeV]	$W \rightarrow \mu\nu$
global event cut	0.80 %	-
total muon	0.92 %	0.48 %
Wmu_uBoost	0.20%	0.20%
Nmu_uBoost	0.73%	-
momentum calibration	-	2.65 %
jet energy scale*	8.64 %	-
jet energy resolution*	1.83 %	-
jet energy identification**	1.70 %	-
total	9.16%	3.19 %

*taken from prompt analysis

**taken from [LHCB-PAPER-2016-011]

anti- k_T algorithm*

- Cone-shaped jet
- Collinear safe : collinear split safe
- Infrared (soft radiation) safe



anti- k_T jets

*[0802.1189]

$$d_{ij} = \min(k_{Ti}^{-2}, k_{Tj}^{-2}) \frac{\Delta_{ij}^2}{R^2}$$

$$d_{iB} = k_{Ti}^{-2}$$

$$d_{ij} < d_{iB} \Rightarrow i + j = i$$

$$d_{ij} > d_{iB} \Rightarrow i = \text{jet}$$

d_{ij} - distance betw. i - and j -particle

d_{iB} - distance betw. i - particle and the beam

k_T - transverse momentum

R - jet radius

$$\Delta_{ij}^2 = (\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2 \quad 28$$

Multivariate analysis : Boosted Decision Tree

Three **uBoost** classifiers :

classifier	purpose	input
Wmu_uBoost	μ_W identification	isolation, PID, E/P
Nmu_uBoost	μ_N identification	isolation, PID, E/P, p_T
global_uBoost	signal/background	kinematics

***uBoost** = uniform boosted decision tree ;

has a uniform signal efficiency as a function of a heavy neutrino mass.

Multivariate analysis : Boosted Decision Tree

Input parameters		
μ_W uBDT	μ_N uBDT	global uBDT
muon isolation	muon isolation	cos between muons
muon PID	muon PID	jet cone R
muon E/P	muon E/P	$p_T(\text{jet})$
	muon p_T	missing p_T
		$M(\mu_1\mu_2)$
		$M(W)$

Table 4 – BDT input variables

For more on the BDT training consult Elena's Dall'Occo thesis.

BDT Efficiency

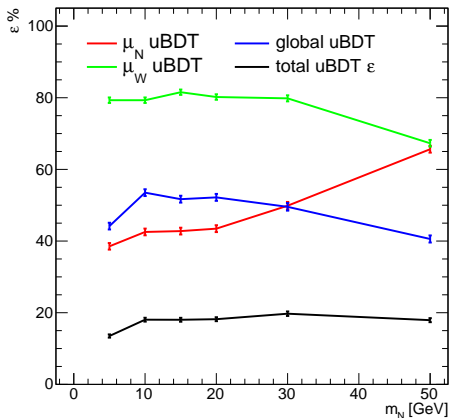


Figure 2 – BDT signal efficiency with respect to signal mass, MC.

Corrections data vs Monte Carlo

Never trust your Monte Carlo!

Muon correction* : $c_{muon} = c_{rec} \cdot c_{ID} \cdot c_{trigger}$

Total correction



$$c_{tot} = c_{muon} \cdot c_{GEC} \cdot c_{BDT}$$



uBoost correction

$$c_{BDT} = c_{Nmu} \cdot c_{Wmu}$$

Global event cut correction

*taken from [LHCb-INT-2014-030]

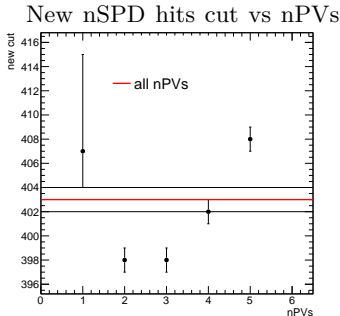
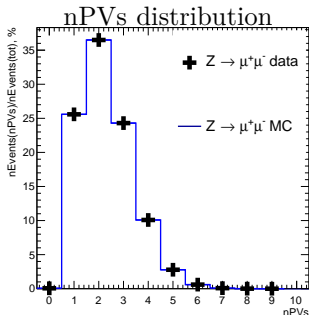
GEC correction

- Number of SPD hits trigger cut efficiency in $Z \rightarrow \mu^+ \mu^-$ data.
- New number of SPD hits cut N with same efficiency in $Z \rightarrow \mu^+ \mu^-$ Monte Carlo.

$$c_{GEC} = \frac{\varepsilon(nSPDhits < N)}{\varepsilon(nSPDhits < 600)}$$

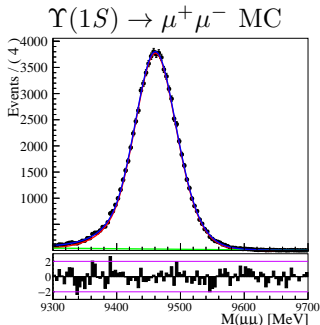
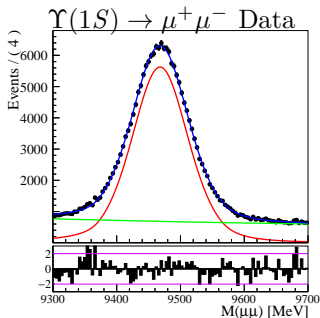
*nPVs = number of primary vertices

*nSPD hits = number of SPD hits



uBoost correction

- $c = \frac{\varepsilon(\text{data})}{\varepsilon(\text{MC})}$
- `Wmu_uBoost` correction - use cut and count on $Z \rightarrow \mu^+ \mu^-$
- `Nmu_uBoost` correction - combine $Z \rightarrow \mu^+ \mu^-$ and $\Upsilon(1S) \rightarrow \mu^+ \mu^-$



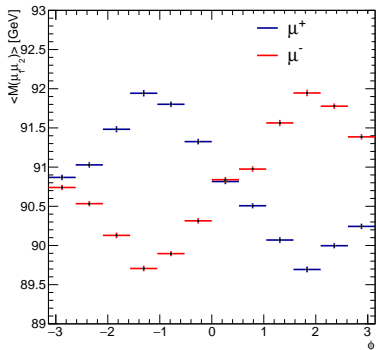
Ipatia function

- two-tailed Crystal-Ball function with a hyperbolic core function
- fits a mass spectrum with unknown/different per-event mass uncertainties

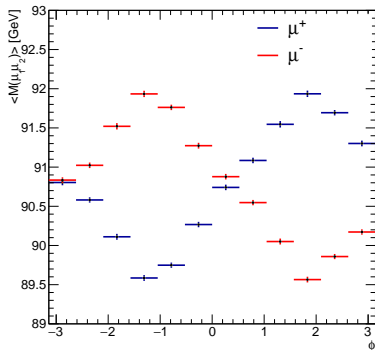
$$I2 = N \begin{cases} G(m, \mu, \sigma, \lambda, \zeta, \beta) & -\alpha_1 < \frac{m-\mu}{\sigma} < \alpha_2 \\ \frac{G(m, \mu, \sigma, \lambda, \zeta, \beta)}{\left(1 - m/(n_1 \cdot \frac{G(m-\alpha_1\sigma, \mu, \sigma, \lambda, \zeta, \beta)}{G'(m-\alpha_1\sigma, \mu, \sigma, \lambda, \zeta, \beta)} - \alpha_1\sigma)\right)^{n_1}} & \frac{m-\mu}{\sigma} \leq -\alpha_1 \\ \frac{G(m, \mu, \sigma, \lambda, \zeta, \beta)}{\left(1 - m/(n_2 \cdot \frac{G(m-\alpha_2\sigma, \mu, \sigma, \lambda, \zeta, \beta)}{G'(m-\alpha_2\sigma, \mu, \sigma, \lambda, \zeta, \beta)} - \alpha_2\sigma)\right)^{n_2}} & \frac{m-\mu}{\sigma} \geq \alpha_2 \end{cases}$$

$I2$ - Ipatia function; G - generalized hyperbolic function;
 N - normalization; α_1 and n_1 - left-side tail parameters;
 α_2 and n_2 - right-side tail parameters

Momentum calibration : before



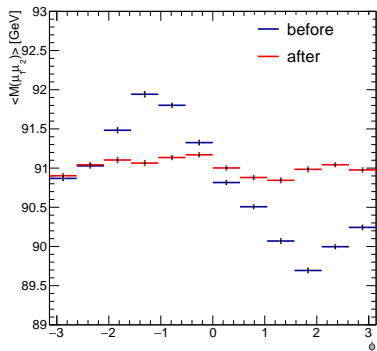
(a) Magnet Up



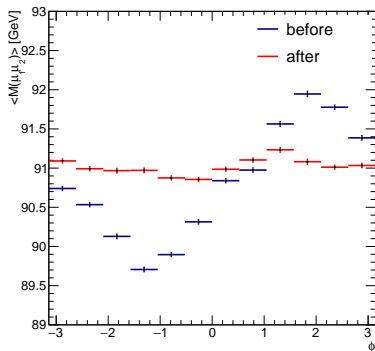
(b) Magnet Down

Figure 3 – Mean dimuon mass from $Z \rightarrow \mu^+\mu^-$ as a function of muons ϕ .

Momentum calibration : after



(a) μ^+



(b) μ^-

Figure 4 – Mean dimuon mass from $Z \rightarrow \mu^+\mu^-$ as a function of muons ϕ before and after calibration, MU.